



15-441 Computer Networking

Lecture 19 – TCP Performance

Overview



- TCP variants
- TCP modeling
- TCP details

Lecture 19: 03-24-2005

2

TCP Variations

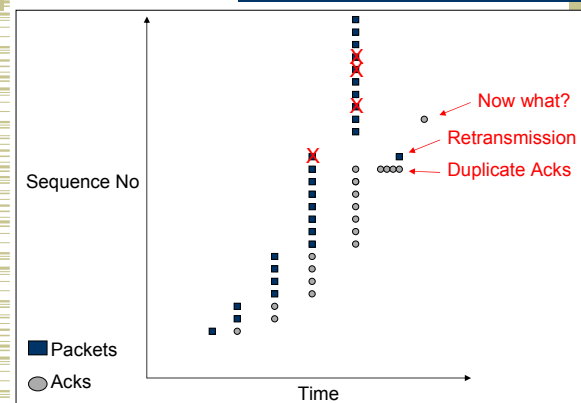


- Tahoe, Reno, NewReno, Vegas
- TCP Tahoe (distributed with 4.3BSD Unix)
 - Original implementation of Van Jacobson's mechanisms (VJ paper)
 - Includes:
 - Slow start
 - Congestion avoidance
 - Fast retransmit

Lecture 19: 03-24-2005

3

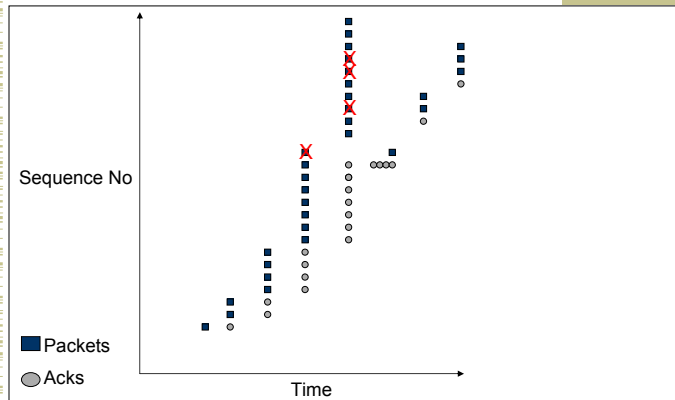
Multiple Losses



Lecture 19: 03-24-2005

4

Tahoe



Lecture 19: 03-24-2005

5

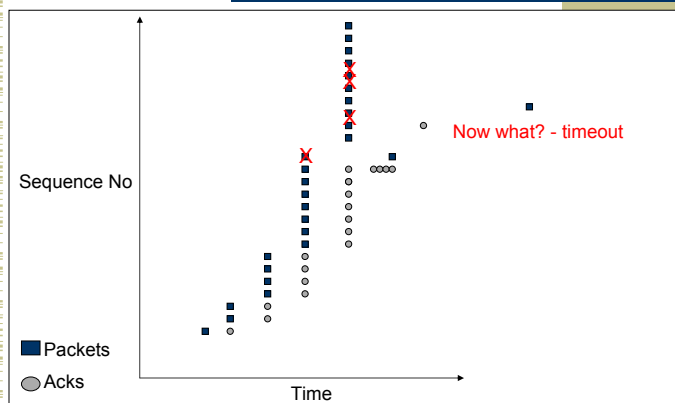
TCP Reno (1990)

- All mechanisms in Tahoe
- Addition of fast-recovery
 - Opening up congestion window after fast retransmit
- Delayed acks
- Header prediction
 - Implementation designed to improve performance
 - Has common case code inlined
- With multiple losses, Reno typically timeouts because it does not see duplicate acknowledgements

Lecture 19: 03-24-2005

6

Reno



Lecture 19: 03-24-2005

7

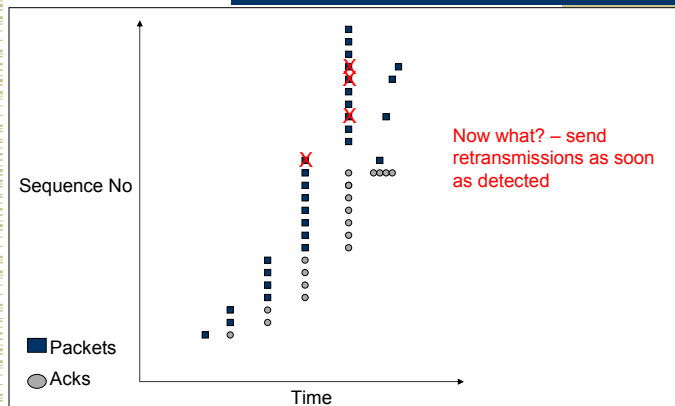
SACK

- Basic problem is that cumulative acks provide little information
 - Ack for just the packet received
 - What if acks are lost? → carry cumulative also
 - This technique is not used
 - Bitmask of packets received
 - Selective acknowledgement (SACK)
 - Implemented as a TCP option
 - Set of received byte ranges (max of 4 ranges/often max of 3)
- When to retransmit?
 - Still need to deal with reordering → wait for out of order by 3pkts

Lecture 19: 03-24-2005

8

SACK



Lecture 19: 03-24-2005

9

Performance Issues

- Timeout >> fast retransmit
- Need 3 dupacks/sacks
- Not great for small transfers
 - Don't have 3 packets outstanding
- What are real loss patterns like?

Lecture 19: 03-24-2005

10

How to Change Window

- When a loss occurs have W packets outstanding
- New $cwnd = 0.5 * cwnd$
 - How to get to new state?

Lecture 19: 03-24-2005

11

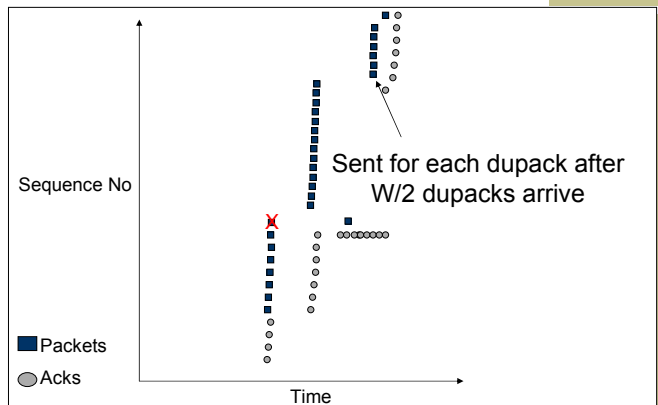
Fast Recovery

- Each duplicate ack notifies sender that single packet has cleared network
- When $< cwnd$ packets are outstanding
 - Allow new packets out with each new duplicate acknowledgement
- Behavior
 - Sender is idle for some time – waiting for $\frac{1}{2} cwnd$ worth of dupacks
 - Transmits at original rate after wait
 - Ack clocking rate is same as before loss

Lecture 19: 03-24-2005

12

Fast Recovery



Lecture 19: 03-24-2005

13

Overview

- TCP variants
- **TCP modeling**
- TCP details

Lecture 19: 03-24-2005

14

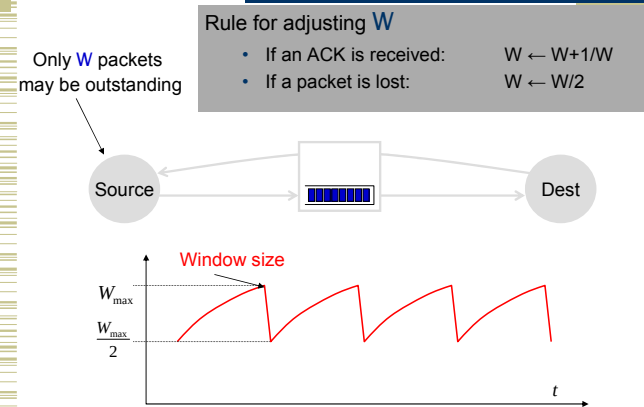
TCP Performance

- Can TCP saturate a link?
- Congestion control
 - Increase utilization until... link becomes congested
 - React by decreasing window by 50%
 - Window is proportional to rate * RTT
- Doesn't this mean that the network oscillates between 50 and 100% utilization?
 - Average utilization = 75%??
 - No...this is *not* right!

Lecture 19: 03-24-2005

15

TCP Congestion Control

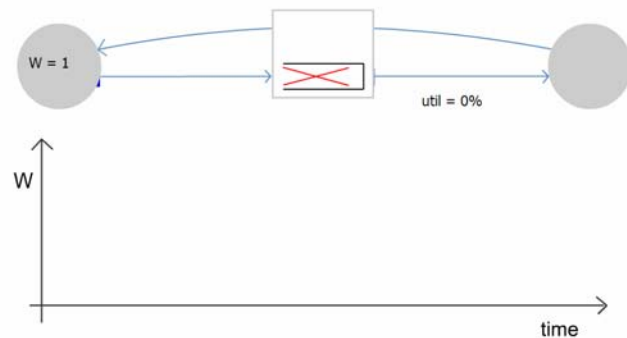


Lecture 19: 03-24-2005

16

Single TCP Flow

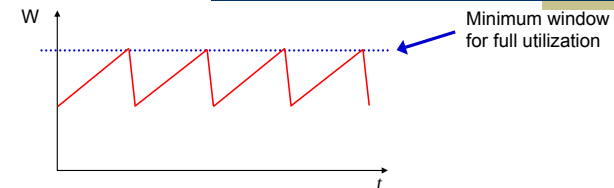
Router without buffers



Lecture 19: 03-24-2005

17

Summary Unbuffered Link



- The router can't fully utilize the link
 - If the window is too small, link is not full
 - If the link is full, next window increase causes drop
 - With no buffer it still achieves 75% utilization

Lecture 19: 03-24-2005

18

TCP Performance

- In the real world, router queues play important role
 - Window is proportional to rate * RTT
 - But, RTT changes as well the window
 - Window to fill links = propagation RTT * bottleneck bandwidth
 - If window is larger, packets sit in queue on bottleneck link

Lecture 19: 03-24-2005

19

TCP Performance

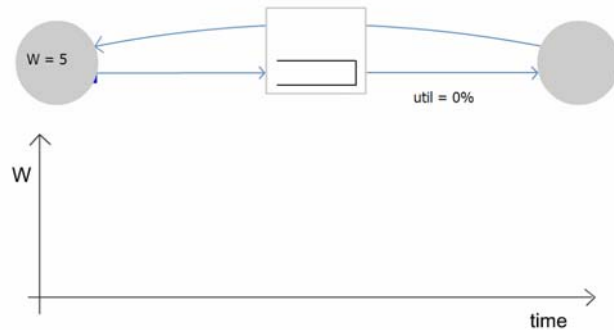
- If we have a large router queue → can get 100% utilization
 - But, router queues can cause large delays
- How big does the queue need to be?
 - Windows vary from $W \rightarrow W/2$
 - Must make sure that link is always full
 - $W/2 > RTT * BW$
 - $W = RTT * BW + Qsize$
 - Therefore, $Qsize > RTT * BW$
 - Ensures 100% utilization
 - Delay?
 - Varies between RTT and $2 * RTT$

Lecture 19: 03-24-2005

20

Single TCP Flow

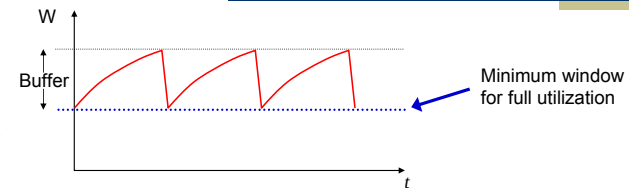
Router with large enough buffers for full link utilization



Lecture 19: 03-24-2005

21

Summary Buffered Link



- With sufficient buffering we achieve full link utilization
 - The window is always above the critical threshold
 - Buffer absorbs changes in window size
 - Buffer Size = Height of TCP Sawtooth
 - Minimum buffer size needed is $2T \cdot C$
 - This is the origin of the rule-of-thumb

Lecture 19: 03-24-2005

22

TCP Modeling

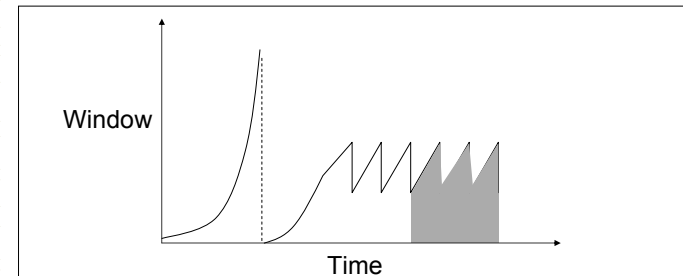
- Given the congestion behavior of TCP can we predict what type of performance we should get?
- What are the important factors
 - Loss rate: Affects how often window is reduced
 - RTT: Affects increase rate and relates BW to window
 - RTO: Affects performance during loss recovery
 - MSS: Affects increase rate

Lecture 19: 03-24-2005

23

Overall TCP Behavior

- Let's concentrate on steady state behavior with no timeouts and perfect loss recovery
- Packets transferred = area under curve



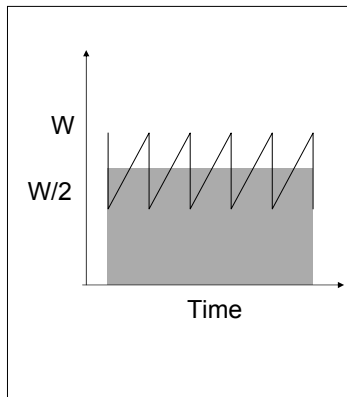
Lecture 19: 03-24-2005

24

Transmission Rate



- What is area under curve?
 - $W = \text{pkts}/\text{RTT}$, $T = \text{RTTs}$
 - $A = \text{avg window} * \text{time} = \frac{3}{4} W * T$
- What was bandwidth?
 - $BW = A / T = \frac{3}{4} W$
 - In packets per RTT
 - Need to convert to bytes per second
 - $BW = \frac{3}{4} W * \text{MSS} / \text{RTT}$
- What is W ?
 - Depends on loss rate



Lecture 19: 03-24-2005

25

Simple TCP Model



- Some additional assumptions
 - Fixed RTT
 - No delayed ACKs
- In steady state, TCP losses packet each time window reaches W packets
 - Window drops to $W/2$ packets
 - Each RTT window increases by 1 packet $\rightarrow W/2 * \text{RTT}$ before next loss

Lecture 19: 03-24-2005

26

Simple Loss Model



- What was the loss rate?
 - Packets transferred $= (\frac{3}{4} W / \text{RTT}) * (W/2 * \text{RTT}) = 3W^2/8$
 - 1 packet lost $\rightarrow$ loss rate $= p = 8/3W^2$
- $W = \sqrt{\frac{8}{3p}}$
- $BW = \frac{3}{4} * W * \text{MSS} / \text{RTT}$
 - $W = \sqrt{\frac{8}{3p}} = \frac{4}{3} \times \sqrt{\frac{3}{2p}}$
 - $BW = \frac{\text{MSS}}{\text{RTT} \times \sqrt{\frac{2p}{3}}}$

Lecture 19: 03-24-2005

27

Fairness



- BW proportional to $1/\text{RTT}$?
- Do flows sharing a bottleneck get the same bandwidth?
 - NO!
- TCP is RTT fair
 - If flows share a bottleneck and have the same RTTs then they get same bandwidth
 - Otherwise, in inverse proportion to the RTT

Lecture 19: 03-24-2005

28

TCP Friendliness



- What does it mean to be TCP friendly?
 - TCP is not going away
 - Any new congestion control must compete with TCP flows
 - Should not clobber TCP flows and grab bulk of link
 - Should also be able to hold its own, i.e. grab its fair share, or it will never become popular
- How is this quantified/shown?
 - Has evolved into evaluating loss/throughput behavior
 - If it shows $1/\sqrt{p}$ behavior it is ok
 - But is this really true?

Lecture 19: 03-24-2005

29

Overview



- TCP variants
- TCP modeling
- TCP details

Lecture 19: 03-24-2005

30

Delayed ACKS



- Problem:
 - In request/response programs, you send separate ACK and Data packets for each transaction
- Solution:
 - Don't ACK data immediately
 - Wait 200ms (must be less than 500ms – why?)
 - Must ACK every other packet
 - Must not delay duplicate ACKs

Lecture 19: 03-24-2005

31

TCP ACK Generation [RFC 1122, RFC 2581]



Event	TCP Receiver action
In-order segment arrival, No gaps, Everything else already ACKed	Delayed ACK. Wait up to 500ms for next segment. If no next segment, send ACK
In-order segment arrival, No gaps, One delayed ACK pending	Immediately send single cumulative ACK
Out-of-order segment arrival Higher-than-expect seq. # Gap detected	Send duplicate ACK, indicating seq. # of next expected byte
Arrival of segment that partially or completely fills gap	Immediate ACK

Lecture 19: 03-24-2005

32

Delayed Ack Impact



- TCP congestion control triggered by acks
 - If receive half as many acks → window grows half as fast
- Slow start with window = 1
 - Will trigger delayed ack timer
 - First exchange will take at least 200ms
 - Start with > 1 initial window
 - Bug in BSD, now a “feature”/standard

Lecture 19: 03-24-2005

33

Nagel's Algorithm



- Small packet problem:
 - Don't want to send a 41 byte packet for each keystroke
 - How long to wait for more data?
- Solution:
 - Allow only one outstanding small (not full sized) segment that has not yet been acknowledged
 - Can be disabled for interactive applications

Lecture 19: 03-24-2005

34

Large Windows



- Delay-bandwidth product for 100ms delay
 - 1.5Mbps: 18KB
 - 10Mbps: 122KB
 - 45Mbps: 549KB
 - 100Mbps: 1.2MB
 - 622Mbps: 7.4MB
 - 1.2Gbps: 14.8MB
- Why is this a problem?
 - 10Mbps > max 16bit window
- Scaling factor on advertised window
 - Specifies how many bits window must be shifted to the left
 - Scaling factor exchanged during connection setup

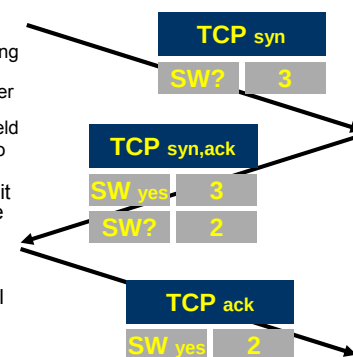
Lecture 19: 03-24-2005

35

Window Scaling: Example Use of Options



- “Large window” option (RFC 1323)
 - Negotiated by the hosts during connection establishment
 - Option 3 specifies the number of bits by which to shift the value in the 16 bit window field
 - Independently set for the two transmit directions
- The scaling factor specifies bit shift of the window field in the TCP header
 - Scaling value of 2 translates into a factor of 4
- Old TCP implementations will simply ignore the option
 - Definition of an option



Lecture 19: 03-24-2005

36

Maximum Segment Size (MSS)



- Problem: what packet size should a connection use?
- Exchanged at connection setup
 - Uses a TCP option
 - Typically pick MTU of local link
- What all does this effect?
 - Efficiency
 - Congestion control
 - Retransmission
- Path MTU discovery
 - Why should MTU match MSS?

Lecture 19: 03-24-2005

37

TCP (Summary)



- General loss recovery
 - Stop and wait
 - Selective repeat
- TCP sliding window flow control
- TCP state machine
- TCP loss recovery
 - Timeout-based
 - RTT estimation
 - Fast retransmit
 - Selective acknowledgements

Lecture 19: 03-24-2005

38

TCP (Summary)



- Congestion collapse
 - Definition & causes
- Congestion control
 - Why AIMD?
 - Slow start & congestion avoidance modes
 - ACK clocking
 - Packet conservation
- TCP performance modeling
 - How does TCP fully utilize a link?
 - Role of router buffers

Lecture 19: 03-24-2005

39

EXTRA SLIDES

The rest of the slides are FYI

NewReno

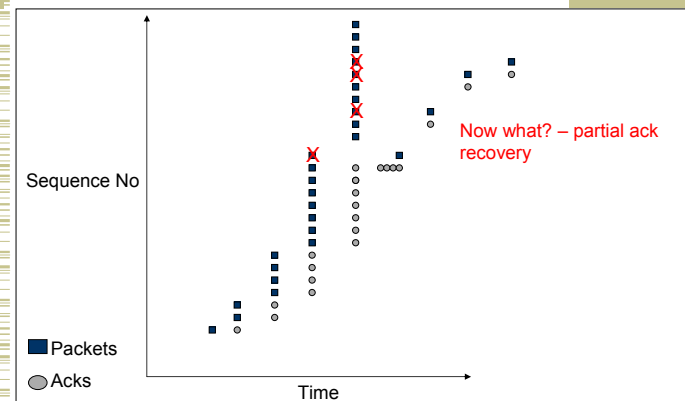


- The ack that arrives after retransmission (partial ack) could indicate that a second loss occurred
- When does NewReno timeout?
 - When there are fewer than three dupacks for first loss
 - When partial ack is lost
- How fast does it recover losses?
 - One per RTT

Lecture 19: 03-24-2005

41

NewReno



Lecture 19: 03-24-2005

42

Changing Workloads



- New applications are changing the way TCP is used
- 1980's Internet
 - Telnet & FTP → long lived flows
 - Well behaved end hosts
 - Homogenous end host capabilities
 - Simple symmetric routing
- 2000's Internet
 - Web & more Web → large number of short xfers
 - Wild west – everyone is playing games to get bandwidth
 - Cell phones and toasters on the Internet
 - Policy routing

Lecture 19: 03-24-2005

43

Short Transfers



- Fast retransmission needs at least a window of 4 packets
 - To detect reordering
- Short transfer performance is limited by slow start → RTT

Lecture 19: 03-24-2005

44

Short Transfers



- Start with a larger initial window
- What is a safe value?
 - TCP already burst 3 packets into network during slow start
 - Large initial window = $\min(4 \cdot \text{MSS}, \max(2 \cdot \text{MSS}, 4380 \text{ bytes}))$ [rfc2414]
 - Not a standard yet
 - Enables fast retransmission
 - Only used in initial slow start not in any subsequent slow start

Lecture 19: 03-24-2005

45

Well Behaved vs. Wild West



- How to ensure hosts/applications do proper congestion control?
- Who can we trust?
 - Only routers that we control
 - Can we ask routers to keep track of each flow
 - Per flow information at routers tends to be expensive
 - Fair-queuing later in the semester

Lecture 19: 03-24-2005

46

TCP Fairness Issues



- Multiple TCP flows sharing the same bottleneck link do **not** necessarily get the same bandwidth.
 - Factors such as roundtrip time, small differences in timeouts, and start time, ... affect how bandwidth is shared
 - The bandwidth ratio typically does stabilize
- Users can grab more bandwidth by using parallel flows.
 - Each flow gets a share of the bandwidth to the user gets more bandwidth than users who use only a single flow

Lecture 19: 03-24-2005

47

Silly Window Syndrome



- Problem: (Clark, 1982)
 - If receiver advertises small increases in the receive window then the sender may waste time sending lots of small packets
- Solution
 - Receiver must not advertise small window increases
 - Increase window by $\min(\text{MSS}, \text{RecvBuffer}/2)$

Lecture 19: 03-24-2005

48

Protection From Wraparound



- Wraparound time vs. Link speed
 - 1.5Mbps: 6.4 hours
 - 10Mbps: 57 minutes
 - 45Mbps: 13 minutes
 - 100Mbps: 6 minutes
 - 622Mbps: 55 seconds
 - 1.2Gbps: 28 seconds
- Why is this a problem?
 - 55seconds < MSL!
- Use timestamp to distinguish sequence number wraparound

Lecture 19: 03-24-2005

49

Example



- 10Gb/s linecard
 - Requires 300Mbytes of buffering.
 - Read and write 40 byte packet every 32ns.
- Memory technologies
 - DRAM: require 4 devices, but too slow.
 - SRAM: require 80 devices, 1kW, \$2000.
- Problem gets harder at 40Gb/s
 - Hence RLDram, FCRAM, etc.

Lecture 19: 03-24-2005

50

Rule-of-thumb



- Rule-of-thumb makes sense for one flow
- Typical backbone link has > 20,000 flows
- Does the rule-of-thumb still hold?
 - Key assumption → losses are synchronized across all flows
 - All TCP connections halve windows nearly simultaneously
 - Not necessarily true!

Lecture 19: 03-24-2005

51