

Operational Semantics in Languages and Logics

Robert Harper

Felleisen Halftime Festival
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We seemed to be miles apart. Who is right?

Operational Methods

It was the era of **operational semantics**.

- Plotkin's *Structural Operational Semantics*.
- Milner, et al's *Definition of Standard ML*.

And a hiatus for **denotational semantics**.

- Successful treatment of higher-order languages.
- How to account for effects?

Types and Programming

It was also the era of **types for programming languages**:

- Milner's type inference for ML.
- Reynolds's and Girard's theory of polymorphism.

And the era of **types for mathematics**:

- Martin-Löf: Intuitionistic Type Theory.
- Constable, Bates: NuPRL type theory and system.

We were just beginning to understand the now-famous correspondences.

Formal Propositions as Types

Formal logic (defined by rules):

$$A_1 \text{ true}, \dots, A_n \text{ true} \vdash A \text{ true}$$

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By now this correspondence is **true by definition!**

- Not an isomorphism.
- Not solely due to Curry and Howard.
- No inherent computational meaning.

What Matthias Was Saying (Says Me)

What is the point of all these rules?

The subject matter is **programming**, done by **people**!

- Start with **programs**.
- Define types as **specifications** of behavior.

Emphasize **meaning** (content) over **protocol** (form).

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Where have we heard this before?

Brouwerian Mathematics

Contrary to Hilbert's **formalism**, Brouwer proposed his **intuitionism**.

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Mathematics is a **human activity** grounded in a shared understanding of **construction**.

That is, programming is a **human activity** grounded in a shared understanding of **computation**.

Form follows function: formalisms are secondary matters of convenience.

Semantic Propositions as Types

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Start with programs, define types as programs that classify other programs:

- A type means $A \Downarrow A_0$ and A_0 **classifies** other programs.
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More generally, define **exact equality**:

- $A \doteq B$ means $A \Downarrow A_0$, $B \Downarrow B_0$, and both classify the same programs.
- $M \doteq N \in A$ means $M \Downarrow M_0$, $N \Downarrow N_0$, and are interchangeable up to A_0 .

The Univalence Axiom

Voevodsky proposed the **univalence axiom** for formal type theory:

$$\text{ua}(E) : \text{Equiv}(A, B) \simeq \text{Id}_U(A, B)$$

Equivalent types are **identical** (equivalence \approx isomorphism).

HoTT: a **formal** account of univalence (and higher inductives):

- Add univalence as a **new axiom**.
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But what is the **computational meaning** of univalence?

$$J(x.N; \text{refl}_A(M)) \equiv N[M/x]$$

$$J(x.N; \text{ua}(E)) \equiv \text{???}$$

Cannot just add axioms to type theory!

Identities and Paths

The difficulty is that $\text{Id}_A(M, N)$ is **inductively defined**:

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Already there was trouble with **function extensionality**:

$$\text{Id}_{A \rightarrow B}(F, G) \not\equiv \prod x : A. \text{Id}_B(F x, G x).$$

Univalence is nothing but an **extensionality** principle.

Identities and Paths

Crucially, the **evidence** matters . . . and what is evidence depends on the type!

$$\text{ext}(P) \in \text{Path}_{A \rightarrow B}(F, G)$$

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Crucially, the **evidence** matters . . . and what is evidence depends on the type!

$$\text{ext}(P) \in \text{Path}_{A \rightarrow B}(F, G)$$

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Path types are **equivalent**, but not **equal**, to Id types.

- Not enough to provide **computational** meaning.
- No issue for the **spatial** meaning (modulo equivalence).

Cubical Types

But what are path types? And how do we compute with them?

A **path**, or **line**, is a **program** parameterized by a **dimension variable**.

$$\begin{array}{ccc} \begin{array}{c} x \\ \rightarrow \\ y \downarrow \end{array} & M\langle 0/x \rangle \langle 0/y \rangle & \xrightarrow{M\langle 0/y \rangle} & M\langle 1/x \rangle \langle 0/y \rangle \\ & \downarrow M\langle 0/x \rangle & & \downarrow M\langle 1/x \rangle \\ & M\langle 0/x \rangle \langle 1/y \rangle & \xrightarrow{M\langle 1/y \rangle} & M\langle 1/x \rangle \langle 1/y \rangle \\ & & M & \end{array}$$

More generally, squares, cubes, hypercubes

Think of x, y as continuously varying over $[0, 1]$ to **draw** the square.

Cubical Types

Types are n -cubes: $A \text{ type } [x_1, \dots, x_n]$.

- An n -cube is a **line** between opposing **faces** in n ways.
- $A \Downarrow A_0$ and A_0 specifies n -cubes as elements.

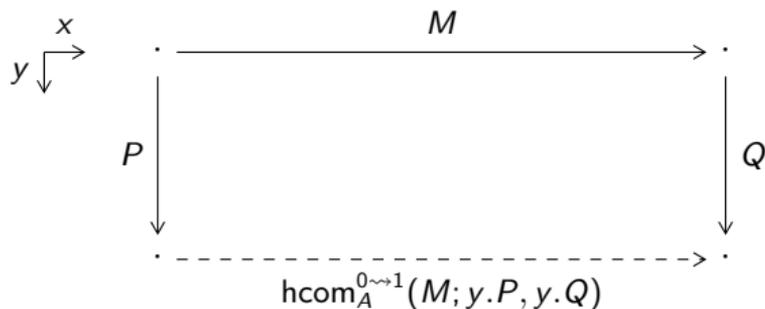
Elements of n -cubes are n -cubes of elements: $M \in A [x_1, \dots, x_n]$.

- $M \Downarrow M_0$ and M_0 satisfies A_0 **in all aspects**.
- Coherently ... (never mind) ...

Cubical operational semantics: cubes compute their aspects.

Kan Conditions

There must be **enough** lines/squares/cubes of types and elements:



(Defines $P^{-1} \cdot M \cdot Q$, combining inversion and concatenation.)

Kan Conditions

Type lines induce **coercions** between their end points:

$$\text{coe}_{x.A}^{0 \rightsquigarrow 1}(-) \in A\langle 0/x \rangle \rightarrow A\langle 1/x \rangle [\dots]$$

whenever A is a line of types:

$$A \in \mathbf{U} [\dots, x]$$

By univalence, all type lines are **equivalences**.

Coercion uses the equivalence to **transport** elements from one side to the other.

That is, the end points are **interchangeable** in all contexts!

What Next?

Cubical proof assistants: **REDPRL**, **REDTT**.

Sterling, Favonia, Angiuli, Cavallo, Niu.

Higher-dimensional parametricity: **relevance**.

Cavallo (cf, Vezossi)

At Cornell, **free choice sequences**, the quintessential Brouwerian concept.

Bickford, Cohen, Constable, Rahli.

(Some of) What I Learned From Matthias

There is a **science of computer programming** grounded in mathematics!

Operational methods are fundamental, both conceptually and pedagogically.

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Programming is a **human activity**: act as if people mattered!

Computation is about people first and foremost.

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There is a **science of computer programming** grounded in mathematics!

Operational methods are fundamental, both conceptually and pedagogically.

Programming is a **human activity**: act as if people mattered!

Computation is about people first and foremost.

Stand up for what you believe in, you just may be right!

(And don't be afraid to be wrong.)

Und wenn er nicht gestorben ist, dann lebt er noch heute!

Matthias is, of course, **right!**

(Except for the part about the types.)

And we were not that far apart after all:

Take computation seriously!

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Thank you, Matthias, for your influence, inspiration, irritation, and friendship.

And **best wishes** for the next half!

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