

18-452/18-750
Wireless Networks and Applications
Lecture 23: RFID and NFC

Peter Steenkiste
CS and ECE, Carnegie Mellon University

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<http://www.cs.cmu.edu/~prs/wirelessS17/>

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Plan, outline

- **RFIDs**
 - » Concept and applications
 - » EPC and backend processing
 - » PHY and MAC
 - » Security
- **Near Field Communication**

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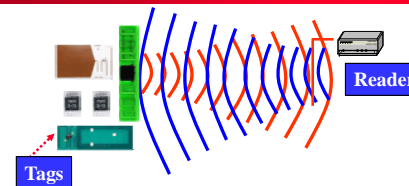
What is RFID ?

- **Radio Frequency Identification (RFID)** is a method of remotely storing and retrieving data using devices called RFID tags and RFID Readers
- **An enabling technology with many applications**
 - » Data can be stored and retrieved from the tag automatically with a Reader
 - » Tags can be read in bulk
 - » Tags can be read without line of sight restrictions
 - » Tags can be write once read many (WORM) or rewritable
 - » Tags can require Reader authentication before exchanging data
 - » Other sensors can be combined with RFID
- **Technology has been around for a long time**
- **Also has critics, e.g. privacy concerns**

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How Does It Work?



How does it operate?

- RFID tags are affixed to objects and stored information may be written and rewritten to an embedded chip in the tag
- Tags can be read remotely when they receive a radio frequency signal from a reader and use the energy to respond
- Can operate over a range of distances
- Readers display tag information or send it over the network to back-end systems

What is RFID?

- A means of identifying a unique object or person using a radio frequency transmission
- Tags (or transponders) store information, that can be retrieved wirelessly in an automated fashion
- Readers (or interrogators), either stationary and hand-held, can read/write information from/to the tags

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Applications

- **Operational Efficiencies**
 - » Shipping and Receiving
 - » Warehouse management
 - » Distribution
 - » Asset management
- **Shrinkage, counterfeit**
 - » Reduce internal theft
 - » Reduce process errors
 - » Avoid defensive merchandizing
 - » Product verification
 - » Origin, transit verification
- **Total Supply Chain Visibility**
 - » Inventory visibility in warehouses
 - » In-transit visibility, asset tracking
 - » Pallet, case level
 - » Item, instance level
- **Security, Regulations**
 - » Total asset tracking
 - » Defense supplies
 - » Container tampering
 - » Animal Tracking

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Automated Identification Technology Suite

Linear Bar Code



2D Symbol
QR Code



OMC
Optical Memory Card



STS

Satellite-Tracking Systems



CMB
Contact Memory Button



Smart Card/CAC



RFID - Active
Radio Frequency ID



RFID - Passive
Radio Frequency ID



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RF ID Types

- **Passive Tags: rely on an external energy source to transmit**
 - » In the form of a reader that transmits energy
 - » Relative short range
 - » Very cheap
- **Active Tags: have a battery to transmit**
 - » Has longer transmission range
 - » Can initiate transmissions and transmit more information
 - » A bit more like a sensor
- **Battery Assisted Passive tags are a hybrid**
 - » Have a battery transmit
 - » But need to be woken up by an external source

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A Bit of History

- **Early technology was developed in the 40s**
 - » Originally used as eaves dropping devices
 - » Used reflected power to transmit (transponder), e.g. the membrane of a microphone
- **First RF IDs were developed in the 70s**
 - » Combines transmission based on reflected energy with information in memory – can now distinguish devices
- **Dramatic growth in last decade as a result of mandates**
 - » Big organizations (DOD, Walmart) requiring the use of RFIDs from their vendors for easy inventory control
- **Now used in increasingly larger set of applications**

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Standards

- **Passive tags operate in the LF, HF, and UHF unlicensed spectrum**
 - 30-300 KHz, 3-30 MHz, 300-3000 MHz
 - Distance drop with frequency
- **Transmission consists of a bit stream and CRC**
- **Many standards exist, mostly incompatible**
 - » Early standards mostly defined by the ISO
 - » Widely used standard: ISO/IEC14443
- **In 2003 EPCGlobal was formed to promote RFID standards**
 - » Defined a standard for the Electronic Product Code (EPC)
 - » Also defined standards for coding and modulation

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Primary Application Types

Identification and Localization

- **Readers monitoring entering and exiting a closed region**
 - » security (RFID in identification cards)
 - » automatic ticketing (NFC on mobile phone)
- **Readers tracking an RFID-tagged object**
 - » business process monitoring (RFID tags on pallets)
- **Tags marking a spatial location**
 - » an NFC enabled mobile phone passes tags in the infrastructure whose location is known

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Example: Smart Card

Public transport system in Singapore

- **FeliCa Smart Card**
- **2001 – 2009**
- **faster boarding times**
- **Other uses**
 - small payments retail
 - identification
- **Replaced by contactless card (RFID)**



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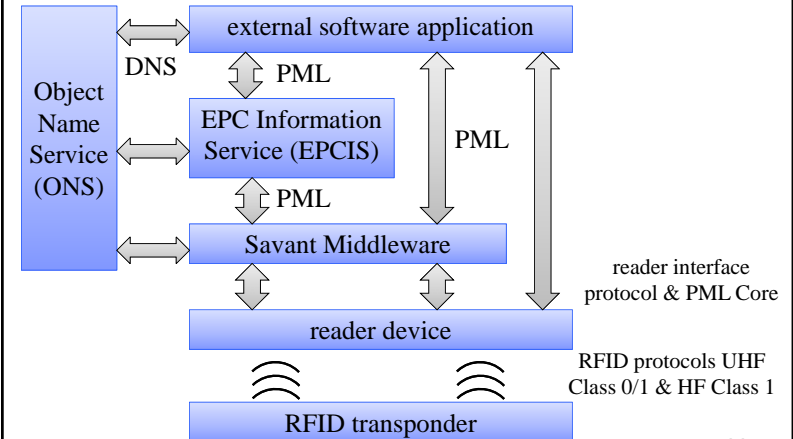
Electronic Product Code (EPC)

- **"A Universal identifier for physical objects"**
 - » EPC is designed to be unique across all physical objects in the world, over all time, and across all categories of physical objects.
 - » It is expressly intended for use by business applications that need to track all categories of physical objects, whatever they may be.
 - » urn:epc:id:sgtin:0614141.012345.6285210cc Syringe #62852 (trade item)
- **Combine**
 - » EPC data located on the RFID tag
 - » reader's middleware
 - » locate EPC Information Services (EPCIS), using Web Services like SOAP and WSDL

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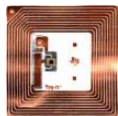
EPC Network Concept (2001)



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What information does an RFID tag contain?



Gen 2 tags have four memory banks

Bank 0	Bank 1	Bank 2	Bank 3
Reserved Memory •32-bit Kill Password •32-bit Access Password (64 bits)	EPC Memory •16-bit CRC •16-bit Protocol Control •96-bit EPC (128 bits)	Tag Identification Memory * •8-bit Class Identifier •12-bit Tag Designer •12-bit Tag Model Number •32-bit Serial Number (optional) (0, 32, or 64 bits)	User Memory * •User-defined format (0 or more bits)

The CBP "GDTI-96" bit uniquely identifies a tag.

A 64-bit TID memory bank contains a tag serial number that uniquely identifies a tag.

* TID and User Memory banks are not initialized on some Gen 2 tags

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Passive RFID Tags

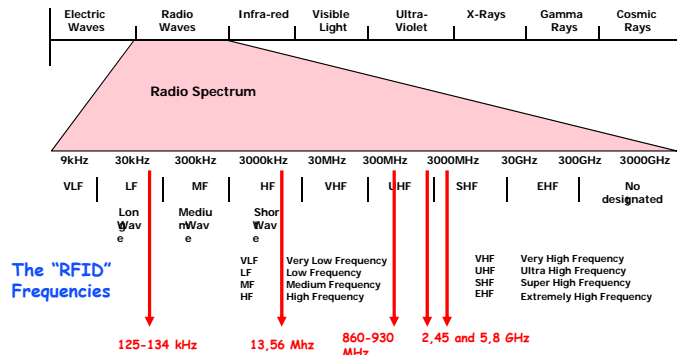
- **Power supply**
 - » passive: no on-board power source, transmission power from signal of the interrogating reader
 - » semi-passive: batteries power the circuitry during interrogation
 - » active: batteries power transmissions (can initiate communication, ranges of 100m and more, 20\$ or more)
- **Frequencies**
 - » low frequency (LF): 124kHz – 135 kHz, read range ~50cm
 - » high frequency (HF): 13.56 MHz, read range ~1m
 - » ultra high-frequency (UHF): 860 MHz – 960 MHz (some also in 2.45GHz), range > 10m

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Frequency Bands Passive RFID Tags

Electromagnetic Spectrum



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Standards

- **ISO 18000: multipart standard for protocols in LF, HF, and UHF bands**
- **For example, HF:**
 - » ISO 14443 (A and B) for "proximity" RFID
 - » ISO 15693 for "vicinity" RFID (basis for ISO 18000 part 3)
- **Two classes:**
 - » Class 0: read only
 - » Class 1: read/write, can for example be used for tracking

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Transmission methods

- **LF and HF: inductive coupling**
 - » coil in the reader antenna and a coil in the tag antenna form an electromagnetic field
 - » tag changes the electric load on the antenna.
- **UHF: propagation coupling: backscatter**
 - » tag gathers energy from the reader antenna
 - » microchip uses the energy to change the load on the antenna and reflect back an altered signal
 - » Different modulations used by reader and tag

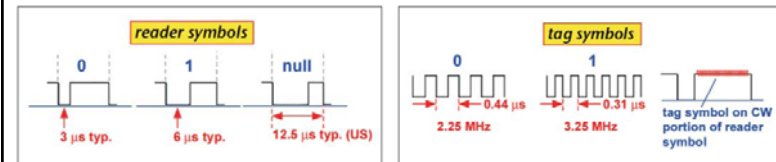
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From: http://www.highfrequencyelectronics.com/Archives/Aug05/HFE0805_RFIDTutorial.pdf

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PHY Layer

- **Depends on the frequency band used**
- **Different modulations used by reader and tag**
 - » Different constraints, e.g. power and complexity
 - » E.g. cannot use amplitude modulation for HF tag (why?)
- **Example of EPCGlobal symbols for UHF**



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From: http://www.highfrequencyelectronics.com/Archives/Aug05/HFE0805_RFIDTutorial.pdf

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Privacy Concerns

- **Tracking**
 - » Depends only on unique id (even if random)
 - » Today:
 - automated toll-payment transponders
 - loyalty cards
 - » Future: pervasive availability of readers
- **Inventorying**
 - » Invisible items become visible
 - » Libraries
 - » Passports
 - » Human implants: VeriChip
 - Medical record indexing
 - Physical access control

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Privacy for Business Networks

- **Major concern for industry:**
 - » Supply chain visibility
 - » Supply chains and business networks are business assets
- **Example provenance checking: competitors may be able to get a lot of information**
 - » Depending on how detailed the information associated is:
 - Where an object and its parts were manufactured
 - When it was manufactured
 - By which sub-contractors
 - » Who are the suppliers of a company
 - » Which companies are the customers of a company

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Reading Ranges

- **Controlling reading range can limit privacy risk**
- **Nominal read range (RFID standards and product specifications):**
 - » 10cm for contactless smartcards (ISO 14443)
- **Rogue scanning range: sensitive reader with more powerful antenna or antenna array**
 - » 50cm
- **Tag-to-reader eavesdropping range: need to power the tag limits range for passive RFIDs**
 - » Eavesdropping on communication while another reader is powering the smartcard: > 50cm
- **Reader-to-tag eavesdropping: readers transmit at much higher power**

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Use for Authentication

- **RFID tags uniquely identify objects**
- **Many proposals to use tags for authentication**
 - » Passport or driver's licence
 - » Identification of stolen goods
- **Counterfeiting attack**
 - » Scanning and replicating tags
- **Possible options**
 - » EPC:
 - Simple bitstring
 - No access-control
 - » VeriSign:
 - Digital signing
 - Against forging but not cloning

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Near Field Communication (NFC)

- One device combines the functionality of
 - » An RFID reader device
 - » An RFID transponder (tag)
 - » Bit rates ranging from 106 Kbs to 424 Kbs
- Integral part of mobile devices (e.g. mobile phones) NFC components can be accessed by software to
- Operates at 13.56 MHz (High frequency band) and is compatible to international standards:
 - » ISO/IEC 18092 (also referred to as NFCIP-1),
 - » ISO/IEC 14443 (smart card technology, "proximity coupling devices",
 - » ISO/IEC 15693 ("vicinity coupling devices").
- Use of NFC is growing fast
 - » Driven by NFC Forum (founded by Nokia, Philips, and Sony in 2004)
 - » <http://www.nfcworld.com/nfc-phones-list/#available>



NFC Devices

Modes of operation

• Smart Card emulation (ISO 14443):

- » Phone can act as a contactless credit card
- » Information can be generated rather than pre-stored

• Reader mode

- » Allows NFC devices to access data from an object with an embedded RFID tag
- » Enables the user to initiate data services, i.e., retrieval of rich content, advertisements, ..

• Peer-to-peer (ISO 18092)

- » Allows two way communication between NFC devices
- » NFC can act as smart tag, i.e., generates information

Example: contactless payment applications
Sony FeliCa, Asia
MIFARE, Europe
Google Wallet



(c) Google

Active and Passive Communication Modes

- **Passive communication: one device acts as a reader and the other as a tag**
 - » Reader generates a field while the other responds
 - » The second device can be a tag or another NFC device
- **Active communication: both devices alternatively act as readers**
 - » Allows fairly general two way communication
 - » Both devices must have a battery
- **Since NFC devices can read and write, they must check for collisions**
 - » Compare received signal with transmitted signal

Comparison: Main Applications

RFID

- Retail
- Logistics
- Supply chain management
 - » accurate inventories
 - » product safety and quality

NFC

- Mobile payment
- Mobile ticketing
- Pairing of devices (esp. Bluetooth devices)
- Download of information from "smart posters"