

18-452/18-750  
Wireless Networks and Applications  
Lecture 23: RFID and NFC

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<http://www.cs.cmu.edu/~prs/wirelessS17/>

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## Plan, outline

- RFIDs
  - » Concept and applications
  - » EPC and backend processing
  - » PHY and MAC
  - » Security
- Near Field Communication

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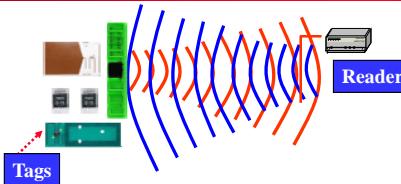
## What is RFID ?

- Radio Frequency IDentification (RFID) is a method of remotely storing and retrieving data using devices called RFID tags and RFID Readers
- An enabling technology with many applications
  - » Data can be stored and retrieved from the tag automatically with a Reader
  - » Tags can be read in bulk
  - » Tags can be read without line of sight restrictions
  - » Tags can be write once read many (WORM) or rewritable
  - » Tags can require Reader authentication before exchanging data
  - » Other sensors can be combined with RFID
- Technology has been around for a long time
- Also has critics, e.g. privacy concerns

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## How Does It Work?



### How does it operate?

- RFID tags are affixed to objects and stored information may be written and rewritten to an embedded chip in the tag
- Tags can be read remotely when they receive a radio frequency signal from a reader and use the energy to respond
- Can operate over a range of distances
- Readers display tag information or send it over the network to back-end systems

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### What is RFID?

- A means of identifying a unique object or person using a radio frequency transmission
- Tags (or transponders) store information, that can be retrieved wirelessly in an automated fashion
- Readers (or interrogators), either stationary and hand-held, can read/write information from/to the tags

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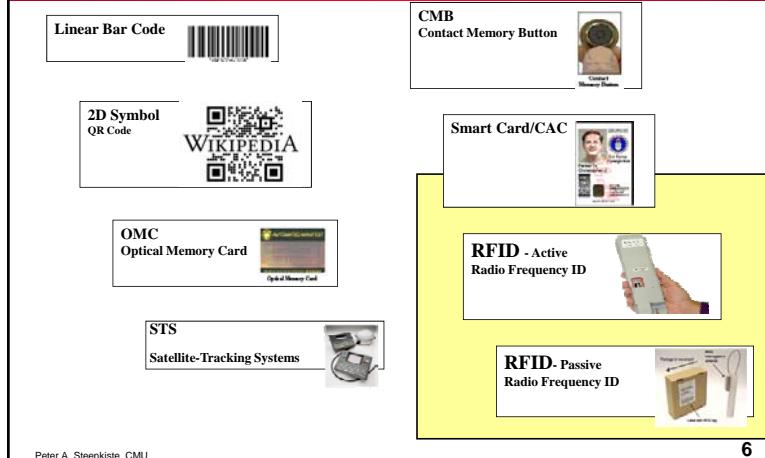
## Applications

- Operational Efficiencies
  - » Shipping and Receiving
  - » Warehouse management
  - » Distribution
  - » Asset management
- Total Supply Chain Visibility
  - » Inventory visibility in warehouses
  - » In-transit visibility, asset tracking
  - » Pallet, case level
  - » Item, instance level
- Shrinkage, counterfeit
  - » Reduce internal theft
  - » Reduce process errors
  - » Avoid defensive merchandizing
  - » Product verification
  - » Origin, transit verification
- Security, Regulations
  - » Total asset tracking
  - » Defense supplies
  - » Container tampering
  - » Animal Tracking

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## Automated Identification Technology Suite



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## RF ID Types

- Passive Tags: rely on an external energy source to transmit
  - » In the form of a reader that transmits energy
  - » Relative short range
  - » Very cheap
- Active Tags: have a battery to transmit
  - » Has longer transmission range
  - » Can initiate transmissions and transmit more information
  - » A bit more like a sensor
- Battery Assisted Passive tags are a hybrid
  - » Have a battery transmit
  - » But need to be woken up by an external source

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## A Bit of History

- Early technology was developed in the 40s
  - » Originally used as eaves dropping devices
  - » Used reflected power to transmit (transponder), e.g. the membrane of a microphone
- First RF IDs were developed in the 70s
  - » Combines transmission based on reflected energy with information in memory – can now distinguish devices
- Dramatic growth in last decade as a result of mandates
  - » Big organizations (DOD, Walmart) requiring the use of RFIDs from their vendors for easy inventory control
- Now used in increasingly larger set of applications

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## Standards

- Passive tags operate in the LF, HF, and UHF unlicensed spectrum
  - 30-300 KHz, 3-30 MHz, 300-3000 MHz
  - Distance drop with frequency
- Transmission consists of a bit stream and CRC
- Many standards exist, mostly incompatible
  - » Early standards mostly defined by the ISO
  - » Widely used standard: ISO/IEC14443
- In 2003 EPCGlobal was formed to promote RFID standards
  - » Defined a standard for the Electronic Product Code (EPC)
  - » Also defined standards for coding and modulation

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## Primary Application Types

### Identification and Localization

- Readers monitoring entering and exiting a closed region
  - » security (RFID in identification cards)
  - » automatic ticketing (NFC on mobile phone)
- Readers tracking an RFID-tagged object
  - » business process monitoring (RFID tags on pallets)
- Tags marking a spatial location
  - » an NFC enabled mobile phone passes tags in the infrastructure whose location is known

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## Example: Smart Card

### Public transport system in Singapore

- FeliCa Smart Card
- 2001 – 2009
- faster boarding times
- Other uses
  - small payments retail
  - identification
- Replaced by contactless card (RFID)



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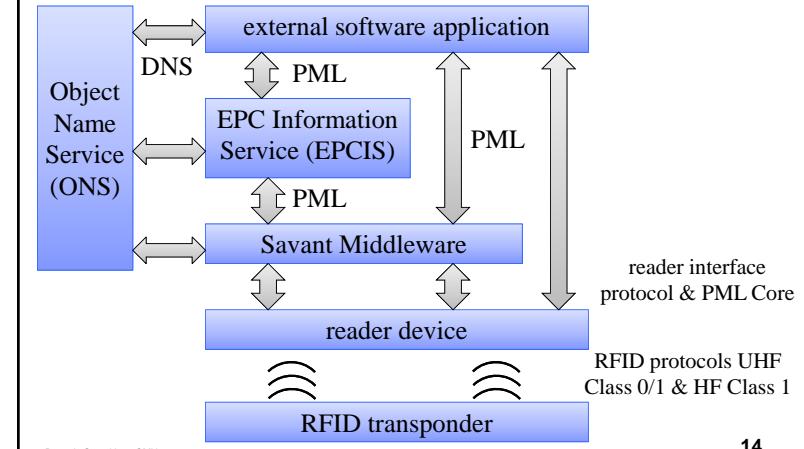
## Electronic Product Code (EPC)

- "A Universal identifier for physical objects"
  - » EPC is designed to be unique across all physical objects in the world, over all time, and across all categories of physical objects.
  - » It is expressly intended for use by business applications that need to track all categories of physical objects, whatever they may be.
  - » urn:epc:id:sgtin:0614141.012345.6285210cc Syringe #62852 (trade item)
- Combine
  - » EPC data located on the RFID tag
  - » reader's middleware
  - » locate EPC Information Services (EPCIS), using Web Services like SOAP and WSDL

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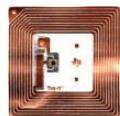
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## EPC Network Concept (2001)

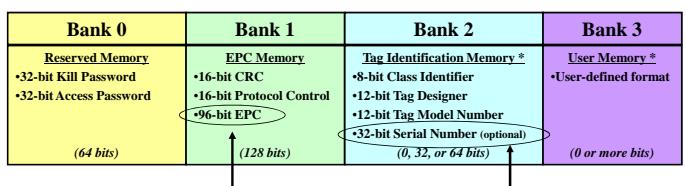


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## What information does an RFID tag contain?



Gen 2 tags have four memory banks



\* TID and User Memory banks are not initialized on some Gen 2 tags

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## Passive RFID Tags

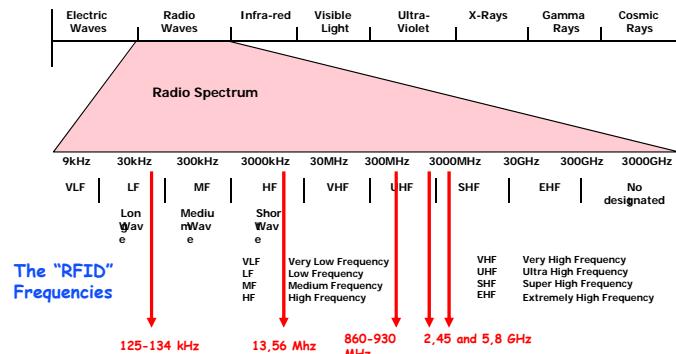
- Power supply
  - » passive: no on-board power source, transmission power from signal of the interrogating reader
  - » semi-passive: batteries power the circuitry during interrogation
  - » active: batteries power transmissions (can initiate communication, ranges of 100m and more, 20\$ or more)
- Frequencies
  - » low frequency (LF): 124kHz – 135 kHz, read range ~50cm
  - » high frequency (HF): 13.56 MHz, read range ~1m
  - » ultra high-frequency (UHF): 860 MHz – 960 MHz (some also in 2.45GHz), range > 10m

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## Frequency Bands Passive RFID Tags

### Electromagnetic Spectrum



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## Standards

- ISO 18000: multipart standard for protocols in LF, HF, and UHF bands
- For example, HF:
  - » ISO 14443 (A and B) for "proximity" RFID
  - » ISO 15693 for "vicinity" RFID (basis for ISO 18000 part 3)
- Two classes:
  - » Class 0: read only
  - » Class 1: read/write, can for example be used for tracking

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## Transmission methods

- **LF and HF: inductive coupling**
  - » coil in the reader antenna and a coil in the tag antenna form an electromagnetic field
  - » tag changes the electric load on the antenna.
- **UHF: propagation coupling: backscatter**
  - » tag gathers energy from the reader antenna
  - » microchip uses the energy to change the load on the antenna and reflect back an altered signal
  - » Different modulations used by reader and tag

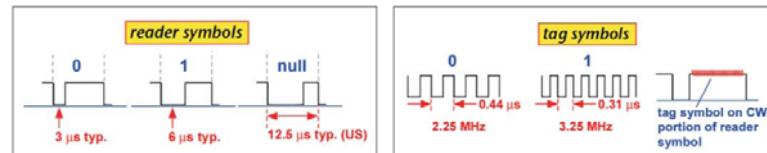
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From: [http://www.highfrequencyelectronics.com/Archives/Aug05/HFE0805\\_RFIDTutorial.pdf](http://www.highfrequencyelectronics.com/Archives/Aug05/HFE0805_RFIDTutorial.pdf)

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## PHY Layer

- Depends on the frequency band used
- Different modulations used by reader and tag
  - » Different constraints, e.g. power and complexity
  - » E.g. cannot use amplitude modulation for HF tag (why?)
- Example of EPCGlobal symbols for UHF

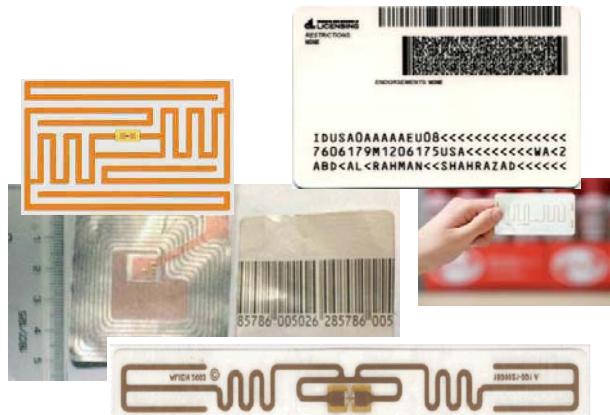


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## What does an RFID tag look like inside a card?



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## MAC Layer

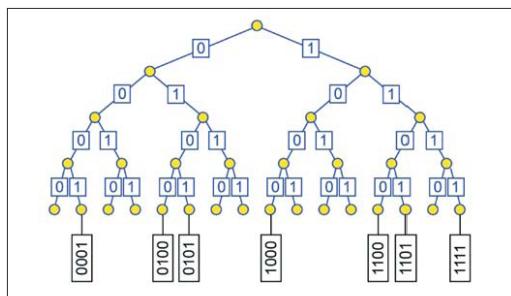
- Typically assumed that only one reader is present, i.e. no need for MAC on the reader
- MAC for tags is a challenge: very high concentrations of tags are present in many contexts
  - » And tags are dumb, i.e. cannot have sophisticated protocols
- Two types of schemes used (standard):
  - » Binary tree resolution: reader explores a tree of relevant tag values
  - » Aloha: tags transmit with a random backoff

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## Binary Tree Resolution

- Send requests to tags with ids that start with a certain string
- Narrow down search until one tag responds



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## General Security Concerns

- RFID tags raise a number of security concerns:
  - » Privacy risks, e.g., eavesdropping
  - » Cloning and forging of tags
- Specific disadvantages due to tag limitations
  - » Encryption algorithms are too complex to be implemented on tags
- But also specific advantages:
  - » Tags are slow to respond, maximum no. of read-out operations
  - » Adversary has to be physically close

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## Privacy Concerns

- **Tracking**
  - » Depends only on unique id (even if random)
  - » **Today:**
    - automated toll-payment transponders
    - loyalty cards
  - » **Future: pervasive availability of readers**
- **Inventorying**
  - » Invisible items become visible
  - » Libraries
  - » Passports
  - » Human implants: VeriChip
    - Medical record indexing
    - Physical access control

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## Privacy for Business Networks

- **Major concern for industry:**
  - » Supply chain visibility
  - » Supply chains and business networks are business assets
- **Example provenance checking: competitors may be able to get a lot of information**
  - » Depending on how detailed the information associated is:
    - Where an object and its parts were manufactured
    - When it was manufactured
    - By which sub-contractors
  - » Who are the suppliers of a company
  - » Which companies are the customers of a company

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## Reading Ranges

- Controlling reading range can limit privacy risk
- Nominal read range (RFID standards and product specifications):
  - » 10cm for contactless smartcards (ISO 14443)
- Rogue scanning range: sensitive reader with more powerful antenna or antenna array
  - » 50cm
- Tag-to-reader eavesdropping range: need to power the tag limits range for passive RFIDs
  - » Eavesdropping on communication while another reader is powering the smartcard: > 50cm
- Reader-to-tag eavesdropping: readers transmit at much higher power

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## Use for Authentication

- RFID tags uniquely identify objects
- Many proposals to use tags for authentication
  - » Passport or driver's licence
  - » Identification of stolen goods
- Counterfeiting attack
  - » Scanning and replicating tags
- Possible options
  - » EPC:
    - Simple bitstring
    - No access-control
  - » VeriSign:
    - Digital signing
    - Against forging but not cloning

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## Near Field Communication (NFC)

- One device combines the functionality of
  - » An RFID reader device
  - » An RFID transponder (tag)
  - » Bit rates ranging from 106 Kbs to 424 Kbs
- Integral part of mobile devices (e.g. mobile phones). NFC components can be accessed by software to
- Operates at 13.56 MHz (High frequency band) and is compatible to international standards:
  - » ISO/IEC 18092 (also referred to as NFCIP-1),
  - » ISO/IEC 14443 (smart card technology, "proximity coupling devices")
  - » ISO/IEC 15693 ("vicinity coupling devices").
- Use of NFC is growing fast
  - » Driven by NFC Forum (founded by Nokia, Philips, and Sony in 2004)
  - » <http://www.nfcworld.com/nfc-phones-list/#available>



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## NFC Devices

### Modes of operation

- Smart Card emulation (ISO 14443):
  - » Phone can act as a contactless credit card
  - » Information can be generated rather than pre-stored
- Reader mode
  - » Allows NFC devices to access data from an object with an embedded RFID tag
  - » Enables the user to initiate data services, i.e., retrieval of rich content, advertisements, ..
- Peer-to-peer (ISO 18092)
  - » Allows two way communication between NFC devices
  - » NFC can act as smart tag, i.e., generates information

Example: contactless payment applications  
Sony FeliCa, Asia  
MIFARE, Europe  
Google Wallet



(c) Google

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## Active and Passive Communication Modes

- Passive communication: one device acts as a reader and the other as a tag
  - » Reader generates a field while the other responds
  - » The second device can be a tag or another NFC device
- Active communication: both devices alternatively act as readers
  - » Allows fairly general two way communication
  - » Both devices must have a battery
- Since NFC devices can read and write, they must check for collisions
  - » Compare received signal with transmitted signal

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## Comparison: Main Applications

### RFID

- Retail
- Logistics
- Supply chain management
  - » accurate inventories
  - » product safety and quality

### NFC

- Mobile payment
- Mobile ticketing
- Pairing of devices (esp. Bluetooth devices)
- Download of information from "smart posters"