

18-452/18-750  
**Wireless Networks and Applications**  
**Lecture 14: MIMO and**  
**WiFi Deployments**

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Fall Semester 2018

<http://www.cs.cmu.edu/~prs/wirelessF18/>

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## Outline

- **MIMO and recent WiFi versions**
  - » Refresher: spatial diversity
  - » MIMO basics
  - » Single user MIMO: 802.11n
  - » Multi-user MIMO: 802.11ac
  - » Millimeter wave: 802.11ad
- **WiFi deployments**
  - » Planning
  - » Channel selection
  - » Rate adaptation

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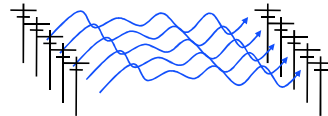
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## How Do We Increase Throughput in Wireless?

- **Wired world:**  
**Pull more wires!**



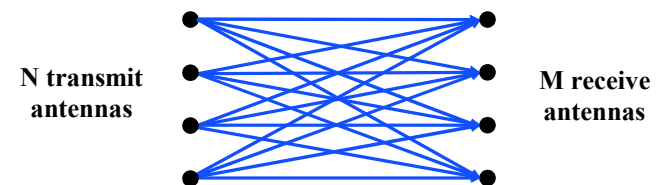
- **Wireless world:**  
**How about if we could do the same thing and simply use more antennas?**



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## MIMO Multiple In Multiple Out



- **N x M subchannels that can be used to send multiple data streams simultaneously**
- **Fading on channels is largely independent**
  - » Assuming antennas are separate  $\frac{1}{2}$  wavelength or more
- **Combines ideas from spatial and time diversity, e.g. 1 x N and N x 1**
- **Very effective if there is no direct line of sight**
  - » Subchannels become more independent

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## Why So Exciting?

Method	Capacity
SISO	$B \log_2(1 + \rho)$
Diversity (1xN or Nx1)	$B \log_2(1 + \rho N)$
Diversity (NxN)	$B \log_2(1 + \rho N^2)$
Multiplexing	$NB \log_2(1 + \rho)$

802.11 with multiple antennas for dummies, Daniel Halperin, Wenjun Hu, Anmol Sheth, David Wetherall, ACM CCR, Jan 2010

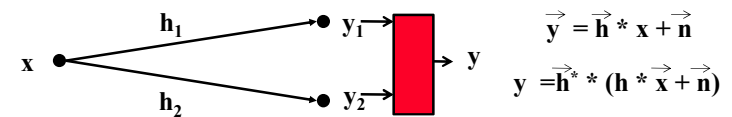
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## Spatial Diversity

- Use multiple antennas that pick up the signal in slightly different locations
  - Channels uncorrelated with sufficient antenna separation

- Receiver diversity:  $\vec{y} = \vec{h} * \vec{x} + \vec{n}$



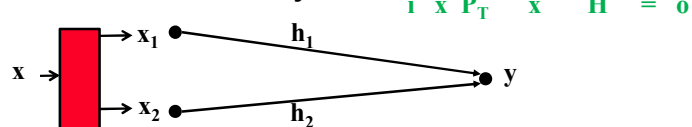
- Receiver can pick strongest signal:  $y_1$  or  $y_2$
- Or combines the signals: multiply  $y$  with the complex conjugate  $\vec{h}^*$  of the channel vector  $\vec{h}$ 
  - Can learn  $\vec{h}$  based on training data (Lecture 5)

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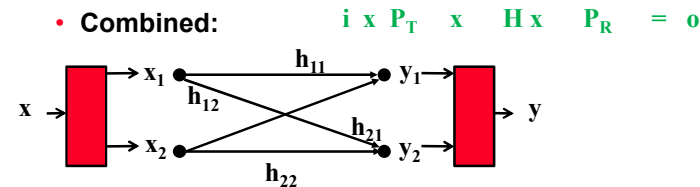
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## Other Diversity Options

- Transmit diversity:



- Combined:

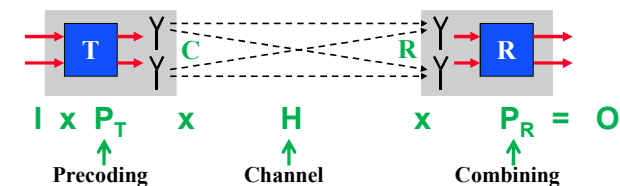


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## MIMO How Does it Work?

- Transmit and receive multiple data streams
- Coordinate the processing at the transmitter and receiver to overcome channel impairments
  - Maximize throughput or minimize interference



- Combines previous techniques

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## Direct-Mapped NxM MIMO Only Receiver Processing ( $P_T=I$ )

Effect of transmission

$$\vec{R} = \overset{M}{H} * \overset{M \times N}{\vec{C}} + \overset{N}{\vec{N}} \quad \overset{M}{\vec{R}}$$

Decoding

$$\vec{O} = \underset{D}{P_R} * \underset{D \times M}{\vec{R}} \quad \underset{M}{\vec{C}} = \underset{N}{\vec{I}}$$

Results

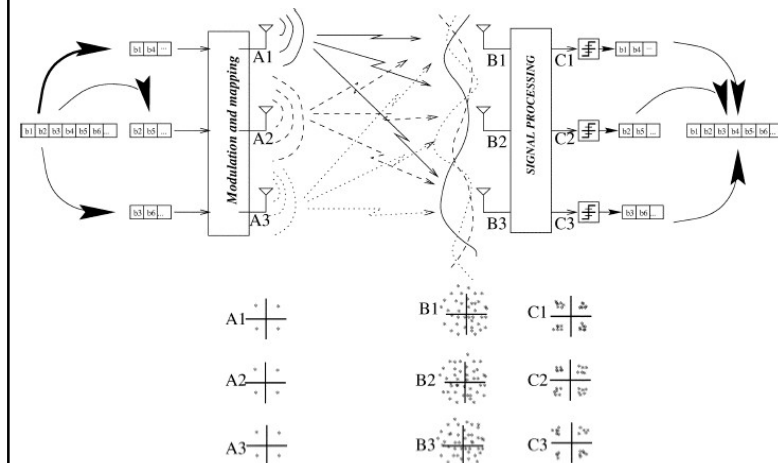
$$\vec{O} = P_R * H * \vec{I} + P_R * \vec{N}$$

- How do we pick  $P_R$ ? “Inverse” of  $H$ :  $H^{-1}$ 
  - » Equivalent of nulling the interfering signals (zero forcing)
  - » Only possible if the paths are completely independent
- Noise amplification is a concern if  $H$  is non-invertible – its determinant will be small

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## An Example of Space Coding



## Precoded NxM MIMO

Effect of transmission

$$\vec{R} = \overset{M}{H} * \overset{M \times N}{\vec{C}} + \overset{N}{\vec{N}} \quad \overset{M}{\vec{R}}$$

Coding/decoding

$$\vec{O} = \underset{D}{P_R} * \underset{D \times M}{\vec{R}} \quad \underset{M}{\vec{C}} = \underset{N}{P_T} * \underset{D}{\vec{I}}$$

Results

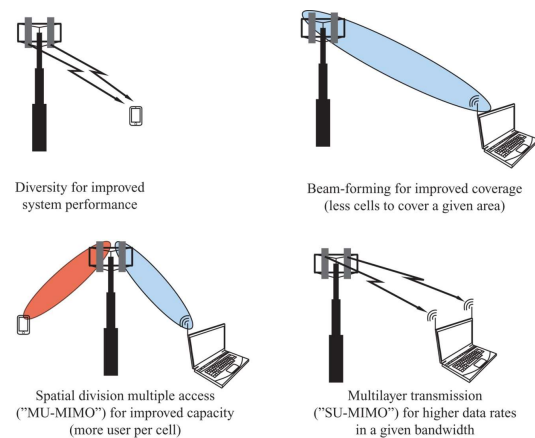
$$\vec{O} = P_R * H * P_T * \vec{I} + P_R * \vec{N}$$

- How do we pick  $P_R$  and  $P_T$ ?
- Singular value decomposition of  $H = U * S * V$ 
  - »  $U$  and  $V$  are unitary matrices –  $U^H * U = V^H * V = I$
  - »  $S$  is diagonal matrix

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## Mechanisms Supported by MIMO



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## MIMO Discussion

- **Need channel matrix H: use training with known signal**
- **So far we have ignored multi-path**
  - » Each channel is multiple paths with different properties
  - » Becomes even messier!
- **MIMO is used in 802.11n**
  - » Can use two adjacent non-overlapping “WiFi channels”
  - » Raises lots of compatibility issues
  - » Potential throughputs of 100s of Mbps
- **Focus is on maximizing throughput between two nodes**
  - » Is this always the right goal?

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## 802.11n Overview

- **802.11n extends 802.11 for MIMO**
  - » Supports up to 4x4 MIMO
  - » Preamble that includes high throughput training field
- **Standardization was completed in Oct 2009, but, early products had long been available**
  - » WiFi alliance started certification based on the draft standard in mid-2007
- **Supported in both the 2.4 and 5 GHz bands**
  - » Goal: typical indoor rates of 100-200 Mbps; max 600 Mbps
- **Use either 1 or 2 non-overlapping channels**
  - » Uses either 20 or 40 MHz
  - » 40 MHz can create interoperability problems
- **Supports frame aggregation to amortize overheads over multiple frames**
  - » Optimized version of 802.11e

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## 802.11n Backwards Compatibility

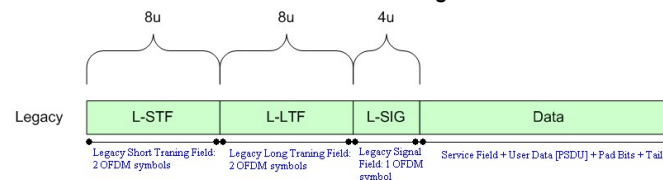
- **802.11n can create interoperability problems for existing 802.11 devices (abg)**
  - » 802.11n does not sense their presence
  - » Legacy devices end up deferring and dropping in rate
- **Mixes Mode Format protection embeds an n frame in a g or a frame**
  - » Preamble is structured so legacy systems can decode header, but MIMO can achieve higher speed (training, cod/mod info)
  - » Works only for 20 MHz 802.11n use
  - » Only deals with interoperability with a and g – still need CTS protection for b
- **For 40 MHz 802.11n, we need CTS protection on both the 20 MHz channels – similar to g vs. b**
  - » Can also use RTS/CTS (at legacy rates)
  - » Amortize over multiple transmissions

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## Interoperability Uses PLC in Three Modes

- **Legacy mode: use 802.11a/g OFDM format**
  - » The L-SIG field contains rate and length information



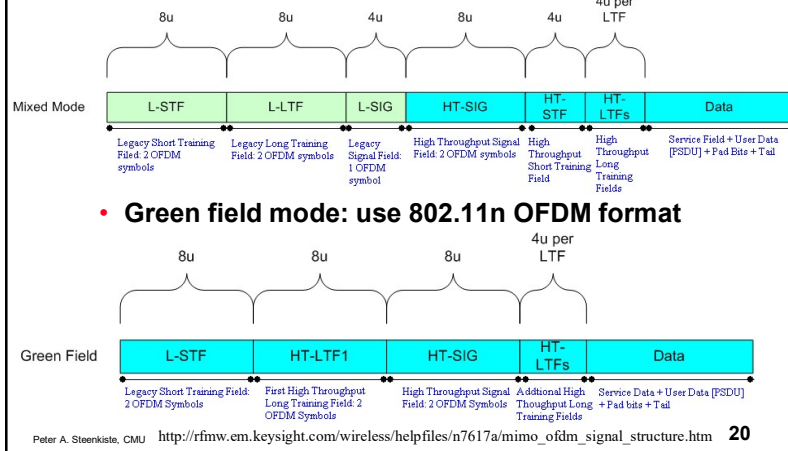
- **Mixed mode:**
  - » Include both an 802.11a/g and 802.11n PLC
  - » See next slide for figure
  - » 802.11n devices can interpret green field, which includes the L-SIG field (rate and length information)

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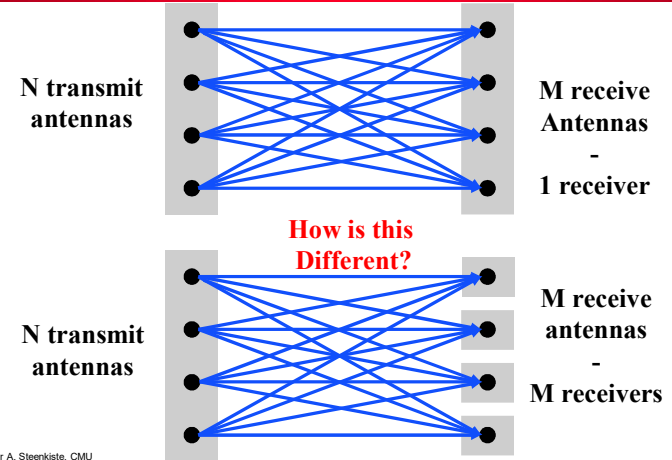
[http://rfmw.em.keysight.com/wireless/helpfiles/n7617a/mimo\\_ofdm\\_signal\\_structure.htm](http://rfmw.em.keysight.com/wireless/helpfiles/n7617a/mimo_ofdm_signal_structure.htm)

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## Interoperability: High Throughput (HT) Modes

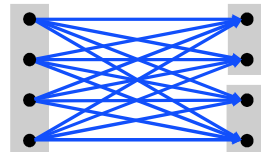


## MIMO in a Network Context



## Multi-User MIMO Discussion

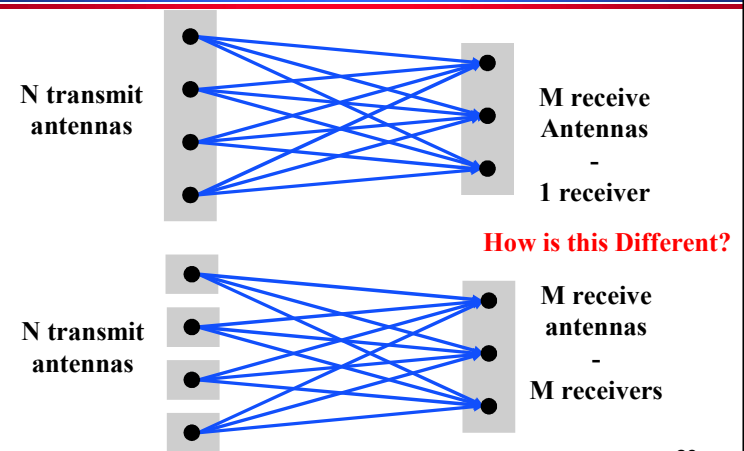
- **Math is similar to MIMO, except for the receiver processing ( $P_R$ )**
  - » Receivers do not have access to the signals received by antennas on other nodes
  - » Cannot cancel interference – limits ability to extract useful data
  - » Can only do transmit-side preprocessing
- **MU-MIMO versus MIMO is really a tradeoff between TDMA and use of space diversity**
  - » MIMO: send packets to two destinations sequentially and efficiently
  - » MU-MIMO: send packet to destination simultaneously, but interference cancelation is limited



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## How about This?



## Multi-User MIMO Up versus Down Link

- Assume one AP with multiple clients
- Downlink: Broadcast Channel (BC)
  - » Base station transmit separate data streams to multiple independent users
  - » Easier to do: close to the traditional CSMA-CA model of having each client receive a packet from the base station independently
- Uplink: Multiple Access Channel (MAC)
  - » Multiple clients transmit simultaneously to a single base station
  - » Requires fine grain clock coordination among clients on packet transmission – hard problem!
  - » Not a good for the traditional CSMA-CA protocol

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## 802.11ac Multi-user MIMO

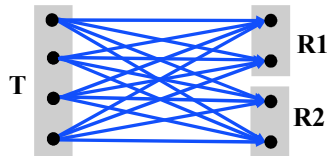
- Extends beyond 802.11n
  - » MIMO: up to 8 x 8 channels (vs. 4 x 4)
  - » More bandwidth: up to 160 MHz by bonding up to 8 channels (vs. 40 MHz)
  - » More aggressive signal coding: up to 256 QAM (vs. 64 QAM); both use 5/6 coding rate (data vs. total bits)
  - » Uses RTS-CTS for clear channel assessment
  - » Multi-gigabit rates (depends on configuration)
- Support for multi-user MIMO on the downlink
  - » Can support different frames to multiple clients at the same time
  - » Especially useful for smaller devices, e.g., smartphones
  - » Besides beam forming to target signal to device, requires also nulling to limit interference

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## Challenges in 802.11ac

- You must have traffic for multiple receivers!
- Channels to the receivers be “orthogonal”



$$R1: O_1 = P_{R1} * H_1 * P_T * I + P_{R1} * N$$

$$R2: O_2 = P_{R2} * H_2 * P_T * I + P_{R2} * N$$

- » The signal that you create with the packet for one destination should have a “null” for the other destination(s)
- » Important since the other receivers cannot cancel out that signal
- Becomes a scheduling problem: for each “packet” transmission, identify the destinations that have traffic waiting and that are “the most” orthogonal

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## 802.11ad 60 GHz WiFi

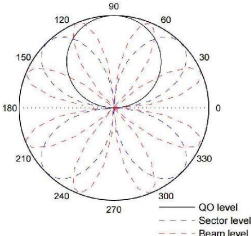
- Uses a new physical layer definition specifically for 60 GHz band
  - » Very different signal propagation properties
  - » Does not penetrate walls, but does work with reflections
  - » Shorter distances; up to 7 Gbps
  - » 6 channels of 2.16 GHz
- Compatible with 802.11 in 2.4 / 5 GHz bands
  - » Backwards compatible MAC
  - » E.g., mobile devices can switch between bands
- Has been used for point-point links for a while
  - » APs now available
  - » Combined with other 802.11 versions

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## Optimizing Communication in 802.11ad

- **Transmission range in 60 GHz is limited**
- **Must use directional antennas to direct energy to the receiver**
  - » Increases range and throughput (high signal strength)
  - » Also reduces interference at other nodes!
- **Good news: antenna size scales with wave length**
  - » Small antennas and narrow beams
- **Bad news: how do nodes find each other?**
  - » Use iterative algorithm, starting with wider beams



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## Outline

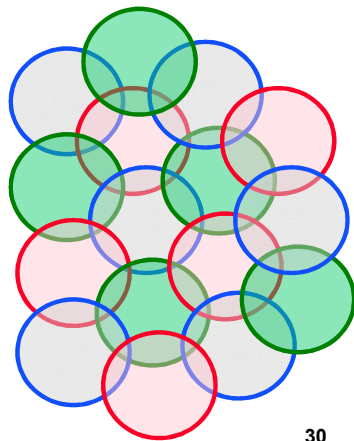
- **MIMO and recent WiFi versions**
  - » Refresher: spatial diversity
  - » MIMO basics
  - » Single user MIMO: 802.11n
  - » Multi-user MIMO: 802.11ac
  - » Millimeter wave: 802.11ad
- **WiFi deployments**
  - » Planning
  - » Channel selection
  - » Rate adaptation

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## Infrastructure Deployments Frequency Reuse in Space

- **Set of cooperating cells with a base stations must cover a large area**
- **Cells that reuse frequencies should be as distant as possible to minimize interference and maximize capacity**
  - » Hidden and exposed terminals are also a concern

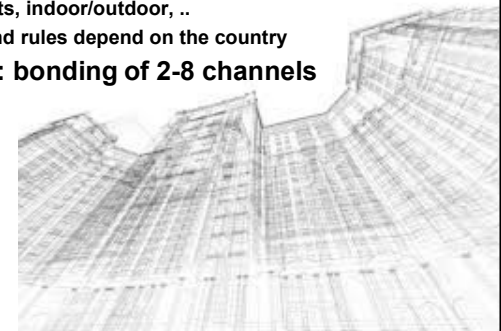


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## Frequencies are Precious

- **2.4 GHz: 3 non-overlapping channels**
  - » Plus lots of competition: microwaves and other devices
- **5 GHz: 20+ channels, but with constraints**
  - » Power constraints, indoor/outdoor, ..
  - » Exact number and rules depend on the country
- **802.11n and ac: bonding of 2-8 channels**
- **And the world is not flat!**



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## Frequency Planning

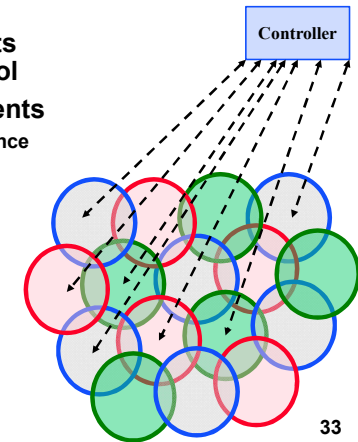
- **Campus-style WiFi deployments are very carefully planned:**
- **A lot of measurements to determine where to place the AP**
  - » What is the coverage area?
  - » What set of APs has good coverage with few “dead spots”
  - » What level of interference can we expect between cells
  - » What traffic loads can we expect, e.g., auditorium vs office
- **Frequencies are very carefully assigned**
  - » Can use the above measurements
- **Must periodically re-evaluate infrastructure**
  - » Furniture is moved, remodeling, ...

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## Centralized Control

- **Many WiFi deployments have centralized control**
- **APs report measurements**
  - » Signal strengths, interference from other cells, load, ...
- **Controller makes adjustments**
  - » Changes frequency bands
  - » Adjusts power
  - » Redistributes load
  - » Can switch APs on/off
  - » Very sophisticated!



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## Monitoring the Spectrum

- **FCC (in the US) controls spectrum use**
  - » Rules for unlicensed spectrum, licenses for other spectrum, what technologies can be used
- **... but there is an special clause for campuses**
  - » They have significant control over unlicensed spectrum use on the campus
  - » They can even use some “licensed” spectrum if it does not interfere with the license holder
- **Network management carefully monitors spectrum use to make sure it is used well**
  - » Shut down rogue APs – interference, security
  - » Non-approved equipment - interference
  - » Discourages outdated standards - inefficient

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## How about Small Networks?

- **Most WiFi networks are small and (largely) unmanaged**
  - » Home networks, hotspots, ...
- **Traditional solution: user-chosen frequency of their AP or a factory set default**
  - » How well does that work?
- **Today, APs pick a channel automatically in a smart way**
  - » Monitors how busy channels are or how strong the signals are and then picks the best channel
  - » Can periodically check for better channels

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