

PROBLEM SET 4

Due: Monday, Oct. 8, beginning of class

**Homework policy:** Please try to work on the homework by yourself; it isn't intended to be too difficult. Questions about the homework or other course material can be asked on Piazza.

1. Informally: a “one-way permutation” is a bijective function  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^n$  which is easy to compute on all inputs but hard to invert on more than a negligible fraction of inputs; a “pseudorandom generator” is a function  $g : \mathbb{F}_2^k \rightarrow \mathbb{F}_2^m$  for  $m > k$  whose output on a random input “looks unpredictable” to any efficient algorithm. Goldreich and Levin proposed the following construction of the latter from the former: for  $k = 2n$ ,  $m = 2n + 1$ , define

$$g(r, s) = (r, f(s), r \cdot s),$$

where  $r, s \in \mathbb{F}_2^n$ . When  $g$ 's input  $(r, s)$  is uniformly random then so is the first  $2n$  bits of its output (using the fact that  $f$  is a bijection). The key to the analysis is showing that the final bit,  $r \cdot s$ , is highly unpredictable to efficient algorithms even *given* the first  $2n$  bits  $(r, f(s))$ . This is proved by contradiction.

- (a) Suppose that an adversary has a deterministic, efficient algorithm  $A$  good at predicting the bit  $r \cdot s$ :

$$\Pr_{r, s \sim \mathbb{F}_2^n} [A(r, f(s)) = r \cdot s] \geq \frac{1}{2} + \gamma.$$

Show there exists  $B \subseteq \mathbb{F}_2^n$  with  $|B|/2^n \geq \frac{1}{2}\gamma$  such that for all  $s \in B$ ,

$$\Pr_{r \sim \mathbb{F}_2^n} [A(r, f(s)) = r \cdot s] \geq \frac{1}{2} + \frac{1}{2}\gamma.$$

- (b) Switching to  $\pm 1$  notation in the output, deduce  $\overline{A_{[n]|f(s)}}(s) \geq \gamma$  for all  $s \in B$ .
  - (c) Show that the adversary can efficiently compute  $s$  given  $f(s)$  (with high probability) for any  $s \in B$ . If  $\gamma$  is nonnegligible this contradicts the assumption that  $f$  is “one-way”. (Hint: use the Goldreich–Levin algorithm.)
  - (d) Deduce the same conclusion even if  $A$  is a randomized algorithm.
2. Given  $f : \{-1, 1\}^n \rightarrow \{-1, 1\}$  and integer  $k \geq 2$  let  $A_k = \frac{1}{k}(\mathbf{W}^{\geq 1}[f] + \mathbf{W}^{\geq 2}[f] + \dots + \mathbf{W}^{\geq k}[f])$ , the “average of the first  $k$  tail weights”. (Recall  $\mathbf{W}^{\geq \ell}[f] = \sum_{|S| \geq \ell} \widehat{f}(S)^2$ .) Show that  $\mathbf{NS}_{1/k}[f]$  is the same as  $A_k$  up to universal constants. E.g., you might show  $\frac{1-e^{-2}}{2}A_k \leq \mathbf{NS}_{1/k}[f] \leq A_k$ .
  3. Let  $f : \{-1, 1\}^n \rightarrow \mathbb{R}$  and let  $\epsilon > 0$ . Show that  $f$  is  $\epsilon$ -concentrated on a collection  $\mathcal{F} \subseteq 2^{[n]}$  with  $|\mathcal{F}| \leq \widehat{\|f\|}_1^2/\epsilon$ . (Recall the notation from Problem 1 on Homework 3.)
  4. For this problem, recall Problem 3 from Homework 3.
    - (a) Let  $H \leq \mathbb{F}_2^n$  be a subspace and let  $z \in \mathbb{F}_2^n$ . Let  $\varphi_{H+z} : \mathbb{F}_2^n \rightarrow \mathbb{R}$  be the probability density function associated to the uniform probability distribution on the affine subspace  $H + z$ . Write the Fourier expansion of  $\varphi_{H+z}$ .

- (b) For  $f : \mathbb{F}_2^n \rightarrow \mathbb{R}$  and  $z \in \mathbb{F}_2^n$ , define the function  $f^{+z} : \mathbb{F}_2^n \rightarrow \mathbb{R}$  by  $f^{+z}(x) = f(x+z)$ . Show that  $f^{+z} = \varphi_{\{z\}} * f$ . (In writing  $\varphi_{\{z\}}$  we are treating  $\{z\}$  as a 0-dimensional affine subspace and using the notation of the previous problem.) Show also that  $\widehat{f^{+z}}(\gamma) = (-1)^{\gamma \cdot z} \widehat{f}(\gamma)$ .
- (c) Prove the “Poisson Summation Formula”,

$$\mathbf{E}_{\mathbf{h} \sim H} [f(\mathbf{h} + z)] = \sum_{\gamma \in H^\perp} \chi_\gamma(z) \widehat{f}(\gamma).$$

(Hint: use Plancherel on  $\langle \varphi_H, f^{+z} \rangle$ .)

5. Give a direct (Fourier-free) simple proof that if  $f : \{-1, 1\}^n \rightarrow \mathbb{R}$  and  $(\mathbf{J} \mid \mathbf{z})$  is a  $\delta$ -random restriction then  $\mathbf{E}[\mathbf{Inf}_i[f_{\mathbf{J}|z}]] = \delta \mathbf{Inf}_i[f]$  for any  $i \in [n]$ .
6. In this exercise you will prove the “Baby Switching Lemma”: If  $\phi = T_1 \vee T_2 \vee \dots \vee T_s$  is a DNF of width  $w \geq 1$  over variables  $x_1, \dots, x_n$  and  $(\mathbf{J} \mid \mathbf{z})$  is a  $\delta$ -random restriction ( $0 < \delta < 1/3$ ), then

$$\Pr[f_{\mathbf{J}|z} \text{ is not a constant function}] \leq 3\delta w.$$

- (a) Suppose  $R = (\mathbf{J} \mid \mathbf{z})$  is a “bad” restriction, meaning that  $\phi_{\mathbf{J}|z}$  is not a constant function. Let  $i$  be minimal such that  $(T_i)_{\mathbf{J}|z}$  is neither constantly True or False, and let  $j$  be minimal such that  $x_j$  or  $\bar{x}_j$  appears in this restricted term. Show there is a unique restriction  $R' = (\mathbf{J} \setminus \{j\} \mid \mathbf{z}')$  extending  $R$  which doesn’t falsify  $T_i$ .
- (b) Suppose we enumerate all bad restrictions  $R$ , and for each we write down the associated  $R'$  as in part (6a). Show that no restriction is written more than  $w$  times.
- (c) If  $(\mathbf{J} \mid \mathbf{z})$  is a  $\delta$ -random restriction and  $R$  and  $R'$  are as in part (6a), show that  $\Pr[(\mathbf{J} \mid \mathbf{z}) = R] = \frac{2\delta}{1-\delta} \Pr[(\mathbf{J} \mid \mathbf{z}) = R']$ .
- (d) Complete the proof by showing  $\Pr[(\mathbf{J} \mid \mathbf{z}) \text{ is bad}] \leq 3\delta w$ .