

# Benchmarking in Database Systems

Instructor: Anastassia Ailamaki  
<http://www.cs.cmu.edu/~natassa>

## Questions (already)

- q What's **your** view of performance?
- q How would **you** measure/compare performance of database systems?
- q What would you do if it was **your** database system under test?



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## Why Benchmark DB Systems?

- ❑ Provide buying guide for customer on cost, performance
- ❑ Stake in the sand for vendors
- ❑ Target for developers



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## Why Not Benchmark DB Systems?

- ❑ Vendors cheat like mad.
  - ❑ (how can you cheat?)
- ❑ Benchmark specials
- ❑ Customers never achieve same level of performance as vendors
- ❑ “Single number” benchmarks are mainly marketing tools

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## Benchmarking

- q Benchmark “wars”
- q Small “representative” application
- q ...run
- q 1 winner, n losers
- q ...run “corrected” benchmark in “tuned” system
- q (gurus get involved)
- q Another winner, other losers
- q ... more of the same...
- q “the new system will include this beta feature”

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## More Reasons Not to Benchmark

- ❑ Design is really hard
- ❑ Even if people agree on benchmark, they don't agree on how to compare performance
- ❑ Noone thinks benchmark is good (not even the winner)
- ❑ And cheating, cheating, cheating
  - ❑ Auditing benchmark results did not fix the problem

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## Anon. et. al.

q (Really Jim Gray)

q A Measure of Transaction Processing Plan  
Original version of paper published in DataMation on April 1, 1984



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## Anon. et. al. Benchmark

Benchmark consists of three tests:

- q Debit/Credit Transaction
  - q Simulates customer doing a banking transaction
  - q Measures throughput and cost
- q Scan
  - q Series of batch updates of 1000 records
  - q Measures performance available to application programmer (time+cost)
- q Sort
  - q Sort 1 million records
  - q Illustrates raw performance of system (time+cost)



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## Observations

- q Only debit/credit component survived – evolved into TPC/A and then TPC/B and then TPC-C
- q Sort benchmark evolved into:
  - 1) "Datamation" sort
  - 2) Minute sort – how much can you sort in a minute
  - 3) Penny sort – how much you can sort for a penny



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## Performance Metrics

- q For sort and scan: **elapsed time**
- q For debit credit:
  - Peak transactions per second** with 95% of transactions having less than one second response time
- q Speed of communication line is factored out.  
**Response** = interval between the arrival of the last bit from the communications line and the sending of the first bit of the response
- q As we will see cost is factored in too



## Calculating Cost

- q Complex to calculate
- q Ideally would capture entire "cost of ownership"
- q Adopted the "vendors view" for its simplicity

**Cost = the 5-year capital cost of vendor supplied software & hardware in the machine room**

(Probably 1/5 the total cost)

**Not included:**

- Cost of money
- Terminal cost
- Communication line cost
- Application development cost
- Cost of running the operation



## How is Cost Used?

- q Benchmark is charged for resource used  $2^{-5}$  of the 5-year cost of the s/w and h/w
- q Example for a sort that runs 1 hour:

Package	Package Cost	Per Hour Cost	Benchmark Cost
Processor	\$80K	\$1.8	\$1.8
Memory	\$15K	\$.3	\$.3
Disk	\$50K	\$1.1	\$1.1
Software	\$50K	\$1.1	\$1.1
Total			\$4.3



## Why Include Price in Benchmark?

- ❑ Cross-vendor comparisons for h/w and s/w
- ❑ Flexibility in system configuration used

- ❑ Sort example (drawn from Tandem):
  - 1) A one CPU, 2 disk sort takes 30 minutes @ cost of \$1.5
  - 2) A 16 CPU, 2 disk, 8MB memory sort takes 10 minutes and cost \$15
- ❑ Parallel sort is 3X faster but has 10X cost

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## Definition of Sort Benchmark

- ❑ Goal: measure what a wizard can get out of the system
- ❑ Excellent measure of input/output architecture (software and hardware) as well as overhead imposed by OS
- ❑ Definition:
  - ❑ Input file: 1M, 100 byte records stored sequentially on disk
  - ❑ first 10 bytes of each record constitutes a key
  - ❑ keys of the input file are in random order
  - ❑ Sort creates an output file on disk and fills it with the input records in key order
  - ❑ No restrictions – sort may use as much memory and as many scratch files as desired
  - ❑ Relevant metrics: elapsed time and cost

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## Scan Benchmark

- q Typical of “end-of-day” processing in on-line transaction processing systems
  - q E.g., each night the credit card company generates 1/30th of the months bills
- q Based on a Cobol program that sequentially scans a sequential file, reading and updating each record
- q Input file is 1M, 100-byte records
- q Scan is broken into minibatch transactions each of which processes 1000 records
- q Restrictions:
  - q Must use fine grain locking so debit/credit transactions can run concurrently
  - q Updates must be protected with a log
  - q Application must be written using some end-user application interface in high-level programming language

The logo of Carnegie Mellon University, featuring a grid of 16 small 'L' shaped blocks arranged in a 4x4 pattern, with the text "Carnegie Mellon" overlaid in a serif font.

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## Transaction Flow

```
Open file shared, record locking
Perform Scan 1000 times
Begin
BeginTransaction
  Perform 1000 times
    Read next record from input file with locking
    Rewrite record
  CommitTransaction
End
Close File
```



## Evaluation

### Relevant measures:

- q Elapsed time: average time between BeginTransaction steps
- q Cost: the time-weighted system cost of Scan

## Results:

- Theory: Elapsed time of 0.1 second.
- Practice: 1 to 100 seconds (10 second average) with costs ranging from \$0.001 to \$0.1



## DebitCredit Benchmark

## Background

- q 1973, a large retail bank wanted to put its 1,000 branches, 10,000 tellers, and 10,000,000 accounts online. Goal: a peak load of 100 TPS + 99.5% availability
- q Two bids were submitted:
  - 1) \$5M from a minicomputer vendor - \$50K/TPS cost
  - 2) \$25M from a mainframe vendor \$250K/TPS costs
- q TP1 => TPC/A (w/ terminals) => TPC/B (w/o terminals)
- q For a long time 1000 TPS was unachievable. Eventually vendors produced systems capable of 10,000 TPS!!
- q Eventually TPC-C by Transaction Processing Council



## DebitCredit Database

- q Record types: branches, tellers, accounts, history
- q Sizing for 100 TPS:
  - q 1,000 branches (0.1MB, random access, 100B records)
  - q 10,000 tellers (1 MB, random access, 100B records)
  - q 10,000,000 accounts (1 GB, random access, 100B recs)
  - q 90 day history (10 GB sequential, 50 byte records)
- q Transaction flow:
  - BeginTransaction*
    - Read message from terminal (100 bytes)
    - Read and update account
    - Write history
    - Read and update teller
    - Read and update branch
    - Write message to terminal (200 bytes)
  - CommitTransaction*

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## DebitCredit Details

- ❑ Branch keys are generated randomly
- ❑ Teller within branch is picked at random
- ❑ Random account picked
  - ❑ 85% of the time same branch
  - ❑ 15% of the time different branch
- ❑ Account keys = 10B, other keys can be short (i.e. ints)

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## Other Restrictions

- ❑ All data files must be protected by
  - ❑ fine granularity locking
  - ❑ logging (duplicated)
- ❑ History file must be on stable storage
- ❑ 95% of the transactions must have a response time of 1 second or less
- ❑ Message handling and terminal must be incorporated
- ❑ Tellers have a 100 second think time

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## Benchmark Scaling

- q For a 10 TPS system store only 1/10 of the DB (100 branches, 1,000 tellers, 1M accounts)
- q For a 1000 TPS system scale up DB 100x (10K branches, 100K tellers, 100M accounts)
- q For a 10,000 TPS system scale up DB 1000x (100K branches, 1M tellers, 1G accounts)



## Criticism

- q Doesn't match real business transactions (too simple)
- q Performance benchmark only. Evaluates very little of the functionality offered by relational database systems
- q Allowed vendors to ignore decision support issues for almost 10 years (84-94)
- q Comments about functional benchmarks being non-portable are bogus. E.g., Wisconsin benchmark is certainly portable
- q Cost structure is too simple
  - q Ignores communications/terminal costs
  - q Ignores cost of development and maintenance
  - q Ignores cost of outages (lost labor)



## Current TPC benchmarks

- ❑ TPC-C (OLTP)
- ❑ TPC-D: Decision-support => TPC-H, TPC-R
- ❑ TPC-W: web

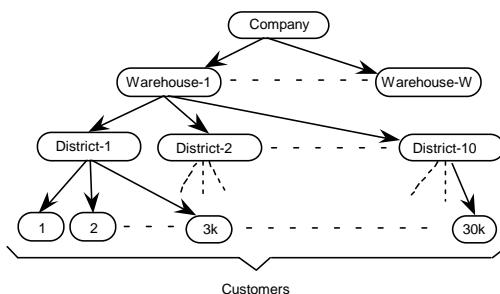
❑ <http://www.tpc.org>



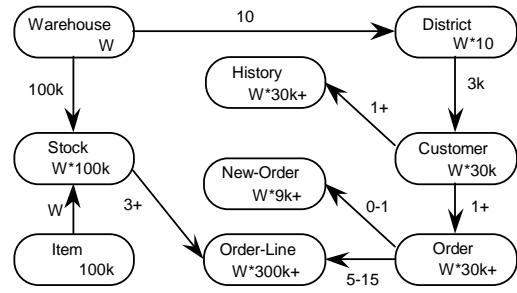
## TPC-C

- q Complete wholesale supplier computing environment
- q Population of users executes transactions
- q Activities of order-entry environment
  - q entering and delivering orders
  - q recording payments
  - q checking the status of orders
  - q monitoring the level of stock at the warehouses
- q Transactions executed on-line or queued. Tests:
  - q The simultaneous execution of complex transaction types
  - q Multiple on-line terminal sessions, elapsed time, I/O, ACID
  - q Non-uniform data distribution, type variety, contention
- q Measure: new-order tpmC, \$/tpmC, and availability date of the priced configuration.

## TPC-C World



## TPC-C Schema



## TPC-H/R

- ❑ Suite of business oriented ad-hoc queries and concurrent data modifications
- ❑ Queries and the data relevant to industry
- ❑ Illustrates decision support systems that
  - ❑ examine large volumes of data
  - ❑ execute queries with a high degree of complexity
  - ❑ give answers to critical business questions
- ❑ TPC-H Composite Query-per-Hour Performance Metric (QphH@Size) reflects
  - ❑ selected database size
  - ❑ query processing power with single execution stream
  - ❑ query throughput with multiple concurrent users.

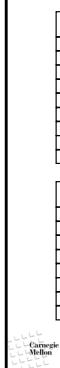
Price/Performance metric: \$/QphH@Size.



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## TPC-H Schema



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## TPC-H Example Query (Shipping Priority (Query 3))

```

SELECT
    L_ORDERKEY, SUM(L_EXTENDEDPRICE*(1-L_DISCOUNT)) AS
    REVENUE, O_ORDERDATE, O_SHIPPRIORITY
FROM CUSTOMER, ORDER, LINEITEM
WHERE
    C_MKTSEGMENT = 'FOOD' AND
    C_CUSTKEY = O_CUSTKEY AND
    L_ORDERKEY = O_ORDERKEY AND
    O_ORDERDATE < DATE '1.5.98' AND
    L_SHIPDATE > DATE '1.6.98'
GROUP BY L_ORDERKEY, O_ORDERDATE, O_SHIPPRIORITY
ORDER BY REVENUE DESC, O_ORDERDATE
  
```



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## TPC-W

- ❑ Transactional web benchmark
- ❑ Controlled internet commerce environment
- ❑ Business-oriented transactional web server
- ❑ Similar tests with TPC-C
- ❑ Metric: Web Interactions Per Second (WIPS)
- ❑ Profiles:
  - ❑ Primary shopping
  - ❑ Browsing
  - ❑ Web-based ordering



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## Other benchmarks

- q Wisconsin benchmark
- q 007 (OODBMSs)
- q Sequoia2000 (GIS)
- q Data mining benchmarks (similar to DSS)
- q **Microbenchmarks**
  
- q Excellent (and fun) reading: Gray's handbook  
(on the web from [www.benchmarkresources.com](http://www.benchmarkresources.com))



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