

# Hash Tables

15-121 Fall 2020

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# Today

- Sets and Maps review
- Hash Tables
- Next time
  - hashCodes
  - Priority Queues

# List

- Sequence of elements
- Indexed starting at 0... (an index)
- A list can have duplicates.

# Set

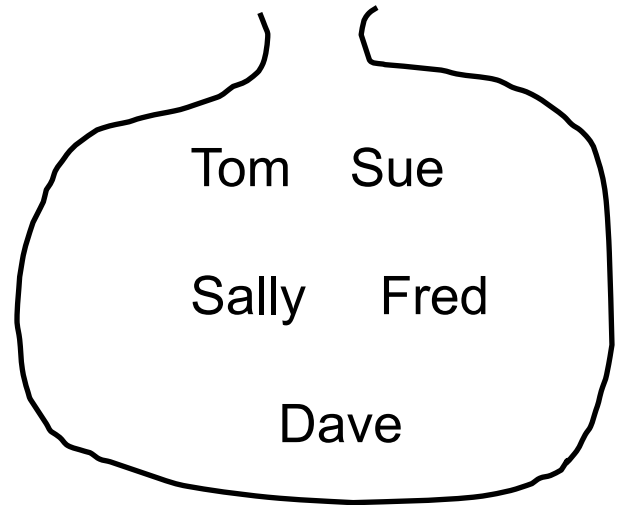
(sometimes called a bag)

A set is “bag” of objects

- No duplicates with respect to `.equals()`
- Membership

Operations I want to be fast:

- Does the set **contain** this element?
- **Add** this element to the set
- **Remove** an element from the set



# Map

(also called dictionary or associative array)

A map is a table of (key,value) pairs.

- Indexed by key (must be unique).
- Many keys can “map” to the same value.

Operations I want to be fast:

- **Get** Tom’s section
- **Set** Dave’s section to B
- **Remove** Fred from the class

Name	Section
Tom	A
Fred	B
Dave	A
Sally	C

# TreeSet / TreeMap

- TreeSet is a class that implements a **sorted** Set.
- TreeMap is a class that implements a **sorted** Map.
- **Advantages:**
  - The TreeSet /TreeMap can be traversed (using an iterator) in order.
  - Subsets/submaps based on a range of values can be generated easily from a TreeSet/TreeMap.
- **Disadvantages:**
  - Contains, insert, and remove operations on the `treeMap` take  $O(\log N)$  time for sets with  $N$  elements.

Use a TreeMap only when you need the keys in order

## Both `TreeSet` & `TreeMap` use a balanced binary search tree called a “red-black tree”.

Red-Black balanced binary search trees:

- The height of a red-black tree is guaranteed to be  $2 \log n$ .
- Every time you add or remove an element, the tree may be restructured to maintain balance.
- The runtime to rebalance the tree is worst case  $O(\log n)$ .

Thus

- Operations `contains`/`add`/`remove` for Sets and `get`/`set`/`remove` for map have worst-case  $O(\log n)$  runtime.

Worst case  $O(\log n)$  time!

Great! We're done!

The course is over! Yay!

Unless...



- Can we do better than worst-case  $O(\log n)$ ?
- What would be better?

$O(1)$

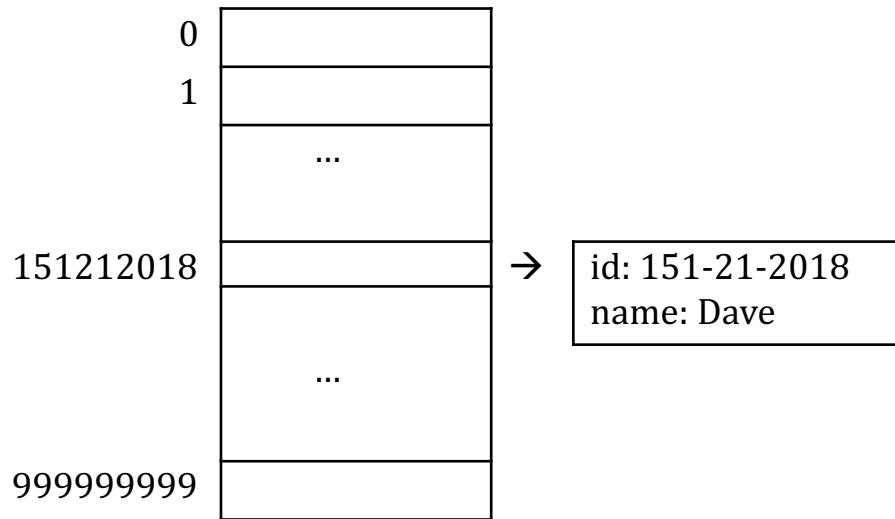
- What data structure do we know that usually gives  $O(1)$  time?

arrays

- E.g., Suppose we want to maintain a set of students, where a student object has a 9-digit id and a student name.
- How can we use the student id to find a student in an array?

# Really Big Array (?)

Use the student id as the index into a really big array:  
contains:  $O(1)$ , add:  $O(1)$ , remove:  $O(1)$ . Yay!!!



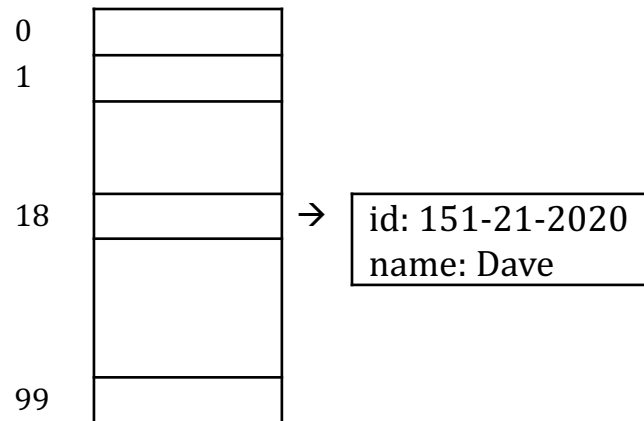
**Problem:** Memory hog: The range of student id values is independent on the number of students (size of the set).

# Moderate Size Array (better)

**Key Idea:** Use the key **to compute** an index into a moderate size array.

- Want: contains, add, remove:  $O(1)$ , memory:  $O(n)$

**Example:** Use last two digits of the student id

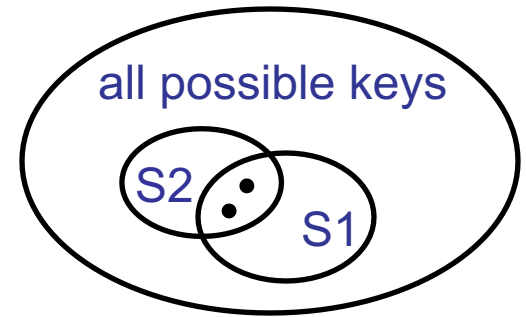


- **Problem:** Two or more students might have the same last two digits.

# Hash Table

- **Hash Table** – An array that refers to elements in set/map
- **Hash Function** – A function that maps a key to an index in hash table
  - $\text{hash}(\text{key}) \rightarrow \text{index}$
- But if you want to allow for **any set** of student id values, then we have to deal with the fundamental problem of collisions.
- **Collision:** when some keys map to the same index:  
 $x \neq y$ , but  $\text{hash}(x) = \text{hash}(y)$ .

# Collisions



- *Can we prevent collisions when we don't know in advance which keys will be used in the set?*
  - No. Since the number of possible keys is much greater than the size of the hash table, there must be two keys that map to the same index.
  - Any set that contains those two keys will have a collision.
- **Pigeonhole Principle:** If you put more than  $n$  items into  $n$  bins, then at least one bin contains more than one item.

# The Birthday Paradox

- *How likely are two keys going to hash to the same index?* Surprisingly likely!

- Probability that none of  $n$  people have the same birthday:

$$p' = 1 * (364/365) * (363/365) * \dots * ((365 - n + 1)/365)$$

- Probability at least two people have the same birthday is  $p = 1 - p'$

When  $n = 23$ ,  $p = 0.5$ .

When  $n = 30$ ,  $p = 0.7$

When  $n = 50$ ,  $p = 0.97$  !!

# Hash Function

Desired properties of a hash function:

1. The hash function should be fast to compute:  $O(1)$
2. Limited number of collisions:
  - Given two keys, the probability they hash to the same index is low.
  - When table has many keys they should be “evenly” distributed.

Examples of hash functions:

- If the key is an integer:  
**`key % tablesize`**
- If key is a String (or any Object):  
**`key.hashCode() % tablesize`**

# Handling Collisions

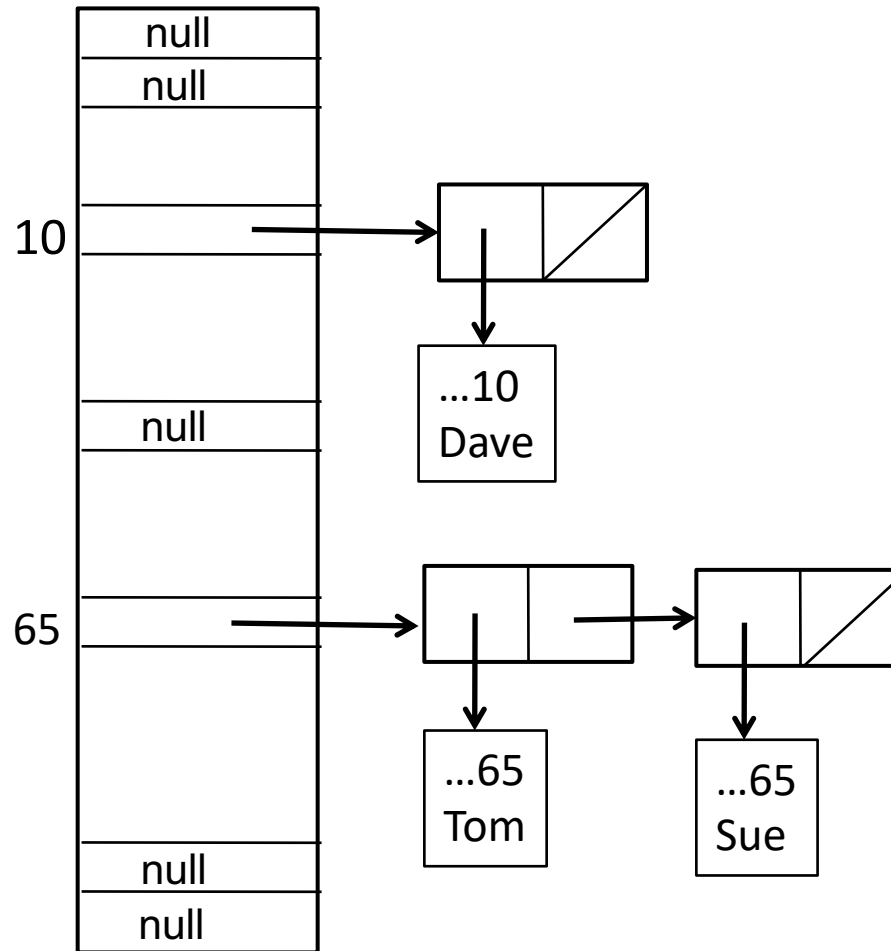
1. **Open Addressing** (topic for 15-451)
2. **Separate chaining** – Each index of array contains all the elements that hash to that index (called a **bucket**)

*What data structure should we use to maintain a bucket?*

- Often a linked list because:
  - Buckets are small (few collisions)
  - Linked lists easy to implement
  - Many buckets can be empty and empty linked lists take no storage
  - No additional constraints such as Comparable



# Separate Chaining using a linked list



# Set operations using Separate Chaining

## **contains(obj) :**

- Find the index in the array using the hash function on obj
- Check if any element in the bucket equals obj

## **add(obj) :**

- Find the index using the hash function on obj
- If no element in the bucket equals obj, add obj to the bucket

## **remove(obj) :**

- Find the index using the hash function on obj
- Remove obj from bucket, if it exists

# Runtime

*What is the worst-case runtime for contains, add, remove?*

$O(n)$  – all the keys hash to the same index

*What is the best-case runtime?*

$O(1)$  – only a few keys map to any one index

*What is the expected runtime?*

$O(1)$  – assuming the hash function is good, and the hash table is not too full

# Load Factor

**Load Factor:** (number of elements) / (length of array)

*What is the expected size of a bucket?*

The load factor

*What is a good load factor?*

A small constant so that the linked list stay short, even the longest ones.

Java uses a default value of 0.75

*Can the load factor be larger than 1?* Yes

# Space vs Time

*What if we keep adding elements and the load factor increases?*

- The probability of a collision increases.
- Linked lists can get long and runtimes go up.
- Even worse, the longest list linked list may be much larger than the average length.

## **Space vs time trade-off:**

- Decrease array size
  - more collisions – slower contains, add, remove
- Increase array size
  - fewer collisions – faster contains, add, remove

# Rehashing

*If the load factor gets too big what can we do?*

Create a larger table.

*Can we just copy the elements to a new larger table?*

NO! We need to reinsert each element of the old table in the new table using a **new hash function**.

*How much bigger should we create the array?*

Approximately twice the size (adds only  $O(1)$  amortize time)

# Hashing in Java

Every Java object inherits from the Object class:

```
boolean equals(Object obj)  
String toString()  
int hashCode()
```

*How can you use these methods to implement hashing?*

**Step 1.** Use the hashCode method of the object to get a (random-like) integer of it. (For a map use the hashCode of the key.)

*What range of values can it return?*

-2.1 billion to 2.1 billion

# Hashing in Java

**Step 2:** To get an index in the range of the array take modulus of the hash with the length of the array. Mod will spread all possible hashCode values evenly.

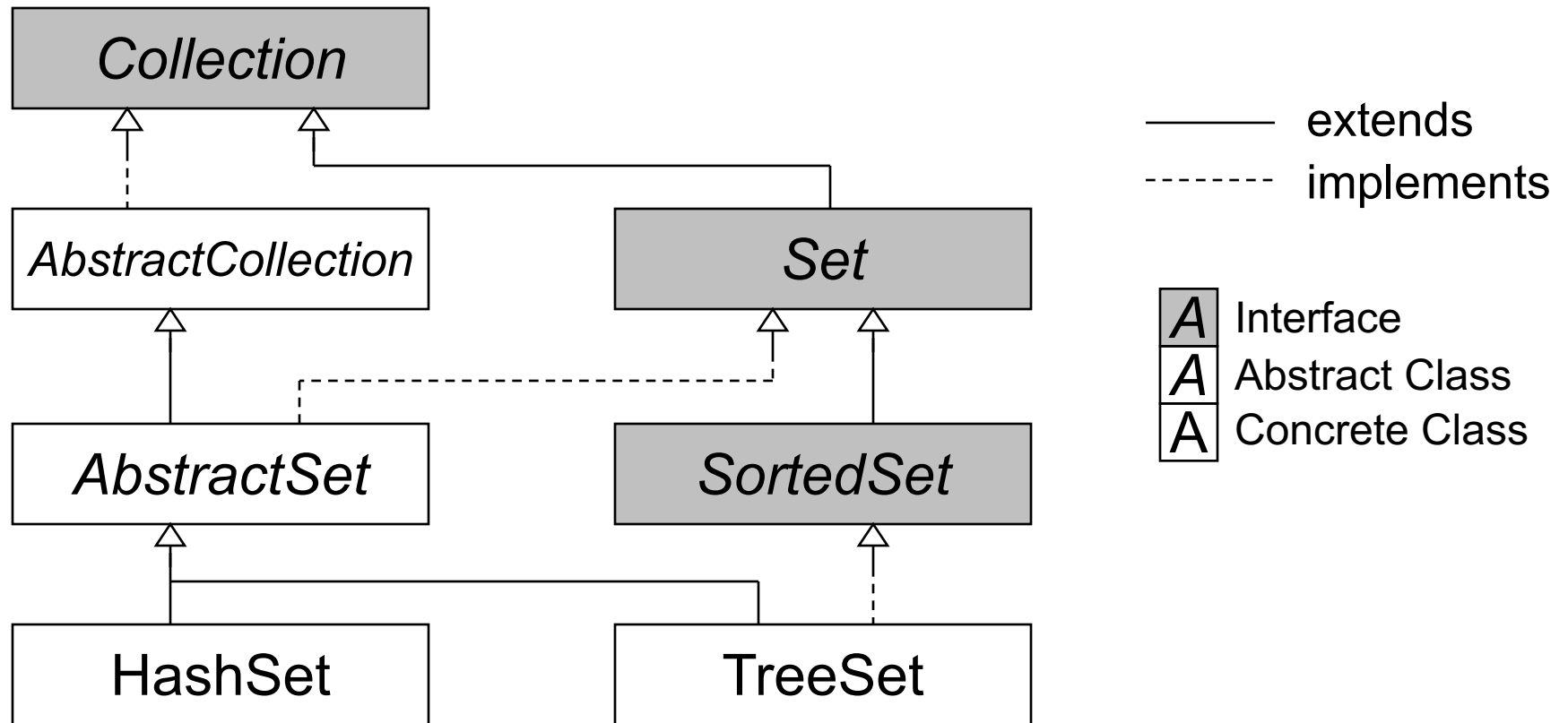
```
Math.abs(obj.hashCode() % (array.length));
```

*Why do we need to take the absolute value?*

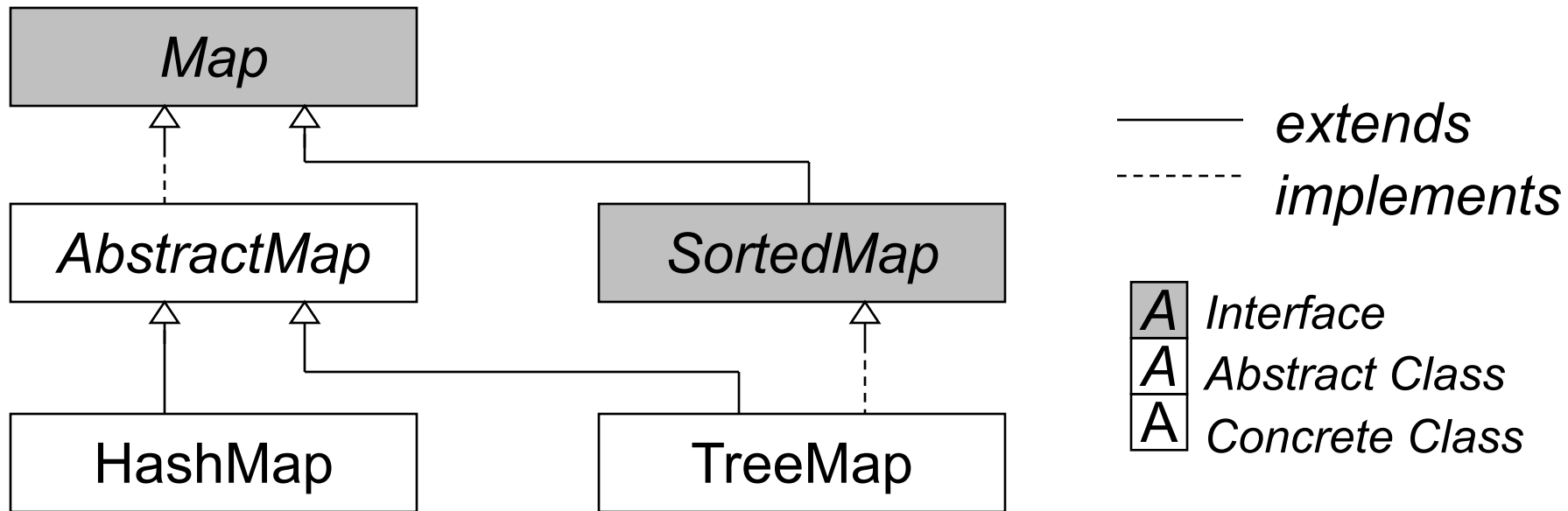
**Step 3:** Use `.equals` to determine if an element is in the bucket at that index.



# Sets in the Java API



# Maps in the Java API



# HashSet is a class that implements a set

The elements of the set are stored using a hash table.

- elements' class must override `equals ( )` and `hashCode ( )` (more about this soon).

## Advantages:

- The HashSet supports search, insert, and remove operations in  $O(1)$  expected time.

## Disadvantages:

- Traversals cannot be done in a meaningful way with a HashSet.

If the order of the elements is unimportant, use a HashSet.  
**It's fast.**

# HashSet Example

```
Set<Integer> a = new HashSet<Integer>();  
Set<Integer> b = new HashSet<Integer>(10);  
a.add(1);  
a.add(5);  
b.add(1);  
b.add(9);  
b.add(0);  
a.addAll(b);  
for (Integer i : a)  
    System.out.println(i);
```

Initial capacity



Iterator used here accesses each element of set **in no particular order** since the set is implemented with a hash table. (More about this soon.)

# HashMap is a class that implements a map

- The (key,value) pairs of the map are stored using a hash table. Again, keys must override `hashCode ( )` (more about this soon).
- **Advantages:**
  - The HashMap supports search, insert, and remove operations in  $O(1)$  expected time.
- **Disadvantages:**
  - Traversals (using an iterator) cannot be done in a meaningful way with a HashMap.

If key order is unimportant, use a HashMap. It's fast.

# HashMap Example

Key	Value
K1	V1
K2	V2
K3	V3
K4	V4

```
Map<String, String> tvShowMap
    = new HashMap<String, String>();
tvShowMap.put("The Simpsons", "FOX");
tvshowMap.put("Grey's Anatomy", "ABC");
tvshowMap.put("How I Met Your Mother", "CBS");
...
System.out.println("The Simpsons is on " +
    tvShowMap.get("The Simpsons"));

System.out.println("CSI changes networks!");
String oldNetwork = tvShowMap.put("CSI", "NBC");
```