

Applications for Automated Reasoning

Marijn J.H. Heule

**Carnegie
Mellon
University**

<http://www.cs.cmu.edu/~mheule/15816-f24/>

Automated Reasoning and Satisfiability

August 28, 2024

Automated Reasoning Has Many Applications



formal verification



security



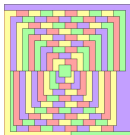
bioinformatics



planning and
scheduling



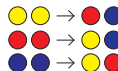
train safety



automated
theorem proving



exploit
generation



term rewriting
termination

encode



automated reasoning

decode



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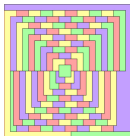
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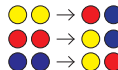
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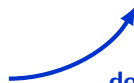
term rewriting
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Encoding problems into SAT



Architectural 3D Layout
[VSMM '07]
Henriette Bier



Edge-matching Puzzles
[LaSh '08]



Graceful Graphs
[AAAI '10]
Toby Walsh



Clique-Width
[SAT '13, TOCL '15]
Stefan Szeider



Firewall Verification
[SSS '16]
Mohamed Gouda



Open Knight Tours
Moshe Vardi



Van der Waerden numbers
[EJoC '07]



Software Model Synthesis
[ICGI '10, ESE '13]
Sicco Verwer



Conway's Game of Life
[EJoC '13]
Willem van der Poel



Connect the Pairs
Donald Knuth



Pythagorean Triples
[SAT '16, CACM '17]
Victor Marek



Collatz conjecture [Open]
Emre Yolcu
Scott Aaronson

Equivalence Checking

Bounded Model Checking

Graphs and Symmetry Breaking

Arithmetic Operations

Equivalence Checking

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Equivalence checking introduction

Given two formulae, are they equivalent?

Applications:

- Hardware and software optimization
- Software to FPGA conversion

Equivalence checking example

original C code

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if(!a && !b) h();  
else if(!a) g();  
else f();
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if(a) f();  
else {  
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optimized C code

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Are these two code fragments equivalent?

Equivalence checking encoding (1)

1. represent procedures as Boolean variables

original C code :=

```
if  $\bar{a} \wedge \bar{b}$  then h  
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optimized C code :=

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3. check equivalence of Boolean formulae

compile (**original C code**) \Leftrightarrow *compile* (**optimized C code**)

Equivalence checking encoding (2)

compile (**original C code**):

$$\begin{aligned} &\text{if } \bar{a} \wedge \bar{b} \text{ then } h \text{ else if } \bar{a} \text{ then } g \text{ else } f && \equiv \\ &\overline{((\bar{a} \wedge \bar{b}) \vee h)} \wedge ((\bar{a} \wedge \bar{b}) \vee (\text{if } \bar{a} \text{ then } g \text{ else } f)) && \equiv \\ &(a \vee b \vee h) \wedge ((\bar{a} \wedge \bar{b}) \vee ((a \vee g) \wedge (\bar{a} \vee f))) \end{aligned}$$

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Reformulate it as a satisfiability (SAT) problem:

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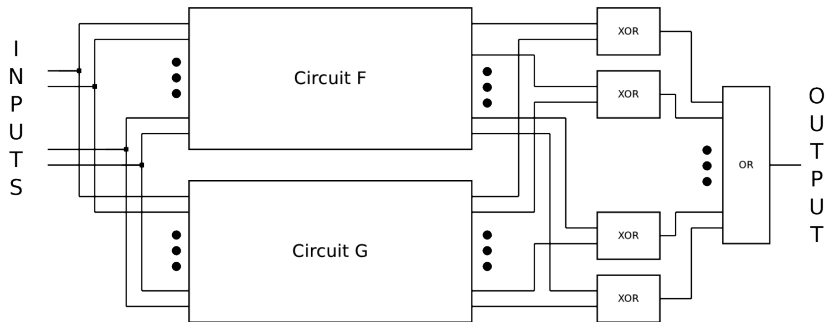
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Note: by concentrating on counterexamples we moved from co-NP to NP (not really important for applications)

Equivalence Checking via Mitters

Equivalence checking is mostly used to validate whether two hardware designs (circuits) are functionally equivalent.

Given two circuits, a **miter** is circuit that tests whether there exists an input for both circuits such that the output differs.



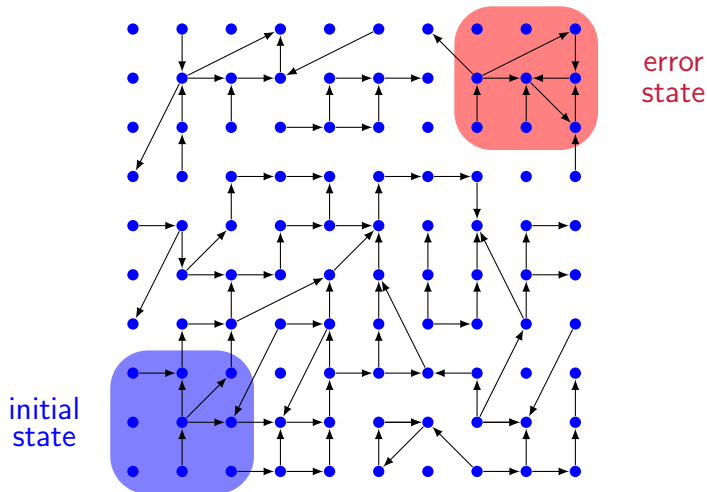
Equivalence Checking

Bounded Model Checking

Graphs and Symmetry Breaking

Arithmetic Operations

Model Checking



Does there exist a path from the **initial state** to the **error state**?

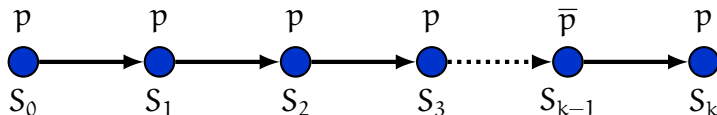
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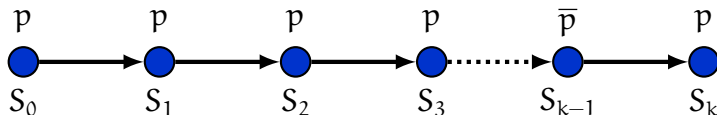
Is there a state reachable in k steps, which satisfies \bar{p} ?



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Turing award 2007 for Model Checking

Edmund M. Clarke, E. Allen Emerson and Joseph Sifakis

BMC Encoding (1)

Three components:

- I The description of the **initial state**
- T The **transition** of a state into the next state
- P The (safety) **property**

The **reachable states** in k steps are captured by:

$$I(S_0) \wedge T(S_0, S_1) \wedge \cdots \wedge T(S_{k-1}, S_k)$$

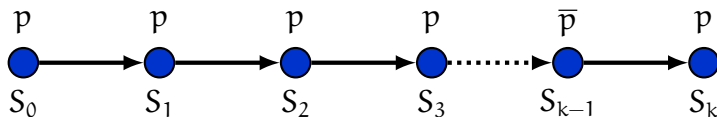
The property p **fails** in one of the k steps by:

$$\overline{P}(S_0) \vee \overline{P}(S_1) \vee \cdots \vee \overline{P}(S_k)$$

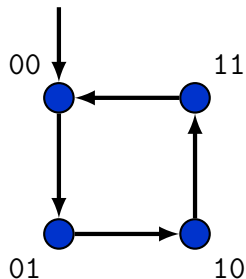
BMC Encoding (2)

The safety property p is valid up to step k if and only if $F(k)$ is unsatisfiable:

$$F(k) = I(S_0) \wedge \bigwedge_{i=0}^{k-1} T(S_i, S_{i+1}) \wedge \bigvee_{i=0}^k \bar{P}(S_i)$$



Bounded Model Checking Example: Two-bit counter

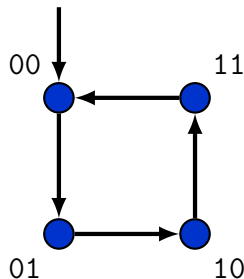


Initial state I: $l_0 = 0, r_0 = 0$

Transition T: $l_{i+1} = l_i \oplus r_i,$
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Property P: $\bar{l}_i \vee \bar{r}_i$

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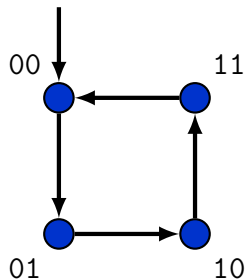
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$$F(2) = (\bar{l}_0 \wedge \bar{r}_0) \wedge \left(\begin{array}{l} l_1 = l_0 \oplus r_0 \wedge r_1 = \bar{r}_0 \wedge \\ l_2 = l_1 \oplus r_1 \wedge r_2 = \bar{r}_1 \end{array} \right) \wedge \left(\begin{array}{l} (l_0 \wedge r_0) \vee \\ (l_1 \wedge r_1) \vee \\ (l_2 \wedge r_2) \end{array} \right)$$

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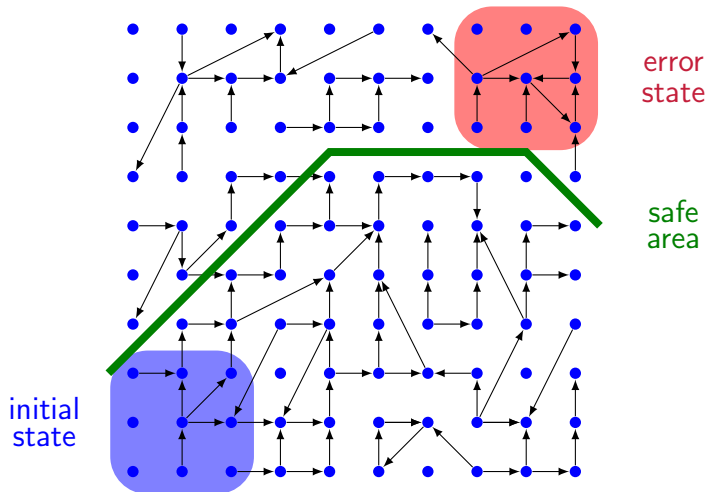
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For $k = 2$, $F(k)$ is unsatisfiable; for $k = 3$ it is satisfiable

Unbounded Model Checking



Find a **safe area** that includes the **initial state** and exclude the **error state** such that no step goes outside the **safe area**

Equivalence Checking

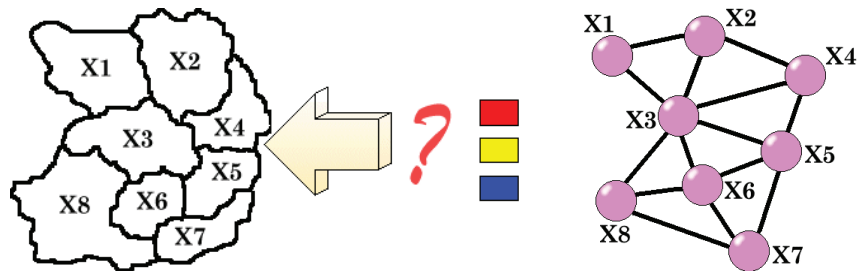
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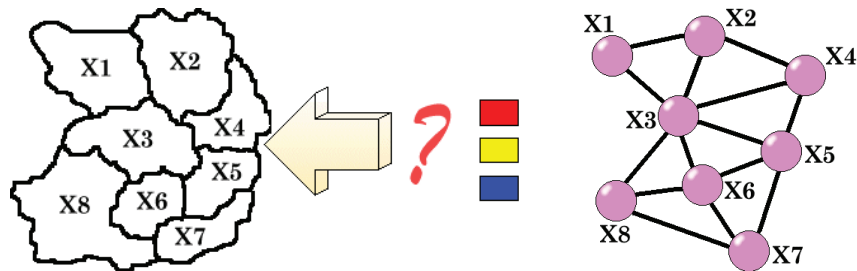
Graph coloring

Given a graph $G(V, E)$, can the vertices be colored with k colors such that for each edge $(v, w) \in E$, the vertices v and w are colored differently.



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Problem: Many symmetries!!!

Graph coloring encoding

Variables	Range	Meaning
$x_{v,i}$	$i \in \{1, \dots, c\}$ $v \in \{1, \dots, V \}$	node v has color i

Clauses	Range	Meaning
$(x_{v,1} \vee x_{v,2} \vee \dots \vee x_{v,c})$	$v \in \{1, \dots, V \}$	v is colored
$(\bar{x}_{v,s} \vee \bar{x}_{v,t})$	$s \in \{1, \dots, c-1\}$ $t \in \{s+1, \dots, c\}$	v has at most one color
$(\bar{x}_{v,i} \vee \bar{x}_{w,i})$	$(v, w) \in E$	v and w have a different color
???	???	breaking symmetry

Unavoidable Subgraphs and Ramsey Numbers

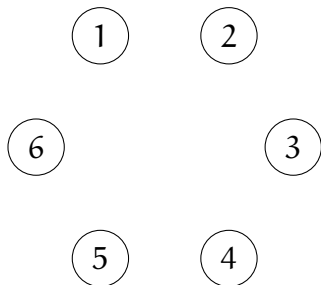
A connected undirected graph G is an **unavoidable subgraph** of clique K of order n if **any red/blue edge-coloring** of the edges of K contains G either in red or in blue.

Ramsey Number $R(k)$: What is the smallest n such that any graph with n vertices has either a clique or a co-clique of size k ?

$$R(3) = 6$$

$$R(4) = 18$$

$$43 \leq R(5) \leq 48$$



Unavoidable Subgraphs and Ramsey Numbers

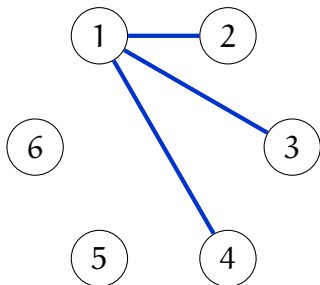
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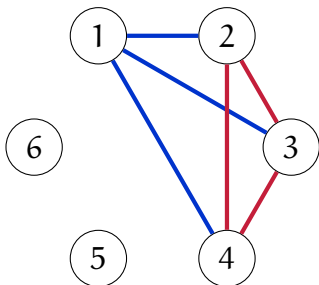
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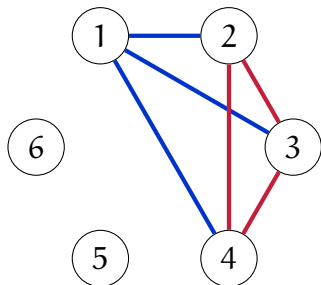
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SAT solvers can determine that $R(4) = 18$ in **1 second** using symmetry breaking; w/o symmetry breaking it requires **weeks**.

Example formula: an unavoidable path of two edges

Consider the formula below — which expresses the statement whether path of two edges unavoidable in a clique of order 3:

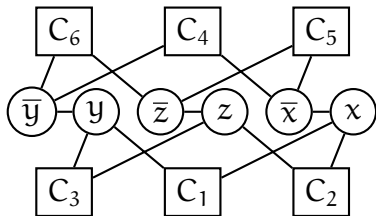
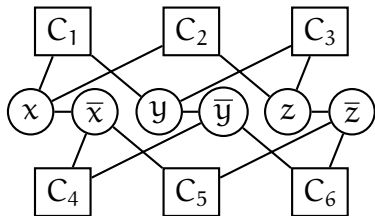
$$F := \overbrace{(x \vee y)}^{C_1} \wedge \overbrace{(x \vee z)}^{C_2} \wedge \overbrace{(y \vee z)}^{C_3} \wedge \overbrace{(\bar{x} \vee \bar{y})}^{C_4} \wedge \overbrace{(\bar{x} \vee \bar{z})}^{C_5} \wedge \overbrace{(\bar{y} \vee \bar{z})}^{C_6}$$

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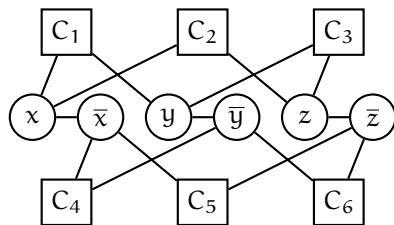
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A **clause-literal graph** has a vertex for each clause and literal, and edges for each literal occurrence connecting the literal and clause vertex. Also, two complementary literals are connected.

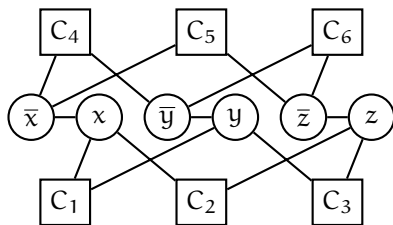


Symmetry: $(x, y, z)(\bar{y}, \bar{z}, \bar{x})$ is an **edge-preserving bijection**

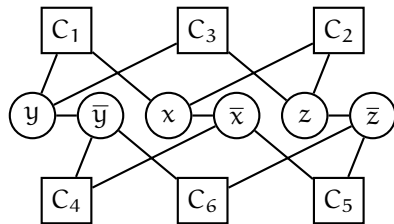
Three Symmetries of the Example Formula



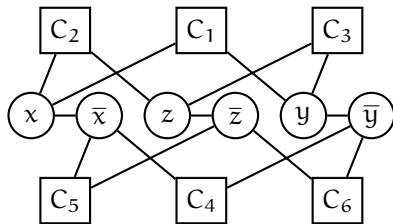
identity symmetry



$(x, y, z, C_1, C_2, C_3, C_4, C_5, C_6)$
 $(\bar{x}, \bar{y}, \bar{z}, C_4, C_5, C_6, C_1, C_2, C_3)$



$(x, y, C_2, C_5, C_3, C_6)$
 $(y, x, C_3, C_6, C_2, C_5)$



$(y, z, C_1, C_4, C_2, C_5)$
 $(z, y, C_2, C_5, C_1, C_4)$

Convert Symmetries into Symmetry-Breaking Predicates

A **symmetry** $\sigma = (x_1, \dots, x_n)(p_1, \dots, p_n)$ of a CNF formula F is an edge-preserving bijection of the clause-literal graph of F , that maps literals x_i onto p_i and \bar{x}_i onto \bar{p}_i with $i \in \{1, \dots, n\}$

Given a CNF formula F . Let α be a satisfying truth assignment for F and σ a symmetry for F , then $\sigma(\alpha)$ is also a satisfying truth assignment for F .

Symmetry $\sigma = (x_1, \dots, x_n)(p_1, \dots, p_n)$ for F can be broken by adding a **symmetry-breaking predicate**:

$$x_1, \dots, x_n \leq p_1, \dots, p_n.$$

$$\begin{aligned} &(\bar{x}_1 \vee p_1) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee p_2) \wedge (p_1 \vee \bar{x}_2 \vee p_2) \wedge \\ &(\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3 \vee p_3) \wedge (\bar{x}_1 \vee p_2 \vee \bar{x}_3 \vee p_3) \wedge \\ &(p_1 \vee \bar{x}_2 \vee \bar{x}_3 \vee p_3) \wedge (p_1 \vee p_2 \vee \bar{x}_3 \vee p_3) \wedge \dots \end{aligned}$$

Symmetry Breaking in Practice

In practice, symmetry breaking is mostly used as a **preprocessing** technique.

A given CNF formula is first transformed into a clause-literal graph. Symmetries are detected in the clause-literal graph. An efficient tool for this is saucy.

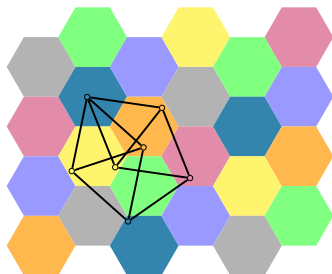
The symmetries can be broken by adding **symmetry-breaking predicates** to the given CNF.

Many hard problems for resolution, such as pigeon hole formulas, can be solved instantly after symmetry-breaking predicates are added.

Chromatic Number of the Plane [Nelson '50]

How many **colors** are required to color the plane such that each pair of points that are **exactly 1 apart** are colored differently?

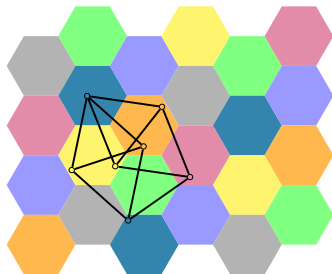
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- Lower bound of 5 [DeGrey '18] based on a 1581-vertex graph



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Quanta magazine Physics Mathematics

業餘數學家為一道填色難題帶來突破！
2018/4/26 · TNL · 四色定理 · 填色難題 · 數學

Раскраска для математиков
Как покрасить плоскость?

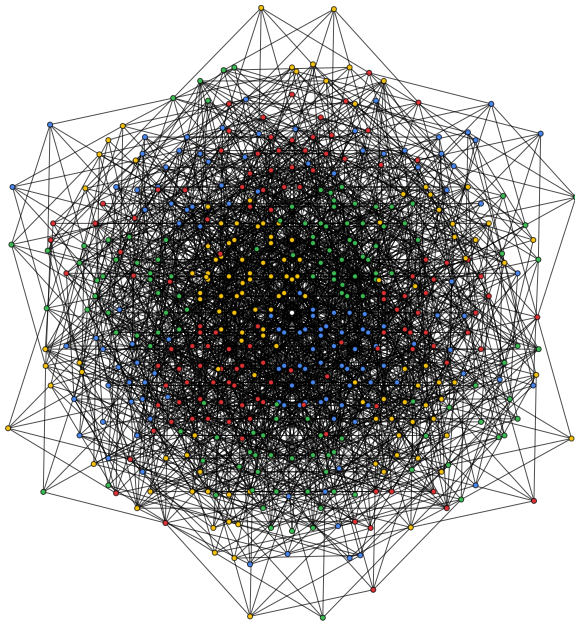
WIRED

Marijn Heule, a computer scientist at the University of Texas, Austin, found one with just 874 vertices. Yesterday he lowered this number to 826 vertices.

We found smaller graphs with SAT:

- 874 vertices on April 14, 2018
- 803 vertices on April 30, 2018
- 610 vertices on May 14, 2018

Graph G_{510} [Heule 2019]



Equivalence Checking

Bounded Model Checking

Graphs and Symmetry Breaking

Arithmetic Operations

Arithmetic operations: Introduction

How to encode arithmetic operations into SAT?

Arithmetic operations: Introduction

How to encode arithmetic operations into SAT?

Efficient encoding using electronic circuits

Arithmetic operations: Introduction

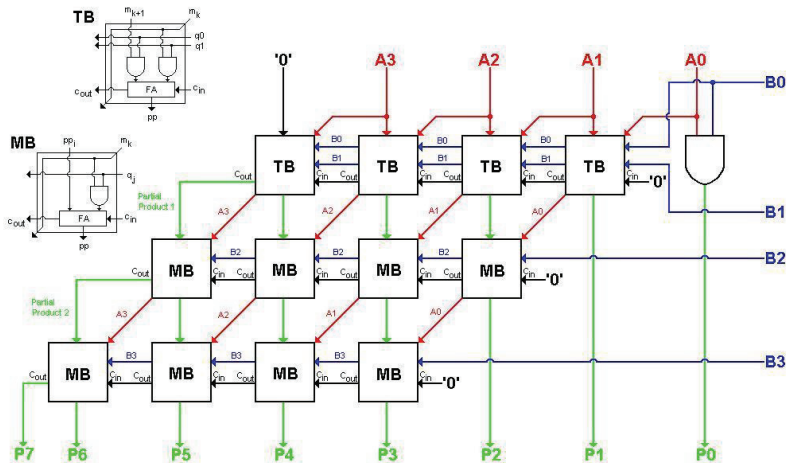
How to encode arithmetic operations into SAT?

Efficient encoding using electronic circuits

Applications:

- factorization (not competitive)
- term rewriting

Arithmetic operations: 4x4 Multiplier circuit



Arithmetic operations: Multiplier encoding

1. Multiplication $m_{i,j} = x_i \times y_j = \text{AND}(x_i, y_j)$
 $(m_{i,j} \vee \bar{x}_i \vee \bar{y}_j) \wedge (\bar{m}_{i,j} \vee x_i) \wedge (\bar{m}_{i,j} \vee y_j)$

Arithmetic operations: Multiplier encoding

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$$(m_{i,j} \vee \bar{x}_i \vee \bar{y}_j) \wedge (\bar{m}_{i,j} \vee x_i) \wedge (\bar{m}_{i,j} \vee y_j)$$

2. Carry out $c_{\text{out}} = 1$ if and only if $p_{\text{in}} + m_{i,j} + c_{\text{in}} > 1$

$$(c_{\text{out}} \vee \bar{p}_{\text{in}} \vee \bar{m}_{i,j}) \wedge (c_{\text{out}} \vee \bar{p}_{\text{in}} \vee \bar{c}_{\text{in}}) \wedge (c_{\text{out}} \vee \bar{m}_{i,j} \vee \bar{c}_{\text{in}}) \wedge (\bar{c}_{\text{out}} \vee p_{\text{in}} \vee m_{i,j}) \wedge (\bar{c}_{\text{out}} \vee p_{\text{in}} \vee c_{\text{in}}) \wedge (\bar{c}_{\text{out}} \vee m_{i,j} \vee c_{\text{in}})$$

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3. Parity out p_{out} of variables p_{in} , $m_{i,j}$ and c_{in}

$$\begin{aligned} (p_{\text{out}} \vee \bar{p}_{\text{in}} \vee \bar{m}_{i,j} \vee \bar{c}_{\text{in}}) \wedge & (p_{\text{out}} \vee p_{\text{in}} \vee m_{i,j} \vee \bar{c}_{\text{in}}) \wedge \\ (\bar{p}_{\text{out}} \vee p_{\text{in}} \vee \bar{m}_{i,j} \vee \bar{c}_{\text{in}}) \wedge & (\bar{p}_{\text{out}} \vee p_{\text{in}} \vee \bar{m}_{i,j} \vee c_{\text{in}}) \wedge \\ (\bar{p}_{\text{out}} \vee \bar{p}_{\text{in}} \vee m_{i,j} \vee \bar{c}_{\text{in}}) \wedge & (\bar{p}_{\text{out}} \vee \bar{p}_{\text{in}} \vee m_{i,j} \vee c_{\text{in}}) \wedge \\ (\bar{p}_{\text{out}} \vee \bar{p}_{\text{in}} \vee \bar{m}_{i,j} \vee c_{\text{in}}) \wedge & (\bar{p}_{\text{out}} \vee p_{\text{in}} \vee m_{i,j} \vee c_{\text{in}}) \end{aligned}$$

Arithmetic operations: Is 27 prime?

$$\begin{array}{ccccccc}
 & & & x_3 & x_2 & x_1 & x_0 \\
 & & & x_3y_0 & x_2y_0 & x_1y_0 & x_0y_0 & y_0 \\
 & & x_3y_1 & x_2y_1 & x_1y_1 & x_0y_1 & & y_1 \\
 & x_3y_2 & x_2y_2 & x_1y_2 & x_0y_2 & & & y_2 \\
 x_3y_3 & x_2y_3 & x_1y_3 & x_0y_3 & & & & y_3 \\
 \hline
 0 & 0 & 1 & 1 & 0 & 1 & 1 &
 \end{array}$$

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Arithmetic operations: Is 29 prime?

$$\begin{array}{ccccccc}
 & & & x_3 & x_2 & x_1 & x_0 \\
 & & & x_3y_0 & x_2y_0 & x_1y_0 & x_0y_0 & y_0 \\
 & & x_3y_1 & x_2y_1 & x_1y_1 & x_0y_1 & & y_1 \\
 & x_3y_2 & x_2y_2 & x_1y_2 & x_0y_2 & & & y_2 \\
 x_3y_3 & x_2y_3 & x_1y_3 & x_0y_3 & & & & y_3 \\
 \hline
 0 & 0 & 1 & 1 & 1 & 0 & 1 &
 \end{array}$$

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Arithmetic operations: Is 29 prime?

$$\begin{array}{rccccccc}
 & & & x_3 & x_2 & x_1 & x_0 & & \\
 & & & & & & & & \\
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 x_3y_3 & x_2y_3 & x_1y_3 & x_0y_3 & & & & y_3 & \\
 \hline
 0 & 0 & 1 & 1 & 1 & 0 & 1 & &
 \end{array}$$

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Arithmetic operations: Term rewriting

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Strongest rewriting solvers use SAT (e.g. AProVE)

Example solved by Hofbauer, Waldmann (2006)

Arithmetic operations: Term rewriting proof outline

Proof termination of:

- $aa \rightarrow_R bc$
- $bb \rightarrow_R ac$
- $cc \rightarrow_R ab$

Proof outline:

- Interpret a, b, c by linear functions $[a], [b], [c]$ from \mathbb{N}^4 to \mathbb{N}^4
- Interpret string concatenation by function composition
- Show that if $[uaav](0, 0, 0, 0) = (x_1, x_2, x_3, x_4)$ and $[ubcv](0, 0, 0, 0) = (y_1, y_2, y_3, y_4)$ then $x_1 > y_1$
- Similar for $bb \rightarrow ac$ and $cc \rightarrow ab$
- Hence every rewrite step gives a decrease of $x_1 \in \mathbb{N}$, so rewriting terminates

Arithmetic operations: Term rewriting linear functions

The linear functions:

$$[a](\vec{x}) = \begin{pmatrix} 1 & 0 & 0 & 3 \\ 0 & 0 & 2 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

$$[b](\vec{x}) = \begin{pmatrix} 1 & 2 & 0 & 0 \\ 0 & 2 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} 0 \\ 2 \\ 0 \\ 0 \end{pmatrix}$$

$$[c](\vec{x}) = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 2 & 0 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} 1 \\ 0 \\ 3 \\ 0 \end{pmatrix}$$

Checking decrease properties using linear algebra

Arithmetic operations: Solving Mathematical Challenges

Recent articles in Quanta Magazine:

- **Computer Search Settles 90-Year-Old Math Problem** August 19, 2020
- **Computer Scientists Attempt to Corner the Collatz Conjecture** August 26, 2020
- **How Close Are Computers to Automating Mathematical Reasoning?** August 27, 2020



Arithmetic operations: Collatz

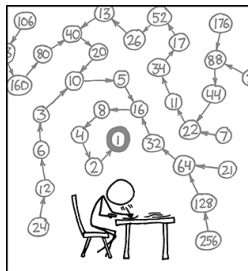
Resolving foundational algorithm questions

$$\text{Col}(n) = \begin{cases} n/2 & \text{if } n \text{ is even} \\ (3n + 1)/2 & \text{if } n \text{ is odd} \end{cases}$$

`while(n > 1) n = Col(n);` terminates?

Find a non-negative function $\text{fun}(n)$ s.t.

$$\forall n > 1 : \text{fun}(n) > \text{fun}(\text{Col}(n))$$



THE COLLATZ CONJECTURE STATES THAT IF YOU PICK A NUMBER, AND IF IT'S EVEN DIVIDE IT BY TWO AND IF IT'S ODD MULTIPLY IT BY THREE AND ADD ONE, AND YOU REPEAT THIS PROCEDURE LONG ENOUGH, EVENTUALLY YOUR FRIENDS WILL STOP CALLING TO SEE IF YOU WANT TO HANG OUT.

source: xkcd.com/710

Arithmetic operations: Collatz

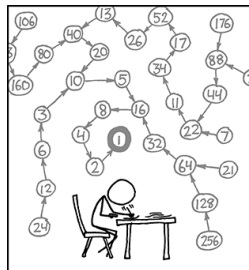
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$\mathbf{t}(\mathbf{t}(\vec{0}))$	$\mathbf{t}(\mathbf{f}(\mathbf{t}(\vec{0})))$	$\mathbf{t}(\mathbf{f}(\mathbf{f}(\mathbf{f}(\vec{0}))))$	$\mathbf{t}(\mathbf{f}(\mathbf{f}(\vec{0})))$	$\mathbf{t}(\mathbf{f}(\vec{0}))$	$\mathbf{t}(\vec{0})$

Arithmetic operations: Collatz

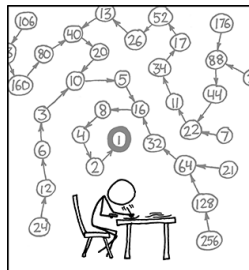
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$\begin{pmatrix} 5 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 4 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 3 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 2 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 0 \\ 1 \end{pmatrix}$

using $\mathbf{t}(\vec{x}) = \begin{pmatrix} 1 & 5 \\ 0 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ and $\mathbf{f}(\vec{x}) = \begin{pmatrix} 1 & 3 \\ 0 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

Arithmetic Operations: Collatz as Rewriting System

