

**CS11-737 Multilingual NLP**

# **Semi-supervised and Unsupervised Machine Translation**

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<https://lileicc.github.io/course/11737mnlp23fa/>



**Carnegie Mellon University**

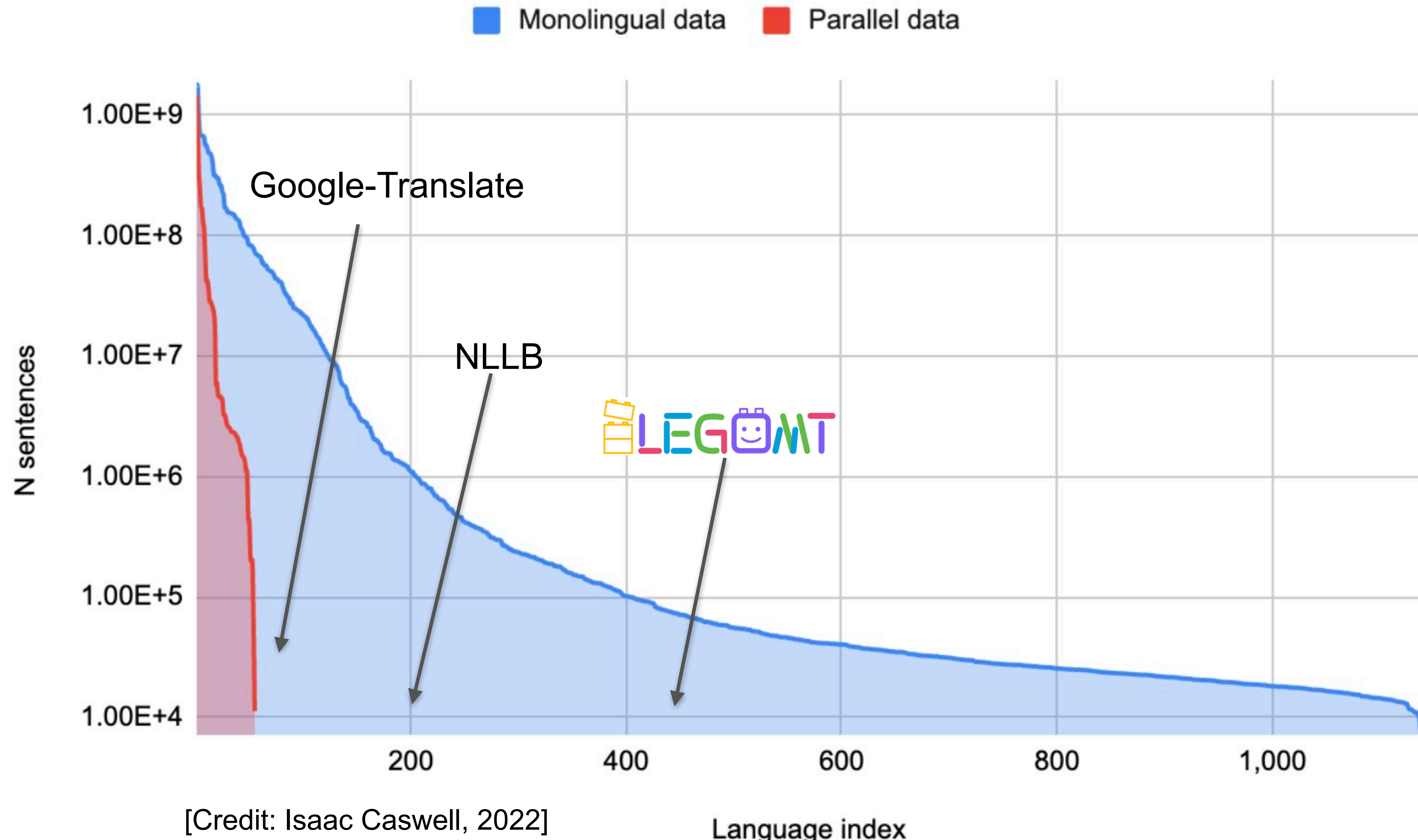
**Language Technologies Institute**

# Outline

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- Semi-supervised NMT
- Unsupervised MT

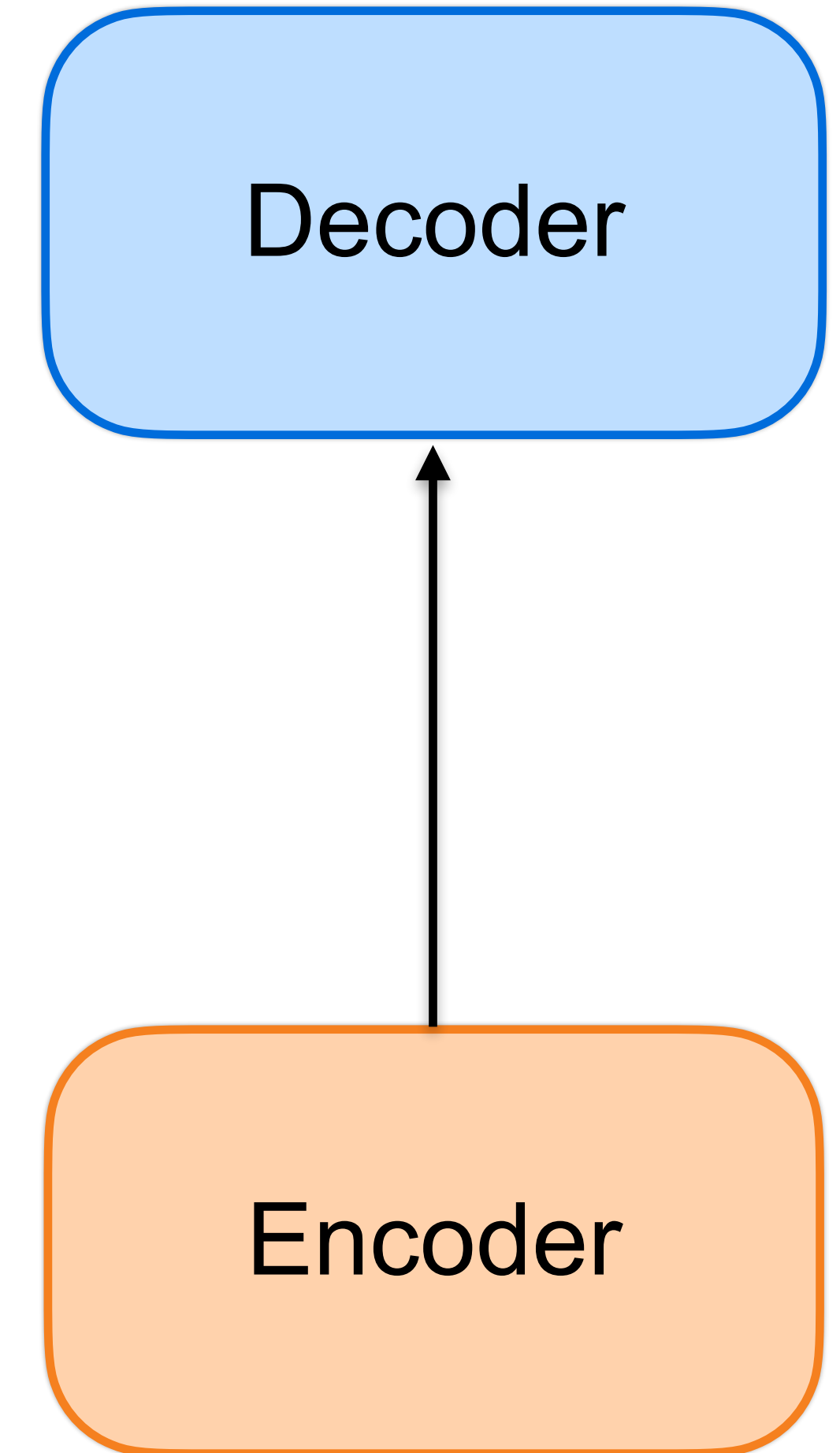
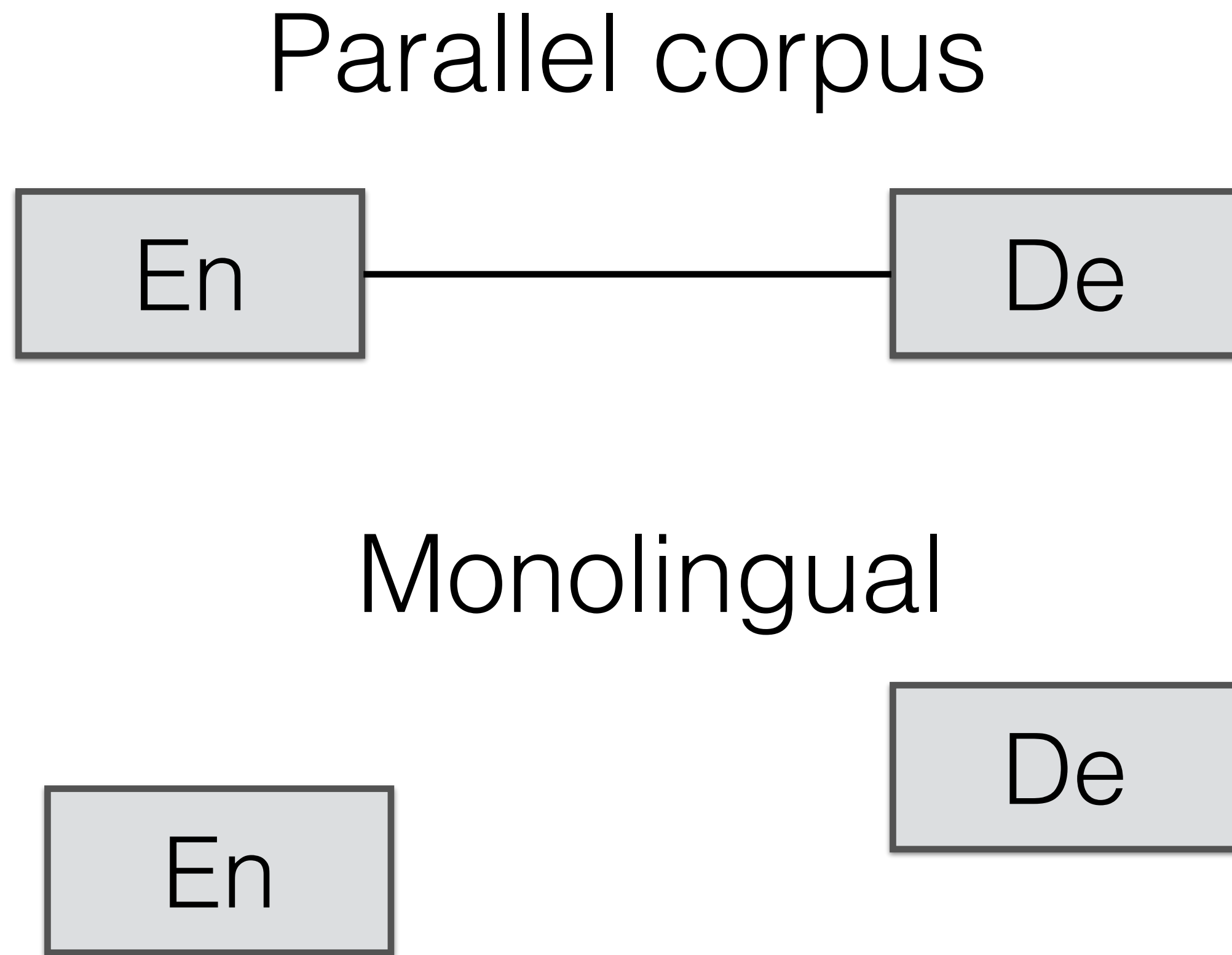
# Main Bottleneck for NMT: Data Scarcity



[Credit: Isaac Caswell, 2022]

# Semi-supervised Learning for MT

- Using both parallel corpus and monolingual data to train an MT system



# WMT 23 General MT

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- Testing MT's capability in general domain: news, conversation, social media
- <https://www2.statmt.org/wmt23/translation-task.html>
- Chinese to/from English
- German to/from English: document-level (testset won't be sentence broken)
- Hebrew to/from English: low-resource
- Japanese to/from English
- Russian to/from English
- Ukrainian to/from English
- Czech to Ukrainian: non-English
- English to Czech

# WMT 23 Data

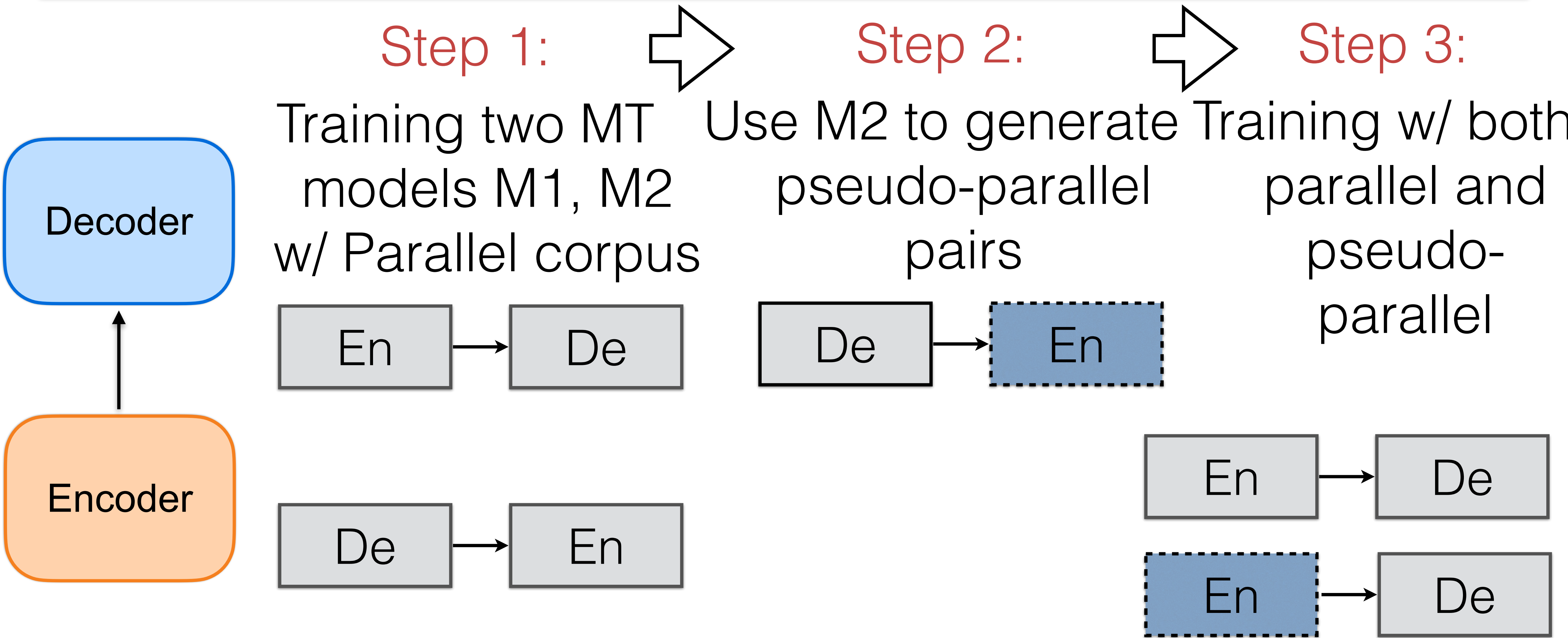
## WMT23 Parallel Corpus

## WMT23 Monolingual Corpus

File	CS-EN	DE-EN	JA-EN	RU-EN	ZH-EN	HE-EN	UK-EN	UK-CS
<a href="#">Europarl v10</a>	✓	✓						
<a href="#">ParaCrawl v9</a>	✓	✓	✓	✓	✓		✓	
<a href="#">Common Crawl corpus</a>	✓	✓		✓				
<a href="#">News Commentary v18.1</a>	✓	✓	✓	✓	✓			
<a href="#">CzEng 2.0</a>	✓							
<a href="#">Yandex Corpus</a>				✓				
<a href="#">Wiki Titles v3</a>	✓	✓	✓	✓	✓			
<a href="#">UN Parallel Corpus V1.0</a>				✓	✓			
<a href="#">Tilde MODEL corpus</a>	✓	✓		✓			✓	
<a href="#">CCMT Corpus</a>					✓			
<a href="#">WikiMatrix</a>	✓	✓	✓	✓	✓	✓	✓	✓
<a href="#">Back-translated news</a>	✓			✓	✓			
<a href="#">Japanese-English Subtitle Corpus</a>			✓					

Corpus	CS	DE	EN	JA	RU	ZH	HE	UK
<a href="#">News crawl</a>	✓	✓	✓	✓	✓	✓		✓
<a href="#">News discussions</a>			✓					
<a href="#">Europarl v10</a>	✓	✓	✓					
<a href="#">News Commentary</a>	✓	✓	✓	✓	✓	✓		
<a href="#">Common Crawl</a>	✓	✓	✓	✓	✓	✓		
<a href="#">Extended Common Crawl</a>	✓	✓		✓	✓	✓		
<a href="#">UberText Corpus</a>								✓
<a href="#">Leipzig Corpora</a>	✓	✓	✓	✓	✓	✓	✓	✓
<a href="#">Legal Ukrainian</a>								✓

# Back Translation



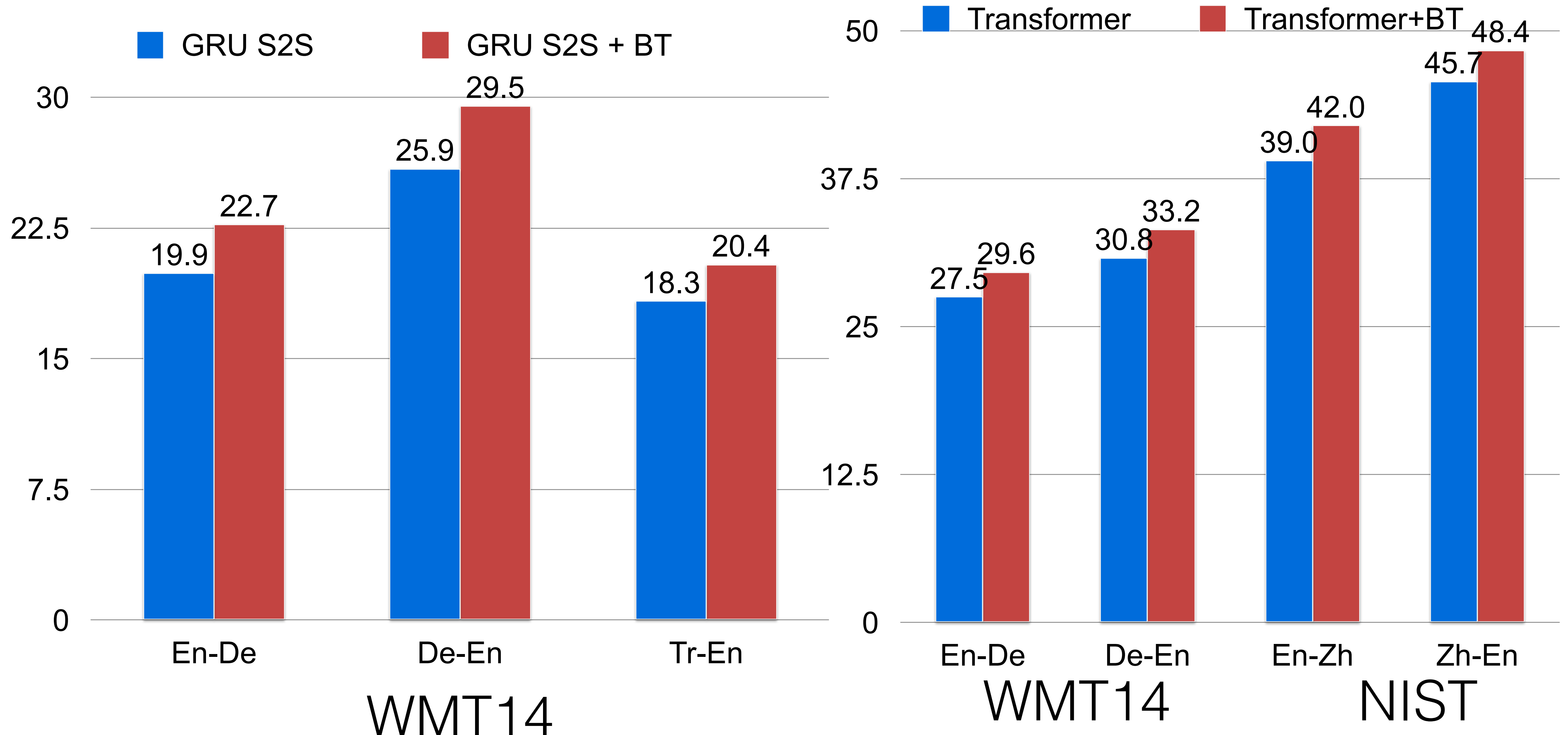
# Back Translation Details

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1. An initial parallel data  $D = \langle x, y \rangle$  (e.g.  $D_e - E_n$ )
2. Target side monolingual data ( $E_n$ )
3. Train two separate NMT systems,  $M1 : x \rightarrow y$ , and  $M2 : y \rightarrow x$
4. Now use  $M2$  to generate translation for  $y \rightarrow x' = M2(y)$ , denote this synthetic pairs as  $D' = \{\langle x', y \rangle\}$
5. Combine both  $D$  and  $D' \rightarrow D'' = D \cup D'$
6. Train a new model  $M$  from  $x \rightarrow y$  using  $D''$

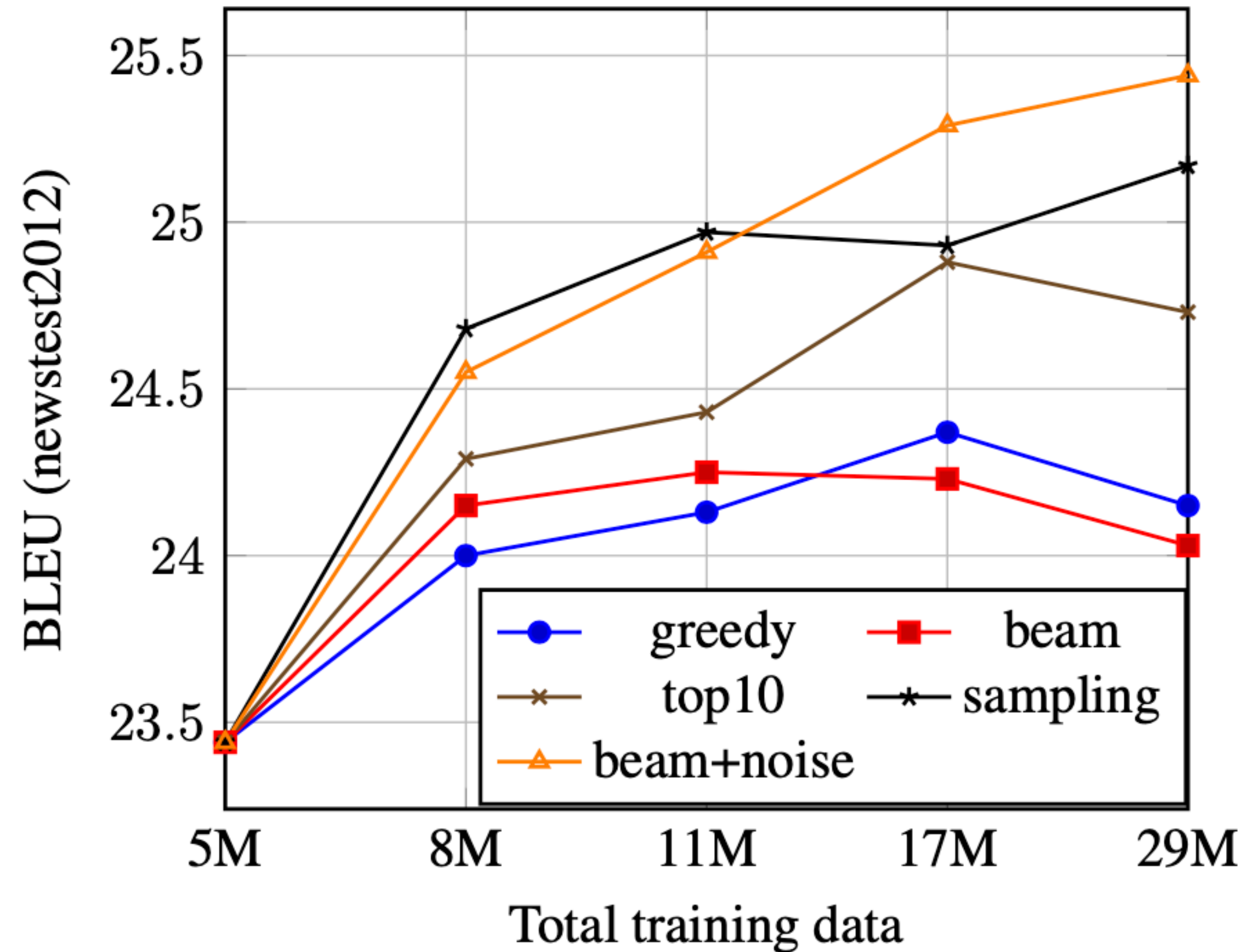


# Does Back Translation work? Yes!



# Decoding Strategy in Back Translation

- Two best practice (for high-resource):
  - Noisy beam search (adding noise to source side helps!)
    - Select the highest scoring output
    - Higher quality, but lower diversity, potential for data bias
  - Sampling (instead of beam search)
    - Randomly sample from back-translation model
    - Lower overall quality, but higher diversity



# Some Consideration

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- Why back-translation from target side to source?
  - why source is pseudo?
- Can we use source monolingual to generation synthetic pairs?
  - Forward-translation

# Using Source Monolingual? Forward Translation

- Like back-translation
- Use the model  $x \rightarrow y$  to create monolingual data
- Train  $x \rightarrow y$  MT model again on

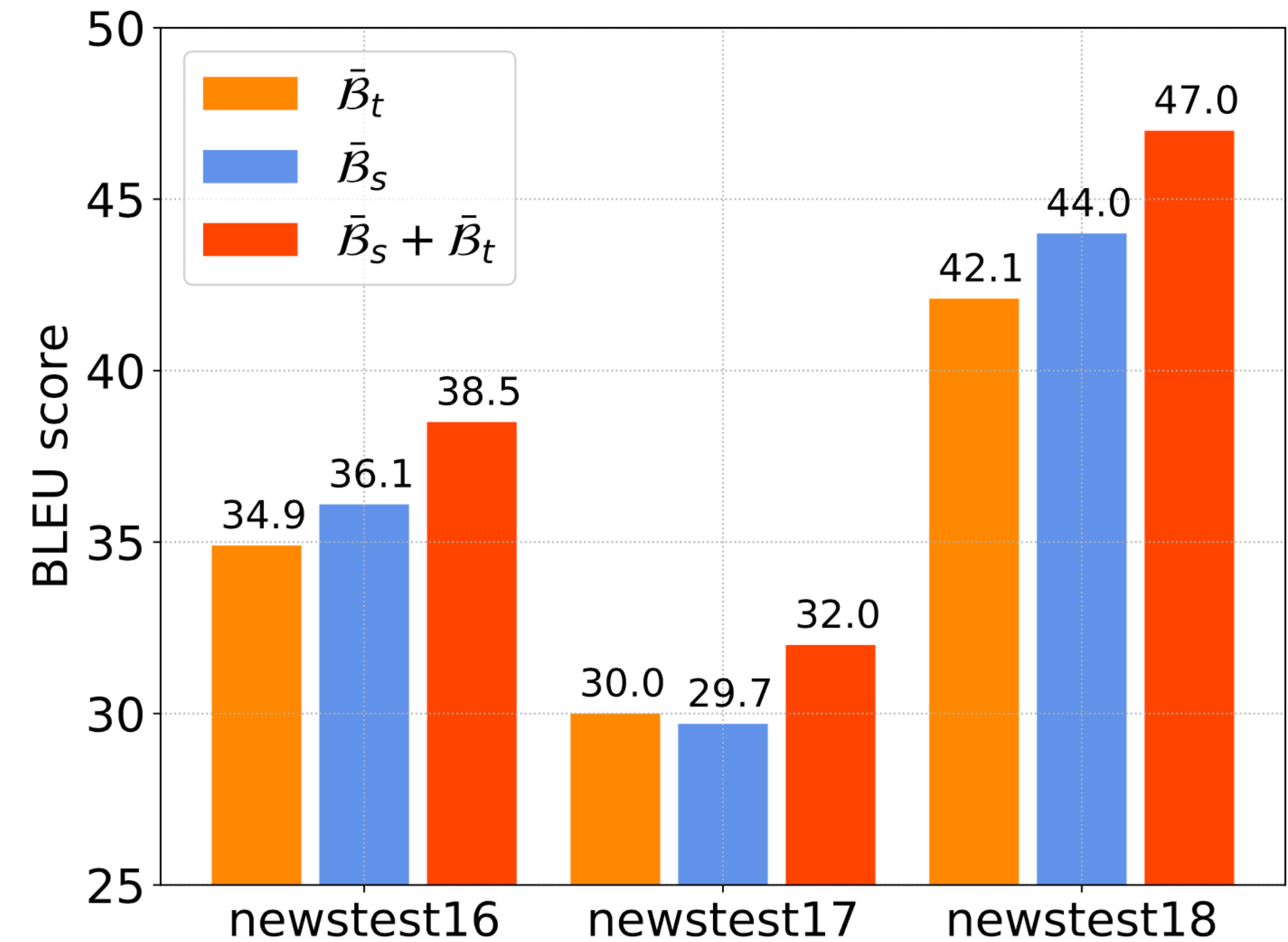


Figure 1: The de-tokenized SacreBLEU scores on En→De newstest2016, newstest2017 and newstest2018 of the models trained by different synthetic data: (1)  $\bar{B}_s$  from source-side monolingual data only, (2)  $\bar{B}_t$  from target-side monolingual data only and (3) the combination of  $\bar{B}_s$  and  $\bar{B}_t$ .

# Forward Translation + Back Translation + Noise

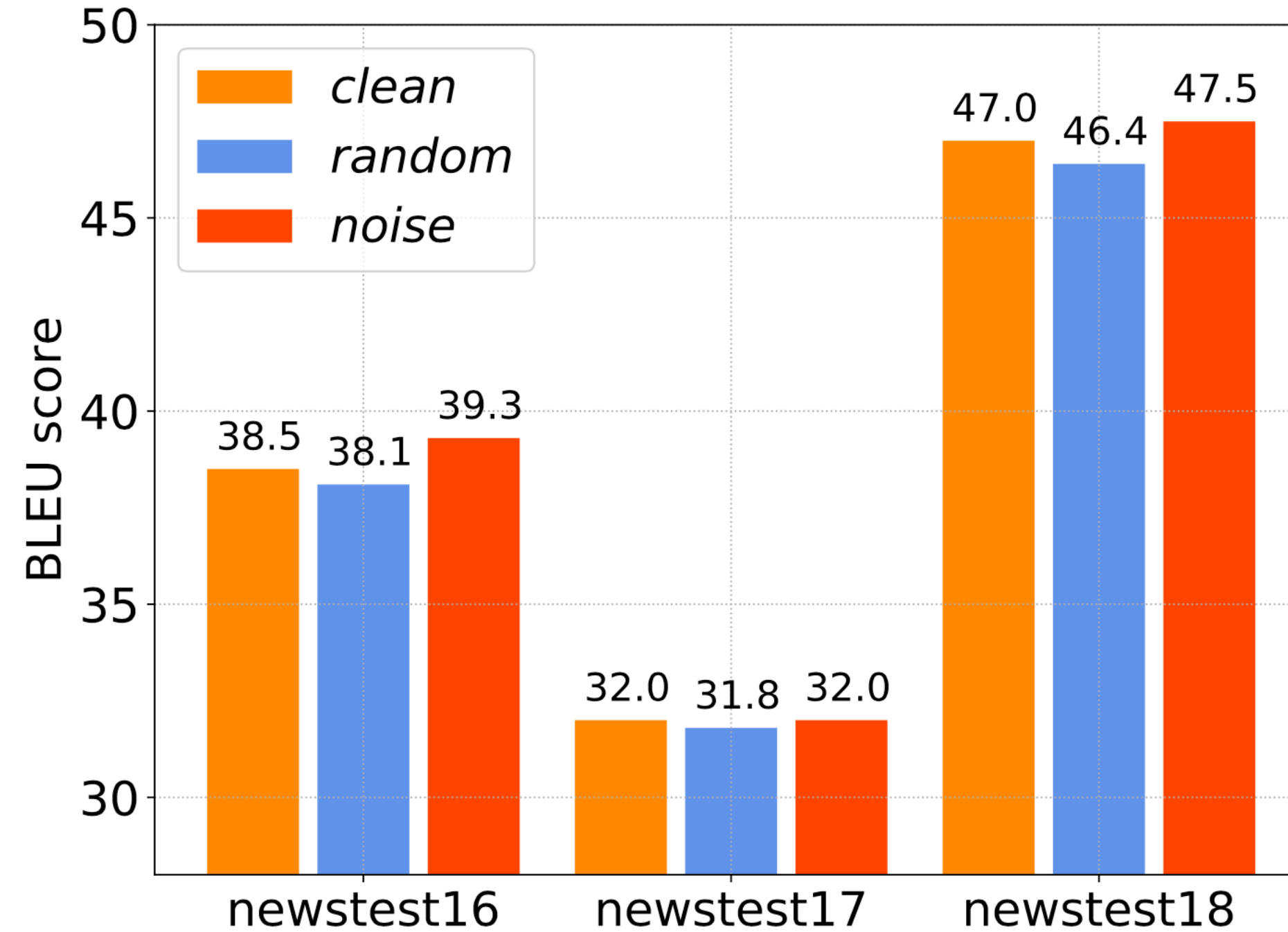


Figure 2: The de-tokenized SacreBLEU scores on En→De newstest2016, newstest2017 and newstest2018 of the models trained by synthetic data generated in different ways: (1) clean  $\bar{\mathcal{B}}_s$  and  $\bar{\mathcal{B}}_t$  data, (2)  $\bar{\mathcal{B}}_s^r$  and randomly sampled  $\bar{\mathcal{B}}_t^r$  data, and (3) noised  $\bar{\mathcal{B}}_s^n$  and  $\bar{\mathcal{B}}_t^n$  data.

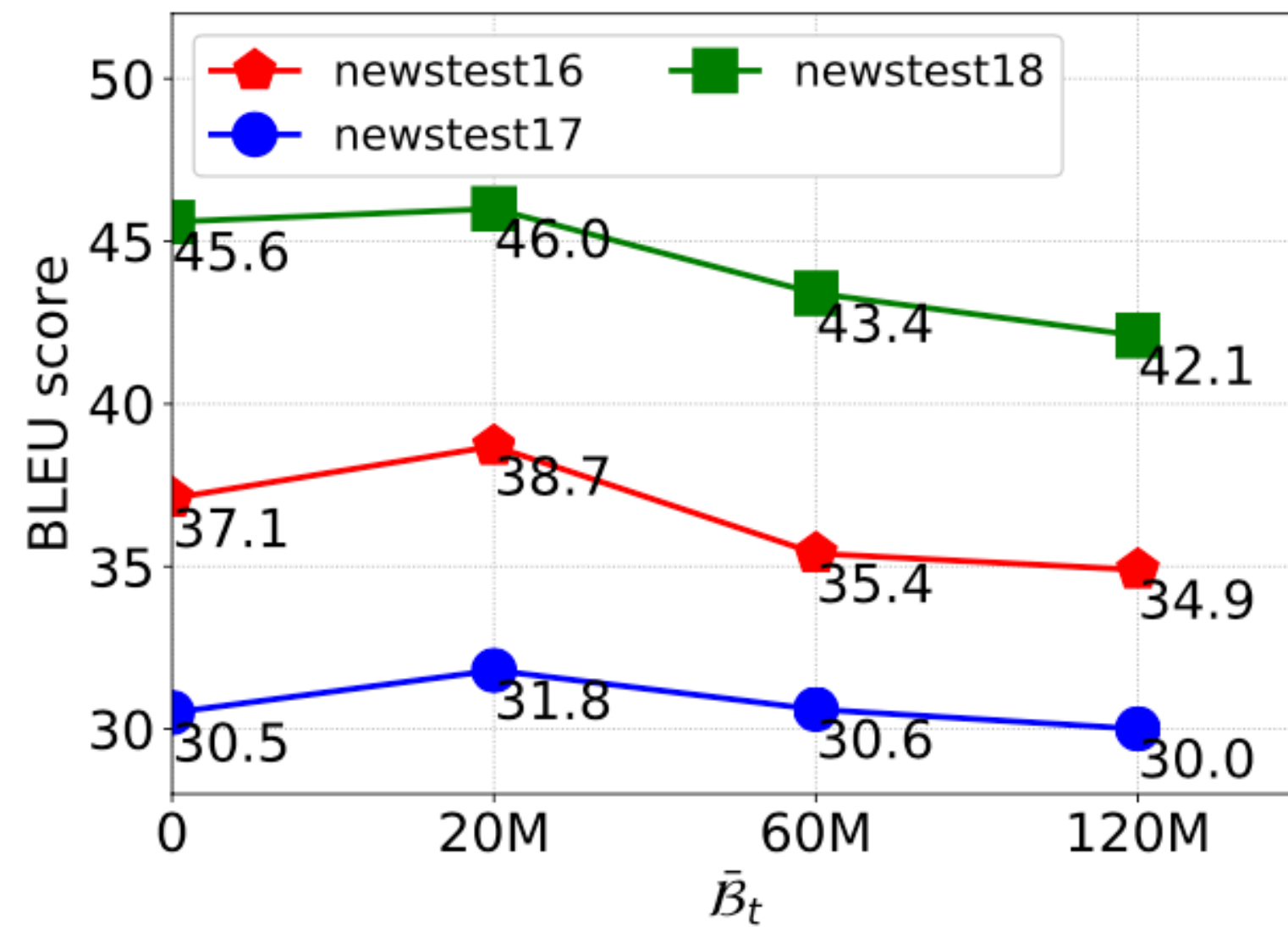
# Some Consideration

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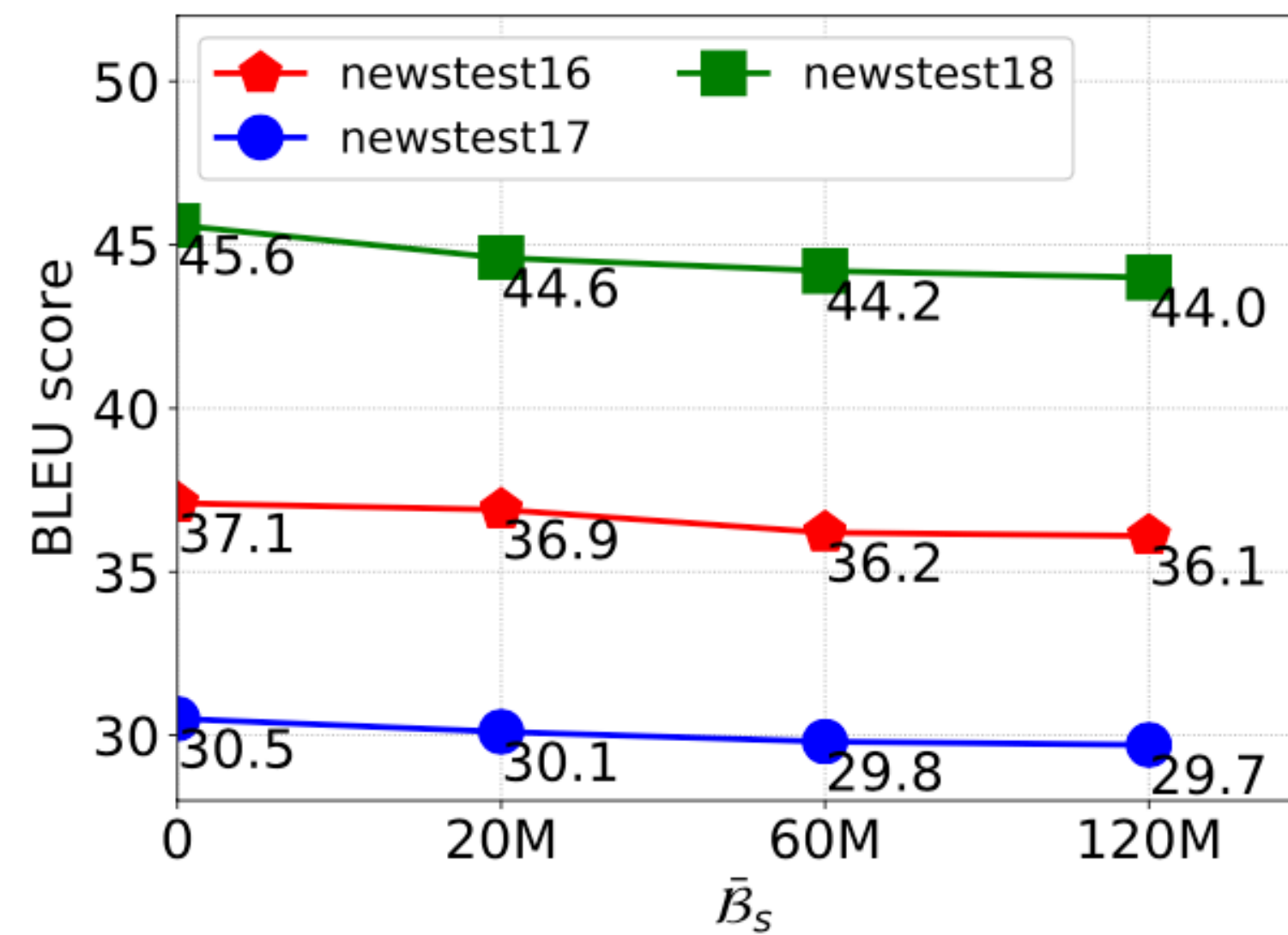
- What kind of monolingual data?
- How much monolingual data?
  - Ratio parallel vs. synthetic?
  - Usually 1:1

# How much monolingual for BT?

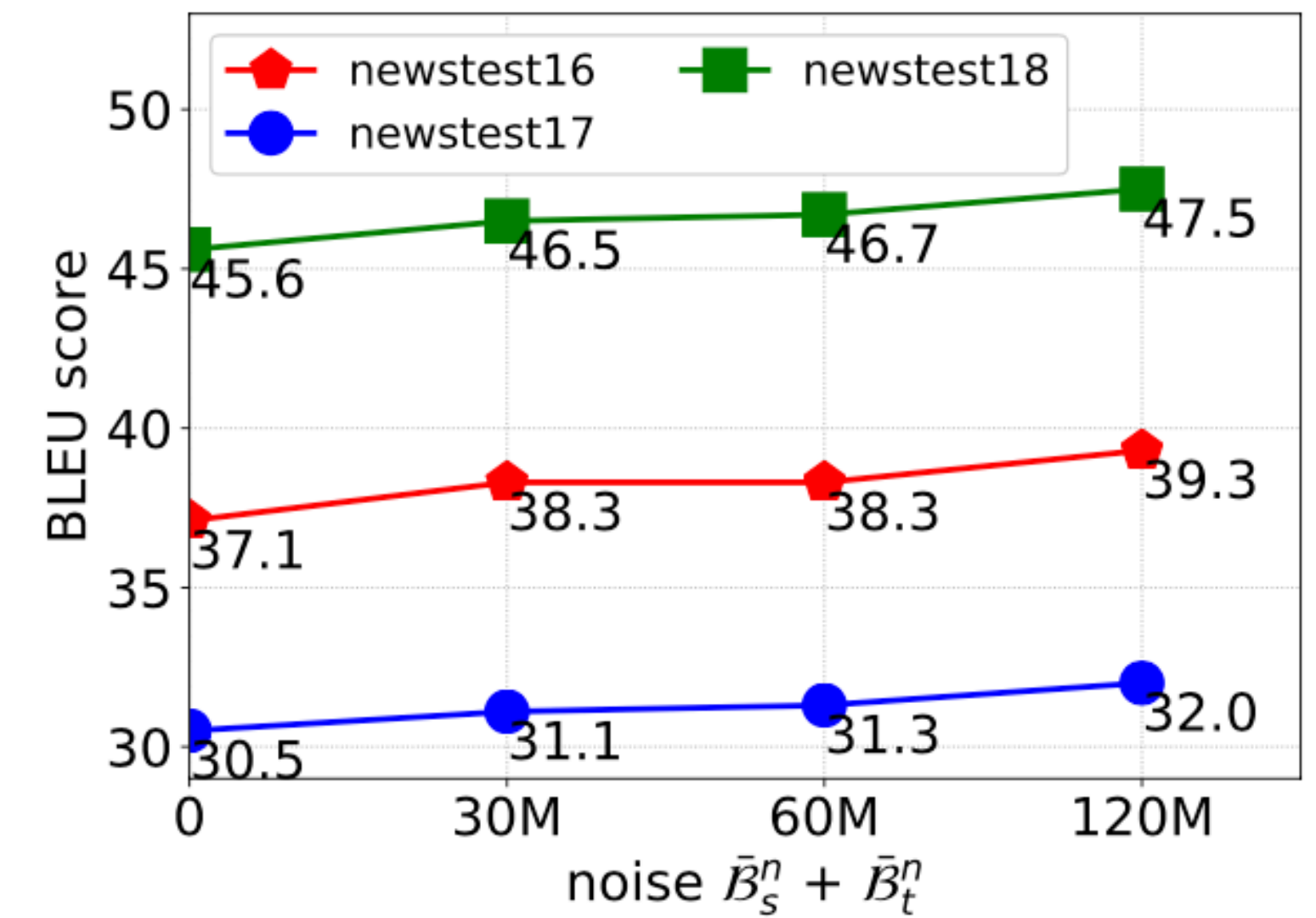
- More is better?
- Over BT hurts
- But noised-BT can sustain improvement!



(a) Different scales of  $\bar{B}_t$  data.



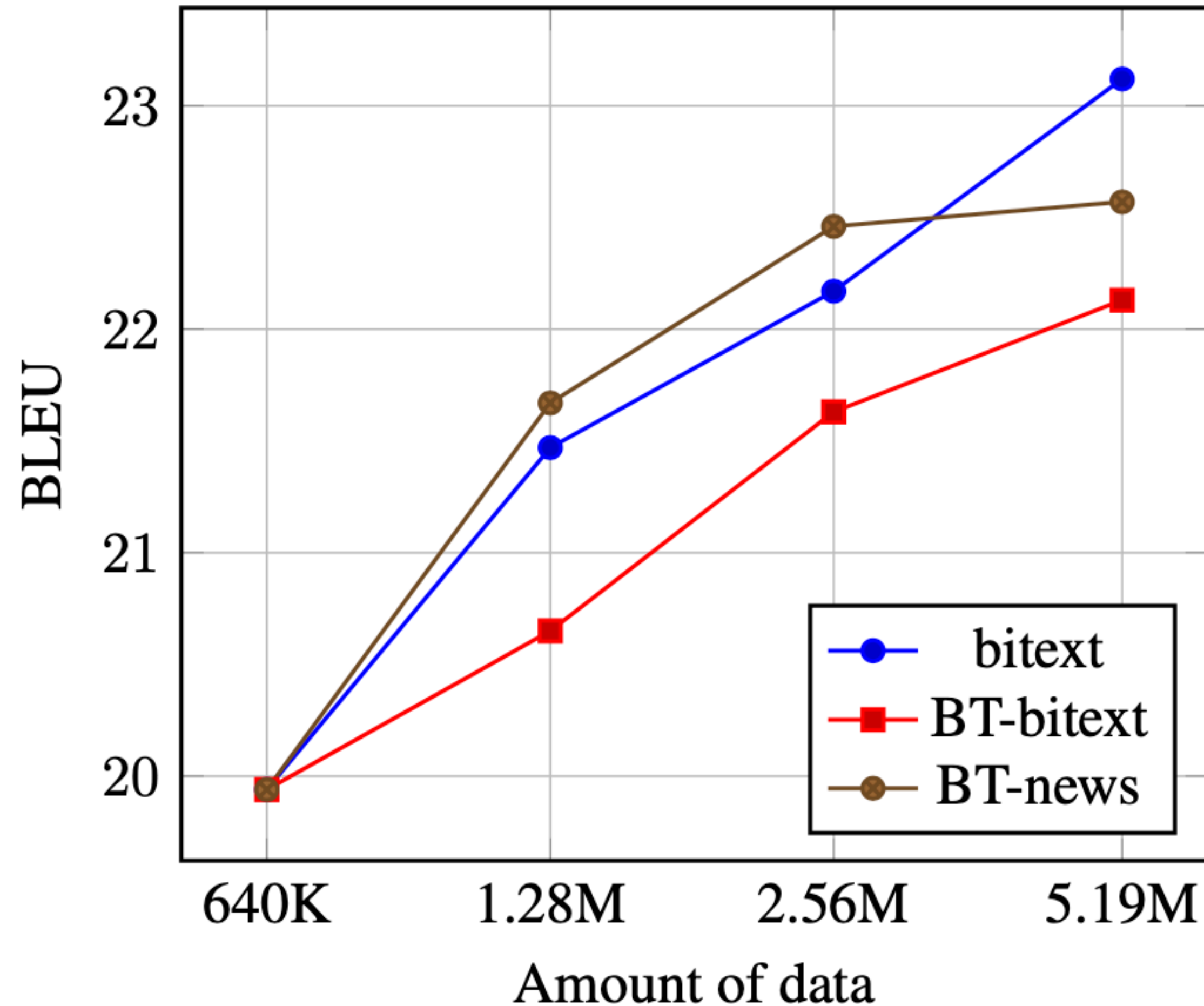
(b) Different scales of  $\bar{B}_s$  data.



(c) Different scales of noised  $\bar{B}_s + \bar{B}_t$  data.

# Target Domain for Back Translation

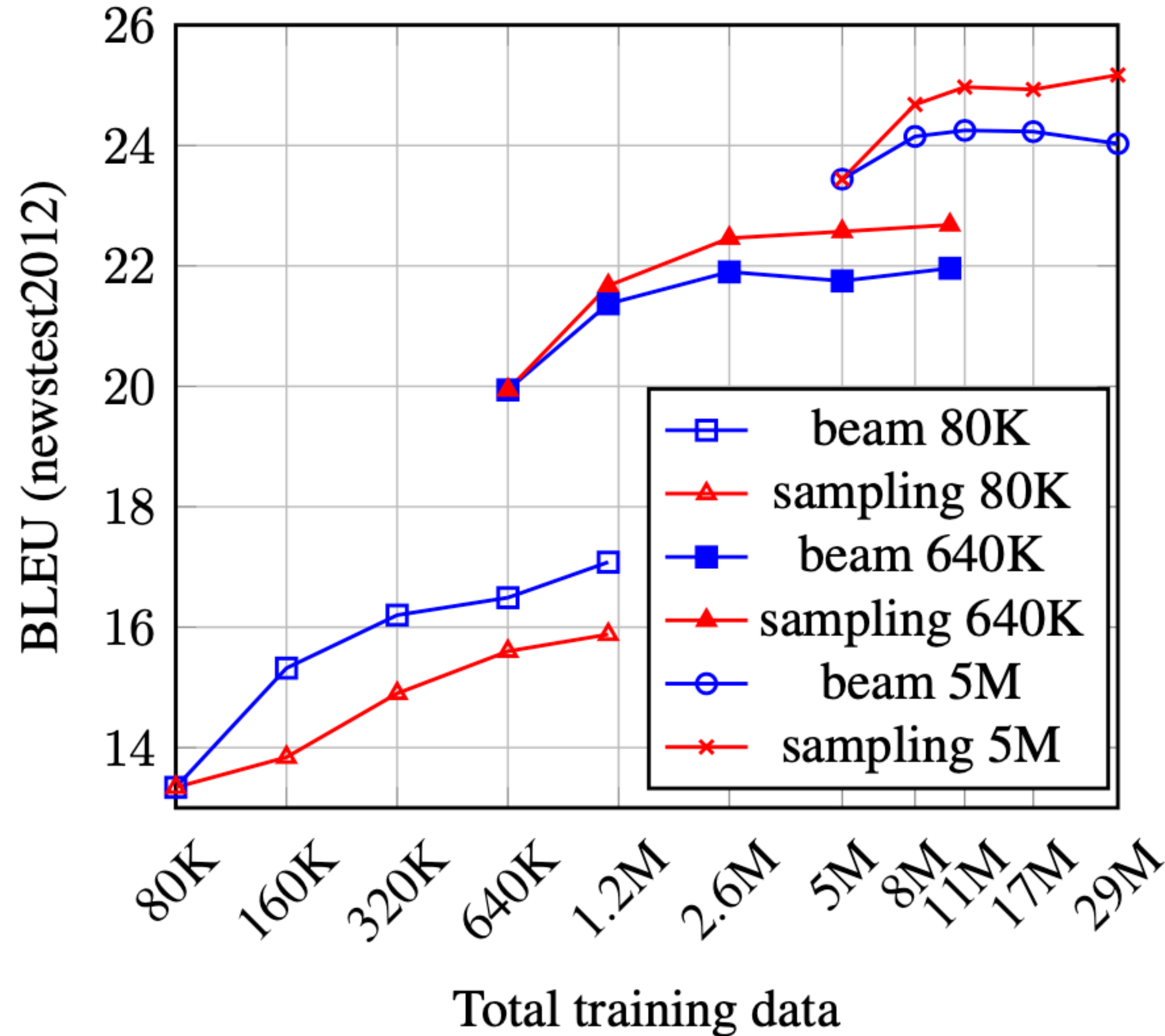
- Better to pick monolingual data the same as target domain



(a) newstest2012

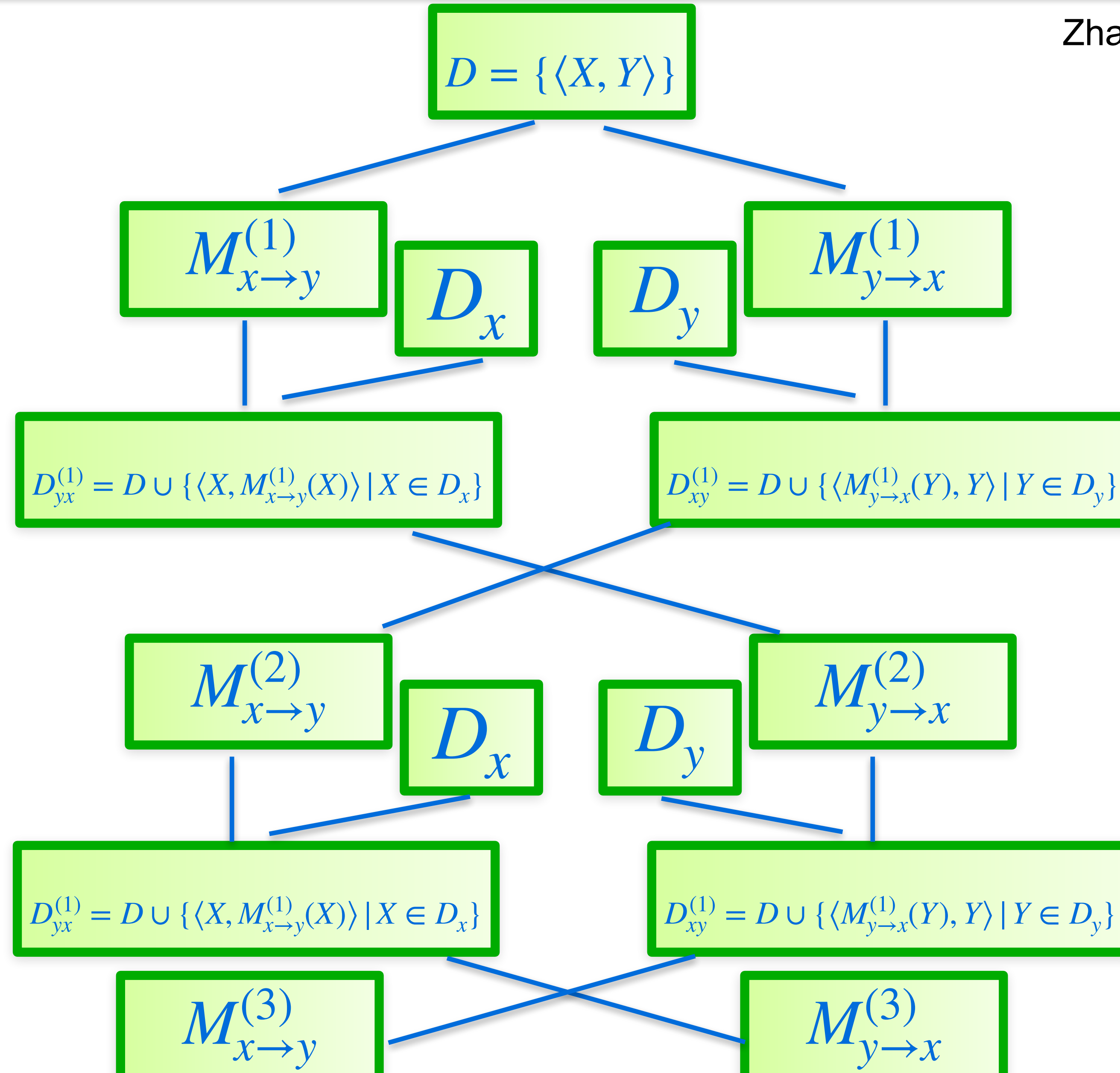


# BT in Low-resource Setting



# Iterative Joint Back Translation

Zhang et al. Joint Training for Neural Machine Translation Models with Monolingual Data. 2018



# Probabilistic Model for Semi-Supervised MT

- For monolingual  $Y_m \in D_y$ , treat  $X$  as a random variable,  
 $X \sim P(X | Y_m; \theta^{\leftarrow})$

- Training with parallel and monolingual corpus  
 $\ell = \text{CE} + \text{Expected reconstruction}$

$$\begin{aligned} &= \sum_{\langle X_n, Y_n \rangle \in D} \log P(Y_n | X_n; \theta^{\rightarrow}) + \sum_{Y_m \in D_Y} \log \sum_{X \in V^*} P(Y_m | X; \theta^{\rightarrow}) P(X | Y_m; \theta^{\leftarrow}) \\ &\quad \sum_{\langle X_n, Y_n \rangle \in D} \log P(X_n | Y_n; \theta^{\leftarrow}) + \sum_{X_m \in D_x} \log \sum_{Y \in V^*} P(Y | X_m; \theta^{\rightarrow}) P(X_m | Y; \theta^{\leftarrow}) \end{aligned}$$

# Training

- SGD

- An instance Monte-Carlo EM

$$\ell = \sum_{\langle X_n, Y_n \rangle \in D} \log P(Y_n | X_n; \theta^{\rightarrow}) + \sum_{Y_m \in D_Y} \log \sum_{X \in V^*} P(Y_m | X; \theta^{\rightarrow}) P(X | Y_m; \theta^{\leftarrow})$$

- $$\sum_{\langle X_n, Y_n \rangle \in D} \log P(X_n | Y_n; \theta^{\leftarrow}) + \sum_{X_m \in D_x} \log \sum_{Y \in V^*} P(Y | X_m; \theta^{\rightarrow}) P(X_m | Y; \theta^{\leftarrow})$$

$$\frac{\partial \ell}{\partial \theta^{\rightarrow}} = \dots + \sum_{Y_m \in D_Y} \sum_{X \in V^*} \frac{P(Y_m | X; \theta^{\rightarrow}) P(X | Y_m; \theta^{\leftarrow})}{\sum_{X' \in V^*} P(Y_m | X'; \theta^{\rightarrow}) P(X' | Y_m; \theta^{\leftarrow})} \frac{\partial \log P(Y_m | X; \theta^{\rightarrow})}{\partial \theta^{\rightarrow}} + \dots$$

- Alg 1: generate top-k candidates, then compute the gradient.

# Back-translation as a Special Case

- $$\frac{\partial \ell}{\partial \theta^{\rightarrow}} = \dots + \sum_{Y_m \in D_Y} \sum_{X \in V^*} \frac{P(Y_m | X; \theta^{\rightarrow}) P(X | Y_m; \theta^{\leftarrow})}{\sum_{X' \in V^*} P(Y_m | X'; \theta^{\rightarrow}) P(X' | Y_m; \theta^{\leftarrow})} \frac{\partial \log P(Y_m | X; \theta^{\rightarrow})}{\partial \theta^{\rightarrow}} + \dots$$
- If instead of top-k, just pick the top-1 beam search result,  $\implies$  back-translation
- Back-translation is an instance of Semi-supervised MT
- Other ways to implement?

# Also known as Dual Learning

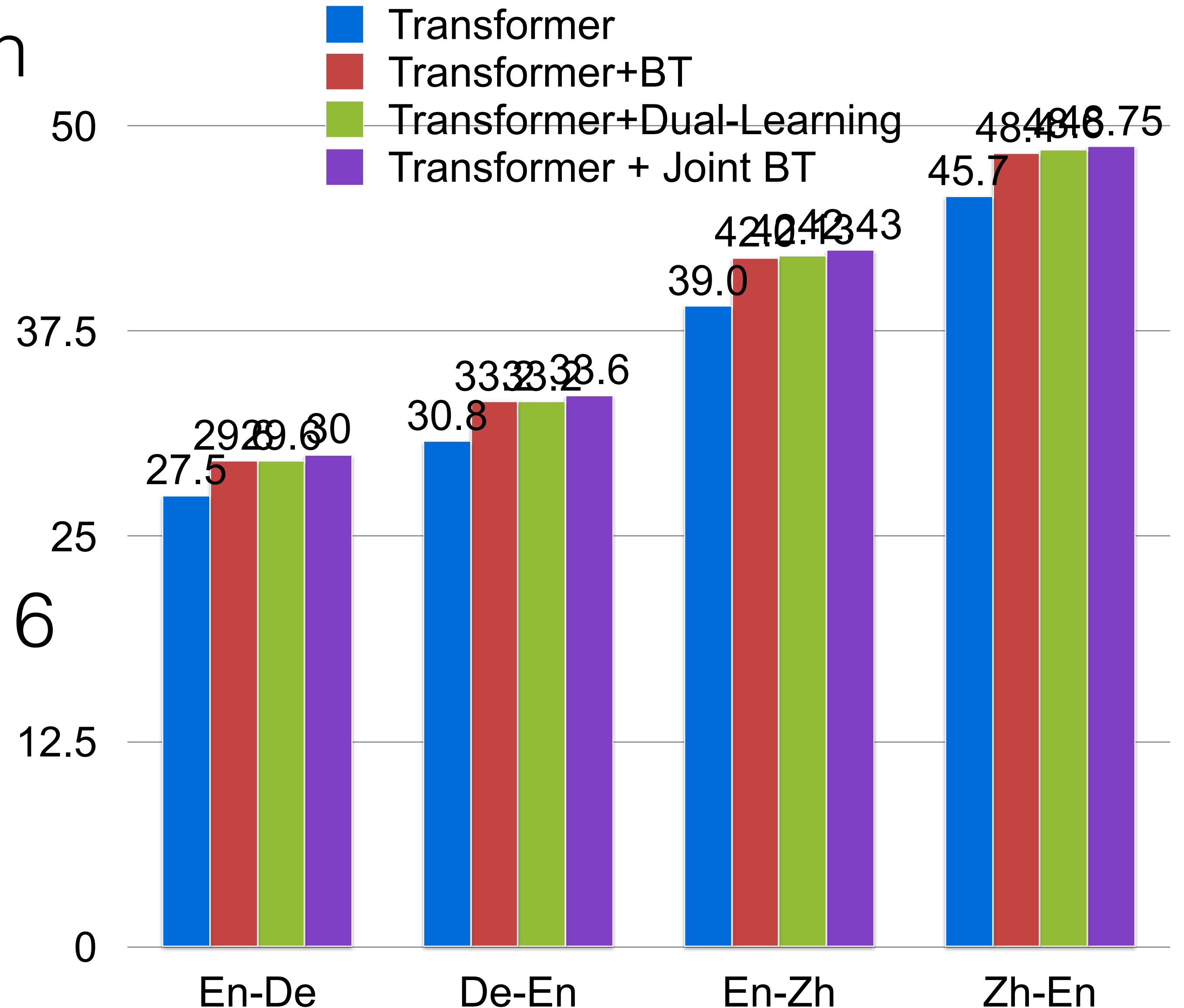
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$$\ell = \sum_{Y_m \in D_Y} \sum_{X \in V^*} P(X | Y_m; \theta^{\leftarrow}) \left( \log P(Y_m | X; \theta^{\rightarrow}) + \log P(X; \theta_X) \right)$$

- essentially the lower bound of the complete log-likelihood (multiplies with language model probability)

# Comparing Backtranslation and Dual Learning

- Back-translation [Sennrich 2016], Cheng 2016, Dual Learning [He 2016], joint back-translation [Zhang 2018], all have same performance.
- Formulation of Cheng 2016 and Zhang 2018 are the same.



# Unsupervised Neural Machine Translation



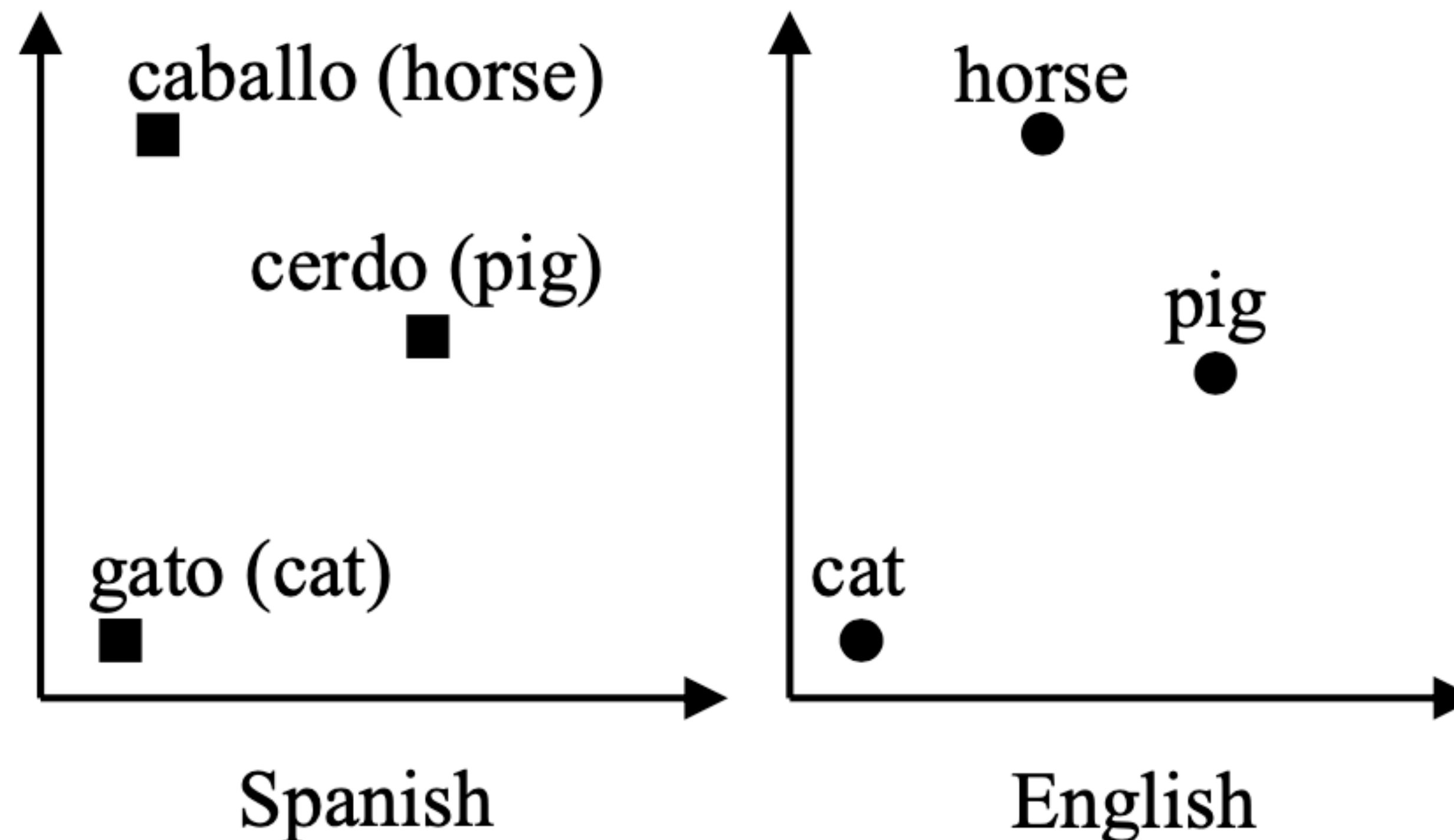
# Unsupervised Machine Translation

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- Learning without supervision
  - No parallel corpus, only monolingual data
- Why?
  - many language pairs do not have parallel sentences, or very expensive to create parallel sentences by human
  - but monolingual data are abundant
- How? Basic idea:
  - Cross-lingual pre-training
  - Weight sharing
  - Iterative Back Translation

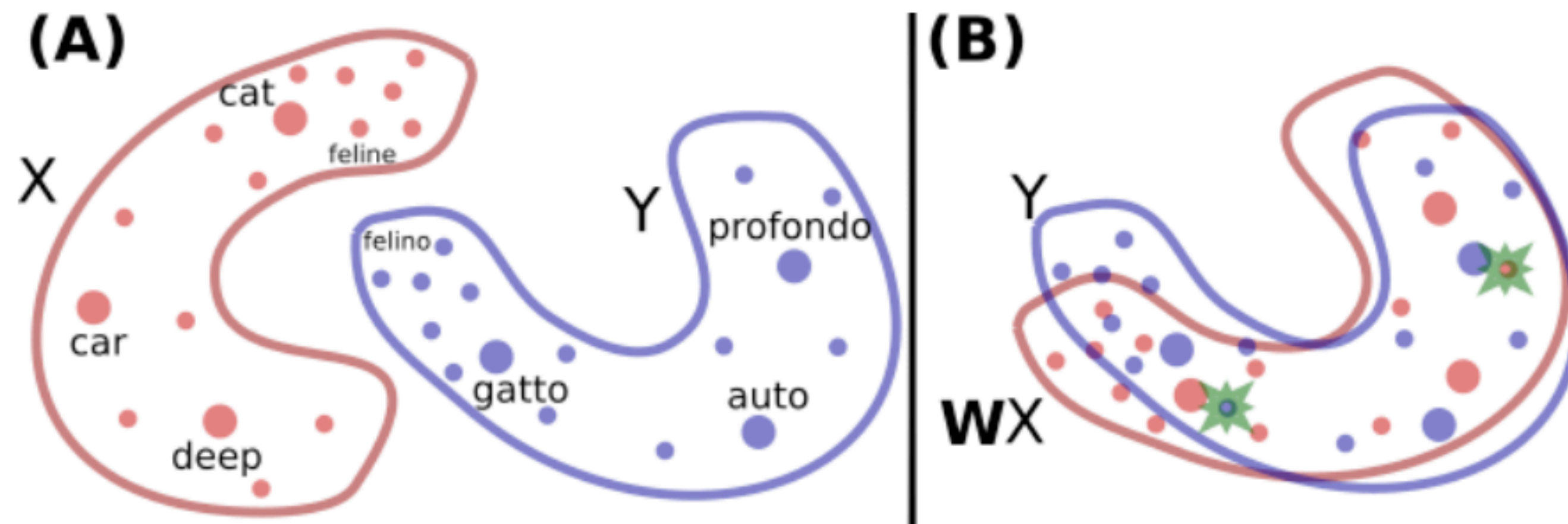
# Unsupervised Lexicon Induction

- Also called word translation
- Hypothesis: words with the same meaning in two languages share isomorphic embedding space



# Lexicon Induction: Mapping of the Embedding Space

- To learn a matrix  $W$
- Supervised setting (pairs of aligned words available)  
 $\arg \min \|XW - Y\|_f$ 
  - closed form solution for this
- How to learn  $W$  without aligned word pairs?

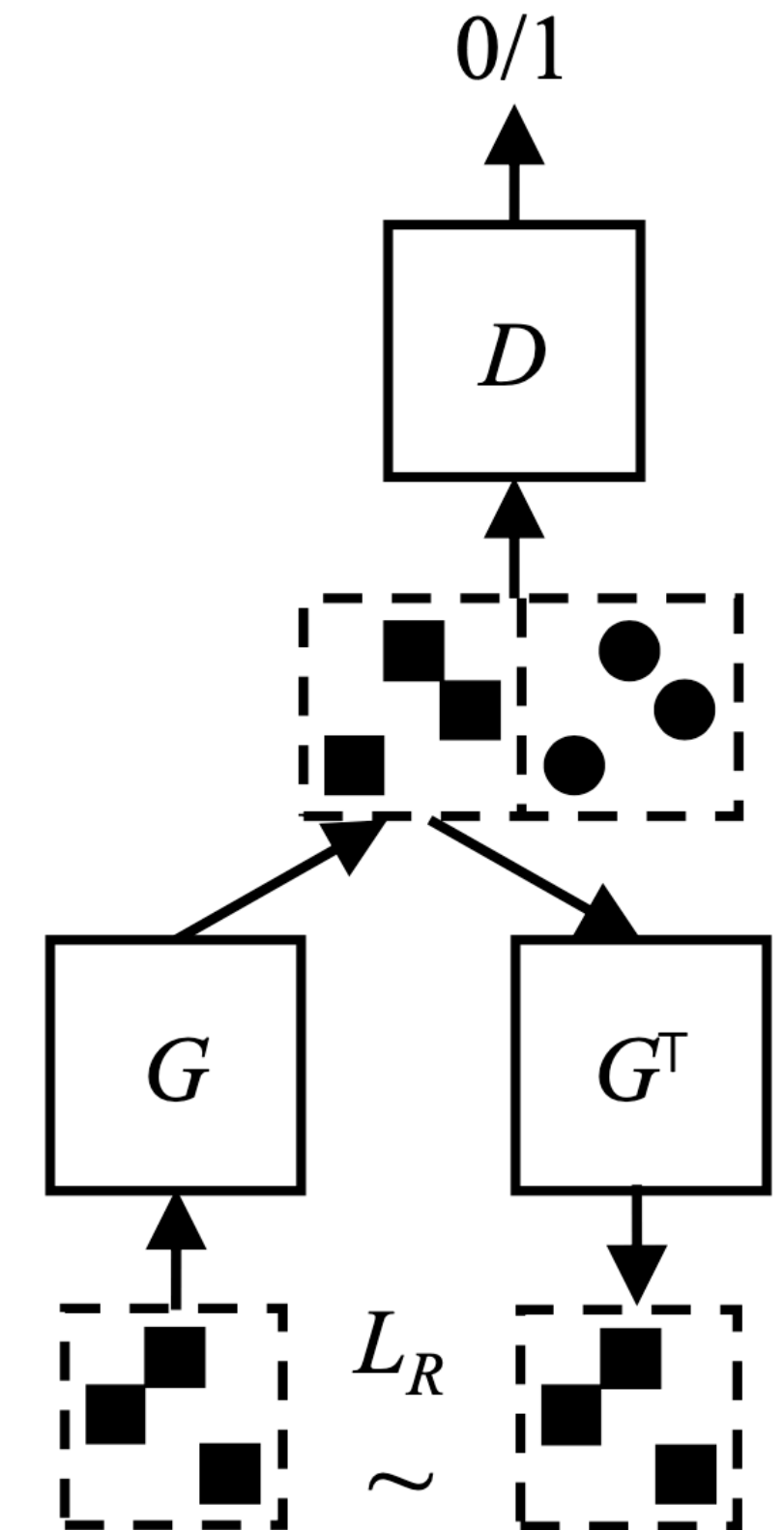


# Lexicon Induction via Adversarial Training

- $x, y$  are pretrained word embeddings in two languages. But not aligned.
- Using a discriminator to distinguish between
  - $Wx$  and  $y$
  - A feedforward NN with 1 hidden layers.
- Alternating between

$$\min_D L_D = -\log D(y) - \log(1 - D(Wx))$$

$$\min_W L_G = -\log D(Wx) - \cos(x, W^T Wx)$$



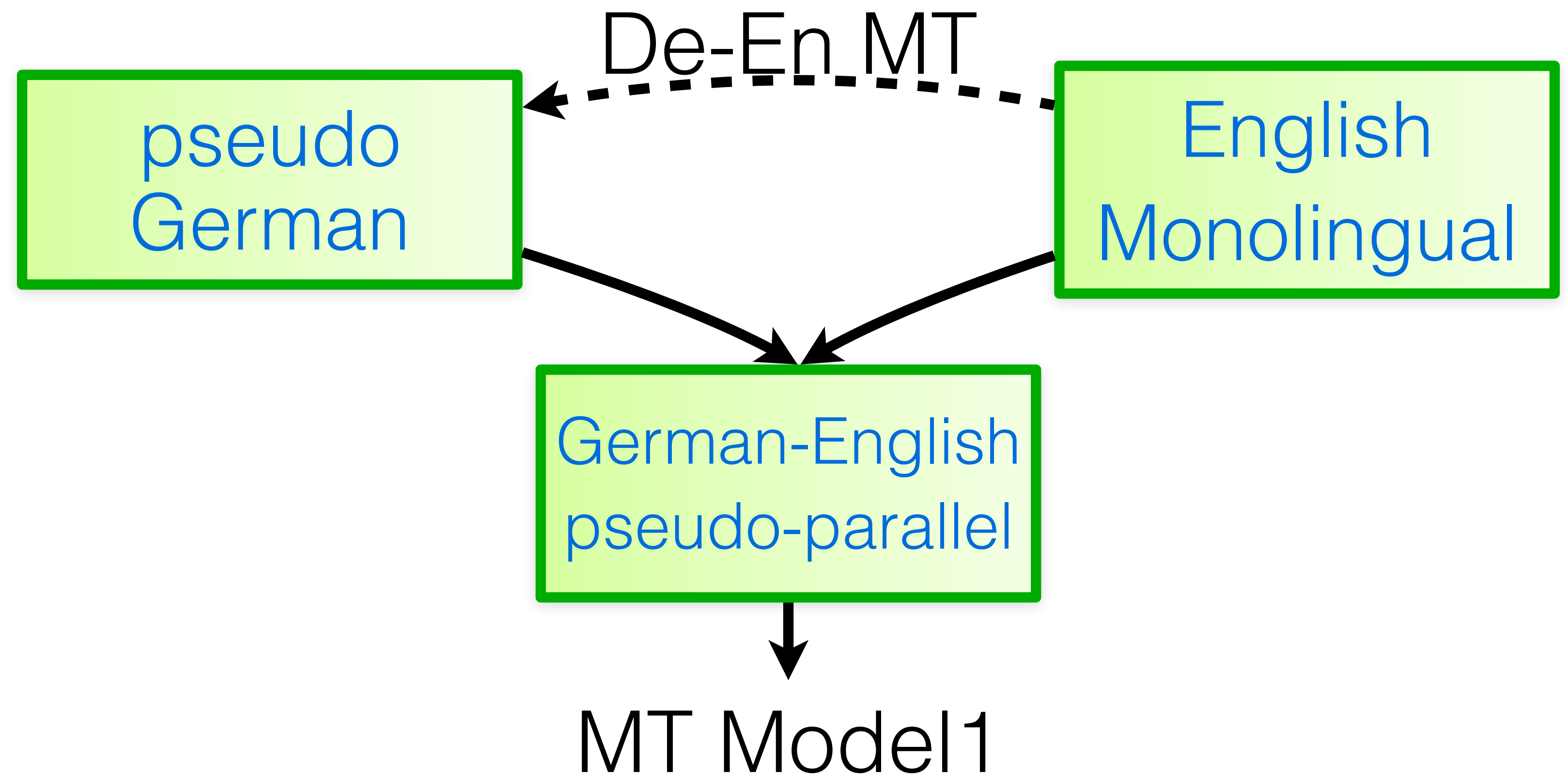
# Find the closest words

- Use this as the word-level translation

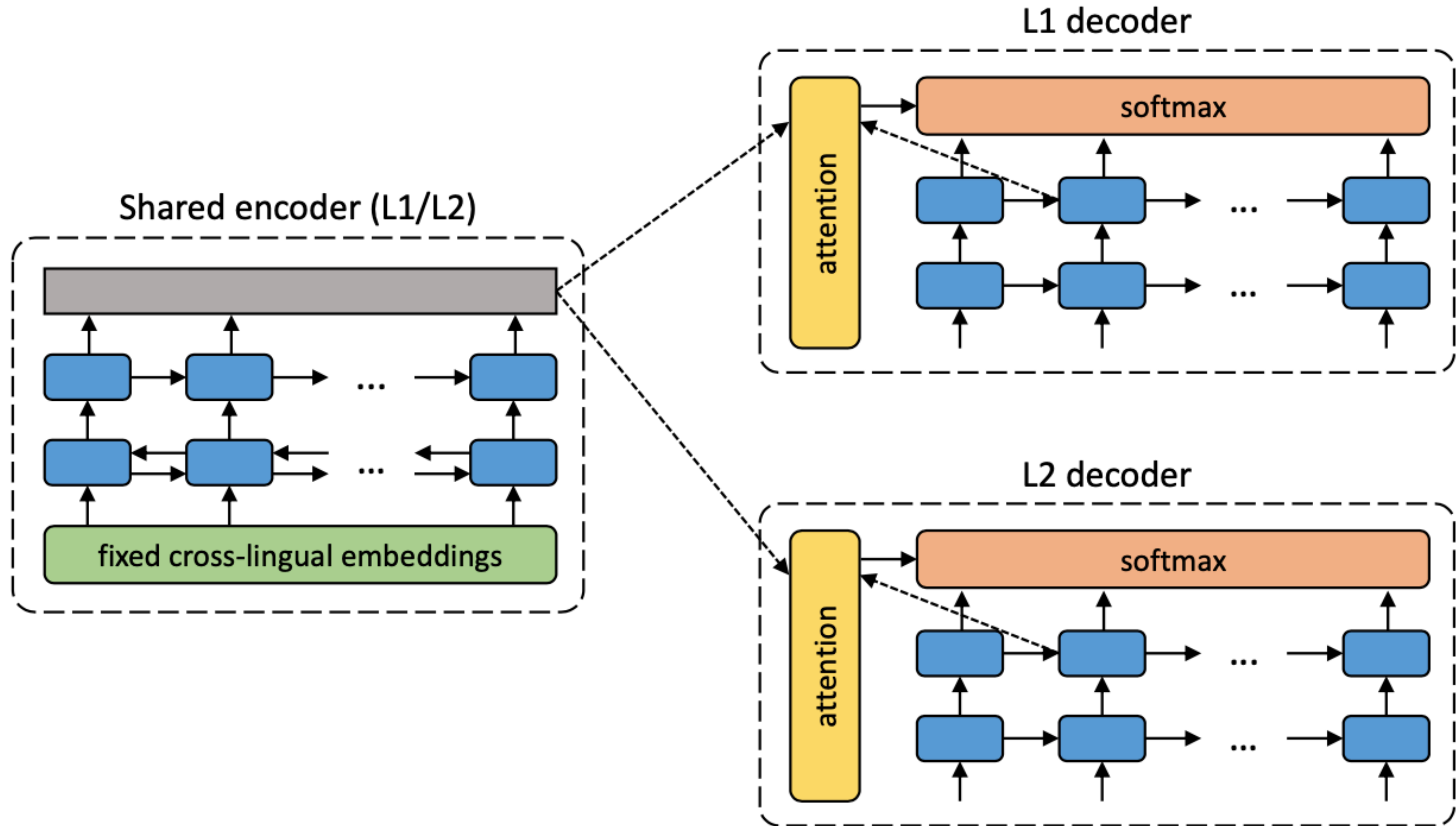
method	# seeds	es-en	it-en	ja-zh	tr-en
MonoGiza w/o embeddings	0	0.35	0.30	0.04	0.00
MonoGiza w/ embeddings	0	1.19	0.27	0.23	0.09
TM	50	1.24	0.76	0.35	0.09
	100	48.61	37.95	26.67	11.15
IA	50	39.89	27.03	19.04	7.58
	100	60.44	46.52	36.35	17.11
Ours	0	71.97	58.60	43.02	17.18

# Unsupervised Machine Translation

- Build an initial MT system to translate from English -> German, and German -> English using word-level translation
- Iterate

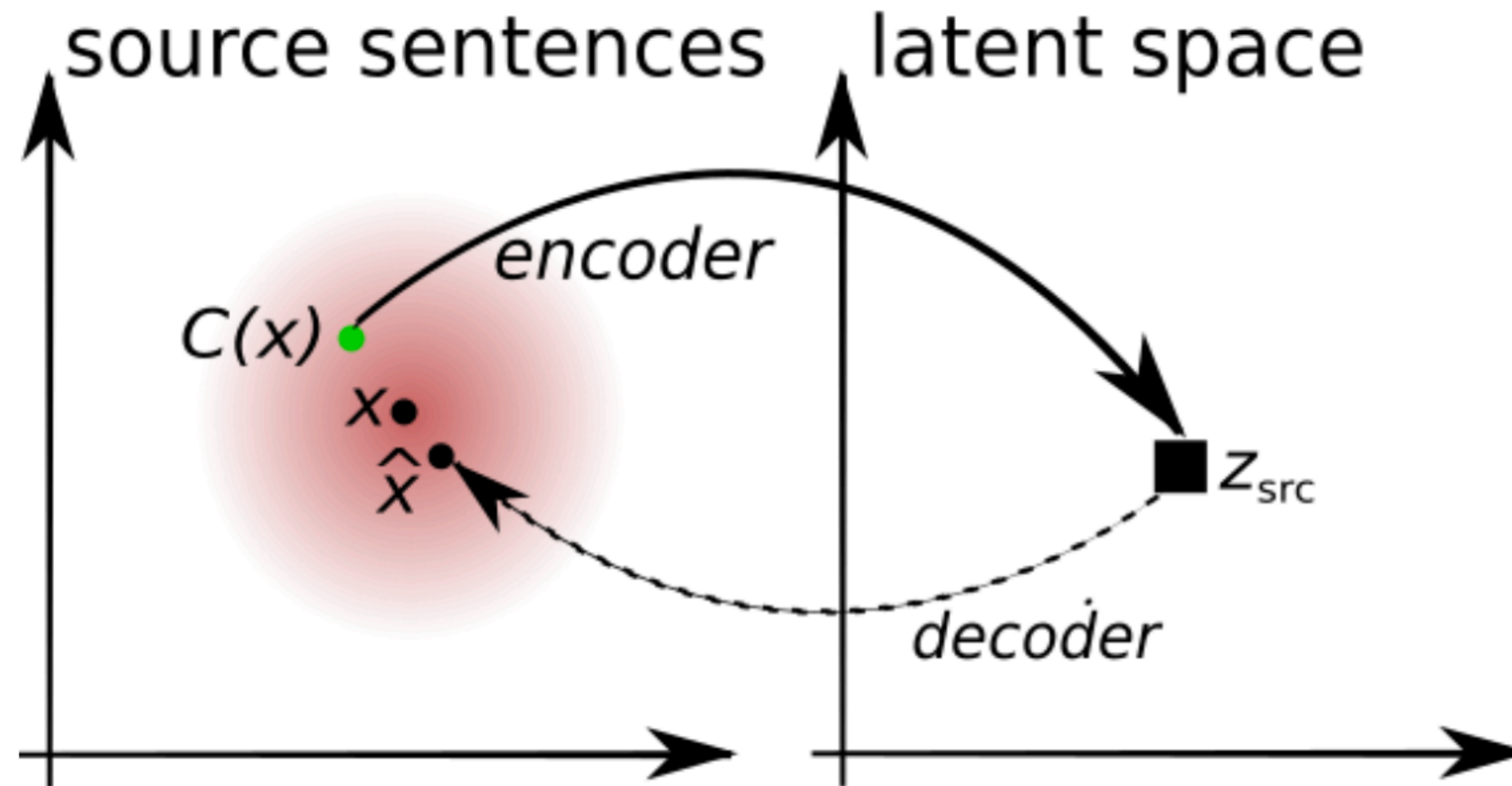


# Shared Encoder with Dual Decoder



# Training Objective 1: Denoising Autoencoder

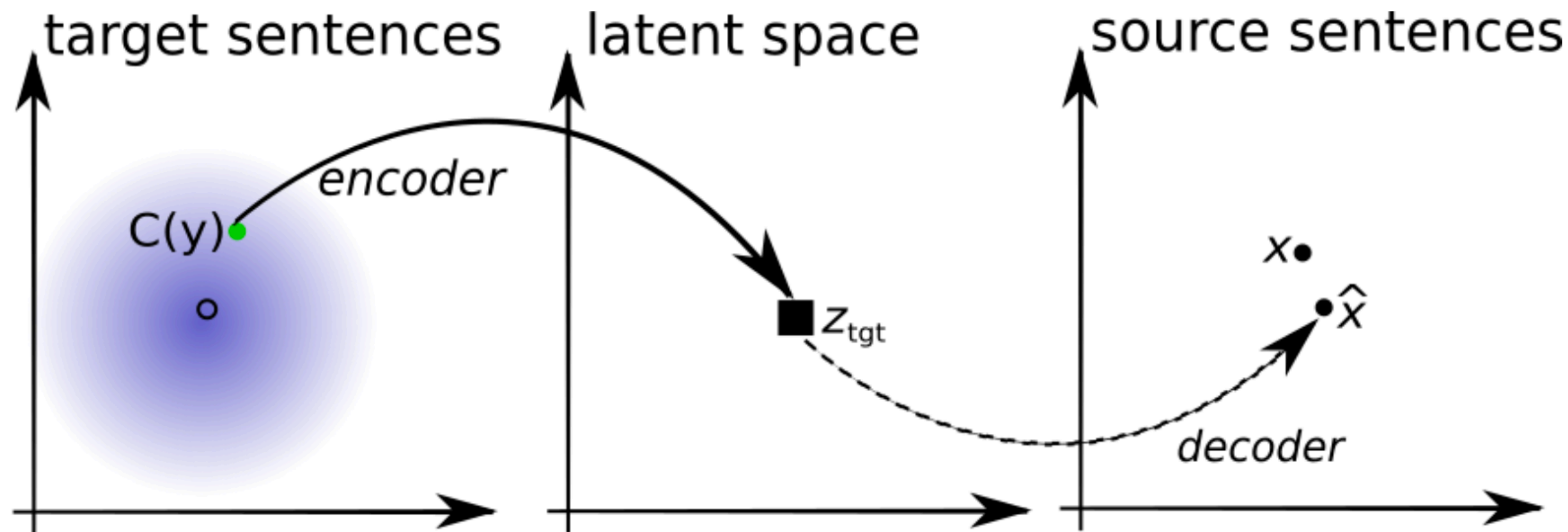
- Create a noisy version of source sentence, and reconstruct using encoder-decoder
- Using cross-entropy loss on reconstructed sentence





# Training Objective 2: Back-translation

- Back-translate: From target to generate pseudo-parallel source sentence



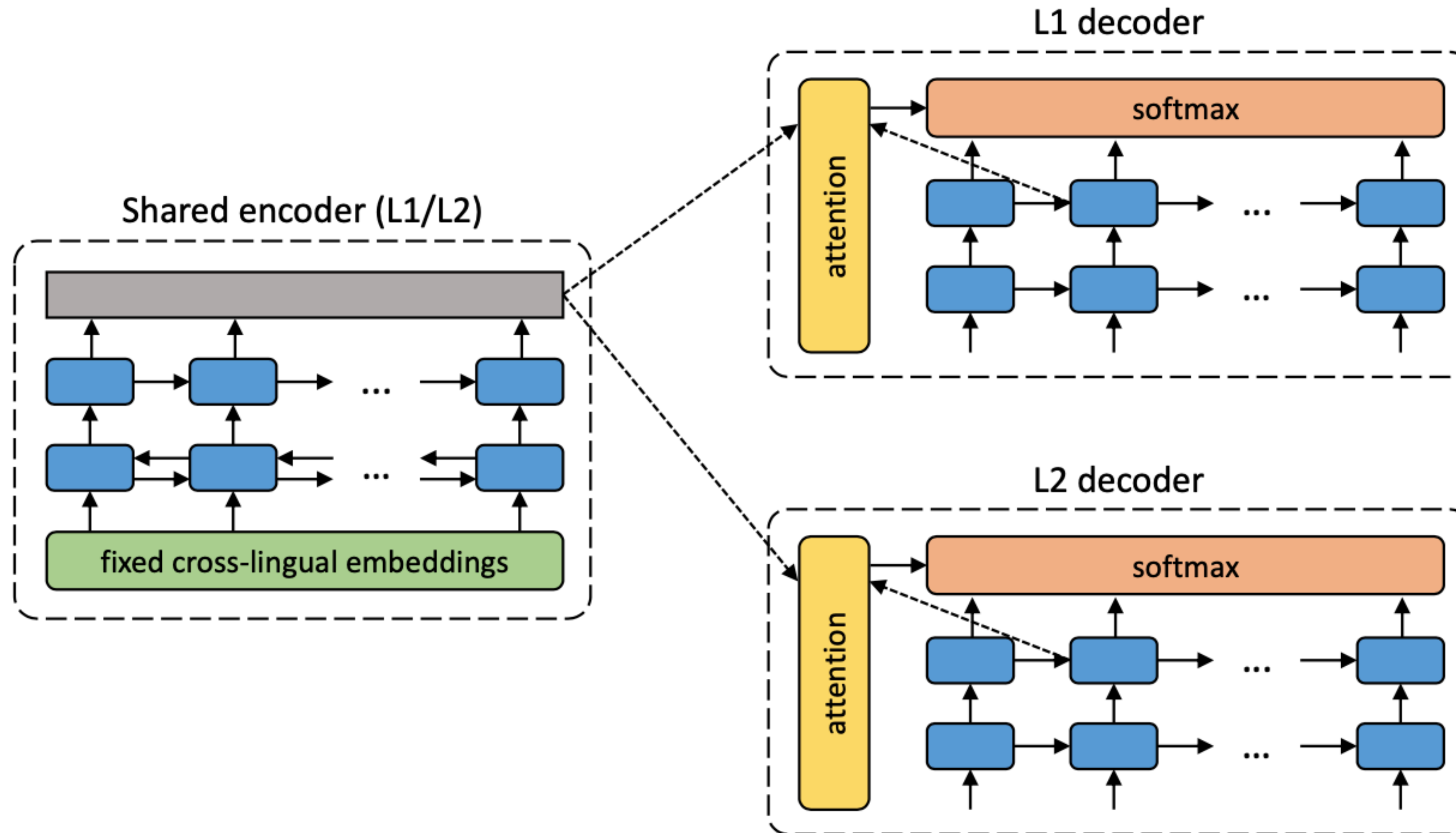
# Training Objective 3: Adversarial Loss

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- To distinguish between source and target sentence embeddings.

$$\min L_D = -\log P_D(0 \text{ or } 1 | \text{emb}(\text{src or tgt}))$$

# Unsupervised Neural Machine Translation



# Does it work?

	Multi30k-Task1				WMT			
	en-fr	fr-en	de-en	en-de	en-fr	fr-en	de-en	en-de
Supervised	56.83	50.77	38.38	35.16	27.97	26.13	25.61	21.33
word-by-word	8.54	16.77	15.72	5.39	6.28	10.09	10.77	7.06
word reordering	-	-	-	-	6.68	11.69	10.84	6.70
oracle word reordering	11.62	24.88	18.27	6.79	10.12	20.64	19.42	11.57
Our model: 1st iteration	27.48	28.07	23.69	19.32	12.10	11.79	11.10	8.86
Our model: 2nd iteration	31.72	30.49	24.73	21.16	14.42	13.49	13.25	9.75
Our model: 3rd iteration	32.76	32.07	26.26	22.74	15.05	14.31	13.33	9.64

Bidirectional LSTM encoder-decoder

# When does Unsupervised NMT work?

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- Similar languages with large monolingual data
- Distant languages are still difficult
- Eg. En-Tr 4.5 (unsupervised) vs. 20 (supervised)

# Reading

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- Sennrich et al. Improving Neural Machine Translation Models with Monolingual Data. ACL 2016.
- Cheng et al. Semi-Supervised Learning for Neural Machine Translation. ACL 2016.
- Artetxe et al. Unsupervised Neural Machine Translation. 2018
- Lample et al. Unsupervised Machine Translation Using Monolingual Corpora Only. 2018
- He et al. Dual Learning for Machine Translation. 2016.
- Gulcehre et al. On Using Monolingual Corpora in Neural Machine Translation. 2015
- Edunov et al. Understanding Back-translation at Scale. 2018.

# Code Walk

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- There will be no graded discussion, but we'll have a code walk through The Annotated Transformer  
<https://nlp.seas.harvard.edu/2018/04/03/attention.html>
- Organize into group to discuss some of the design decisions, their motivation, etc.