

# CODING FOR ERRORS IN INTERACTIVE COMMUNICATION

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Jason MacDonald

# OVERVIEW

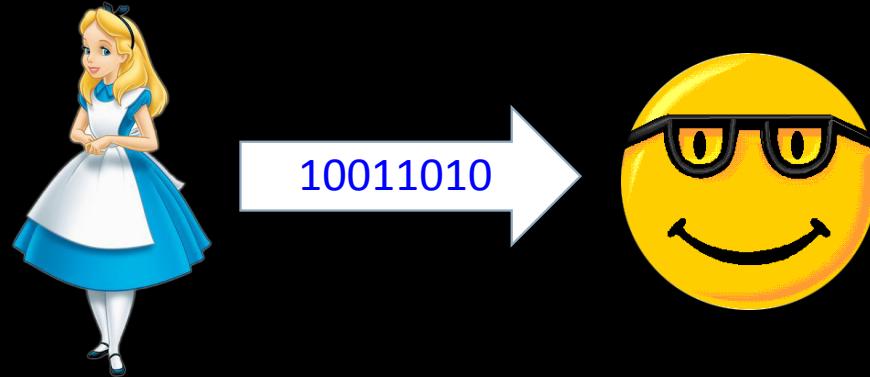
- Background
- Definitions
- Protocol
- Analysis

# BACKGROUND

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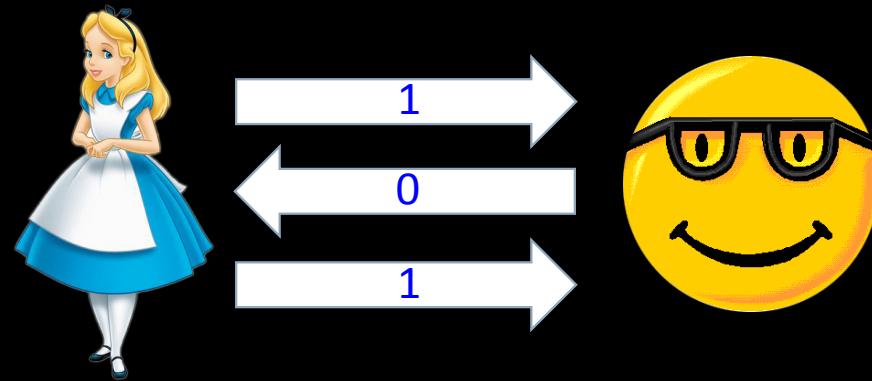
- Alice wants to send an  $n$ -bit message to Bob, but is worried that some of bits might get corrupted



- Possible solution?
  - Use an error-correcting code to encode the message with  $O(n)$  bits as long as  $< 1/4$  bits are received incorrectly
  - Discovered by Shannon in 1948

# BACKGROUND

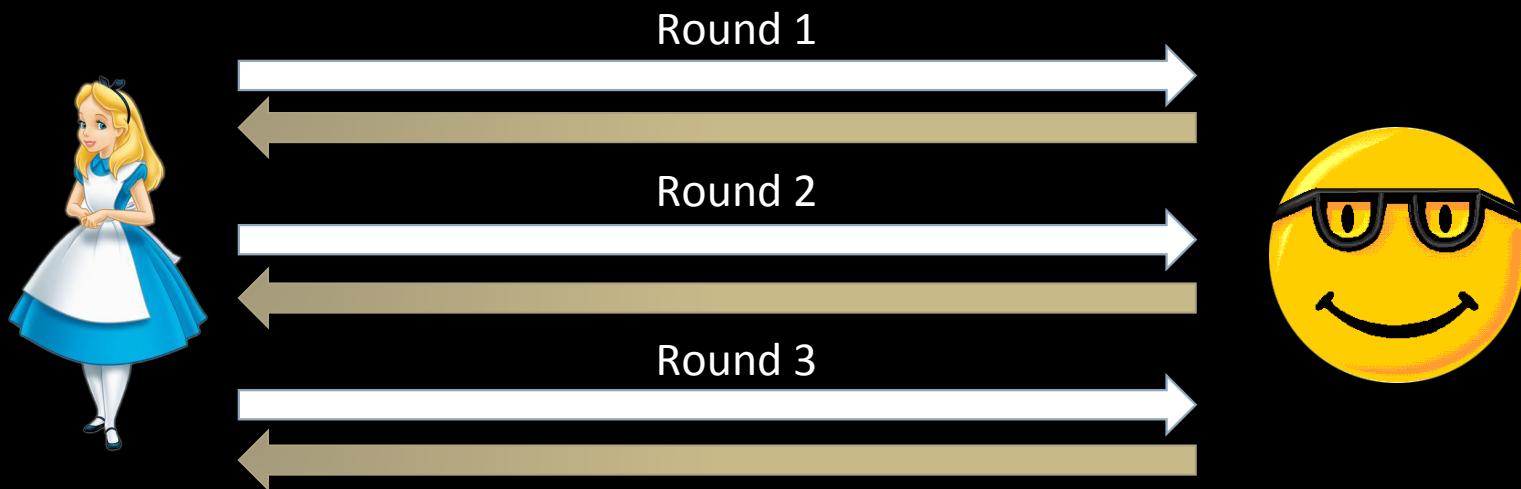
- Alice and Bob want to send each other multiple 1-bit messages but are worried that some of bits (messages) might get corrupted



- Can no longer use Shannon's error-correcting codes
  - Must use protocol that allows for “interactive communication”

# INTERACTIVE COMMUNICATION

- Communication where each party sends many messages back and forth and can change what they want to say based on the other party's responses
- Proceeds in rounds
  - Each party takes turns sending/receiving



# INTERACTIVE COMMUNICATION

**Schulman's *Coding for Interactive Communication* (1996)**

- Any protocol with  $N$  bits of interactive communication can be encoded with a constant-sized alphabet
- Bits exchanged in the protocol are determined one at a time, dynamically in the course of the interaction
- Purpose was to model a noisy communication link between two interacting computer processors
- Tolerates at most error rate of  $1/240$

# INTERACTIVE COMMUNICATION

**Braverman and Rao's *Towards coding for maximum errors in interactive communication* (2011)**

- New protocol that builds and improves on Schulman's work and also uses a constant-sized alphabet of size  $O(1/\varepsilon)$
- Tolerates at most error rate of  $1/4 - \varepsilon$
- Error rate is based off “worst-case scenario” errors, e.g., can have an adversary that knows the protocol choose which bits to corrupt

# COMPARISON

**Schulman's error rate: 1/240**

joyaqcdxlpatvjrukqzqyndgenjcqgactxkwz  
ojpebwemklnjknipbeaxiztwyqbixctrnpez  
wskevjsiuglrlucmigmmitpldmjnuiuaygbiuws  
zquldhztnyirvctfjvikodupsqrhbveedlmddk  
aubvvaprtamfskyodvkypnpcarauhnheurxy  
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klsjxwarsnzplmmhznbrzxfaxpxsogbqksiue  
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pwciwxfbnjfnxvneqvruejsacvkaxpyifojtf  
xnxlkxmqznuwzhxlttqhqsitzvfjzztbop

# COMPARISON

**Braverman and Rao's error rate:  $1/4 - \varepsilon$**

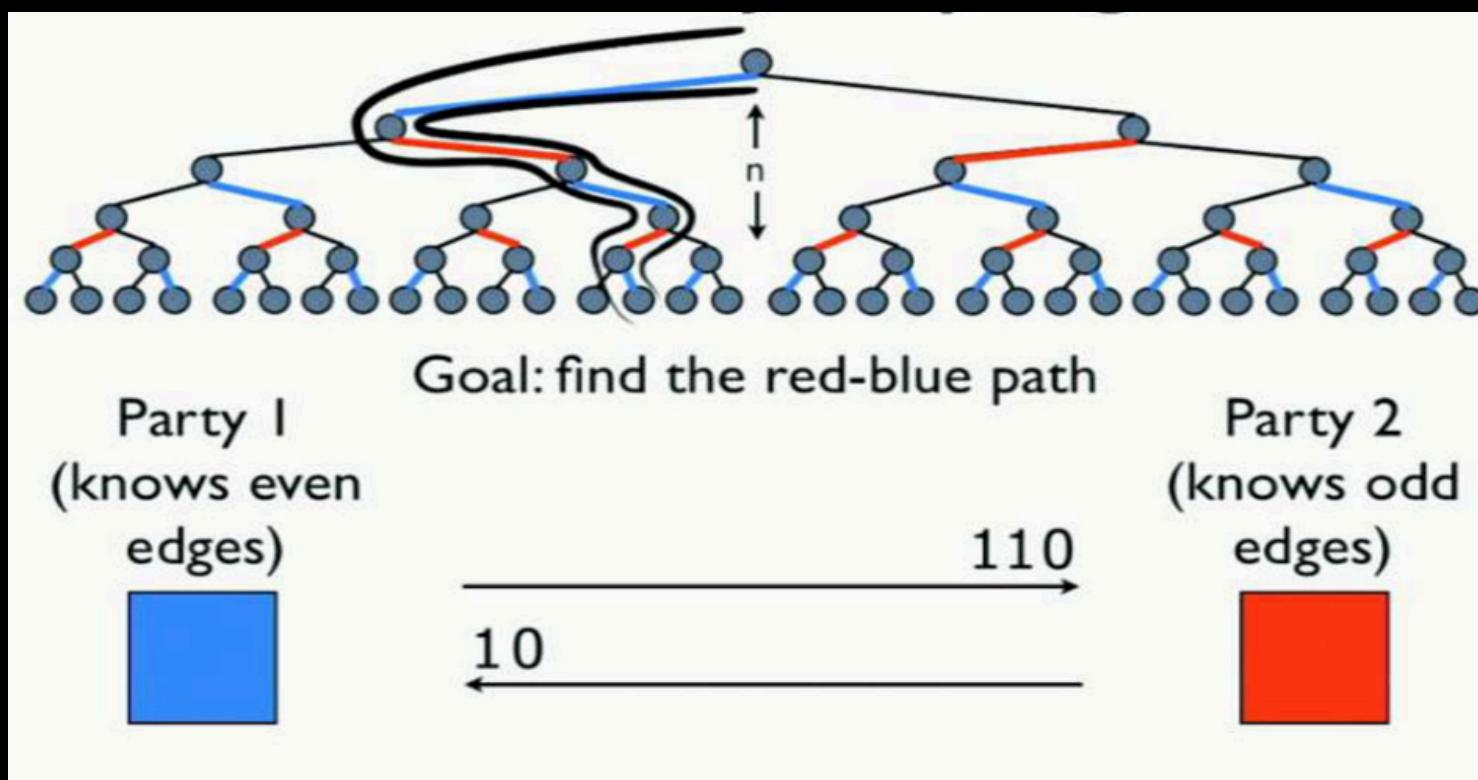
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# DEFINITIONS

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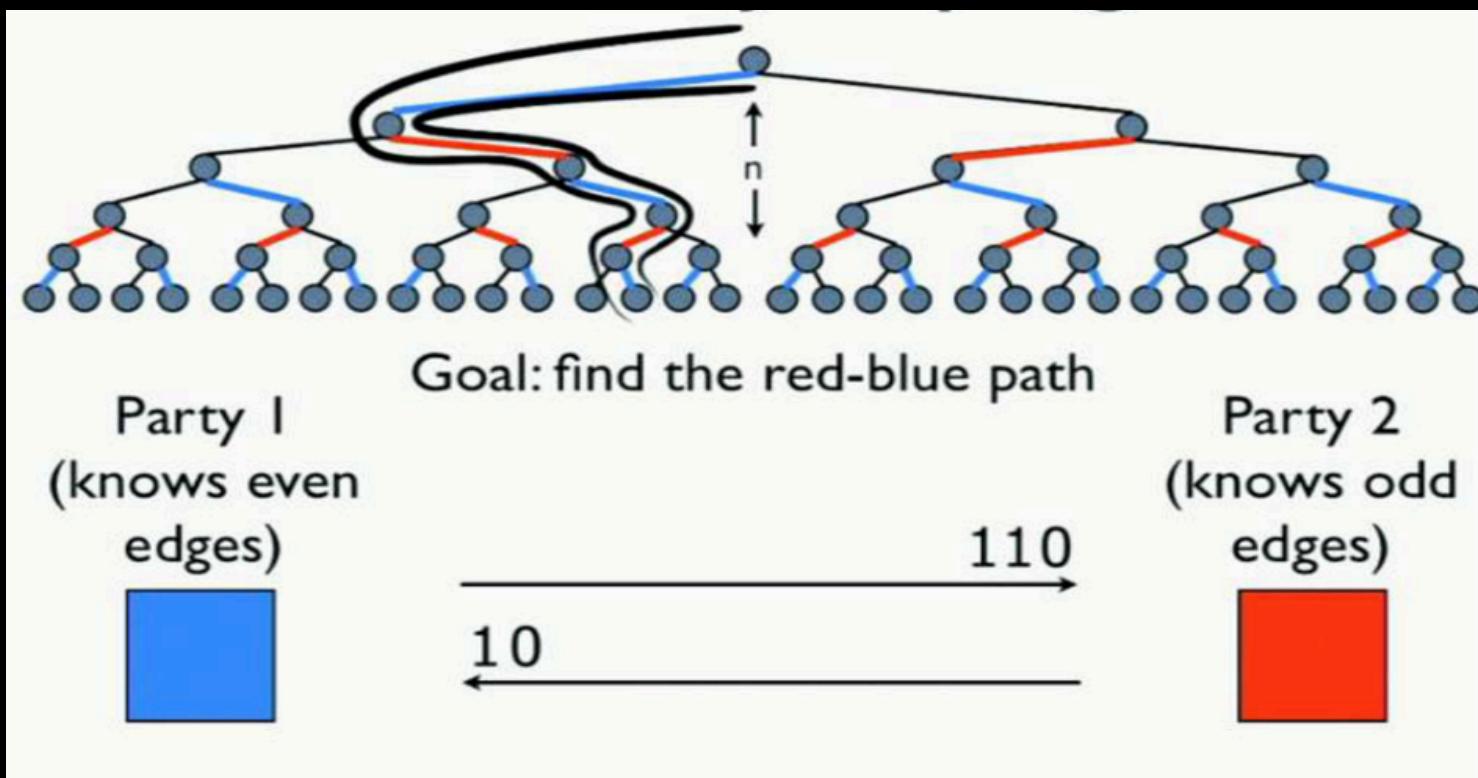
# POINTER JUMPING

- Binary tree of height  $n$
- Goal: find the **red-blue** path
  - Represents communication between the two parties



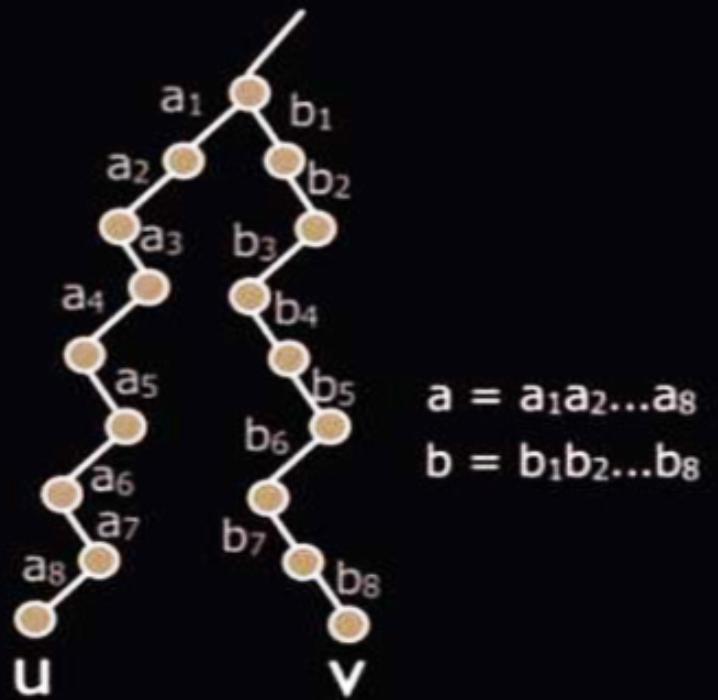
# POINTER JUMPING

- 0 = left edge; 1 = right edge
- Party 1 communicates the bits 0, 1, 1
- Party 2 communicates the bits 0, 1



## $d$ -ARY TREE CODES

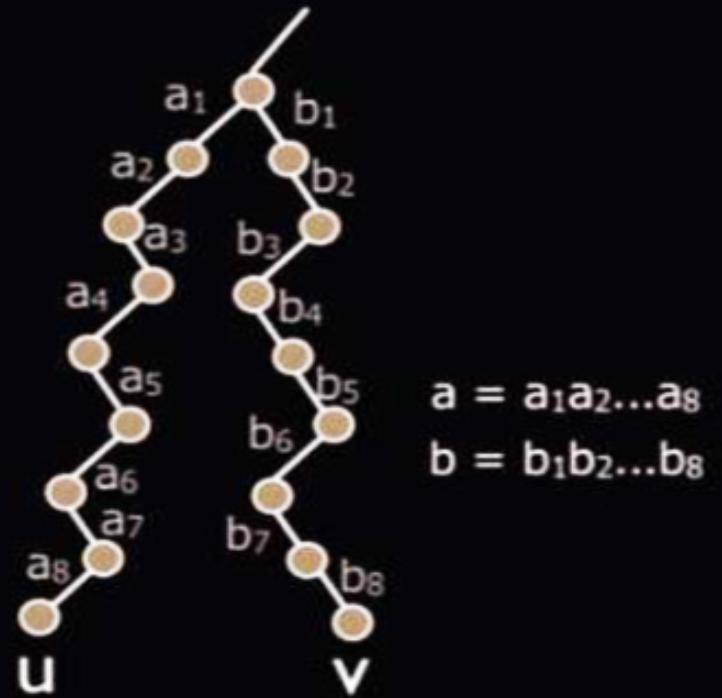
- $d$ -ary tree – each vertex has  $d$  children
- Each edge labeled by a symbol from our alphabet  $\Sigma$
- $L(u, v)$  = distance from  $u$  and  $v$  to their closest common ancestor
- $\Delta(u, v)$  = hamming distance between symbols in paths from root to  $u$  and  $v$
- We say tree code has “distance”  $(1 - \varepsilon)$



Tree code restriction:  
 $\Delta(u, v) < (1 - \varepsilon)L(u, v)$

## $d$ -ARY TREE CODES

- Example:
  - Let  $\varepsilon = 1/6$
  - $a$  and  $b$  must differ in more than  $(1 - \varepsilon) * 8 = (5/6) * 8 = 6.667$  places
  - Bad coding:
    - $a = 10000011$
    - $b = 11110100$
  - Good coding:
    - $a = 10001011$
    - $b = 11110100$



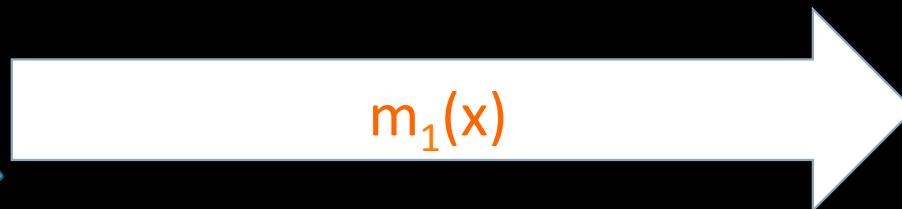
Tree code restriction:  
 $\Delta(u, v) < (1 - \varepsilon)L(u, v)$

# PROTOCOL

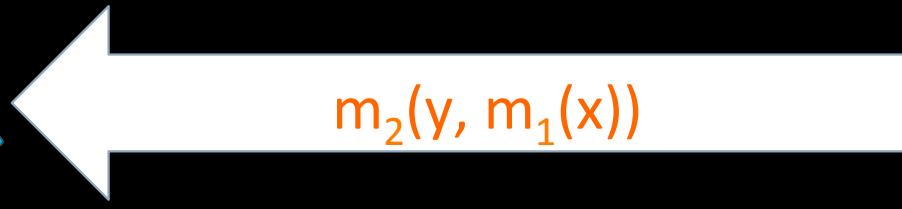
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# PROTOCOL

- Alice wants to send message  $x$  to Bob
  - She sends it encoded as  $m_1(x)$



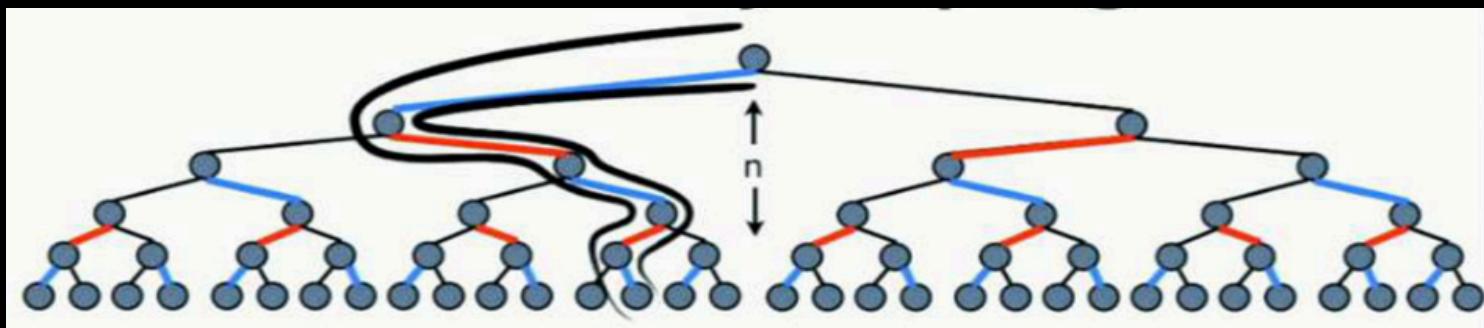
- Then Bob wants to reply with message  $y$ 
  - He sends it encoded as  $m_2(y, m_1(x))$



# PROTOCOL

- Takes a given binary protocol (modeled by a binary tree  $T$ ) between **Alice** and **Bob** and converts it into one that is error resistant, allowing an error rate of  $1/4 - \varepsilon$
- **Alice** knows set of edges  $X$  in  $T$  of even depth
- **Bob** knows set of edges  $Y$  in  $T$  of odd depth
- Goal is to communicate the vertex reachable by the edges in  $X$  and  $Y$

Binary tree  $T$



# PROTOCOL

- Construct a  $d$ -ary tree code and encoding/decoding function that converts between our tree code alphabet,  $\Pi$ , and our protocol's alphabet,  $\Sigma$ .
- **Alice**: knows set  $X = \cup X_i$ , receives set  $Y'_i$  from Bob each round
- **Bob**: knows set  $Y = \cup Y_i$ , receives set  $X'_i$  from Alice each round
- For  $i = 1, \dots, H/\varepsilon$ , where  $H$  is the height of our binary tree  $T$ :
  - **Alice** calculates her best interpretation of  $X$  and  $Y'_i$ , and transmits an encoding of this interpretation,  $m(X \cup Y'_i)$
  - **Bob** calculates his best interpretation of  $Y$  and  $X'_i$ , and transmits an encoding of this interpretation,  $m(Y \cup X'_i)$
- At the end, both Alice and Bob will have calculated the vertex reachable by  $X \cup Y$

# ANALYSIS

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# ANALYSIS

Schulman showed:

- For every  $0 < \varepsilon < 1$ ,  
a  $d$ -ary tree code with distance  $(1 - \varepsilon)$  exists  
using an alphabet of size  $d^{O(1/\varepsilon)}$
- An explicit construction of tree codes, but takes  
 $\Theta(1/\log n)$  time

# ANALYSIS

Braverman and Rao showed:

- For every  $0 < \varepsilon < 1$ , any binary protocol can be simulated using a protocol with an alphabet of size  $O(1/\varepsilon)$  if the error rate is at most  $1/4 - \varepsilon$
- For every  $0 < \varepsilon < 1$ , any binary protocol can be simulated using a binary protocol if the error rate is at most  $1/8 - \varepsilon$

But still haven't found a constant-rate construction of tree codes to date – remains a major open problem.

# REFERENCES

- C. Shannon. A mathematical theory of communication. Bell Systems Technical Journal, pages 623-656, 1948.
- L. Schulman. Coding for interactive communication. IEEE Transactions on Information Theory, pages 17451756, 1996.
- M. Braverman and A. Rao. Towards coding for maximum errors in interactive communication. STOC, 2011.