

15-453

FORMAL LANGUAGES, AUTOMATA AND COMPUTABILITY

UNDECIDABILITY II: REDUCTIONS

TUESDAY Feb 18

$A_{TM} = \{ (M,w) \mid M \text{ is a TM that accepts string } w \}$
 A_{TM} is undecidable: (constructive proof & subtle)
 Assume machine H semi-decides A_{TM} (such exist, why?)

$$H((M,w)) = \begin{cases} \text{Accept} & \text{if } M \text{ accepts } w \\ \text{Rejects or loops} & \text{otherwise} \end{cases}$$

Construct a new TM D_H as follows: on input M, run H on (M,M) and output the “opposite” of H whenever possible.

$$D_H(M) = \begin{cases} \text{Reject} & \text{if } M \text{ accepts } M \\ & \text{(i.e. if } H(M , M) = \text{Accept)} \\ \text{Accept} & \text{if } M \text{ rejects } M \\ & \text{(i.e. if } H(M , M) = \text{Reject)} \\ \text{loops} & \text{if } M \text{ loops on } M \\ & \text{(i.e. if } H(M , M) \text{ loops)} \end{cases}$$

$$D_H(D_H) = \begin{cases} \text{Reject} & \text{if } D_H \text{ accepts } D_H \\ & \text{(i.e. if } H(D_H , D_H) = \text{Accept)} \\ \text{Accept} & \text{if } D_H \text{ rejects } D_H \\ & \text{(i.e. if } H(D_H , D_H) = \text{Reject)} \\ \text{loops} & \text{if } D_H \text{ loops on } D_H \\ & \text{(i.e. if } H(D_H , D_H) \text{ loops)} \end{cases}$$

Note: It must be the case that D_H loops on D_H
 There is no contradiction here!
 Thus we effectively constructed an instance which does not belong to A_{TM} (namely, (D_H, D_H)) but H fails to tell us that.

That is:
 Given any semi-decision machine H for A_{TM} (and thus a potential decision machine for A_{TM}), we can effectively construct an instance which does not belong to A_{TM} (namely, (D_H, D_H)) but H fails to tell us that.
 So H cannot be a decision machine for A_{TM}

In most cases, we will show that a language L is undecidable by showing that if it is decidable, then so is A_{TM}

We reduce deciding A_{TM} to deciding the language in question

$A_{TM} \leq L$

So, $A_{TM} \leq Halt_{TM}$
Is $Halt_{TM} \leq A_{TM}$?

$A_{TM} = \{ (M,w) \mid M \text{ is a TM that accepts string } w \}$
 $Halt_{TM} = \{ (M,w) \mid M \text{ is a TM that halts on string } w \} (*)$
 $E_{TM} = \{ M \mid M \text{ is a TM and } L(M) = \emptyset \} (*)$
 $REG_{TM} = \{ M \mid M \text{ is a TM and } L(M) \text{ is regular} \} (*)$
 $EQ_{TM} = \{ (M, N) \mid M, N \text{ are TMs and } L(M) = L(N) \} (*)$
 $ALL_{PDA} = \{ P \mid P \text{ is a PDA and } L(P) = \Sigma^* \} (*)$

ALL UNDECIDABLE

(*) Use Reductions to Prove
Which are SEMI-DECIDABLE?

$E_{TM} = \{ M \mid M \text{ is a TM and } L(M) = \emptyset \}$
 Theorem: E_{TM} is undecidable
 Proof: Assume, for a contradiction, that TM Z decides E_{TM} . Use Z as a subroutine to decide A_{TM}
 Algorithm for deciding A_{TM} : On input (M,w) :

1. Create M_w

M_w
 If $s \neq w$, REJECT
 If $s = w$, run $M(w)$

So, $L(M_w) = \emptyset \Leftrightarrow M(w)$ does not accept
 $L(M_w) \neq \emptyset \Leftrightarrow M(w)$ accepts

2. Run Z on M_w

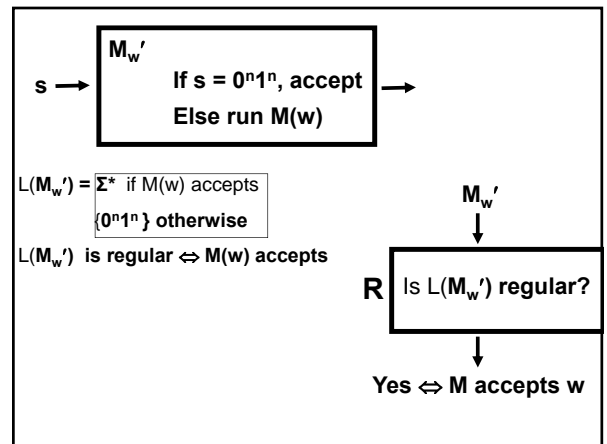
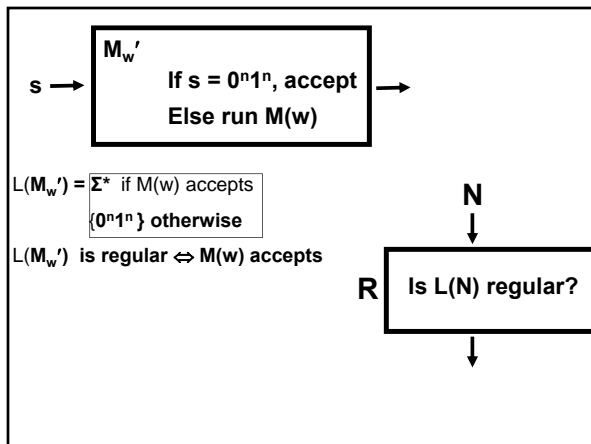
$REGULAR_{TM} = \{ M \mid M \text{ is a TM and } L(M) \text{ is regular} \}$
 Theorem: $REGULAR_{TM}$ is undecidable
 Proof: Assume, for a contradiction, that TM R decides $REGULAR_{TM}$
 Use R as a subroutine to decide A_{TM}

1. Create M'_w

M'_w
 If $s = 0^n 1^n$, accept
 Else run $M(w)$

So, $L(M'_w) = \Sigma^* \Leftrightarrow M(w)$ accepts
 $L(M'_w) = \{0^n 1^n\} \Leftrightarrow M(w)$ does not accept

2. Run R on M'_w



MAPPING REDUCIBILITY

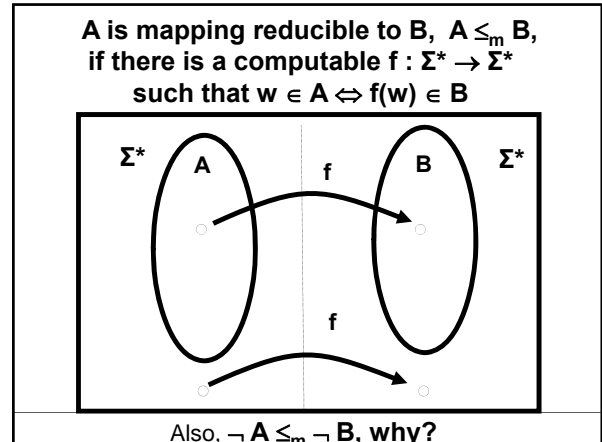
$f : \Sigma^* \rightarrow \Sigma^*$ is a **computable function** if some Turing machine M , on every input w , halts with just $f(w)$ on its tape

A language A is **mapping reducible** to language B , written $A \leq_m B$, if there is a computable function $f : \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \Leftrightarrow f(w) \in B$$

f is called a **reduction** from A to B

Think of f as a “computable coding” from A to B



Theorem: If $A \leq_m B$ and B is decidable, then A is decidable

Proof: Let M decide B and let f be a reduction from A to B

We build a machine N that decides A as follows:

On input w :

1. Compute $f(w)$
2. Run M on $f(w)$

Theorem: If $A \leq_m B$ and B is (semi) decidable, then A is (semi) decidable

Proof: Let M (semi) decide B and let f be a reduction from A to B

We build a machine N that (semi) decides A as follows:

On input w :

1. Compute $f(w)$
2. Run M on $f(w)$

All undecidability proofs from today can be seen as constructing an f that reduces A_{TM} to the proper language

(Sometimes you have to consider the complement of the language.)

All undecidability proofs from today can be seen as constructing an f that reduces A_{TM} to the proper language

$A_{TM} \leq_m \text{HALT}_{TM}$ (So also, $\neg A_{TM} \leq_m \neg \text{HALT}_{TM}$):

Map $(M, w) \rightarrow (M', w)$
 where $M'(w) = M(w)$ if $M(w)$ accepts
 loops otherwise

So $(M, w) \in A_{TM} \Leftrightarrow (M', w) \in \text{HALT}_{TM}$

$A_{TM} = \{ (M,w) \mid M \text{ is a TM that accepts string } w \}$
 $E_{TM} = \{ M \mid M \text{ is a TM and } L(M) = \emptyset \}$
CLAIM: $A_{TM} \leq_m \neg E_{TM}$ $\neg A_{TM} \leq_m E_{TM}$
CONSTRUCT $f : \Sigma^* \rightarrow \Sigma^*$
 $f: (M,w) \rightarrow M_w$ where $M_w(s) = M(w)$ if $s = w$
loops otherwise
So, $M(w)$ accepts $\Leftrightarrow L(M_w) \neq \emptyset$
So, $(M, w) \in A_{TM} \Leftrightarrow M_w \in \neg E_{TM}$
So $\neg E_{TM}$ is NOT DECIDABLE, but it is SEMI-DECIDABLE (why?) Is E_{TM} SEMI-DECIDABLE?


$A_{TM} = \{ (M,w) \mid M \text{ is a TM that accepts string } w \}$
 $REG_{TM} = \{ M \mid M \text{ is a TM and } L(M) \text{ is regular} \}$
CLAIM: $A_{TM} \leq_m REG_{TM}$ So REG_{TM} is **UNDECIDABLE**
CONSTRUCT $f : \Sigma^* \rightarrow \Sigma^*$
 $f: (M,w) \rightarrow M'_w$ where $M'_w(s) = \text{accept}$ if $s = 0^n 1^n$
 $M(w)$ otherwise
So, $L(M'_w) = \Sigma^*$ if $M(w)$ accepts
 $\{0^n 1^n\}$ if not
So, $(M, w) \in A_{TM} \Leftrightarrow M'_w \in REG_{TM}$
Is REG SEMI-DECIDABLE?

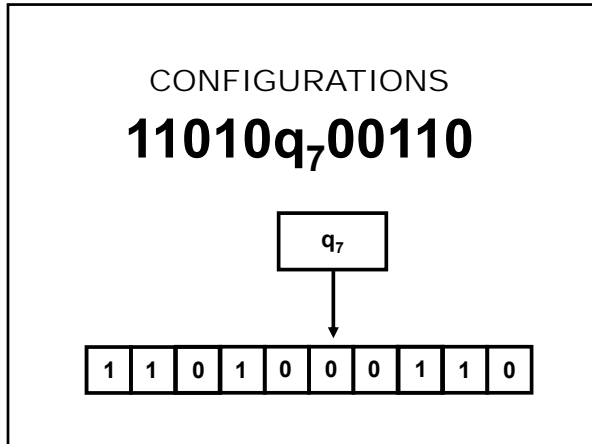
$A_{TM} = \{ (M,w) \mid M \text{ is a TM that accepts string } w \}$
 $REG_{TM} = \{ M \mid M \text{ is a TM and } L(M) \text{ is regular} \}$
CLAIM: $\neg A_{TM} \leq_m REG_{TM}$ So REG_{TM} is **NOT SEMI-DECIDABLE**
CONSTRUCT $f : \Sigma^* \rightarrow \Sigma^*$
 $f: (M,w) \rightarrow M''_w$ where $M''_w(s) = \text{accept}$ if $s = 0^n 1^n$
and $M(w)$ accepts
Loop otherwise
So, $L(M''_w) = \{0^n 1^n\}$ if $M(w)$ accepts
 \emptyset if not
So, $(M, w) \notin A_{TM} \Leftrightarrow M''_w \in REG_{TM}$
So, REG NOT SEMI-DECIDABLE

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 $E_{TM} = \{ M \mid M \text{ is a TM and } L(M) = \emptyset \}$
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ALL UNDECIDABLE
Which are SEMI-DECIDABLE?

$E_{TM} = \{ M \mid M \text{ is a TM and } L(M) = \emptyset \}$
 $EQ_{TM} = \{ (M, N) \mid M, N \text{ are TMs and } L(M) = L(N) \}$
CLAIM: $E_{TM} \leq_m EQ_{TM}$ So EQ_{TM} is **UNDECIDABLE**
CONSTRUCT $f : \Sigma^* \rightarrow \Sigma^*$
 $f: M \rightarrow (M, M_\emptyset)$ where $M_\emptyset(s) = \text{Loops}$

So, $M \in E_{TM} \Leftrightarrow (M, M_\emptyset) \in EQ_{TM}$
Is EQ_{TM} SEMI-DECIDABLE? NO, since,
 $\neg A_{TM} \leq_m E_{TM} \leq_m EQ_{TM}$ What about $\neg EQ_{TM}$?

$A_{TM} = \{ (M,w) \mid M \text{ is a TM that accepts string } w \}$
 $ALL_{PDA} = \{ P \mid P \text{ is a PDA and } L(P) = \Sigma^* \}$
CLAIM: $A_{TM} \leq_m \neg ALL_{PDA}$ $\neg A_{TM} \leq_m ALL_{PDA}$
 **CONSTRUCT** $f : \Sigma^* \rightarrow \Sigma^*$
Idea! More subtle construction
Map (M,w) to a PDA $P_{M,w}$ that recognizes Σ^*
if and only if M does not accept w
So, $(M, w) \notin A_{TM} \Leftrightarrow P_{M,w} \in ALL_{PDA}$
 $P_{M,w}$ will recognize all (and only those) strings that are NOT accepting computation histories for M on w



COMPUTATION HISTORIES

An accepting computation history is a sequence of configurations C_1, C_2, \dots, C_k , where

1. C_1 is the start configuration,
2. C_k is an accepting configuration,
3. Each C_i follows from C_{i-1}

An rejecting computation history is a sequence of configurations C_1, C_2, \dots, C_k , where

1. C_1 is the start configuration,
2. C_k is a rejecting configuration,
3. Each C_i follows from C_{i-1}

M accepts w if and only if there exists an accepting computation history that starts with $C_1 = q_0 w$

$P_{M,w}$ will recognize all strings (read as sequences of configurations) that:

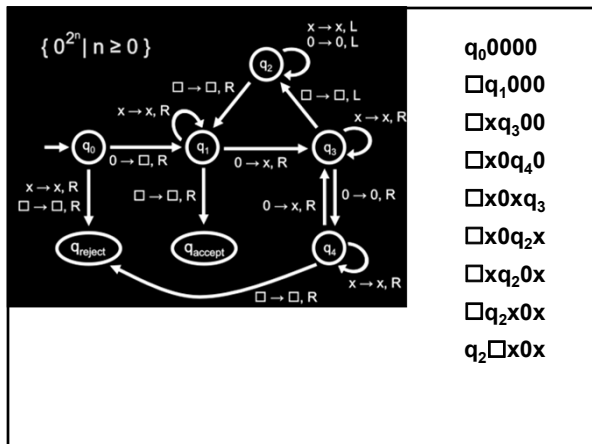
1. Do not start with C_1 or
2. Do not end with an accepting configuration or
3. Where some C_i does not properly yield C_{i+1}

Non-deterministic checks for 1, 2, and 3.

$P_{M,w}$ will reject all strings (read as sequences of configurations) that:

1. Start with C_1 and
2. End with an accepting configuration and
3. Where each C_i properly yields C_{i+1}

Non-deterministic checks for 1, 2, and 3.



P recognizes all strings except accepting computation histories :

$\# C_1 \# C_2^R \# C_3 \# C_4^R \# C_5 \# C_6^R \# \dots \# C_k$

If i is odd, put C_i on stack and see if C_{i+1}^R follows properly:

For example,

If $= u a q_i b y$ and $\delta(q_i, b) = (q_j, c, R)$, then C_i properly yields $C_{i+1} \Leftrightarrow C_{i+1} = u a c q_j y$

P recognizes all strings except accepting computation histories :

$\#C_1\# C_2^R\#C_3\#C_4^R\#C_5\#C_6^R\#\dots\# C_k$

If i is odd, put C_i on stack and see if C_{i+1}^R follows properly:

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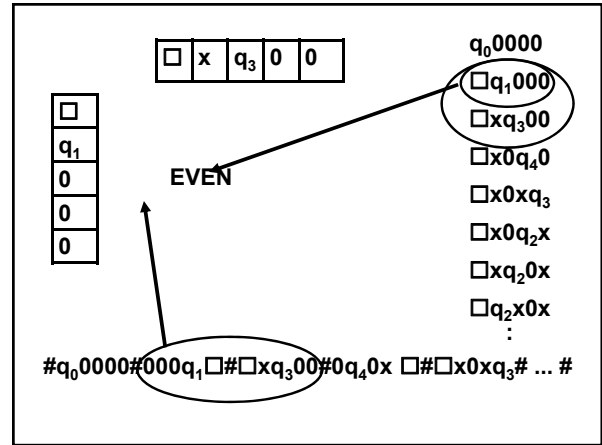
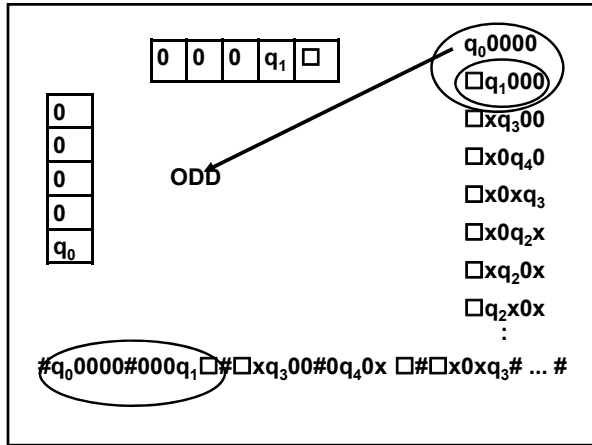
If $u \circ a q_i b v$ and $\delta(q_i, b) = (q_j, c, L)$,

then C_k properly yields $C_{k+1} \Leftrightarrow C_{k+1} = u q_j a c v$

P recognizes all strings except accepting computation histories :

$\#C_1\# C_2^R\#C_3\#C_4^R\#C_5\#C_6^R\#\dots\# C_k$

If i is even, put C_i^R on stack and see if C_{i+1} follows properly.



$A_{TM} = \{ (M, w) \mid M \text{ is a TM that accepts string } w \}$

$ALL_{PDA} = \{ P \mid P \text{ is a PDA and } L(P) = \Sigma^* \}$

CLAIM: $A_{TM} \leq_m \neg ALL_{PDA}$ $\neg A_{TM} \leq_m ALL_{PDA}$

CONSTRUCT $f : \Sigma^* \rightarrow \Sigma^*$

$f : (M, w) \rightarrow P_{M,w}$ where

$P_{M,w}(s) = \text{accept}$ iff s is NOT an accepting computation of $M(w)$

So, $(M, w) \notin A_{TM} \Leftrightarrow P_{M,w} \in ALL_{PDA}$

So, $(M, w) \in A_{TM} \Leftrightarrow P_{M,w} \in \neg ALL_{PDA}$

EXPLAIN THE PROOF TO YOUR NEIGHBOR

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Read chapter 5.1-5.3 of the book for next time