

*Graduate Course on* **Computer Security**

# Lecture 3: Public-Key Cryptography



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# Outline

- Motivations
- Elements of number theory
- Public-key encryption
  - Diffie-Hellman key exchange
  - El Gamal encryption
  - RSA encryption
- Hash functions
  - Unkeyed
  - Keyed - MACs
- Digital signatures
- Public-key infrastructures



Motivation

Numbers

DH

El Gamal

RSA

Hashing

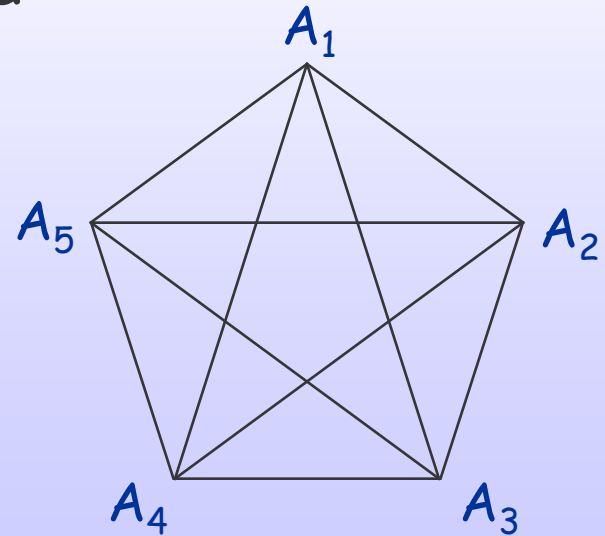
Signature

PKI

# Naïve Key Management

Principals  $A_1, \dots, A_n$  want to talk

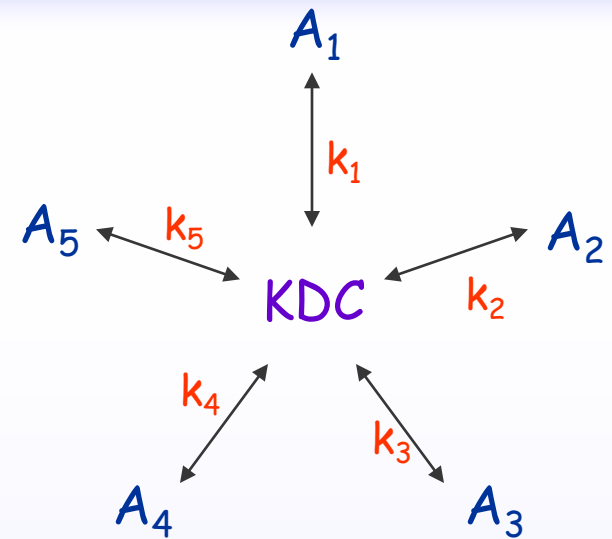
- Each pair needs a key
  - $n(n-1)/2$  keys
- Keys must be established
  - Physical exchange
  - Secure channel
  - ...



# Improved Solution

Centralized key-distribution center

- $n$  key pairs needed
- However
  - KDC must be trusted
  - KDC is single point of failure
  - Still  $n$  direct exchanges



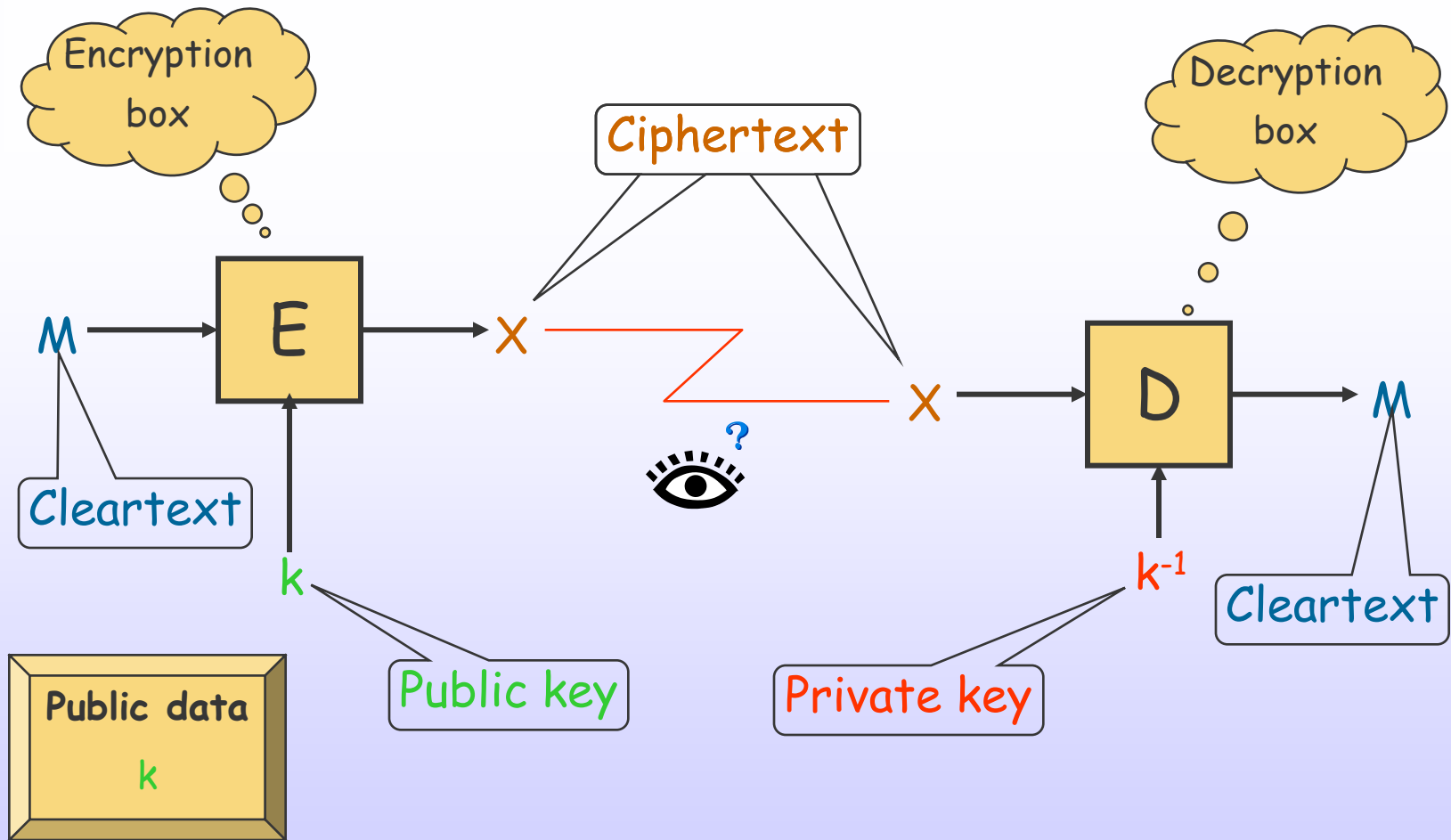
... if  $A_i$  wants to talk to  $A_j$  ...

- $A_i \rightarrow \text{KDC}$ : "connect me to  $A_j$ "
- KDC generates new key  $k_{ij}$
- $\text{KDC} \rightarrow A_i$ :  $E_{k_i}(k_{ij})$
- $\text{KDC} \rightarrow A_j$ :  $E_{k_j}(k_{ij}, \text{"}A_i \text{ wants to talk"})$

Still naïve

- No authentication

# Asymmetric Ciphers

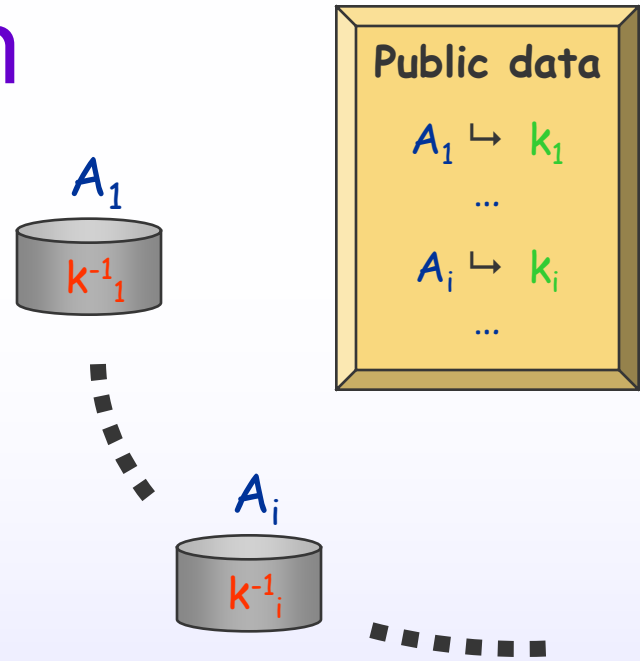


$$D_{k^{-1}}(E_k(m)) = m$$

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# Public-Key Solution

- Pair  $(k_i, k_i^{-1})$  for each  $A_i$
- $k_i$ 's are published
  - Phonebook
- Simple setup
  - $A_i$  generates  $(k_i, k_i^{-1})$
  - $A_i$  publishes  $k_i$
  - ... details later



# Number Theory – Basics



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- $\mathbb{Z} = \{\dots, -1, 0, 1, \dots\}$  is a ring
- $a|b$  if  $\exists c. ac = b$ 
  - E.g.  $3|6$
- $\gcd(a, b) = \text{largest } d \in \mathbb{Z}$   
s.t.  $d|a$  and  $d|b$ 
  - E.g.  $\gcd(18, 15) = 3$
- $p > 1$  *prime* if 1 and  $p$   
are its only divisors
  - E.g. 3, 5, 7, ...
- $p$  and  $q$  are *relatively prime* if  $\gcd(p, q) = 1$ 
  - E.g. 4 and 5 are relative primes

## Euclid's algorithm

Given  $a > b$

- $r_0 = b, r_1 = a$
- $r_{i-2} = q_i r_{i-1} + r_i$
- When  $r_{n+1} = 0$ , set  $\gcd(a, b) = r_n$ 
  - $\exists u, v. \gcd(a, b) = ua + vb$



# Arithmetic Modulo a Prime



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- $p$  prime number
  - For us, typically 1024 bits ( $\sim 300$  digits)
- $Z_p = \{0, 1, \dots, p-1\}$ 
  - Addition and multiplication are modulo  $p$
  - Exponentiation is iterated multiplication
  - $x$  is the inverse of  $y \neq 0$  if  $xy = 1 \bmod p$
- All non-null elements of  $Z_p$  are invertible
  - $x^{-1} = x^{p-2} \bmod p$
  - We can solve linear equations in  $Z_p^*$ 
    - If  $ax = b \bmod p$ , then  $x = ba^{p-2} \bmod p$
- $Z_p^* = \{1, \dots, p-1\}$ 
  - Contains all invertible elements of  $Z_p$

**Fermat's little theorem**  
If  $a \neq 0$ , then  $a^{p-1} = 1 \bmod p$





# Computing in $\mathbb{Z}_p$

- Let  $n$  be the length of  $p$ 
  - Usually around 1024 bits
- Addition in  $\mathbb{Z}_p$  done in  $O(n)$
- Multiplication is  $O(n^2)$ 
  - Clever (and practical) algorithms achieve  $O(n^{1.7})$
  - Same for inverse
- $x^r \bmod p$  computed in  $O((\log r) n^2)$ 
  - Repeated squares
    - E.g.:  $g^{23} = g^{10111} = g \cdot g^2 \cdot g^4 \cdot g^{16}$  (7 multiplications)
 

$\uparrow \quad \uparrow \quad \uparrow \quad \uparrow \quad \uparrow \quad \uparrow \quad \uparrow$
  - Addition chains
    - Saves 20% in average (but shortest chain is NP-complete)
    - $g, g^2, g^3, g^5, g^{10}, g^{20}, g^{23}$  (6 multiplications)
 

$\uparrow \quad \uparrow \quad \uparrow \quad \uparrow \quad \uparrow \quad \uparrow$

# Complexity in $\mathbb{Z}_p$

- Easy problems

- Generating  $p$
- Addition, multiplication, exponentiation
- Inversion, solving linear equations

- Problems believed to be hard

- DL: Discrete logarithm
  - Given  $g$  and  $x \in \mathbb{Z}_p$ , find  $r$  s.t.  $x = g^r \bmod p$
- DH: Diffie-Hellman
  - Given  $g, g^r, g^s \in \mathbb{Z}_p$ , find  $g^{rs} \bmod p$
- Note
  - DL implies DH
  - Unknown if DH implies DL
  - Best known attack on DL requires space and  $O(2^{\sqrt{n}})$  time



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# Diffie-Hellman Key Exchange



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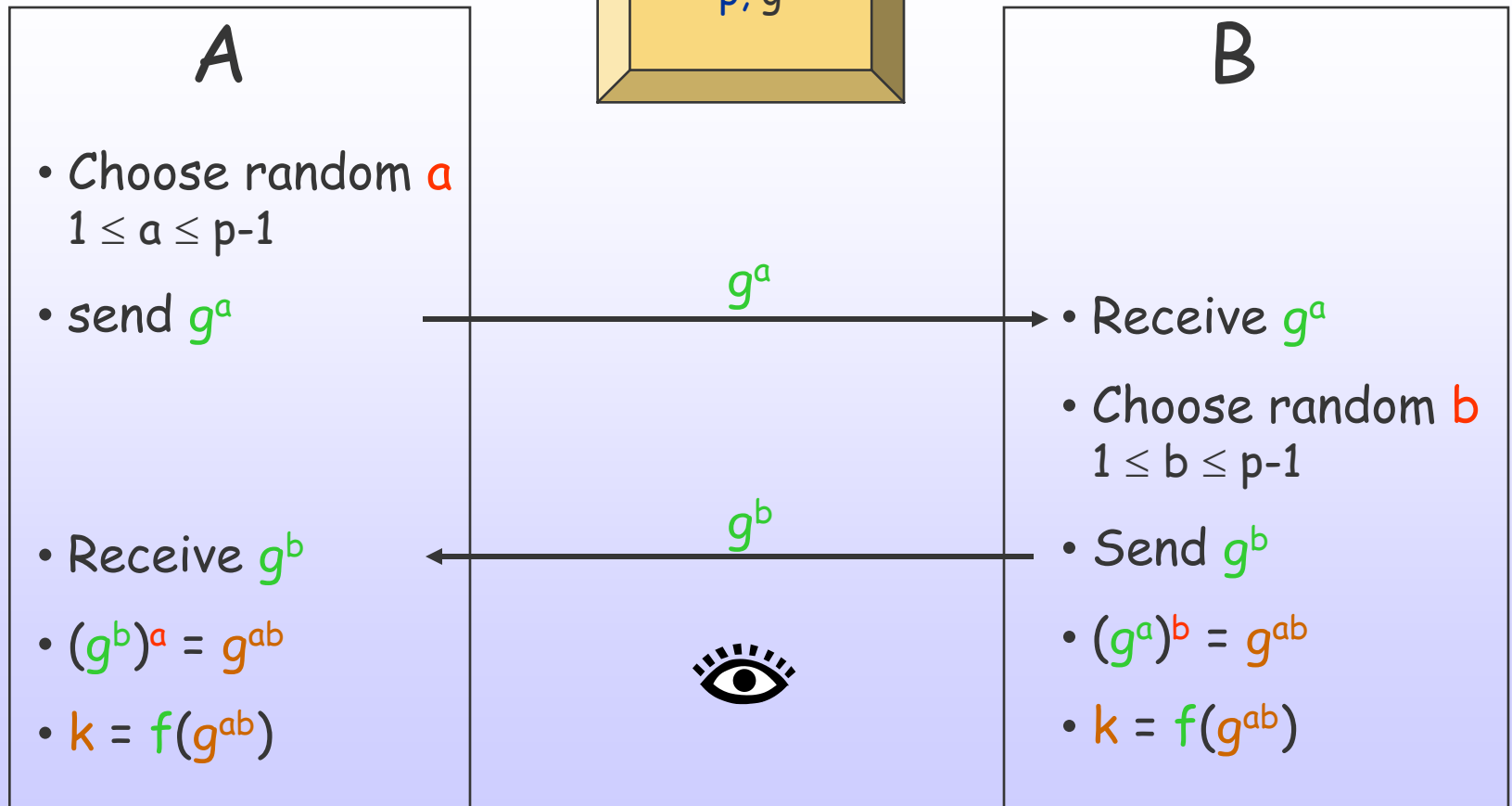
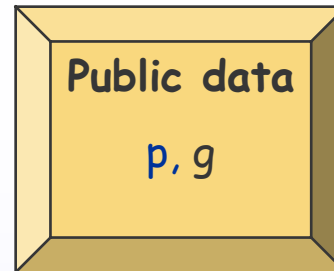
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# Diffie-Hellman Key Exchange [2]



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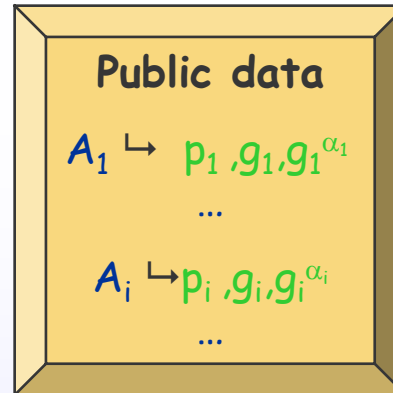
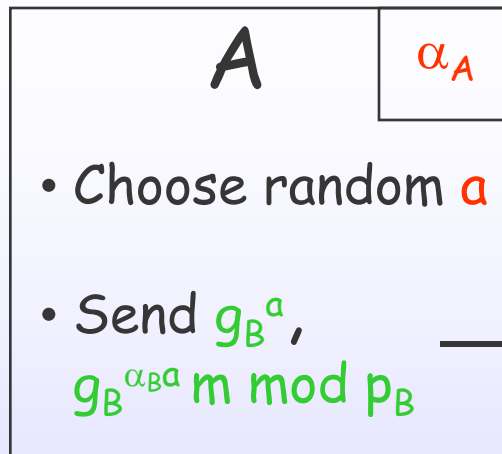
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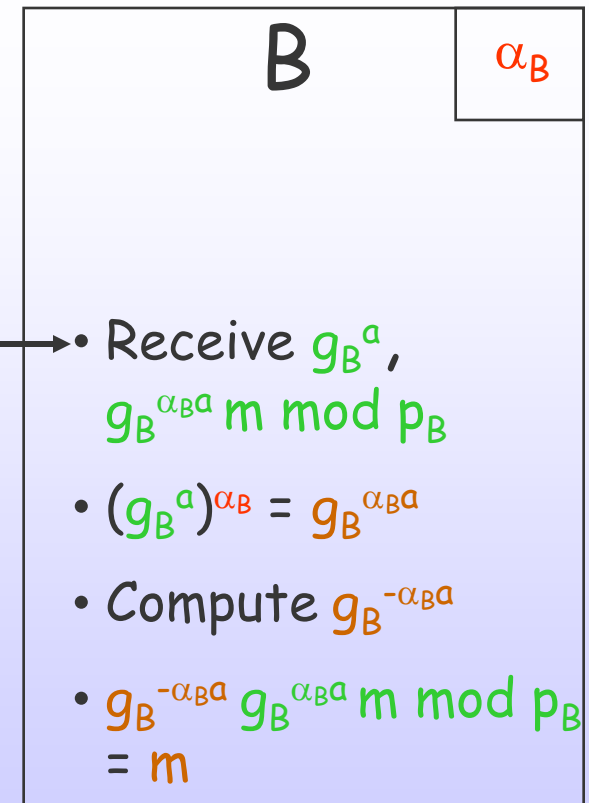
- Allows 2 principals to produce a shared secret
  - Without secure channel or physical exchange
  - Without a key distribution center
  - $f$  is typically a hash function
    - Agreed upon in advance
- However, no authentication
  - Can be fixed with some infrastructure
- Security relies on hardness of DH

# El Gamal Encryption Scheme

A wants to send



secret  $m \in \mathbb{Z}_{p_B}$  to B



$g_B^a, g_B^{\alpha_B a} m \bmod p_B$



- Security rests on hardness of DL
- Criticisms
  - Transmitted message double of  $m$
  - Public data has to be managed
  - Very slow ( $\sim 10\text{Kb/sec}$  vs.  $250\text{Kb/s}$  of DES)



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# Arithmetic Modulo a Composite



- $n$  natural number
  - For us, typically 1024 bits or  $\sim 300$  digits
  - Typically  $n = pq$ , with  $p$  and  $q$  primes
- $Z_n = \{0, 1, \dots, n-1\}$ 
  - $x$  is inverse of  $y \neq 0$  if  $xy = 1 \pmod n$
  - $x$  has inverse iff  $\gcd(x, n) = 1$ 
    - $ux + vn = 1$  by Euclid's algorithm so  $x^{-1} = u$
    - Works also in  $Z_p$  where more efficient than  $x^{-1} = x^{p-2}$
  - We can solve linear equations in  $Z_n$
- $Z_n^* = \{x : \gcd(x, n) = 1\}$ 
  - Contains all invertible elements of  $Z_n$



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# Euler's Totient Function

- $\phi(n)$  is the size of  $Z_n^*$ 
  - If  $n = \prod_i p_i^{e_i}$ ,  
then  $\phi(n) = \prod_i p_i^{e_i-1}(p_i-1)$
  - If  $n=pq$ ,  
then  $\phi(n) = (p-1)(q-1) = n - p - q + 1$

## Euler's theorem

If  $a \in Z_n^*$ , then  $a^{\phi(n)} = 1 \pmod n$



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# Computing in $\mathbb{Z}_n$

- Easy problems

- Generating  $p$
- Addition, multiplication, exponentiation
- Inversion, solving linear equations

- Hard problems

- Factoring
  - Given  $n$ , find  $p, q$  s.t.  $n = pq$



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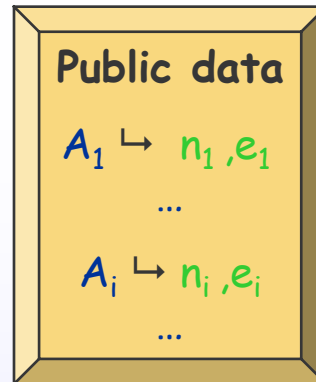
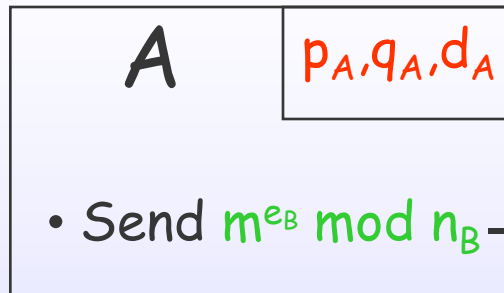
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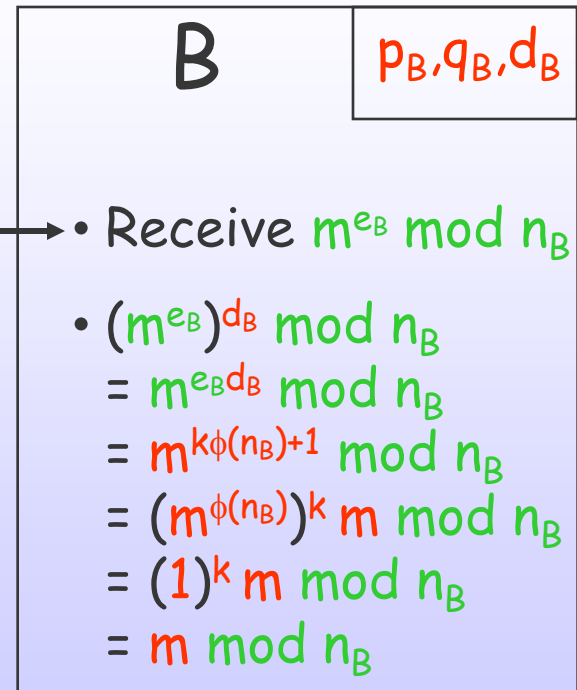
# RSA [Rivest, Shamir, Adelman '76]

A wants to send  
secret  $m \in \mathbb{Z}_{n_B}$   
to B



$m^{e_B} \bmod n_B$

$n_i = p_i q_i$   
 $e_i d_i = 1 \bmod \phi(n_i)$



- Security of RSA rests on
  - Hard to factorize  $n = pq$ 
    - Hard to compute  $\phi(n)$  from  $n$
- Factoring implies RSA
- Unknown if RSA implies factoring



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# Attacks on RSA



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- Small  $d$  for fast decryption
  - But easy to crack if  $d < (n^{1/4})/3$  [Wiener]
    - $d$  should be at least  $10^{80}$
- Small  $e$  for fast encryption
  - If  $m$  sent to more than  $e$  recipients, then  $m$  easily extracted
  - Popular  $e = 2^{16} + 1$ 
    - Same message should not be sent more than  $2^{16} + 1$  times
    - Modify message (still dangerous)
- Timing attacks
  - Time to compute  $m^d \bmod n$  for many  $m$  can reveal  $d$
- Homomorphic properties of RSA
  - If  $c_i = m_i^e \bmod n$  ( $i=1,2$ ), then  $c_1c_2 = (m_1m_2)^e \bmod n$ 
    - Easy chosen plaintext attack
  - Eliminated in standards based on RSA

# One-Way Functions



$f : \{0,1\}^{n'} \rightarrow \{0,1\}^n$  is a *1-way function* if

- There is an efficient algorithm that given  $x$  outputs  $f(x)$ 
  - polynomial
- Given  $y$ , there is no known efficient algorithm to find  $x$  s.t.  $y = f(x)$  for non-negligible fraction of  $y$ 's

## • Examples

- $f(x) = \text{DES}_x(m)$  for a given  $m$
- $f(x) = g^x \bmod p$  for given  $g$  and  $p$  as in DH

$f_p : \{0,1\}^{n'} \rightarrow \{0,1\}^n$  is a *1-way function with trapdoor*

- $f_p(x)$  is 1-way if  $p$  is unknown
- Given  $p$ ,  $f_p(x)$  has efficient algorithm

## • Examples

- $f_d(x) = x^e \bmod n$  for given  $e$  and  $n$  as in RSA
- $f_k(x) = \text{DES}_k(x)$



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# Cryptographic Hashing

$f : \{0,1\}^{n'} \rightarrow \{0,1\}^n$  is a *1-way hash function* if

- $n$  is short
- $n'$  may be unbounded

## Two families

- Non-keyed

- $h : \{0,1\}^* \rightarrow \{0,1\}^n$  (e.g.  $n = 160$ )
- $h(m)$  is the *message digest* of  $m$
- Used for password protection, digital signatures, ...

- Keyed

- $h_k : \{0,1\}^* \rightarrow \{0,1\}^n$  (e.g.  $n = 96$ )
- Used for message integrity



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# Preimage Resistance

$h : \{0,1\}^* \rightarrow \{0,1\}^n$  is *PR* if

- Given random  $y$ 
  - It is hard to find  $m$  s.t.  $h(m) = y$

## Applications:

- Protect password files
  - `/etc/passwd` in Unix

$\text{username}_1$	$h(\text{pwd}_1)$
$\text{username}_2$	$h(\text{pwd}_2)$
...	...



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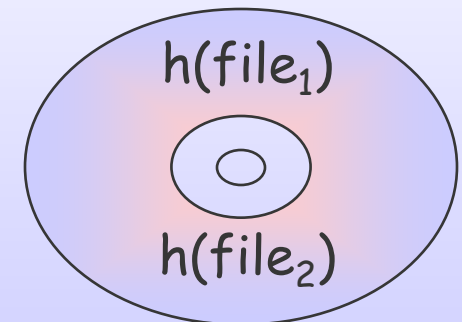
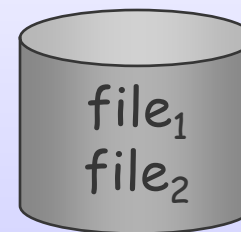
# Second Preimage Resistance

$h : \{0,1\}^* \rightarrow \{0,1\}^n$  is *2PR* if

- Given random  $m$ 
  - It is hard to find  $m'$  s.t.  $h(m) = h(m')$

Applications:

- Virus protection
  - E.g. Tripwire
  - file and  $h(\text{files})$  must be kept separate



- 2PR implies PR



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# Collision Resistance

$h : \{0,1\}^* \rightarrow \{0,1\}^n$  is *CR* if

- It is hard to find  $m$  and  $m'$  s.t.  $h(m) = h(m')$

Applications:

➤ Digital signatures

- $\text{Sig}_k(h(m))$
- Assume attacker knows  $m$  and  $m'$  s.t.  $h(m) = h(m')$ 
  - Ask principal to sign  $m$
  - Has automatically signature on  $h(m')$

- CR implies 2PR (implies PR)

➤ Easier to construct CR than 2PR

➤ From now on, we focus on CR



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# Birthday Paradox

There is a 0.5 probability that 2 people have the same birthday in a room of 25

- Given  $r_1, \dots, r_n \in [0, 1, \dots, B]$  independent integers
  - If  $n \geq 1.2\sqrt{B}$ , then  $\text{Prob}[\exists i \neq j : r_i = r_j] > \frac{1}{2}$
- For message digest 64 bits long
  - Collision can be found with around  $2^{32}$  tries
  - Typical digest size is 160 bits (SHA-1)
    - Collision time is  $2^{80}$  tries



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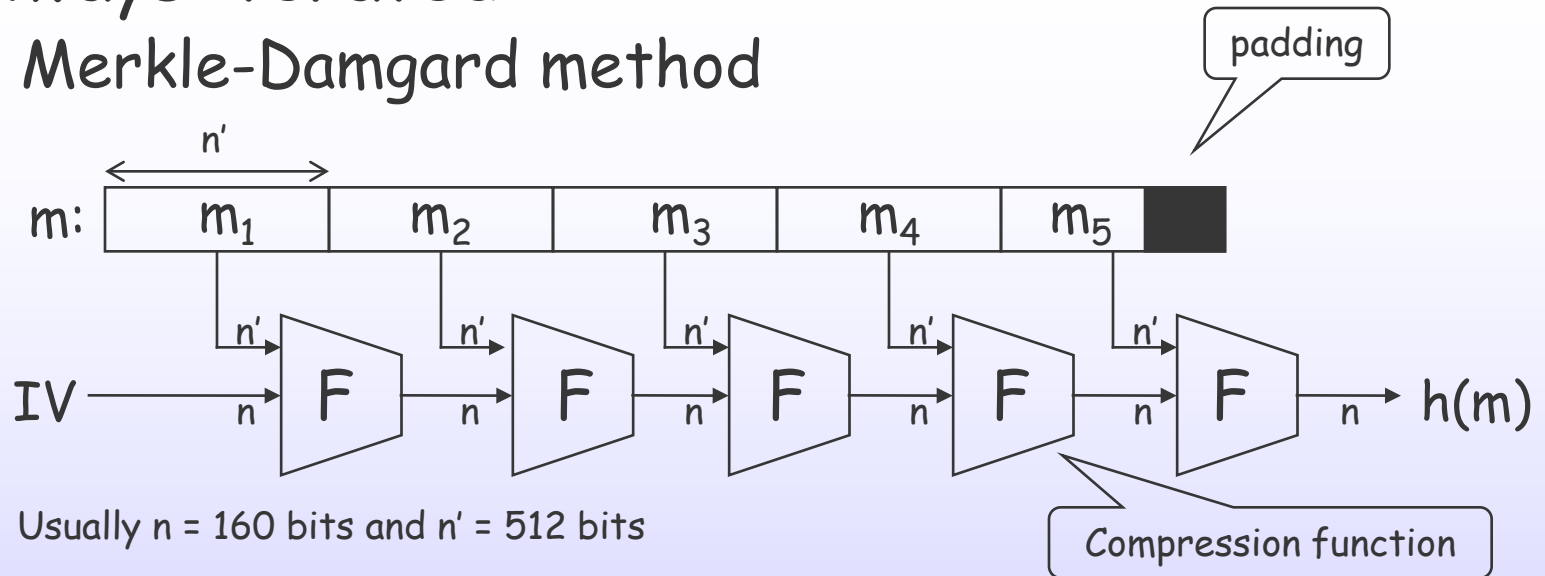
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# Constructions

Always iterated

- Merkle-Damgard method



- If  $F$  (compression function) is CR, then Merkle-Damgard hash is CR
  - Enough to construct a CR compression function
    - Based on block ciphers (typically slow)
    - Customized design (faster)



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# Actual Compression Functions

- Based on block ciphers (e.g. DES)
  - Given block cipher  $E_k(m)$
  - $F(m, h_i) = E_{m \oplus k_{i-1}}(m)$
  - If  $E^k(m)$  is ideal cipher, finding collisions takes  $2^{n/2}$  tries
    - Best possible, but black-box security
- Customized compression functions

Name	n	Speed	Comment
MD4	128	?	Proprietary (RSA labs); broken in time $2^{26}$
MD5	128	28.5 Mb/s	Collision for compression function
SHA-1	160	15.25 Mb/sec	NIST
RIPE-MD	160	12.6 Mb/s	RIPE

On 200MHz Pentium



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# Keyed Hash Functions

$$h_k : \{0,1\}^* \rightarrow \{0,1\}^n$$

- $k$  needed to evaluate function
- Main application:
  - Message authentication codes (MAC)
    - Guarantees message integrity
- $H_k(m)$  is a cryptographic checksum
  - Ensures that  $m$  has not been tampered



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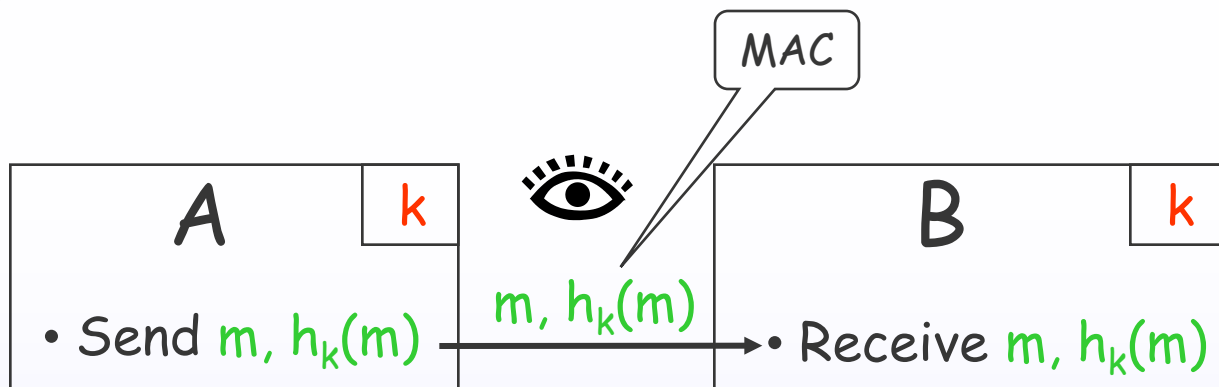
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# Example

- Network



- Adversary can't build MAC for  $m' \neq m$
- Note: MAC used for integrity, not secrecy
- Digital signature work, but are too slow

- File system



- MAC verified when file is accessed
- pwd needed to modify file



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# Constructing MACs

## 2 methods

- Cryptographic MACs

- CBC-MAC

- Based on block ciphers

- HMAC

- Based on non-keyed hash functions

- Information-theoretic MACs

- Based on universal hashing

### Performance

Name	n	Speed
3DES	64	1.6Mb/sec
IDEA	64	3Mb/sec
MD5	128	28.5 Mb/s
SHA-1	160	15.25 Mb/sec

On 200MHz Pentium



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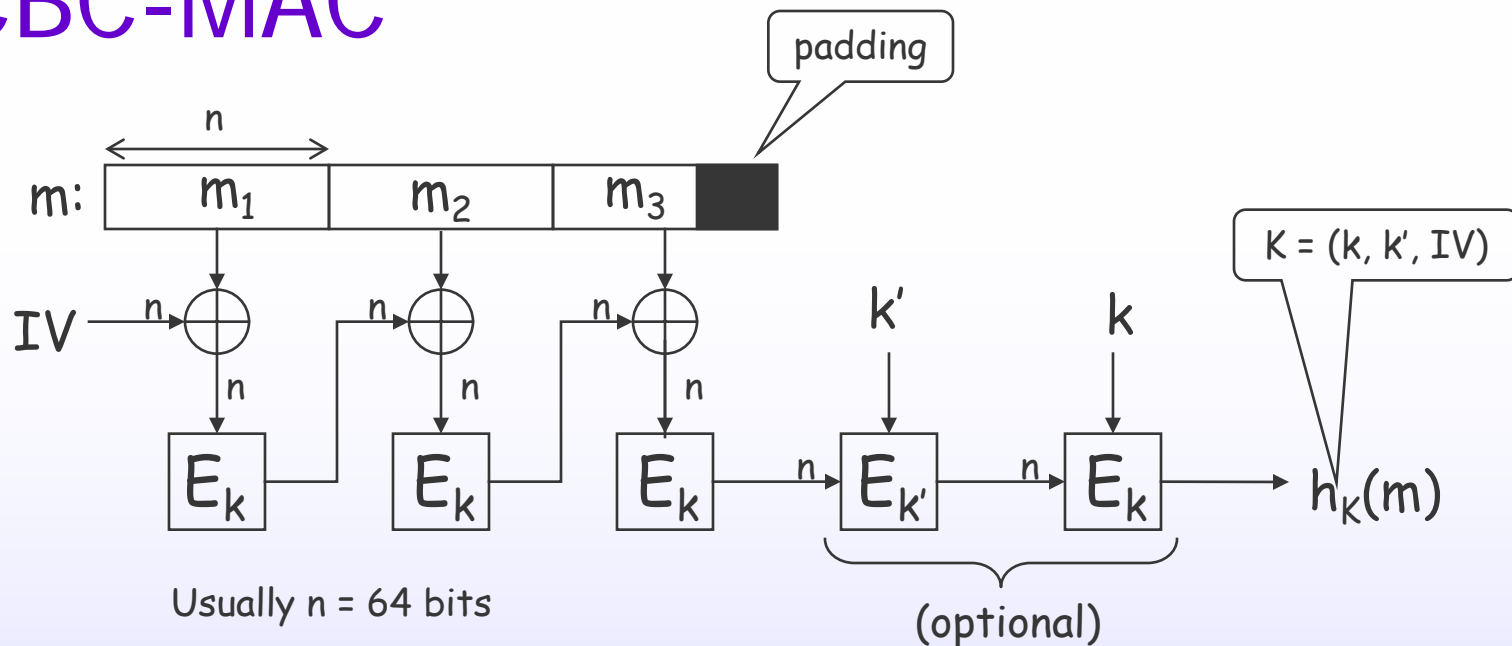
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# CBC-MAC



- Most commonly used in banking industry
- If  $E$  is a MAC, then CBC- $E$  is also a MAC
- Note: no birthday attack
  - MACS can be shorter than message digests

# Hash-Based MACs

$h$  non-keyed hash function

- Attempt:  $MAC_k(m) = h(k \parallel m)$ 
  - Extension attack with Merkle-Damgard method:
    - $MAC_k(m \parallel m') = h(MAC_k(m) \parallel m')$
- Attempt:  $MAC_k(m) = h(m \parallel k)$ 
  - Birthday paradox attack
- Envelope method
  - $MAC_{k,k'}(m) = h(k \parallel m \parallel k')$
- Preferred method: HMAC
  - $HMAC_k(m) = h(k \parallel pad_1 \parallel h(k \parallel pad_2 \parallel m))$
  - If compression function in  $h$  is a MAC and  $h$  is CR, then HMAC is a MAC
  - IPsec and SSL use 96 bit HMAC

Hash-based  
MAC



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# Digital Signatures



- Paper signature guarantees non-repudiation for
  - Identity
  - Contract signing
- Digital signature
  - binds a secret  $k$  to a document  $m$ 
    - $s = f(m, k)$
  - $s$  can be generated only knowing  $k$
  - $s$  can be verified by anyone knowing  $m$
- Should guaranty
  - Non-repudiation
  - Non-malleability
    - Signature cannot be cut and pasted to other documents
  - Non-forgability



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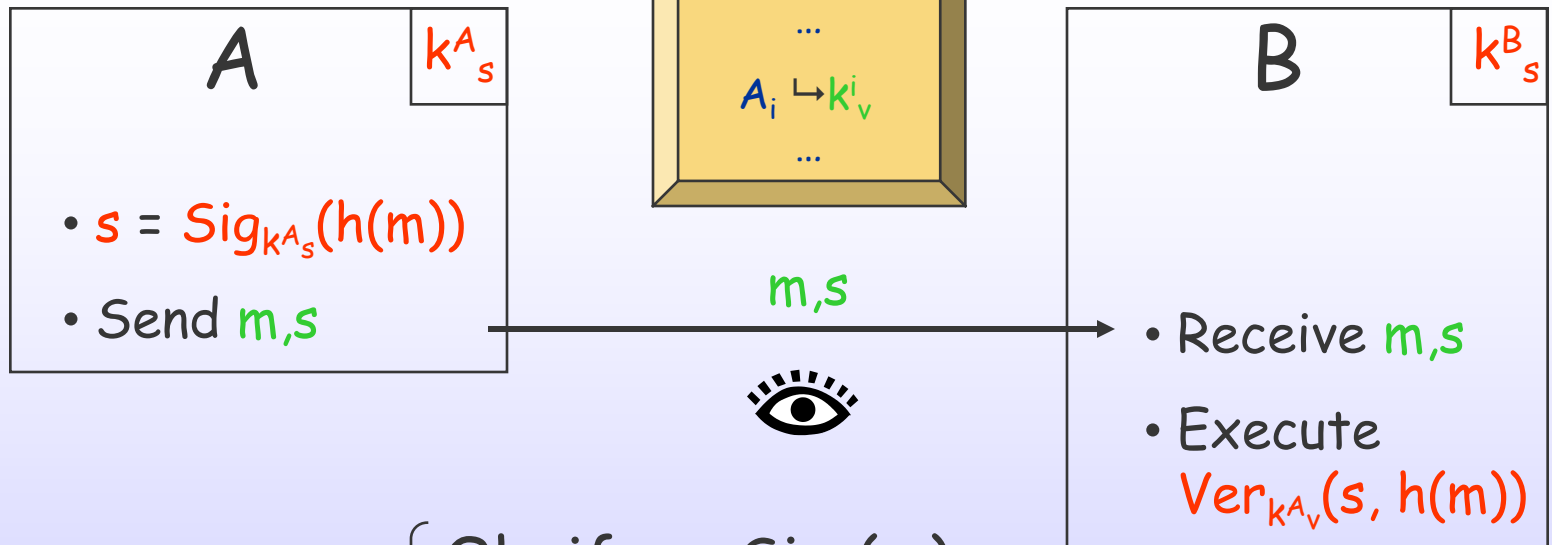
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# Signature Process

A wants to sign  
m and send it to B



$$\text{Ver}_{k^{-1}}(s, m) = \begin{cases} \text{Ok} & \text{if } s = \text{Sig}_k(m) \\ \text{No} & \text{otherwise} \end{cases}$$

- h makes signature short



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# Attacks on Digital Signatures

- Signature break
  - Adversary can recover  $k_s$  from  $k_v$  and intercepted messages
- Selective forgery
  - Adversary can forge signature  $s$  for message  $m$  of his choice
- Existential forgery
  - Adversary can forge signature  $s$  for arbitrary message  $m$



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# Constructions

Signature schemes based on

- RSA

- E.g.: PKCS#1, Fiat-Shamir, ...
- Easy to verify but hard to generate
  - Ok for certificates
- Relatively long (1024 bit)

- DL

- El Gamal , DSS, ...
- Hard to verify, but easy to generate
  - Ok for smart cards
- Short (320 bit)

- General 1-way functions

- Lamport, Merkle, ...
- Impractical



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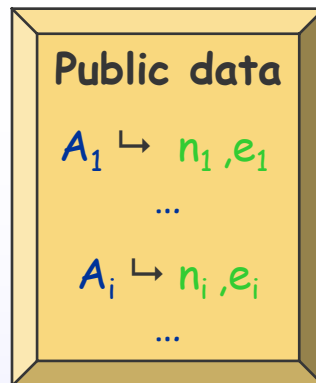
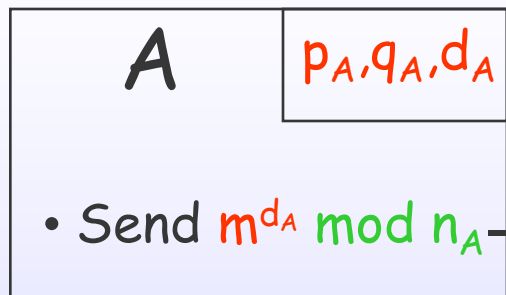
Signature

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# Naïve RSA Signature

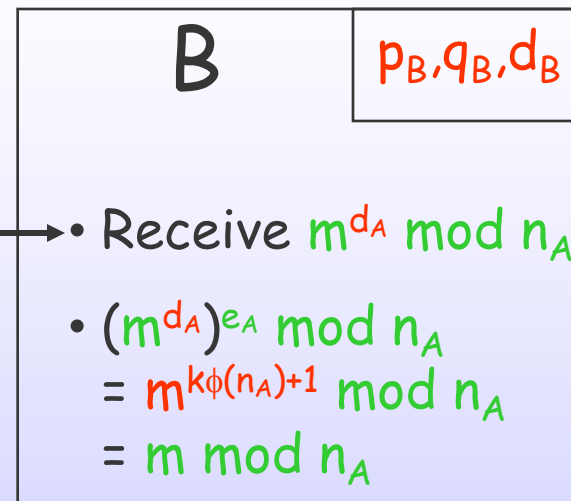
A wants to send  
signed  $m \in \mathbb{Z}_{n_A}$   
to B



$m^{d_A} \bmod n_A$



$$n_i = p_i q_i$$
$$e_i d_i = 1 \bmod \phi(n_i)$$



- Signature = RSA decryption
  - Achieves confidentiality as well
- Verification = RSA encryption



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# Attacks on Naïve RSA Signature

- Existential forgery

- $\text{Ver}_d(s^e, s) = \text{Ok}$  for any  $s$

- Blinding attack

Adversary wants signature of  $A$  on  $m$

- Pick  $r \in \mathbb{Z}_{n_A}$
  - Get  $A$  to sign  $m' = mr^e \bmod n_A$
  - $A$  returns  $s' = (mr^e)^d \bmod n_A$
  - Deduce then  $s = s'/r = m^d \bmod n_A$
  - Then  $(m, s)$  is a valid signature pair



Motivation

Numbers

DH

El Gamal

RSA

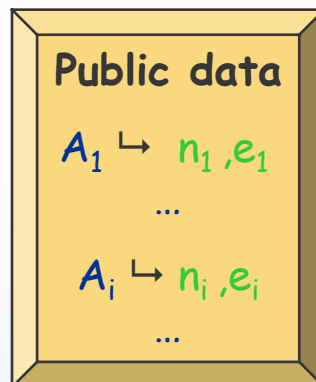
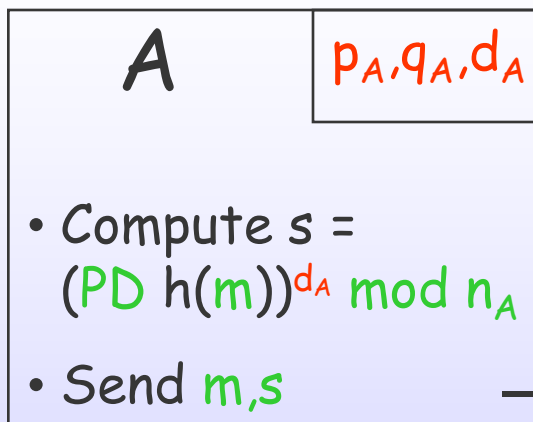
Hashing

Signature

PKI

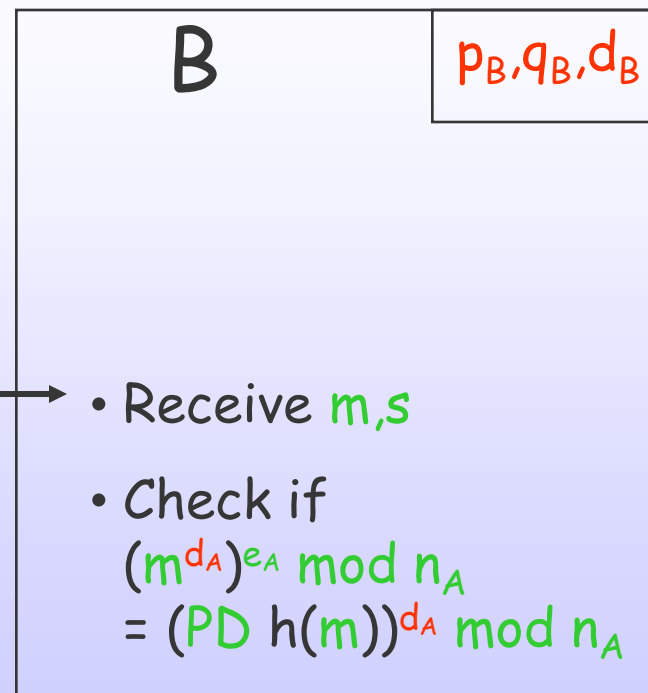
# RSA Signatures – PKCS#1

A wants to send  
signed  $m \in \mathbb{Z}_{n_A}$   
to B



$m, s$

$$n_i = p_i q_i$$
$$e_i d_i = 1 \bmod \phi(n_i)$$



- PD = 00 01 11 11 ... 11 00 (864 bit)
- $h(m)$  is 160 bit
- Security is unproved
  - ISO standards use other PD's



Motivation

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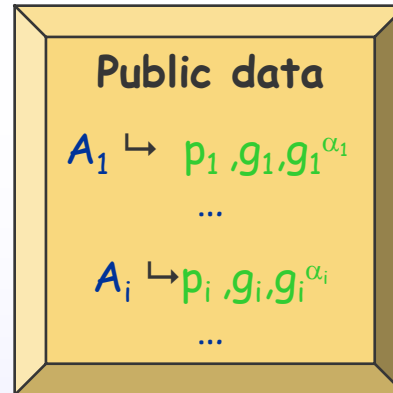
# El Gamal Signature

A wants to send

**A**

$\alpha_A$

- Choose random  $r$
- Compute
  - $k = g^r \bmod p_A$
  - $r^{-1} \bmod (p_A - 1)$
  - $s = r^{-1}(h(m) - k\alpha) \bmod (p_A - 1)$
- Send  $m, k, s$



$m, k, s$



secret  $m \in \mathbb{Z}_{p_B}$  to B

**B**

$\alpha_B$

- Receive  $m, k, s$
- Check
  - $1 \leq k \leq p_A - 1$
  - $g^k k^s = g^{h(m)} \bmod p_A$

• Why does it work?

➤ Exercise



Motivation

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# DSS – Digital Signature Standard

A wants to send  
signed  $m \in \mathbb{Z}_{n_A}$  to B

$$\begin{aligned} q_i &| p_i - 1 \\ g_i^{q_i} &= 1 \bmod p_i \\ y_i &= g_i^{\alpha_i} \bmod p_i \end{aligned}$$

Public data

$$A_1 \mapsto p_1, p_1, g_1, y_1$$

...

$$A_i \mapsto p_i, p_i, g_i, y_i$$

...

A

$\alpha_A$

- Pick random  $r \in \mathbb{Z}_{q_A}^*$
- Compute
  - $k = (g_A^r \bmod p_A) \bmod q_A$
  - $s = r^{-1}(h(m) + k\alpha_A) \bmod q_A$
- Send  $m, k, s$



$m, k, s$

B

$\alpha_B$

- p is 1042 bits
- q is 160 bits
- Signature k,s is only 360 bits
- Fast verification methods exist

- Receive  $m, k, s$

- Check

$$1 \leq k, s < p_A$$

$$k = g_A^{s^{-1}h(m)}$$

$$(y_A^{s^{-1}w} \bmod p_A) \bmod q_A$$



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# Lamport Signatures



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Given CR hash function  $h$

- Key generation
  - Pick random  $x_i^{(j)} \in \{0,1\}^n$ , for  $i=1..n, j=0,1$
  - Public key:  $v_i^{(j)} = h(x_i^{(j)})$ , for  $i=1..n, j=0,1$
  - Private key:  $x_i^{(j)}$ , for  $i=1..n, j=0,1$
- Signature of  $m = m_1, \dots, m_n \in \{0,1\}^n$ 
  - $s = (x_1^{(m_1)}, \dots, x_n^{(m_n)})$
- Verification
  - $h(s_i) = v_i^{(m_i)}$ , for  $i=1..n$
- Comments
  - Can be used only once
  - Very fast
  - Lots of public data

# Hashing vs. MAC vs. Signatures



- Hashing: private checksum
  - Produce footprint of a message
  - Must be stored separated from message
- MAC: cryptographic checksum
  - Footprint protected with shared key
  - Can be transmitted over public channel
- Digital signature: taking responsibility
  - Footprint protected with private key
  - No shared secrets with verifier



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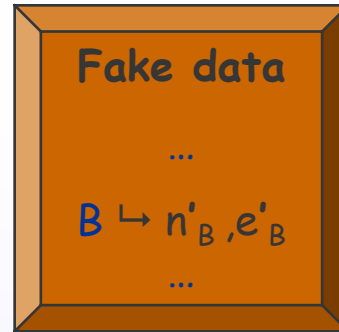
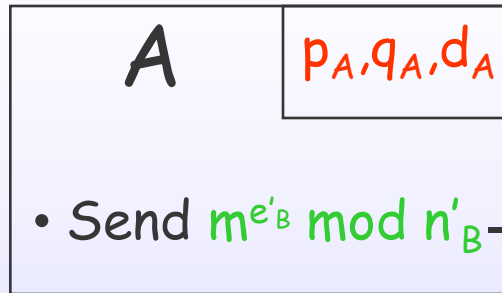
Signature

PKI



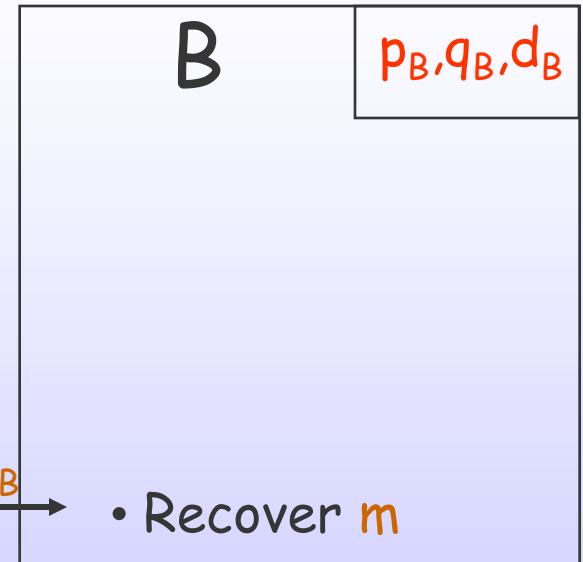
# A Simple Attack on RSA

A wants to send  
secret  $m$  to B



$n'_i = p'_i q'_i$   
 $e'_i d'_i = 1 \bmod \phi(n'_i)$

Intruder wants  
to know  $m$



Intruder recovers  $m$

$m^{e_B} \bmod n_B$

- How is the public table implemented?

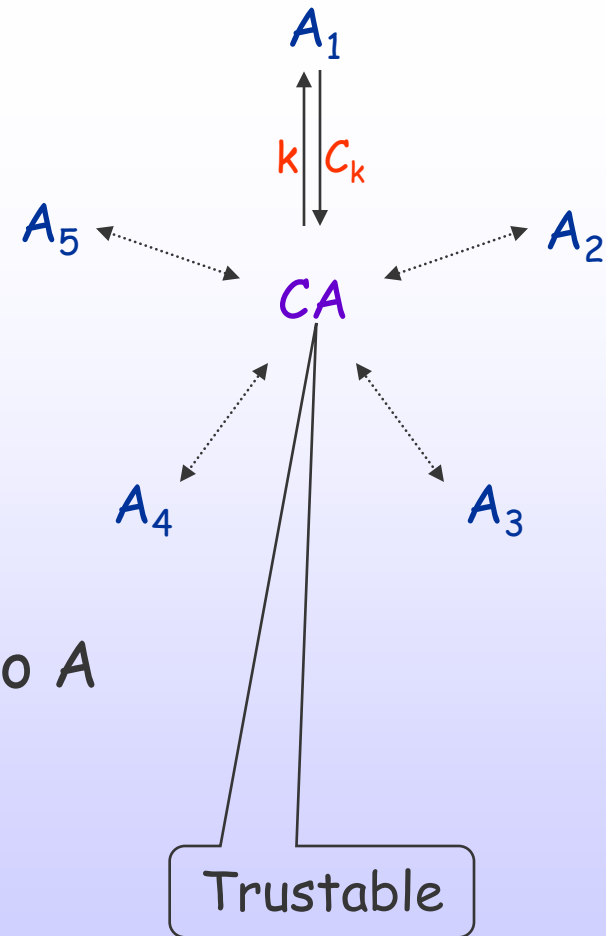


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# Certification of Published Data

A generates public/private key pair  $(k, k^{-1})$  and wants to publish  $k$  on public table

1. A sends  $k$  to CA
  - Certification Authority
2. CA verifies that A knows  $k^{-1}$ 
  - Challenge-Response exchange
3. CA generates  $C_k$  and sends it to A
  - A forwards  $C_k$  when using  $k$ 
    - Either A volunteers  $C_k$  (push)
    - or sends it on demand (pull)
    - CA not needed on-line



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# Certificates



$$C_k = (A, k, t_{\text{exp}}, \text{priv}, \dots, \text{sig}_{CA})$$

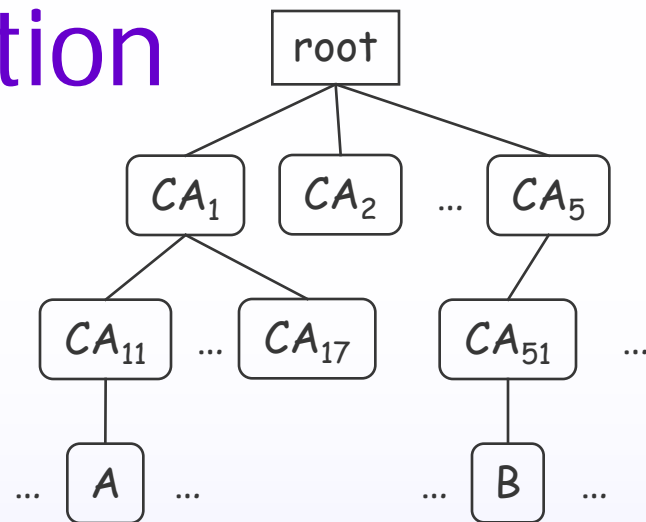
- $t_{\text{exp}}$  = expiration date
- $\text{priv}$  = privileges
- ... = possibly more information



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- Everyone knows the verification key of  $CA$ 
  - Single point of failure
  - Vulnerability as number of principals grows

# Hierarchical Certification



- Certificate chains
  - Contain certificates of all the nodes to the root
  - Exchanged certificates limited to first common ancestor
- Root signature is trusted and recognizable
  - Redundancy can reduce vulnerability
- Used in SET
  - Developed by Visa/Mastercard
  - Root key distributed among 4 sites



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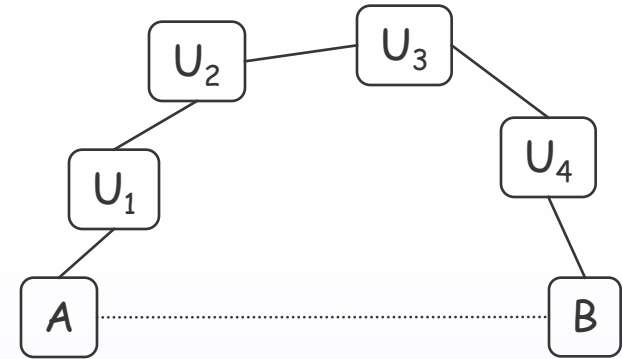
Hashing

Signature

PKI

# "Web of Trust"

- No central authority
- Users give ratings of keys they used
  - Validity (binding to other user)
  - Trust (none, partial, complete)
- Used in PGP



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# Certificate Revocation

Certificates may be revoked

- A's key is stolen
- Employee leaves the company

- Wait till  $t_{\text{exp}}$ 
  - May be too late
- Certification Revocation List
  - May get blocked
- Validate certificates at fixed intervals



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# Comparison with KDC



- Motivation
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- El Gamal
- RSA
- Hashing
- Signature
- PKI

## Symmetric keys

- KDC on-line, used at every session
- KDC knows secret key
- If KDC compromised, past and future messages exposed
- Fast

## Public key

- CA off-line except for key generation
- CA knows only public key
- If CA compromised, only future messages exposed
- Slow

# New Trends in Cryptography

- Elliptic-curve cryptography
  - Groups (like  $\mathbb{Z}_n^*$ ) with very hard crypto-analysis
  - Fast and small keys (190 bit ~ 1024 bit of RSA)
  - Complex underlying mathematics
- Quantum cryptography
  - Measuring particle properties destroys them
    - E.g. polarization
  - No eavesdropping without perturbing transmission



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# Readings

... references from lecture 2, and also

- Douglas Stinson, *Cryptography: theory and practice*, 1995
- Michael Luby, *Pseudorandomness and Cryptographic Applications*, 1996



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# Exercises for Lecture 3



- Show that Euler's theorem is a generalization of Fermat's little theorem
- Show that El Gamal and DSS signature verifications are correct



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# Next ...

- Authentication Protocols



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