

# Theoretical Bounds in Distortion Estimation Algorithm

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## 1 Proof of the pullback bound (Eqn. 4) in [1]

Denote the pull-back operation  $H$  that maps a distorted image  $I_p$  using the parameters  $q$  to a new (possibly less distorted) image  $H(I_p, q)$ . In the following we shall prove

$$\|H(I_p, q) - I_{p-q}\| \leq R\|p - q\| \quad (1)$$

for the following setting of  $H$ :

	Forward Case	Backward Case
Generating function	$G = G_F$	$G = G_B$
Pull-back operation	$H = G_B$	$H = G_F$

Table 1: The generating function and pull-back operation in the forward and backward case.

Fig. 1 shows the intuition of Eqn. 1, in particular, the reason why  $H(I_p, p) = T$  in both forward and backward cases. Although the intuition shown in Fig. 1 is valid for any warping function  $W(x, p)$ , we only consider linear warping, i.e.,  $W(x, p) = x + B(x)p$  in the proof. Here  $B(x) = [b_1(x), b_2(x), \dots, b_d(x)]$  are a set of orthonormal warping bases.

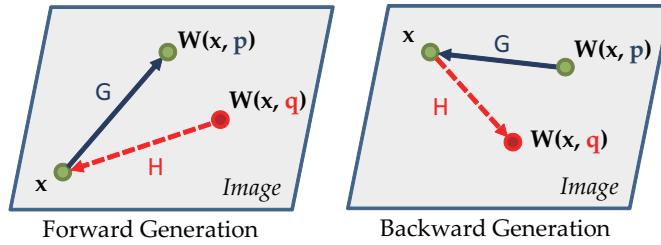


Figure 1: The mechanism of the pull-back operation  $H$ . In forward generation, a pixel  $x$  in the template is pushed to the position  $W(x, p)$  in the distorted image by the generating function  $G$ . The corresponding pull-back operation  $H$  do the opposite: it takes the pixel value at  $W(x, q)$  in the distorted image, and stores it at position  $x$  in the resulting image  $H(I_p, q)$ . In the case of  $p = q$ , the pixel pushed by  $G$  is the same pixel pulled by  $H$ , yielding  $H(I_p, p) = T$ . Similarly we define  $H$  in backward generation.

In the following, we give the bound for backward warping case  $G = G_B$ , in which the pull-back operation is  $H = G_F$ . Similarly we can prove the forward case.

**Theorem 1.1 (The Upper bound of the pull-back operation  $H$ )** *Suppose the (backward) distorted image  $I_{p-q}$  maps the pixel at  $L_G$  on the template image  $T$  to position  $y \in \mathbb{R}^2$ , and the pulled-back image  $H(I_p, q) = G_F(I_p, q)$  maps the pixel at  $L_H$  on the template image  $T$  to the same position  $y$ . Then we have the following bound if there exists an  $x$  so that  $y = x + B(x)q$  (Or  $W(x, q)$  is onto):*

$$\|L_G - L_H\|_1 \leq R'\|p - q\|_1 \quad (2)$$

where  $R' = 2B_0 \min(B_1\|\mathbf{q}\|_1, 2)$ ,  $B_0 = \|B\|_\infty$  and  $B_1 = \max_j \max_{\mathbf{x}} \max(\|\nabla b_j^x(\mathbf{x})\|_1, \|\nabla b_j^y(\mathbf{x})\|_1)$  is the gradient bound of basis  $B(\mathbf{x})$  (Note:  $\mathbf{b}_j(\mathbf{x}) = [b_j^x(\mathbf{x}); b_j^y(\mathbf{x})]$  is a column vector at each  $\mathbf{x}$ ). Therefore, we have

$$\|H(I_{\mathbf{p}}, \mathbf{q}) - I_{\mathbf{p}-\mathbf{q}}\|_\infty \leq R\|\mathbf{p} - \mathbf{q}\|_1 \quad (3)$$

where  $R = R'Q_1$  and  $Q_1 = \max_{\mathbf{x}} \|\nabla T(\mathbf{x})\|_1$  is the gradient bound of the template  $T$ .

**Proof** According to Fig. 1,  $H(I_{\mathbf{p}}, \mathbf{q})$  essentially moves the pixel  $L_H \equiv \mathbf{x} + B(\mathbf{x})\mathbf{p}$  on the template  $T$  to the position  $\mathbf{x} + B(\mathbf{x})\mathbf{q}$ :

$$H : L_H \equiv \mathbf{x} + B(\mathbf{x})\mathbf{p} \longrightarrow \mathbf{x} + B(\mathbf{x})\mathbf{q} \quad (4)$$

This is valid for any  $\mathbf{x} \in \mathbb{R}^2$ . On the other hand, for the pixel  $\mathbf{y}$  on distorted image  $I_{\mathbf{p}-\mathbf{q}}$ , it comes from the pixel  $L_G \equiv \mathbf{y} + B(\mathbf{y})(\mathbf{p} - \mathbf{q})$  on the template  $T$ :

$$G : L_G \equiv \mathbf{y} + B(\mathbf{y})(\mathbf{p} - \mathbf{q}) \longrightarrow \mathbf{y} \quad (5)$$

Since  $W(\mathbf{x}, \mathbf{q})$  is onto, there exists  $\mathbf{x}$  so that  $\mathbf{y} = \mathbf{x} + B(\mathbf{x})\mathbf{q}$ , then Eqn. 5 becomes

$$G : L_G \equiv \mathbf{x} + B(\mathbf{x})\mathbf{q} + B(\mathbf{x} + B(\mathbf{x})\mathbf{q})(\mathbf{p} - \mathbf{q}) \longrightarrow \mathbf{x} + B(\mathbf{x})\mathbf{q} \quad (6)$$

Note the destination(right) part of Eqn. 4 and Eqn. 6 are the same ( $\mathbf{y}$ ), while the difference between the source(left) part of Eqn. 4 and Eqn. 6 is:

$$L_G - L_H = [B(\mathbf{x} + B(\mathbf{x})\mathbf{q}) - B(\mathbf{x})](\mathbf{p} - \mathbf{q}) \quad (7)$$

so we directly have the bound  $\|L_G - L_H\|_1 \leq 4B_0\|\mathbf{p} - \mathbf{q}\|_1$  where  $B_0 = \|B\|_\infty = \max_{\mathbf{x}} \max_{ij} B_{ij}(\mathbf{x})$ . In addition, using intermediate value theorem, from Eqn. 7 there exists  $\{\xi_1^x, \xi_2^x, \dots, \xi_d^x\}$  and  $\{\xi_1^y, \xi_2^y, \dots, \xi_d^y\}$  on the 2D line segment  $[\mathbf{x}, \mathbf{x} + B(\mathbf{x})\mathbf{q}]$  so that:

$$B^x(\mathbf{x} + B(\mathbf{x})\mathbf{q}) - B^x(\mathbf{x}) = \mathbf{q}^T B(\mathbf{x})^T [\nabla b_1^x(\xi_1^x), \nabla b_2^x(\xi_2^x), \dots, \nabla b_d^x(\xi_d^x)] \quad (8)$$

$$B^y(\mathbf{x} + B(\mathbf{x})\mathbf{q}) - B^y(\mathbf{x}) = \mathbf{q}^T B(\mathbf{x})^T [\nabla b_1^y(\xi_1^y), \nabla b_2^y(\xi_2^y), \dots, \nabla b_d^y(\xi_d^y)] \quad (9)$$

where  $B^x(\mathbf{x}) = [b_1^x(\mathbf{x}), b_2^x(\mathbf{x}), \dots, b_d^x(\mathbf{x})]$  and  $B^y(\mathbf{x}) = [b_1^y(\mathbf{x}), b_2^y(\mathbf{x}), \dots, b_d^y(\mathbf{x})]$  are the  $x$  and  $y$  component of  $B(\mathbf{x})$ . Then:

$$|L_G^x - L_H^x| \leq B_1 B_0 \|\mathbf{q}\|_1 \|\mathbf{p} - \mathbf{q}\|_1 \quad (10)$$

$$|L_G^y - L_H^y| \leq B_1 B_0 \|\mathbf{q}\|_1 \|\mathbf{p} - \mathbf{q}\|_1 \quad (11)$$

where  $B_1 = \max_j \max_{\mathbf{x}} \max(\|\nabla b_j^x(\mathbf{x})\|_1, \|\nabla b_j^y(\mathbf{x})\|_1)$ . Hence the bound.  $\blacksquare$

## References

- [1] Y. Tian and S. Narasimhan. A Globally Optimal Data-Driven Approach for Image Distortion Estimation. *CVPR*, 2010. 1