

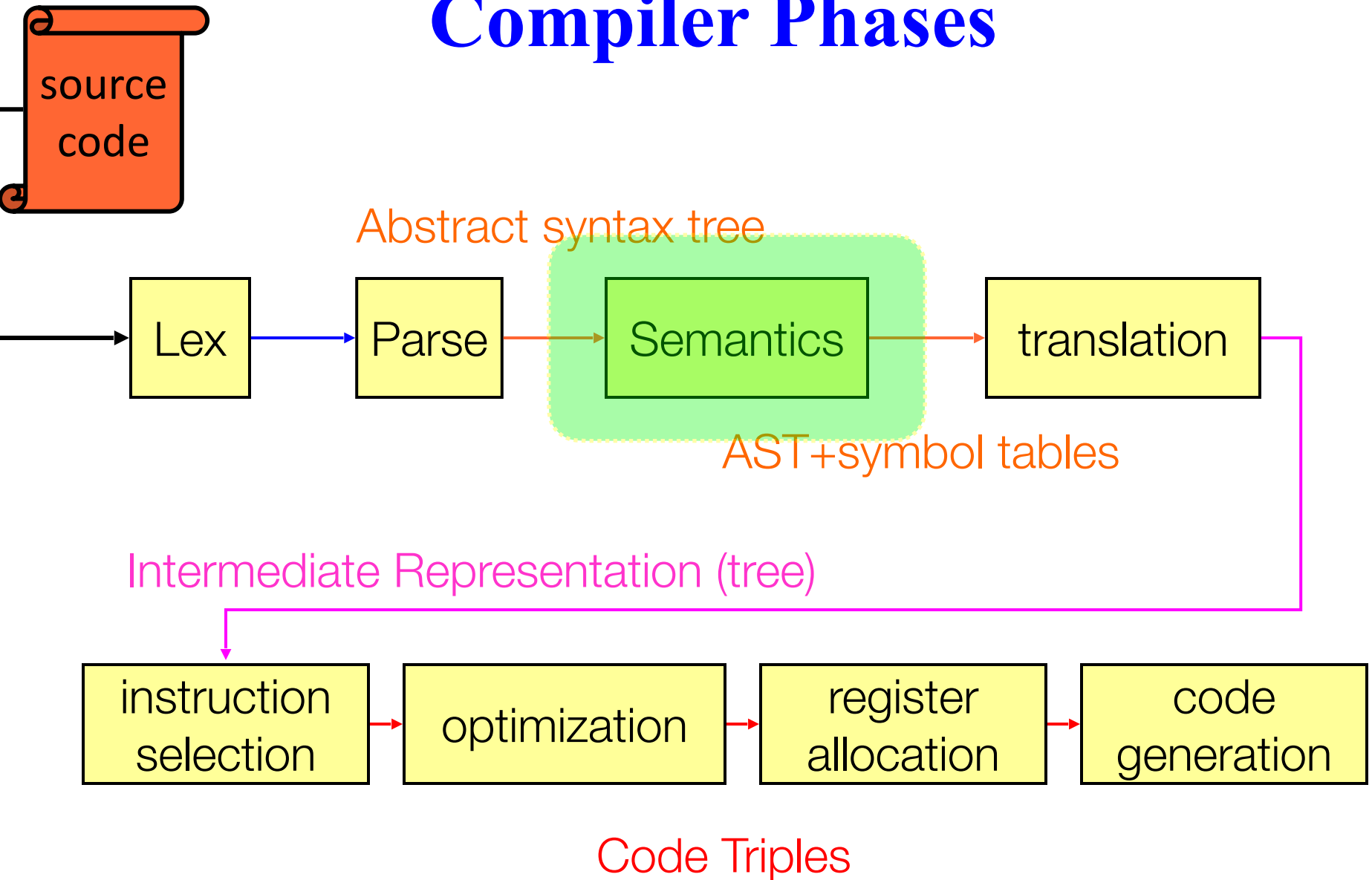
# Type checking

**15-411/15-611 Compiler Design**

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# Compiler Phases



# Today

- Types & Type Systems
- Type Expressions
- Type Equivalence
- Type Checking

# Types

- A **type** is a set of values and a set of operations that can be performed on those values.
  - E.g, **int** in C0 is in  $[-2^{31}, 2^{31})$
  - **bool** in C0 is in **{ false, true }**
  - **ints** allow arithmetic operators **+ - \* /**
  - **bools** allow logical operators **&& ||**

# Types & Type systems

- A **type** is a set of values and a set of operations that can be performed on those values.
- A **Type system** is a set of rules which assign types to expressions, variables, storage locations,, and thus the entire program
  - What operations are valid for which types
  - Concise formalization of the checking rules
  - Specified as rules on the structure of expressions, ...
  - Language specific

# Static vs Dynamic Types

- **Static type**: type assigned to an *expression* or *storage location* at compile time
- **Dynamic type**: type of a *value* at runtime
- **Statically-typed language**: every expression and storage location must have a type at compile time
- **Dynamically-typed language**: values carry dynamic type information used at runtime
- **Untyped language**: no typechecking, e.g., assembly

# Why Static Typing?

- Allows error detection by compiler
- Compiler can reason more effectively
  - don't have to check for unsupported operations
  - values have most efficient representations
  - More optimizations
- Documentation!
- But:
  - requires at least some *type declarations*
  - type decls often can be inferred (ML, C+11)

# Dynamic checks

- Array index out of bounds
- null and casts Java
  - (maybe) null pointers in C
- Load-time type checking in Java
- Property access in JavaScript
- Sometimes can be eliminated statically
- Managed runtimes optimize dynamic checks through dynamic analysis



# Sound Type System

- If an expression is assigned type  $t$ , and it evaluates to a value  $v$ , then  $v$  is in the set of values defined by  $t$
- IOW, dynamic type of value (at runtime) will always be within the static type of the expression (derived at compiled time)
- SML, OCAML, Scheme and Ada have sound type systems
- Most implementations of C and C++ do not

# Strongly Typed Language

- When no application of an operator to arguments can lead to a run-time type error, language is **strongly typed**
- strongly typed != statically typed

# Strongly Typed Language

- C++ claimed to be “strongly typed”, but
  - Union types allow creating a value of one type and using it at another
  - Type coercions may cause unexpected (undesirable) effects
  - No array bounds check (in fact, no runtime checks at all)
  - Uninitialized values cause havoc
- SML, OCAML “strongly typed” but still must do dynamic array bounds checks, runtime type case analysis, and other checks

# Limitations

- Can still have runtime errors:
  - division by zero
  - exceptions
- Static type analysis has to be conservative, thus some “correct” programs will be rejected.

# Example: c0 type system

- Language type systems have *primitive types* (also: *basic types*, *atomic types*)
- C0: int, bool, char, string
- Also have *type constructors* that operate on types to produce other types
- C0: for any type  $T$ ,  $T[ ]$ ,  $T^*$  is a type.
- Extra types: void denotes absence of value

# Type Expressions

- *Type expressions* are used in declarations and type casts to define or refer to a type
  - *Primitive types*, such as **int** and **bool**
  - *Type constructors*, such as pointer-to, array-of, records and classes, templates, and functions
  - *Type names*, such as typedefs in C and named types in Pascal, refer to type expressions

# Type expressions: aliases

- Some languages allow type aliases (e.g., type definitions)
  - C: `typedef int int_array[ ];`
  - Modula-3: `type int_array = array of int;`
- `int_array` is type expression denoting same type as `int [ ]` -- not a type constructor

# Type Expressions: Arrays

- Different languages have various kinds of array types
- w/o bounds: `array(T)`
  - C, Java: `T[ ]`, Modula-3: array of  $T$
- size: `array(T, L)` (may be indexed  $0..L-1$ )
  - C: `T[L]`, Modula-3: `array[L]` of  $T$
- upper & lower bounds: `array(T,L,U)`
  - Pascal, Modula-3: indexed  $L..U$
- Multi-dimensional arrays (FORTRAN)



# Records/Structures

- More complex type constructor
- Has form  $\{id_1: T_1, id_2: T_2, \dots\}$  for some ids and types  $T_i$
- Supports access operations on each field, with corresponding type
- C: `struct { int a; float b; }` corresponds to type  $\{a: \mathbf{int}, b: \mathbf{float}\}$

# Function Types

- Some languages have first-class function types (C, ML, Modula-3, Pascal, not Java[1])
- Function value can be invoked with some argument expressions with types  $T_i$ , returns return type  $T_r$ .
- Type:  $T_1 \times T_2 \times \dots \times T_n \rightarrow T_r$
- C: `int f(float x, float y)`
  - $f: \text{float} \times \text{float} \rightarrow \text{int}$
- Function types useful for describing methods, as in Java, even though not values, but need extensions for exceptions.

[1] Java 8 added lambda expressions and function interfaces

# Type Equivalence

- Name equivalence: Each distinct type name is a distinct type.
- Structural Equivalence: two types are identical if they have the same structure

# Name Equivalence

- Each type name is a distinct type, even when the type expressions the names refer to are the same
- Types are identical only if names match
- Used by Pascal (inconsistently)

```
type link = ^node;  
var next : link;  
    last : link;  
    p : ^node;  
    q, r : ^node;
```

Using name equivalence:

```
p ≠ next  
p ≠ last  
p = q = r  
next = last
```

# Structural Equivalence

- Two types are the same if they are structurally identical
- Used in C0, C, Modula 3

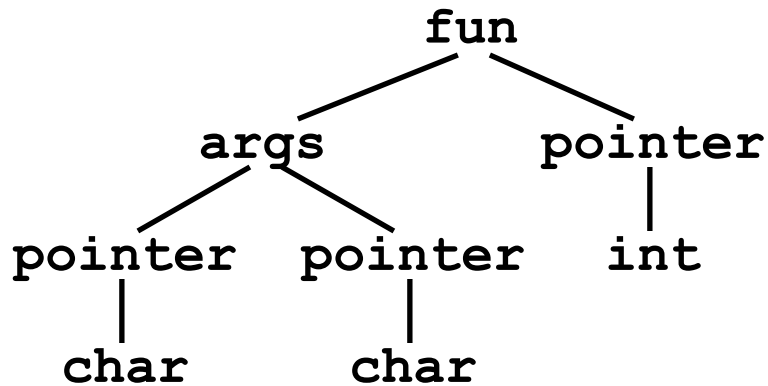
```
typedef node* link;  
link next;  
link last;  
node* p;  
node* q;
```

Using structural equivalence:

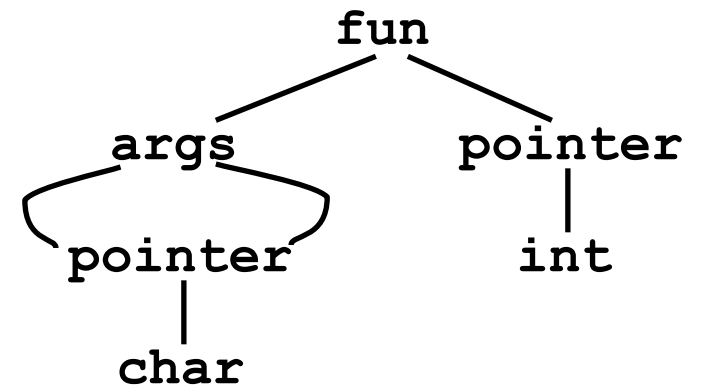
**p = q = next = last**

# Representing Types

```
int *f(char*,char*)
```



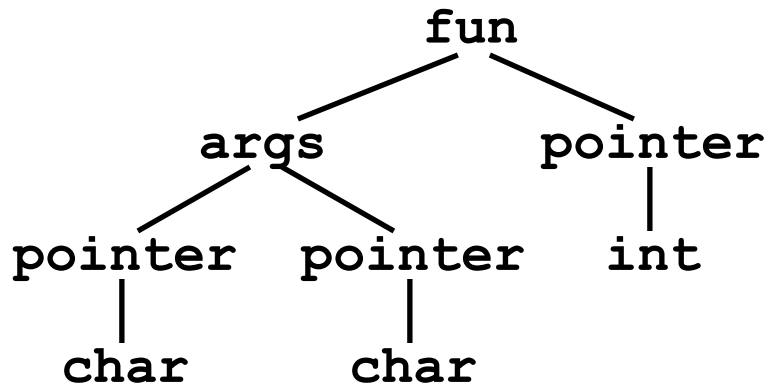
Tree forms



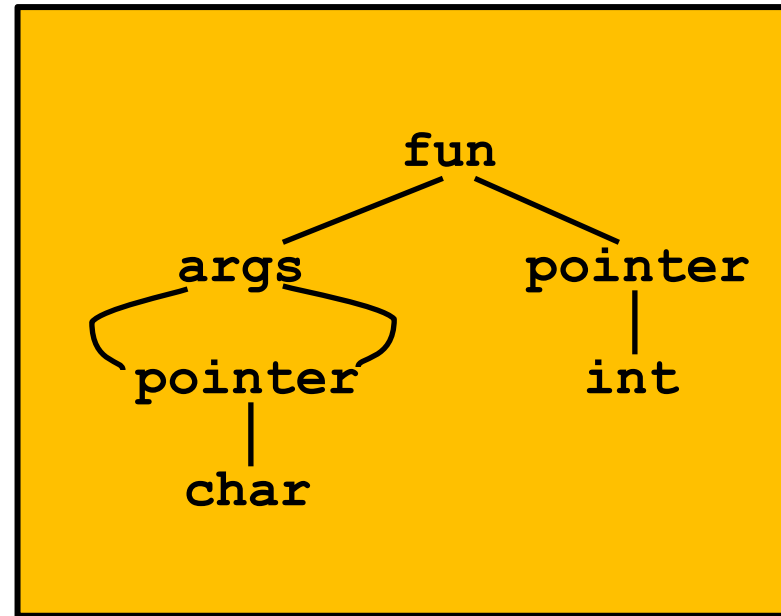
Directed Graph

# Representing Types

```
int *f(char*,char*)
```



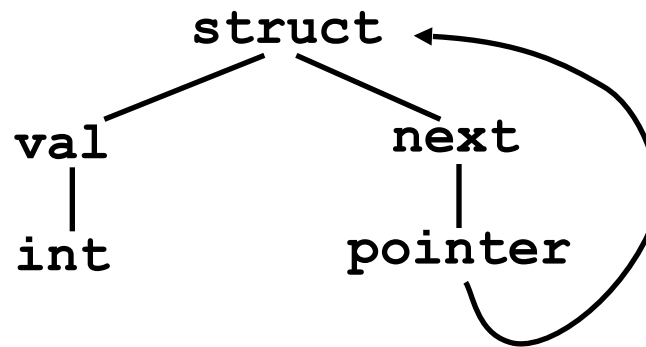
Tree forms



Directed Graph

# Cyclic Graph Representations

```
struct Node
{
    int val;
    struct Node *next;
};
```



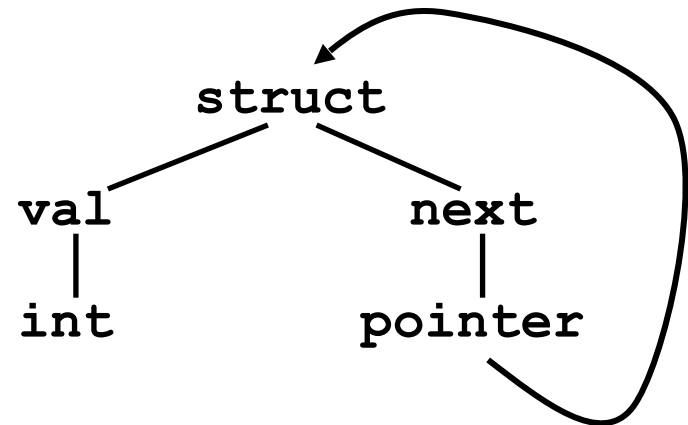
Cyclic graph



# Structural Equivalence (cont'd)

- Two structurally equivalent type expressions have the same pointer address when constructing graphs by sharing nodes

```
struct Node
{
  int val;
  struct Node *next;
};
```

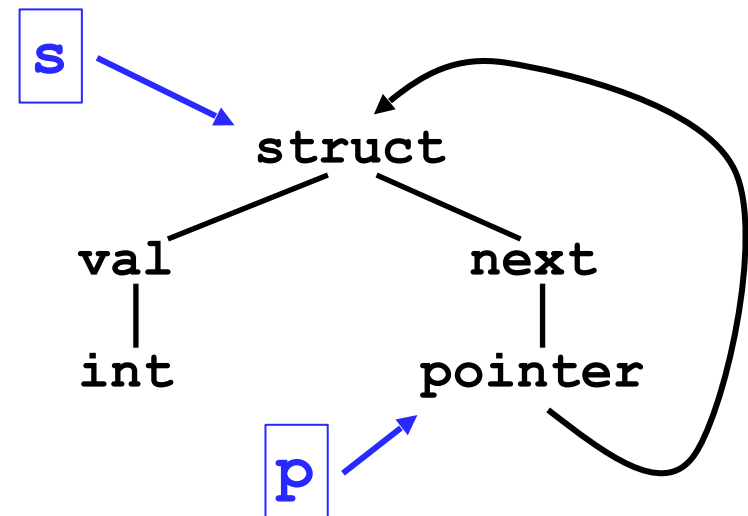


# Structural Equivalence (cont'd)

- Two structurally equivalent type expressions have the same pointer address when constructing graphs by sharing nodes

```
struct Node
{
    int val;
    struct Node *next;
};

struct Node s, *p;
```



# Structural Equivalence (cont'd)

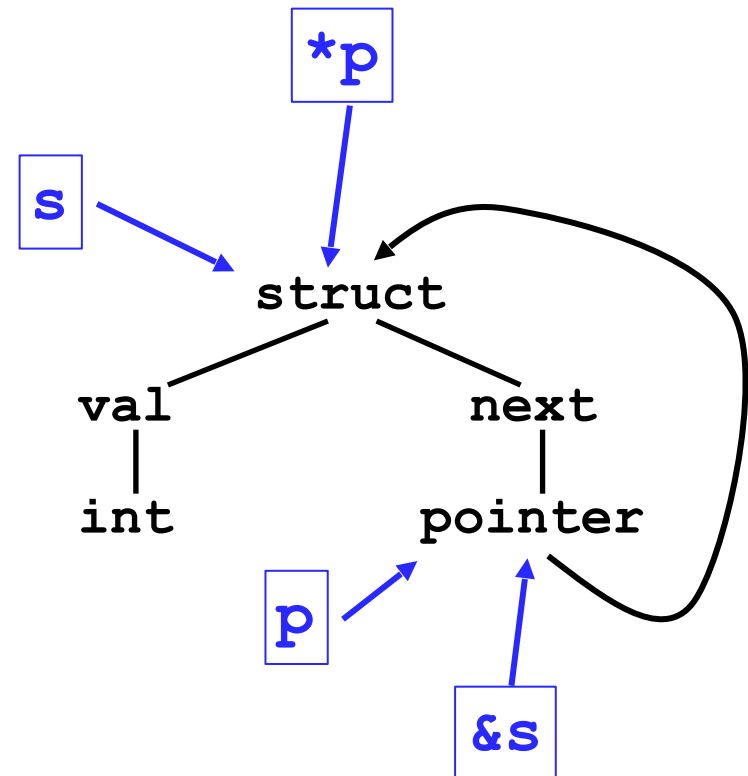
- Two structurally equivalent type expressions have the same pointer address when constructing graphs by sharing nodes

```
struct Node
{
    int val;
    struct Node *next;
};
```

```
struct Node s, *p;
```

```
... p = &s; // OK
```

```
... *p = s; // OK
```



# Constructing Type Graphs

- Construct over AST (or during parse)

```
type      → int
          | bool
          | * type
          | type [ num ]
typedef → typedef type id
```

```
$$ = getIntType();
$$ = getBoolType();
$$ = makePtrType($2);
$$ = makeArrayType($1, $3);
install($3,$2);
```

- Invariant:  
Same structural type is same pointer.

# Type Checking

- When is  $\text{op}(\text{arg1}, \dots, \text{argn})$  allowed?
- Type checking ensures that operations are applied to the right number of arguments of the right types

Right type may mean:

- same type as was specified, or
  - may mean that there is a predefined implicit coercion that will be applied
- Used to resolve overloaded operations

# Type Checking

- Statically-typed languages do *most* type checking statically
- Dynamically-typed languages (eg LISP, Prolog, JavaScript) do only dynamic type checking
- Gradually-typed languages do a mix of both

# Dynamic Type Checking

- Variables and storage locations don't have types
  - Same variable may contain values of different types at different times
- Values carry type information
- Type checks are performed at runtime before executing an operation on values

# Dynamic Type Checking

- May introduce extra overhead at runtime
- Space overhead
  - values must carry type information
  - less efficient representation, such as a box on the heap
- Time overhead:
  - dynamic checks such as checking for string or int
- Errors aren't detected until invalid operation is executed => latent bugs
- Can make code harder to understand
- Some claim it is easier to prototype code



# Static Type Checking

- Performed after parsing, before code generation
- Type of every variable and signature of every operator must be known at compile time

# Static Type Checking

- Catches many programming errors at earliest point
- Can't check types that depend on dynamically computed values
  - E.g. array bounds
- Can eliminate need to store type information on *most* values

# Static Type Checking

- Typical language restrictions
  - All variables initialized when created
  - Variable only used as one type
    - Union types allow for work-arounds, but effectively introduce dynamic type checks
- For memory safety
  - Can't convert pointers to ints
  - No manual free() => garbage collection

# Memory Safety

- Program doesn't read/write “unauthorized” memory
  - Execution stack, return addresses
  - Heap, data structures
  - Executable code
- Requires a form of strong type safety
- Usually enforced with a combination of static and dynamic checks
- Allows a program to co-inhabit an address space with other programs
- All modern languages strive for memory safety

# Type Inference

- *Type inference*: A program analysis to assign a type to an expression from the program context of the expression
  - Fully static type inference first introduced by Robin Milner in ML
  - Haskell, OCAML, SML all use powerful type inference
    - Records complicate type inference
  - Java, C#, Rust, and others have local type inference

# Format of Type Judgments

- A *type judgement* has the form

$$\Gamma \vdash \text{exp} : \tau$$

- $\Gamma$  is a typing environment
  - Supplies the types of variables and functions
  - $\Gamma$  is a set of the form  $\{x : \sigma, \dots\}$
  - For any  $x$  at most one  $\sigma$  such that  $(x : \sigma \in \Gamma)$
- $\text{exp}$  is a program expression
- $\tau$  is a type to be assigned to  $\text{exp}$
- $\vdash$  pronounced “turnstile”, or “entails” (or “satisfies” or, informally, “shows”)

# Axioms - Constants

$\overline{\Gamma \vdash n : \text{int}}$  (assuming  $n$  is an integer constant)

$\overline{\Gamma \vdash \text{true} : \text{bool}}$

$\overline{\Gamma \vdash \text{false} : \text{bool}}$

- These rules are true in any typing environment
- $\Gamma, n$  are meta-variables

# Axioms – Variables

Notation: Let  $\Gamma(x) = \tau$  if  $x : \tau \in \Gamma$

Variable axiom:

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau}$$



# Simple Rules - Arithmetic

Primitive operators ( $\oplus \in \{+, *, \&\&, \dots\}$ ):

$$\frac{\Gamma \vdash e_1 : \tau \quad \Gamma \vdash e_2 : \tau}{\Gamma \vdash e_1 \oplus e_2 : \tau}$$

$\tau$  is a type variable, i.e., it can take any type but all instances of  $\tau$  must be the same.

# Simple Rules – Relational Ops

Relations (  $\sim \in \{<, >, ==, <=, >=\}$  ):

$$\Gamma \vdash e_1 : \tau \quad \Gamma \vdash e_2 : \tau$$

---

$$\Gamma \vdash e_1 \sim e_2 : \text{bool}$$

Do we know what  $\tau$  is here?

**Example:  $\{x:\text{int}\} \vdash x + 2 == 3 : \text{bool}$**

What do we need to show first?

---

$\{x:\text{int}\} \vdash x + 2 == 3 : \text{bool}$

**Example:  $\{x:\text{int}\} \vdash x + 2 == 3 : \text{bool}$**

What to do on left side?

$$\frac{\{x : \text{int}\} \vdash x + 2 : \text{int} \qquad \{x:\text{int}\} \vdash 3 : \text{int}}{\{x:\text{int}\} \vdash x + 2 == 3 : \text{bool}}$$

**Example:  $\{x:\text{int}\} \vdash x + 2 == 3 : \text{bool}$**

Almost Done

$$\frac{\frac{\{x:\text{int}\} \vdash x:\text{int} \quad \{x:\text{int}\} \vdash 2:\text{int}}{\{x:\text{int}\} \vdash x + 2 : \text{int}} \quad \{x:\text{int}\} \vdash 3 : \text{int}}{\{x:\text{int}\} \vdash x + 2 == 3 : \text{bool}}$$

# Example: $\{x:\text{int}\} \vdash x + 2 == 3 : \text{bool}$

Complete Proof (type derivation)

$$\begin{array}{c} \Gamma(x) = \text{int} \\ \hline \{x:\text{int}\} \vdash x:\text{int} \quad \{x:\text{int}\} \vdash 2:\text{int} \\ \hline \{x : \text{int}\} \vdash x + 2 : \text{int} \quad \{x:\text{int}\} \vdash 3 : \text{int} \\ \hline \{x:\text{int}\} \vdash x + 2 == 3 : \text{bool} \end{array}$$

# Simple Rules - Booleans

## Connectives

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \text{bool}}{\Gamma \vdash e_1 \ \&\& \ e_2 : \text{bool}}$$

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \text{bool}}{\Gamma \vdash e_1 \ || \ e_2 : \text{bool}}$$

# Function Application

- Application rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash e_2 : \tau_1}{\Gamma \vdash e_1(e_2) : \tau_2}$$

- If you have a function expression  $e_1$  of type  $\tau_1 \rightarrow \tau_2$  applied to an argument  $e_2$  of type  $\tau_1$ , the resulting expression  $e_1(e_2)$  has type  $\tau_2$



# What about statements?

- Don't normally care about the type.
- But, they result in a function returning a value with a type.
- If a function returns type  $\tau$ , then we say  $s$  is well typed if,

$$\Gamma \vdash s : [\tau]$$

read as: “ $s$  is well typed if it is consistent with the function returning type  $\tau$ ”

# Language

- Our language:

$e := n \mid x \mid e1 + e2 \mid e1 \ \&\& \ e2$

$s := x \leftarrow e$

$\mid \text{if}(e, s1, s2)$

$\mid \text{while}(e, s)$

$\mid \text{return}(e)$

$\mid \text{seq}(s1, s2)$

$\mid \text{decl}(x, \tau, s)$

$\mid \text{nop}$

# What about statements?

$$\begin{array}{c} \frac{\Gamma(x) = \tau' \quad \Gamma \vdash e : \tau'}{\Gamma \vdash \text{assign}(x, e) : [\tau]} \qquad \frac{\Gamma \vdash e : \text{bool} \quad \Gamma \vdash s_1 : [\tau] \quad \Gamma \vdash s_2 : [\tau]}{\Gamma \vdash \text{if}(e, s_1, s_2) : [\tau]} \\[10pt] \frac{\Gamma \vdash e : \text{bool} \quad \Gamma \vdash s : [\tau]}{\Gamma \vdash \text{while}(e, s) : [\tau]} \qquad \frac{\Gamma \vdash e : \tau}{\Gamma \vdash \text{return}(e) : [\tau]} \\[10pt] \frac{}{\Gamma \vdash \text{nop} : [\tau]} \qquad \frac{\Gamma \vdash s_1 : [\tau] \quad \Gamma \vdash s_2 : [\tau]}{\Gamma \vdash \text{seq}(s_1, s_2) : [\tau]} \\[10pt] \frac{\Gamma, x:\tau' \vdash s : [\tau]}{\Gamma \vdash \text{decl}(x, \tau', s) : [\tau]} \end{array}$$

# Effect on $\Gamma$

$$\frac{\Gamma(x) = \tau' \quad \Gamma \vdash e : \tau'}{\Gamma \vdash \text{assign}(x, e) : [\tau]}$$

$$\frac{\Gamma \vdash e : \text{bool} \quad \Gamma \vdash s_1 : [\tau] \quad \Gamma \vdash s_2 : [\tau]}{\Gamma \vdash \text{if}(e, s_1, s_2) : [\tau]}$$

$$\frac{\Gamma \vdash e : \text{bool} \quad \Gamma \vdash s : [\tau]}{\Gamma \vdash \text{while}(e, s) : [\tau]}$$

$$\frac{\Gamma \vdash e : \tau}{\Gamma \vdash \text{return}(e) : [\tau]}$$

$$\frac{}{\Gamma \vdash \text{nop} : [\tau]}$$

$$\frac{\Gamma \vdash s_1 : [\tau] \quad \Gamma \vdash s_2 : [\tau]}{\Gamma \vdash \text{seq}(s_1, s_2) : [\tau]}$$

$$\frac{\Gamma, x:\tau' \vdash s : [\tau]}{\Gamma \vdash \text{decl}(x, \tau', s) : [\tau]}$$

# Shadowing?

$$\frac{\Gamma(x) = \tau' \quad \Gamma \vdash e : \tau'}{\Gamma \vdash \text{assign}(x, e) : [\tau]} \qquad \frac{\Gamma \vdash e : \text{bool} \quad \Gamma \vdash s_1 : [\tau] \quad \Gamma \vdash s_2 : [\tau]}{\Gamma \vdash \text{if}(e, s_1, s_2) : [\tau]}$$

$$\frac{\Gamma \vdash e : \text{bool} \quad \Gamma \vdash s : [\tau]}{\Gamma \vdash \text{while}(e, s) : [\tau]} \qquad \frac{\Gamma \vdash e : \tau}{\Gamma \vdash \text{return}(e) : [\tau]}$$

$$\frac{}{\Gamma \vdash \text{nop} : [\tau]} \qquad \frac{\Gamma \vdash s_1 : [\tau] \quad \Gamma \vdash s_2 : [\tau]}{\Gamma \vdash \text{seq}(s_1, s_2) : [\tau]}$$

$$\frac{\Gamma, x:\tau' \vdash s : [\tau]}{\Gamma \vdash \text{decl}(x, \tau', s) : [\tau]} \quad x \notin \text{dom}(\Gamma)$$

# Or, as in L2 handout

$$\frac{x : \tau' \notin \Gamma \text{ for any } \tau' \quad \Gamma, x : \tau \vdash s \text{ valid}}{\Gamma \vdash \text{declare}(x, \tau, s) \text{ valid}}$$

# Function Rule

- Rules describe types, but also how the environment  $\Gamma$  may change

$$\frac{\Gamma, \{f:\tau_1 \rightarrow \tau_2, x:\tau_1\} \vdash s [\tau_2]}{\Gamma \vdash \tau_2 f(\tau_1 x) s}$$

# Implementing rules

- Start from goal judgments for each function

$$\Gamma \vdash \tau \textit{id} ( \dots, \tau_i a_i, \dots ) \{ s \}$$

- Work backward applying inference rules to sub-trees of abstract syntax trees
- Exactly the same kind of recursive traversal as lecture 7



# Other Issues

- What to do with types after type checking?
  - decorate AST?
  - Typed IR?
  - Typed triples?
- What to do on errors?
  - uninitialized variable?
  - undeclared variable?
  - wrong return type?
  - wrong operator type?