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Hint: This is an old school handwritten exam. There is no authenticated login. If we can't read your AndrewID, we won't easily know who should get credit for this exam. If we can't read either your AndrewID or Full Name, we're in real bind. Please write neatly :-)

18-213/18-613, Fall 2022 Final Exam

Friday, December 16, 2022

Instructions:

- Make sure that your exam is not missing any sheets (check page numbers at bottom: there should be 21 pages)
- Write your Andrew ID and full name on this page (and we suggest on each and every page)
- This exam is closed book and closed notes (except for 2 double-sided note sheets).
- You may not use any electronic devices or anything other than what we provide, your notes sheets, and writing implements, such as pens and pencils.
- Write your answers in the space provided for the problem.
- If you make a mess, clearly indicate your final answer.
- The exam has a maximum score of 100 points. The point value of each problem is indicated.
- Good luck!

Problem #	Scope	Max Points	Score
1	Data Representation: "Simple" Scalars: Ints and Floats	10	
2	Data Representation: Arrays, Structs, Unions, and Alignment	10	
3	Assembly, Stack Discipline, Calling Convention, and x86-64 ISA	15	
4	Caching, Locality, Memory Hierarchy, Effective Access Time	15	
5	Malloc(), Free(), and User-Level Memory Allocation	10	
6	Virtual Memory, Paging, and the TLB	15	
7	Process Representation and Lifecycle + Signals and Files	10	
8	Concurrency Control: Maladies, Semaphores, Mutexes, BB, RW	15	
TOTAL	Total points across all problems	100	

Question 1: Representation: "Simple" Scalars (10 points)

Part A: Integers (5 points, 1 point per blank)

Assume we are running code on a machine using two's complement arithmetic for signed integers:

- 5-bit integers
- 2s complement signed representation

Fill in the five empty boxes in the table below when possible and indicate "UNABLE" when impossible. An "Everyday" number or expression has the value it would be understood to have in middle school arithmetic. A "C expression" has the value it would have if evaluated in a C Language program running on the machine.

Goal	Machine 1: 5-bit w/2s complement signed	True or False
"Everyday number" -6		X
"Everyday number" 21		X
"Everyday expression" (-1*(-14 - 2))		X
C Expression: ((-1*(-14 - 2)) < -15)	X	
Tmin (Most negative number)		X

Part B: Floats (5 points, 1 point per blank)

For this problem, please consider a floating point number representation based upon an IEEElike floating point format as described below.

- Format:
 - There are 7 bits
 - There is 1 sign bit s.
 - There are n = 3 exponent bits.

Fill in the empty (non grayed-out) boxes as instructed.

	Answer
Total Number of Bits (Decimal)	7
Number of Sign Bits (Decimal)	1
Number of Exponent Bits (Decimal)	3
Number of Fraction Bits (Decimal)	
Bias (Decimal)	
Bit pattern for -Inf ("Negative Infinity")	
The absolute difference, in decimal or as a power of 2, between any two adjacent denormalized numbers	
1 100 010 (Decimal value, unrounded)	

Question 2: Representation: Arrays, Structs, Unions, Alignment, etc. (10 points)

Please consider a "Shark" machine for all parts of this question: 1-byte chars, 2-byte shorts, 4-byte ints, 8-byte longs.

Part A (2 points): Consider the following struct. How much memory is required? Answer in bytes.

```
struct {
   char c;
   long l;
   short s;
} examStruct1;
```

Part B (2 points): Rewrite the struct to require as little memory as possible:

Part C (2 point): How much memory, in bytes, is saved by the reorganization of the struct?

Part D (2 points): Consider the following array. How far apart are array[2][3] and array[3][2]? Answer in bytes.

short array[4][4];

Part E (2 points): Consider the following, what is the address of eslp->l? Please answer in hexidecimal. This question refers to the structure in Part A.

examStruct1 array_es1[5]; // Assume this starts at address 0x1000
examStruct1 *es1p = &(array_es1[3]);

Question 3: Assembly, Stack Discipline, Calling Convention, and x86-64 (15 points)

Assume that all subparts pertain to the "Shark Machine" environment.

Part A: Calling Convention (3 points)

Consider the following code:

fr.		
L II :		
	pushq	%rbp
	movq	%rsp, %rbp
	movl	%edi, -4(%rbp)
	movl	%esi, -8(%rbp)
	movl	%edx, -12(%rbp)
	movl	-8(%rbp), %eax
	imull	-12(%rbp), %eax
	addl	%eax, -4(%rbp)
	movl	-4(%rbp), %eax
	popq	%rbp
	ret	

3(A)(1) (1 points): How many arguments are used by the function? How do you know?

3(A)(2) (1 points): Does the function return a value? How do you know?

3(A)(3) (1 points): For each argument you listed, please indicate either (a) which specific register was used to pass it in, or (b) that it was sourced from the stack (you don't need to give the address). Please leave any extra blanks empty (*Hint:* You won't need all of them).

Argument	Specific register or "Stack"
1st	
2nd	
3rd	
4th	
5th	

Question 3: Assembly, Stack Discipline, Calling Convention, and x86-64, cont. (15 points)

Part B: Conditionals and Loops (6 points)

Hint: It might help to look at your argument table in 3(A)(3) and to recall that \$.LC0 is read-only data, such as a printf() format string.

Consider the following code:

.

```
main:
.LFB3:
       pushq %r12
       pushq %rbp
       xorl
              %ebp, %ebp
       pushq %rbx
       subq $64, %rsp
.L2:
       movslq %ebp, %r12
       movl %ebp, %ebx
       salq $2, %r12
.L5:
       movslq %ebx, %rax
       movl $.LCO, %edi
       addl
              $1, %ebx
       addq %r12, %rax
movl (%rsp,%rax,4), %esi
xorl %eax, %eax
       call printf
       cmpl $4, %ebx
               .L5
       jne
       addl
               $1, %ebp
              $4, %ebp
       cmpl
       jne
               .L2
              $64, %rsp
       addq
              %rbx
       popq
              %rbp
       popq
               %r12
       popq
       ret
```

3(B)(1) (2 points): How many loops are there? How do you know? Count each nested loop separately.

3(B)(2) (2 points): How many "if statements" are there (do not count any ifs used to control loops)? How do you know?

3(B)(3) (2 points): How many times is printf() called? Explain your answer.

Part C: Switch statement (6 points)

Consider the following compiled from C Language code containing a switch statement and no if statements.

(gdb) disassemble foo			
Dump of assembler code	e for funct	tion foo	D:
0x000000000400550	<+0>:	cmp	\$0x5,%esi
0x000000000400553	<+3>:	ja	0x400590 <foo+64></foo+64>
0x000000000400555	<+5>:	mov	%esi,%esi
0x000000000400557	<+7>:	jmpq	*0x400640(,%rsi,8)
0x00000000040055e	<+14>:	mov	%eax,%eax
0x000000000400560	<+16>:	shl	\$0x2,%edi
0x000000000400563	<+19>:	add	\$0x2,%edi
0x000000000400566	<+22>:	lea	0x7(%rdi),%edx
0x000000000400569	<+25>:	mov	%edx,%eax
0x00000000040056b	<+27>:	retq	
0x00000000040056c	<+28>:	nopl	0x0(%rax)
0x000000000400570	<+32>:	lea	0x3(%rdi),%edx
0x000000000400573	<+35>:	test	%edi,%edi
0x000000000400575	<+37>:	cmovns	%edi,%edx
0x000000000400578	<+40>:	sar	\$0x2,%edx
0x00000000040057b	<+43>:	mov	%edx,%eax
0x00000000040057d	<+45>:	retq	
0x00000000040057e	<+46>:	mov	%eax,%eax
0x000000000400580	<+48>:	lea	0x1(%rdi),%edx
0x000000000400583	<+51>:	mov	%edx,%eax
0x000000000400585	<+53>:	retq	
0x000000000400586	<+54>:	nopw	%cs:0x0(%rax,%rax,1)
0x000000000400590	<+64>:	mov	%edi,%eax
0x000000000400592	<+66>:	mov	\$0x55555556,%edx
0x000000000400597	<+71>:	sar	\$0x1f,%edi
0x000000000040059a	<+74>:	imul	%edx
0x00000000040059c	<+76>:	sub	%edi,%edx
0x00000000040059e	<+78>:	mov	%edx,%eax
0x0000000004005a0	<+80>:	retq	
End of assembler dump	•	_	

Consider also the following memory dump, with the address obscured. Assume that it begins with the 0th entry of the switch statement's jump table.

(gdb) x/16gx	0xXXXXXX	
	0x000000000400580 0x000000000400560 0x00000003c3b031b01 0xffffdd00000088 0xfffffee00000058 0xfffffb000000088	0x000000000400590 0x000000000400563 0x00000000400570 0xffffdd900000006 0xfffffdf5000000c8 0xffffff40000000b0 Cannot access memory at address 0xYYYYYY

Continued on next page.

Part C: Switch statement, *cont.* (6 points)

(3)(C)(1) (2 point): At what address does the jump table shown above begin? How do you know?

(3)(C)(2) (2 points): Is there a default case? If so, at what address does it begin? How do you know?

(3)(C)(3) (2 points): Which case(s), if any, fall through to the next case after executing some of their own (non-default) code? How do you know?

Question 4: Caching, Locality, Memory Hierarchy, Effective Access Time (15 points)

Part A: Caching (9 points)

Given a model described as follows:

- Associativity: 2-way set associative
- Total size: 32 bytes (not counting meta data)
- Block size: 8 bytes/block
- Replacement policy: Set-wise LRU
- 8-bit addresses

4(A)(1) (1 point) How many bits for the block offset?

4(A)(2) (1 point) How many bits for the set index?

4(A)(3) (1 point) How many bits for the tag?

4(A)(4) (6 points, ½ **point per row)**: For each of the following addresses, please indicate if it hits, or misses, and if it misses, the type of miss:

Address	Circle one (per row):		Circle one			
0x22	Hit	Miss	Capacity	Cold	Conflict	N/A
0xB9	Hit	Miss	Capacity	Cold	Conflict	N/A
0xA0	Hit	Miss	Capacity	Cold	Conflict	N/A
0xA3	Hit	Miss	Capacity	Cold	Conflict	N/A
0x9B	Hit	Miss	Capacity	Cold	Conflict	N/A
0x42	Hit	Miss	Capacity	Cold	Conflict	N/A
0x23	Hit	Miss	Capacity	Cold	Conflict	N/A
0xA3	Hit	Miss	Capacity	Cold	Conflict	N/A
0x9B	Hit	Miss	Capacity	Cold	Conflict	N/A
0x42	Hit	Miss	Capacity	Cold	Conflict	N/A
0xBA	Hit	Miss	Capacity	Cold	Conflict	N/A
0xA8	Hit	Miss	Capacity	Cold	Conflict	N/A

Part B: Locality (4 points)

Analyze the cache performance of the following piece of code. Assume that the matrix arr1 is aligned so that arr1[0][0] is the first element of a cache block, and that arr2 is laid out in memory immediately after arr1. Assume memory accesses for right operands are done before memory accesses for left operands. Assume a write-back/write-allocate cache.

```
int arr1[4][4];
short arr2[4][4];
for (int rindex=0; rindex<4; rindex++) {
  for (int cindex=0; cindex<4; cindex++) {
    arr1[rindex][cindex] = arr2[rindex][3-cindex];
  }
}
```

B(1)(4 points): Please determine the miss rate for each array under each configuration. Leave your answer as a reduced fraction

Question	Data Item	Cache Configuration	Miss rate
B(1)(1 point)	arr1	Direct-mapped, 4 sets, 16-byte blocks	
B(2)(1 point)	arr1	2-way set associative, 4 sets, 8 byte blocks	
B(3) (1 point)	arr2	Direct-mapped, 4 sets, 16-byte blocks	
B(4) (1 point)	arr2	2-way set associative, 4 sets, 8 byte blocks	

Part C: Memory Hierarchy and Effective Access Time (2 points)

Imagine a computer system as follows:

- 2-level memory hierarchy (L1 cache, Main memory)
- L1: 10% miss rate
- Main memory: 50nS memory access time, 0% miss rate
- The effective memory access time, accounting for all accesses, is 10nS
- Memory accesses at different levels of the hierarchy do not overlap

What is the access time for the L1 cache?

Question 5: Malloc(), Free(), and User-Level Memory Allocation (10 points)

Considering a malloc implementation as described below:

- Explicit list, ordered by address smallest-to-largest, allocation via first-fit (*not* next-fit)
- Headers of size 8 bytes, Footer size of 8-bytes (both free and allocated blocks have footers)
- Blocks must be a multiple of 8-bytes (In order to keep payloads aligned to 8 bytes).
- 8 byte pointers. Minimum block size: 32 bytes
- If there is no unallocated block of a large enough size to service the request, sbrk is called to grow the heap enough to get a new block of the smallest size that can service the request (after coalescing).
- The heap is unallocated until it grows in response to the first malloc call. There are no dummy headers or footers.
- Constant-time coalescing, as discussed in lecture, is used.
- The heap never shrinks.

5(A) (10 points, 1 point per line) Please complete the following table with the values *after* the requested operation completes. The following definitions may be helpful reminders:

- Total Heap Size is the number of bytes between the base of the heap and the brk point, i.e. top of the heap.
- Aggregate Request Size is the total number of bytes requested via malloc() and not yet free()d.
- *Total Internal Fragmentation* is the difference, in bytes, between the total size of all allocated blocks and the sum of all of the corresponding requests. *Hint:* Unallocated blocks do not contribute to internal fragmentation.

	Operation	Total Heap Size	Aggregate Request Size	Total Internal Fragmentation
5(A)(1)(1 point)	<pre>ptr1 = malloc (6);</pre>			
5(A)(2)(1 point)	<pre>ptr2 = malloc (2);</pre>			
5(A)(3)(1 point)	<pre>free(ptr1);</pre>			
5(A)(4)(1 point)	free (ptr2);			
5(A)(5)(1 point)	<pre>ptr1 = malloc(32);</pre>			
5(A)(6)(1 point)	<pre>ptr2 = malloc(1);</pre>			
5(A)(7)(1 point)	ptr3 = malloc(24);			
5(A)(8)(1 point)	<pre>free (ptr1);</pre>			
5(A)(9)(1 point)	free (ptr3);			
5(A)(10)(1 point)	<pre>malloc(2);</pre>			

6. Virtual Memory, Paging, and the TLB (15 points)

This problem concerns the way virtual addresses are translated into physical addresses. Imagine a system has the following parameters:

- Virtual addresses are 10 bits wide.
- Physical addresses are 12 bits wide.
- The page size is 128 bytes.
- The TLB is 2-way set associative with 4 total entries.
- The TLB may cache invalid entries.
- TLB REPLACES THE ENTRY WITH THE LOWEST TAG (NOT LRU).
- A single level page table is used.

Part A: Interpreting addresses (3 points)

6(A)(1)(1 point): Please label the diagram below showing which bit positions are interpreted as each of the PPO and PPN. Leave any unused entries blank.

Bit	11	10	9	8	7	6	5	4	3	2	1	0
PPN/ PPO												

6(A)(2)(1 point): Please label the diagram below showing which bit positions are interpreted as each of the VPO and VPN (top line) and each of the TLBI and TLBT (bottom line). Leave any unused entries blank.

Bit	9	8	7	6	5	4	3	2	1	0
VPO/ VPN						VPO	VPO	VPO	VPO	VPO
TLBI/ TLBT										

6(A)(3)(1 point): How many entries exist within each page table? *Hint:* This is the same as the total number of pages within each virtual address space.

Part B: Hits and Misses (12 points)

Shown below are the **initial** states of the TLB and **partial** page table.

Set	Тад	PPN	BITS	Scratch space for you
0	00	5	V-RW	
0	10	12	I-R	
1	00	7	V-RN	
1	11	2	V-RW	

TLB (I=INVALID, V=VALID, R=READ, W=WRITE, N=Not Resident, e.g. swapped):

Page Table (I=INVALID, V=VALID, R=READ, W=WRITE, N=Not Resident, e.g. swapped):

Index/VPN	PPN	BITS	Scratch space for you
0	5	V-RW	
1	7	V-RN	
2	9	I-RW	
3	11	V-R	
4	13	V-R	
5	15	I-R	
6	27	V-RW	
7	2	V-RW	

Continued on next page.

Part B: Hits and Misses, cont. (12 points, 2 points per line)

Consider the following memory access trace e.g. sequence of memory operations listed in order of execution, as shown in the first two columns (operation, virtual address). It begins with the TLB and page table in the state shown above.

Please complete the remaining columns

Oper- ation	Virtual Address	TLB Hit or Miss?	Page Table Hit or Miss?	Page Fault? Yes or No?	PPN If Knowable
Read	0x326	Hit Miss Not knowable	Hit Miss N/A	Yes No Not knowable	
Write	0x3EF	Hit Miss Not knowable	Hit Miss N/A	Yes No Not knowable	
Read	0x3ED	Hit Miss Not knowable	Hit Miss N/A	Yes No Not knowable	
Read	0x17A	Hit Miss Not knowable	Hit Miss N/A	Yes No Not knowable	
Read	0x02F	Hit Miss Not knowable	Hit Miss N/A	Yes No Not knowable	
Write	0x326	Hit Miss Not knowable	Hit Miss N/A	Yes No Not knowable	

Question 7: Process Representation and Lifecycle + Signals and Files (10 points)

Part A (3 points):

Please consider the following code:

```
void main() {
    printf ("A"); fflush(stdout);

    if (fork()) {
        printf ("B"); fflush(stdout);
    } else {
        printf ("C"); fflush(stdout);
        if (fork()) {
            wait (NULL);
        }
        printf ("E"); fflush (stdout);
    }
```

7(A)(1) (1 point): Give one possible output string.

7(A)(2) (1 point): Give one output string starting with AB that has the correct output characters (and number of each character), but in an impossible order.

7(A)(3) (1 point): Why can't the output you provided in 7(A)(2) be produced? Specifically, what constraint(s) from the code does it violate?

Question 7: Process Representation and Lifecycle + Signals and Files, cont. (10 points)

Part B (3 points):

Please consider the following code:

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#include <fcntl.h>
int main(int argc, char* argv[]) {
char buffer[7] = "abcdef";
char buffer2[7];
// Assume "file.txt" is initially non-existant or empty.
int fd0 = open("file.txt", O RDWR | O CREAT, 0666);
int fd1 = -1;
write(fd0, buffer, 3);
if (!fork()) {
   write(fd0, buffer+3, 3);
   fd1 = open("file.txt", O RDWR | O CREAT, 0666);
  write(fd1, "XYZ", 3);
  dup2 (fd1,fd0); // int dup2(int oldfd, int newfd);
  write(fd0, "UVW", 3);
  }
return 0;
}
```

7(B)(1) (2 points): What is the content of the output file after this code completes?

7(B)(2) (1 point): If each process (parent and child) were just about to "return 0", how many entries are there in the system-wide open file table related to this code?

Question 7: Process Representation and Lifecycle + Signals and Files, *cont.* (10 points) Part C (4 points):

Consider the C code below. Assume that no errors prevent any processes from running to completion.

```
int count = 0;
sigset t newset, oldset1, oldset2;
void inthandler(int sig) {
    sigprocmask(SIG BLOCK, &newset, &oldset1);
    Sio printf("SIGINT received\n");
    sigprocmask(SIG BLOCK, &oldset1, NULL);
   return;
}
void childhandler(int sig) {
 int status;
  sigprocmask(SIG BLOCK, &newset, &oldset2);
  wait(&status);
  //Assume a process terminated by an uncaught signal has an exit status of 0.
  count += WEXITSTATUS(status);
  sigprocmask(SIG BLOCK, &oldset2, NULL);
  return;
}
void main() {
                  // for loop iterator
 int i;
 pid_t pid[3]; // pids of child processes
  sigemptyset(&oldset1);
  sigemptyset(&newset);
  sigaddset(&newset, SIGCHLD);
  sigaddset(&newset, SIGINT);
  Signal(SIGINT, inthandler);
  Signal(SIGCHLD, childhandler);
  for(i=0; i<3; i++) { // Fork 3 child processes</pre>
    pid[i] = fork();
    if(!pid[i]) { // If child process
      do work(); // Don't be concerned with the detail.
      exit(5);
    }
  }
  // Parent process only
  for(i=0; i<3; i++) {</pre>
    kill(pid[i], SIGINT);
  }
  sleep(5);
  printf("count = %d\n", count);
  exit(0);
```

Continued on next page.

Question 7: Process Representation and Lifecycle + Signals and Files, *cont.* (10 points) Part C, *cont.* (4 points):

7(C)(1)(2 points) What is the *minimum* number of times "SIGINT received" could be printed? Why?

7(C)(2)(2 points) List all possible values of count that could be printed:

Question #8: Concurrency Control: Maladies, Semaphores, Mutexes, BB, RW (15 points) Part A (8 points): Deadlock

8(A)(2)	8(A)(3)	Code	
		1. /* Initialize semaphores */	
		2. mutex1 = 1;	
		3. mutex2 = 1;	
		4. mutex3 = 1;	
		5. mutex4 = 1;	
		6	
		7. void thread1() {	
		8. P(mutex1);	
		9. P(mutex2);	
		10. P(mutex4);	
		11	
		12. /* Access Data */	
		13. V(mutex4);	
		14. V(mutex2);	
		15. V(mutex1);	
		16. }	
		17	
		18. void thread2() {	
		19. P(mutex4);	
		20. P(mutex3);	
		21. P(mutex2);	
		22	
		23. /* Access Data */	
		24	
		25. V(mutex2);	
		26. V(mutex3);	
		27. V(mutex4);	
		28. }	

Consider the following C code:

8(A)(1) (2 points) Is it possible for the code above to deadlock? Yes No

8(A)(2) (3 points) Consider your answer to (A) above. If you answered "No", explain why not. If you answered "Yes", then please provide a schedule that results in deadlock. Do this by numbering, i.e. 1, 2, 3, etc, the semaphore operations (Ps and Vs, only) in the code above with an execution order that results in deadlock. Use the 8(A)(2) column to record your answer.

8(A)(3) (3 points) Is it possible for the code above to execute without deadlocking? If not, explain why not. If it is possible, please provide a schedule that does *not* results in deadlock. Do this by numbering, i.e. 1, 2, 3, etc, the semaphore operations (Ps and Vs, only) in the code above with an order in which they execute without deadlock. Use the 8(A)(3) column to record your answer.

Question #8: Concurrency Control: Maladies, Semaphores, Mutexes, BB, RW, *cont.* (15 points) Part B (7 points): Concurrency Control

Imagine a situation such that

- Widgets are made in a mold, four (4) at a time.
- After manufacture, widgets are placed on a shelf that can hold up to twelve (12) at a time.
- Widgets are shipped in boxes 6 at a time.
- The shelving and boxing of widgets occur in multiple independent threads which call the functions below.

Please complete the following code for the shelving and boxing of widgets **by adding semaphores and semaphore operations as needed**.

```
#define SHELF_SIZE 12
#define MOLD_SIZE 4
#define BOX_SIZE 6
widget shelf[SHELF_SIZE];
sem_t mutex;
// Hint: Declare any additional needed shared, global variables here.
// Hint: Semaphores may be declared as sem_t, e.g. "sem_t someSemaphore;"
```

```
// This function is called everytime a new batch of widgets is produced.
// The function finds a place on the shelf for each widget in the batch.
void ShelveNewWidgetBatch () {
  int shelfSpaceNeeded = MOLD SIZE; // Widgets are always produced in groups of MOLD SIZE.
 while (shelfSpaceNeeded > 0) {
   // Hint: Add code here
   for (unsigned int index=0; index < SHELF SIZE; index++) {</pre>
      if (shelf[index] == NULL) {
       shelf[index] = getWidgetFromBatch(); // Don't worry about internals of this function
        shelfSpaceNeeded--;
        // Hint: Add code here
       break:
      }
    // Hint: Add code here
  }
}
```

Question #8: Concurrency Control: Maladies, Semaphores, Mutexes, BB, RW, *cont.* (15 points) Part B (7 points), *cont.*: Concurrency Control

```
// As widgets are placed on the shelf, this function takes widgets from the shelf
// and packs them into a box for shipping.
void PackBoxOfWidgets(box t *box) {
  int widgetsNeededForBox = BOX SIZE;
  while (widgetsNeededForBox > 0) {
    // Hint: Add code here
    for (unsigned int index=0; index < SHELF SIZE; index++) {</pre>
      if (shelf[index] != NULL) {
        addWidgetToBox (box, shelf[index]);
        shelf[index] = NULL;
        widgetsNeededForBox--;
        // Hint: Add code here
        break;
      }
    }
    // Hint: Add code here
  }
}
```

The End (of the whole exam!)! You made it!