

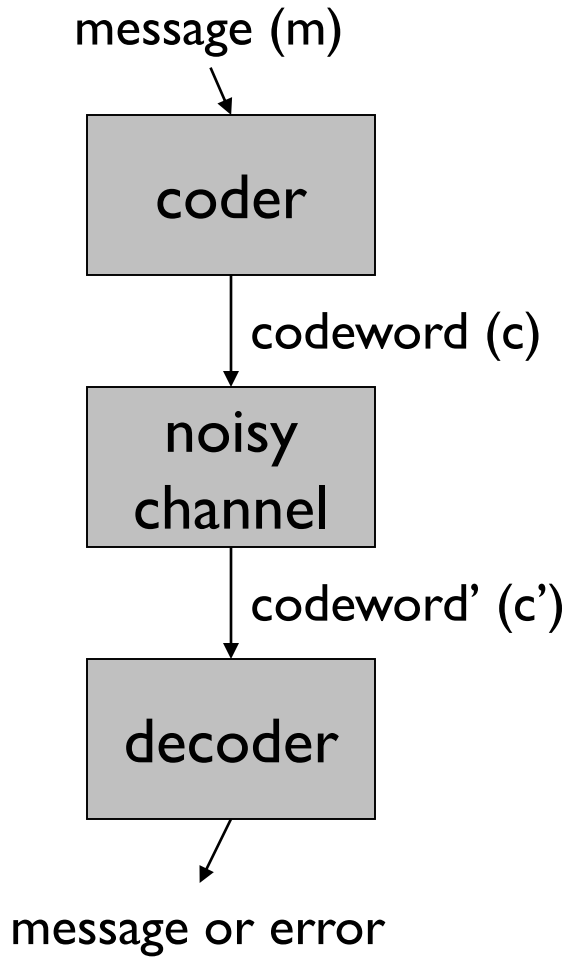
# 15-853: Algorithms in the Real World

- Reed Solomon Codes (Cont.)
- Concatenation of codes
- Start with LDPC codes

## **Announcements:**

1. No class this Thursday, Sept. 19. Rescheduled to Friday, Sept 27.
2. Homework1 on ECC will be released on Tuesday Sept 24. Submission deadline Oct 4<sup>th</sup> **noon.**

# Recap: Block Codes



Each message and codeword is of fixed size

$\Sigma$  = codeword alphabet

$$\mathbf{k} = |m| \quad \mathbf{n} = |c| \quad \mathbf{q} = |\Sigma|$$

$\mathbf{C}$  = "code" = set of codewords

$$\mathbf{C} \subseteq \Sigma^n \text{ (codewords)}$$

$\Delta(\mathbf{x}, \mathbf{y})$  = number of positions s.t.  $x_i \neq y_i$

$$\mathbf{d} = \min\{\Delta(\mathbf{x}, \mathbf{y}) : \mathbf{x}, \mathbf{y} \in \mathbf{C}, \mathbf{x} \neq \mathbf{y}\}$$

Code described as:  $(\mathbf{n}, \mathbf{k}, \mathbf{d})_{\mathbf{q}}$

# Recap: Linear Codes

If  $\Sigma$  is a field, then  $\Sigma^n$  is a vector space

**Definition:**  $C$  is a linear code if it is a linear subspace of  $\Sigma^n$  of dimension  $k$ .

This means that there is a set of  $k$  independent vectors

$v_i \in \Sigma^n$  ( $1 \leq i \leq k$ ) that span the subspace.

i.e. every codeword can be written as:

$$c = a_1 v_1 + a_2 v_2 + \dots + a_k v_k \quad \text{where } a_i \in \Sigma$$

“Linear”: linear combination of two codewords is a codeword.

Minimum distance = weight of least-weight codeword

# Recap: Generator and Parity Check Matrices

## Generator Matrix:

A  $k \times n$  matrix  $\mathbf{G}$  such that:  $C = \{ x\mathbf{G} \mid x \in \Sigma^k \}$

Made from stacking the spanning vectors

## Parity Check Matrix:

An  $(n - k) \times n$  matrix  $\mathbf{H}$  such that:  $C = \{ y \in \Sigma^n \mid Hy^T = 0 \}$

(Codewords are the null space of  $\mathbf{H}$ .)

**These always exist for linear codes**

# Recap: Singleton bound and MDS codes

**Theorem:** For every  $(n, k, d)_q$  code,  $n \geq k + d - 1$

Codes that meet Singleton bound with equality are called  
**Maximum Distance Separable (MDS)**

Only two binary MDS codes!

1. Repetition codes
2. Single-parity check codes

**Need to go beyond the binary alphabet!**

(We will need some number theory for this)

# Recap: Finite fields

- Size (or order): Prime or power of prime
- Power-of-prime finite fields:
  - Constructed using polynomials
  - Mod by irreducible polynomial
- Correspondence between polynomials and vector representation

# Recap: GF(2<sup>n</sup>)

$\mathbb{F}_{2^n}$  = set of polynomials in  $\mathbb{F}_2[x]$  modulo  
irreducible polynomial  $p(x) \in \mathbb{F}_2[x]$  of degree  $n$ .

Elements are all polynomials in  $\mathbb{F}_2[x]$  of degree  $\leq n - 1$ .

Has  $2^n$  elements.

**Natural correspondence with bits in  $\{0, 1\}^n$ .**

**Elements of  $\mathbb{F}_{2^8}$  can be represented as a byte, one bit for each term.**

*E.g.*,  $x^6 + x^4 + x + 1 = 01010011$

# RS code: Polynomials viewpoint

**Message:**  $[a_{k-1}, \dots, a_1, a_0]$  where  $a_i \in GF(q^r)$

Consider the polynomial of **degree k-1**

$$P(x) = a_{k-1} x^{k-1} + \dots + a_1 x + a_0$$

**RS code:**

**Codeword:**  $[P(1), P(2), \dots, P(n)]$

To make the  $i$  in  $p(i)$  distinct, need field size  $q^r \geq n$

That is, need sufficiently large field size for desired codeword length.



# Recap: Minimum distance of RS code

**Theorem:** RS codes have minimum distance  $d = n - k + 1$

## Proof:

1. *RS is a linear code:* if we add two codewords corresponding to  $P(x)$  and  $Q(x)$ , we get a codeword corresponding to the polynomial  $P(x) + Q(x)$ . Similarly any linear combination..
2. *So look at the least weight codeword.* It is the evaluation of a polynomial of degree  $k-1$  at some  $n$  points. So it can be zero on only  $k-1$  points. Hence non-zero on at most  $(n - (k-1))$  points. This means distance at least  $n - k + 1$
3. Apply Singleton bound

**Meets Singleton bound: RS codes are MDS**

# Recap: Generator matrix of RS code

Q: What is the generator matrix?

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**“Vandermonde matrix”**

Special property of Vandermonde matrices:

Full rank (columns linearly independent)

Vandermonde matrix: Very useful in constructing codes.

Next we move on to RS decoding

# Polynomials and their degrees

## **Fundamental theorem of Algebra:**

Any non-zero polynomial of degree  $k$  has at most  $k$  roots (over any field).

## **Corollary 1:**

If two degree- $k$  polynomials  $P$ ,  $Q$  agree on  $k+1$  locations (i.e., if  $P(x_i) = Q(x_i)$  for  $x_0, x_1, \dots, x_k$ ), then  $P = Q$ .

## **Corollary 2:**

Given any  $k+1$  points  $(x_i, y_i)$ , there is at most one degree- $k$  polynomial that has  $P(x_i) = y_i$  for all these  $i$ .

# Polynomials and their degrees

## Corollary 2:

Given any  $k+1$  points  $(x_i, y_i)$ , there is **at most** one degree- $k$  polynomial that has  $P(x_i) = y_i$  for all these  $i$ .

## Theorem:

Given any  $k+1$  points  $(x_i, y_i)$ , there is **exactly** one degree- $k$  polynomial that has  $P(x_i) = y_i$  for all these  $i$ .

Proof: e.g., use Lagrange interpolation.

# Decoding: Recovering Erasures

**Recovering from at most  $(d-1)$  erasures:**

Received codeword:

$[P(1), *, \dots, *, P(n)]$ : at most  $(d-1)$  symbols erased

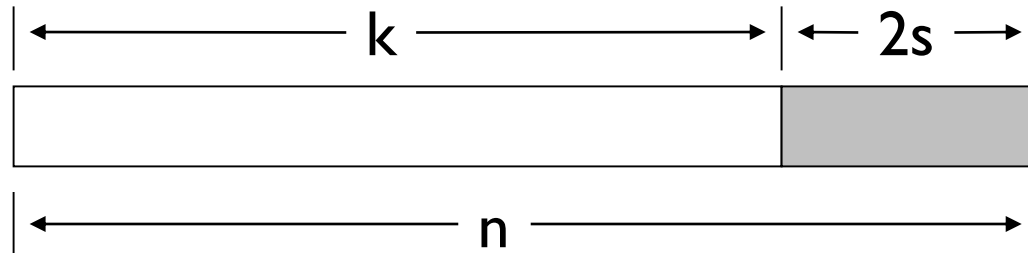
Ideas?

1. At most  $n-k$  symbols erased
2. So have  $p(i)$  for at least  $k$  evaluations
3. Interpolation to recover the polynomial

Matrix viewpoint: ideas?

# RS Code

A  $(n, k, 2s + 1)$  code:



Can **detect**  $2s$  errors

Can **correct**  $s$  errors

Generally can correct  $a$  erasures and  $b$  errors if  
 $a + 2b \leq 2s$

# Decoding: Correcting Errors

## Correcting $s$ errors: ( $d = 2s+1$ )

Naïve algo:

- Find  $k+s$  symbols that agree on a degree  $(k-1)$  poly  $P(x)$ .
  - There must exist one: since originally  $k + 2s$  symbols agreed and at most  $s$  are in error (i.e., “guess” the  $n-s$  uncorrupted locations)

- Can we go wrong?

Are there  $k+s$  symbols that agree on the wrong degree  $(k-1)$  polynomial  $P'(x)$ ?

No.

- Any subset of  $k$  symbols will define  $P'(x)$
- Since at most  $s$  out of the  $k+s$  symbols are in error,  $P'(x) = p(x)$



# Decoding: Correcting Errors

**Correcting  $s$  errors:** ( $d = 2s+1$ )

Naïve algo:

- Find  $k+s$  symbols that agree on a degree  $(k-1)$  poly  $P(x)$ .
  - There must exist one: since originally  $k + 2s$  symbols agreed and at most  $s$  are in error (i.e., “guess” the  $n-s$  uncorrupted locations)

But this suggests a brute-force approach, very inefficient.

“guess” = “enumerate”, so time is  $(n \text{ choose } s) \sim n^s$ .

More efficient algorithms exist.

# The Berlekamp Welch Algorithm

Say we sent  $c_i = P(i)$  for  $i = 1..n$

Received  $c'_i$  where  $c_i = c'_i$  for all but  $s$  locations.

Let  $S$  be the set of these  $s$  error locations.

Suppose we magically know “error-locator” polynomial  $E(x)$   
such that  $E(x) = 0$  for all  $x$  in  $S$ .

And  $E(x)$  has degree  $s$ .

Does such a thing exist?

Sure. 
$$E(x) = \prod_{a \in S} (x - a)$$

# The Berlekamp Welch Algorithm

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And  $E(x)$  has degree  $s$ .

Then we know that

$$P(i) \cdot E(i) = c'_i \cdot E(i) \quad \text{for all } i \text{ in } 1..n$$

# The Berlekamp Welch Algorithm

Know that

$$P(i) \cdot E(i) = c'_i \cdot E(i) \quad \text{for all } i \text{ in } 1..n$$

Want to solve for polys  $P(x)$  (of deg  $k - 1$ ),  $E(x)$  of deg  $s$ .

How? First, rewrite as:

$$R(i) = c'_i \cdot E(i) \quad \text{for all } i \text{ in } 1..n$$

for polynomials  $R$  of degree  $(k+s-1)$ ,  $E$  of degree  $s$ .

$R$  has  $k+s$  “degrees of freedom”.  $E$  has  $s+1$ .

Have  $n$  equalities.

So perhaps can get solution if  $(k + s) + (s + 1) \geq n$ .

Return  $\frac{R(x)}{E(x)}$ .

# The current situation

We know that

$$R(i) = c'_i \cdot E(i) \quad \text{for all } i \text{ in } 1..n$$

Suppose  $R(x) = \sum_{j=1..k+s-1} r_j x^j$   
 $k + s$  unknowns (the  $r_i$  values)

And  $E(x) = \sum_{j=0..s} e_j x^j$   
 $s + 1$  unknowns (the  $e_i$  values)

How to solve for  $R(x), E(x)$ ?

# The linear system

## Linear equalities

$$r_0 + r_1 \cdot 1 + r_2 \cdot 1^2 + \dots + r_{k+s-1} 1^{k+s-1} = c'_1 \cdot (e_0 + e_1 \cdot 1 + \dots + e_s 1^s)$$

$$r_0 + r_1 \cdot 2 + r_2 \cdot 2^2 + \dots + r_{k+s-1} 2^{k+s-1} = c'_2 \cdot (e_0 + e_1 \cdot 2 + \dots + e_s 2^s)$$

...

$$r_0 + r_1 \cdot i + r_2 \cdot i^2 + \dots + r_{k+s-1} i^{k+s-1} = c'_i \cdot (e_0 + e_1 \cdot i + \dots + e_s i^s)$$

...

$$r_0 + r_1 \cdot n + r_2 \cdot n^2 + \dots + r_{k+s-1} n^{k+s-1} = c'_n \cdot (e_0 + e_1 \cdot n + \dots + e_s n^s)$$

- Linearly independent equalities. Why?  
(Vandermonde structure.)
- Under-constrained. Why?  
n equations,  $(k+s)+(s+1) = n+1$  variables.
- Can have multiple solutions. Problem?
  - <board>

# RS and “burst” bit errors

Let's compare to Hamming Codes.

	code bits	check bits
RS <b>(255, 253, 3)</b> <sub>256</sub>	2040	16
Hamming <b>(2<sup>11</sup>-1, 2<sup>11</sup>-11-1, 3)</b> <sub>2</sub>	2047	11

They can both correct 1 error, but not 2 random errors.

– The Hamming code does this with fewer check bits

However, RS can fix 8 contiguous bit errors in one byte

– Much better than lower bound for 8 arbitrary errors

$$\log\left(1 + \binom{n}{1} + \dots + \binom{n}{8}\right) > 8\log(n - 7) \approx 88 \text{ check bits}$$

# CONCATENATION OF CODES



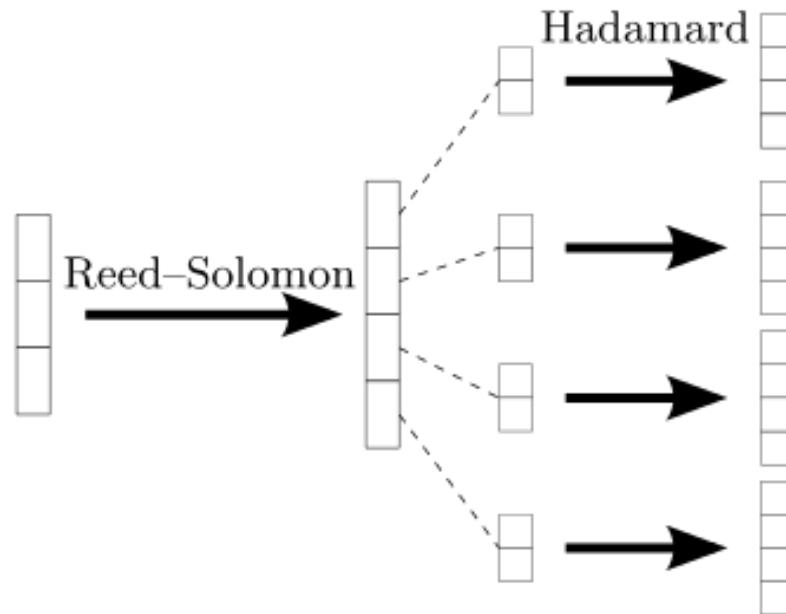
# Concatenation of Codes

Take a RS code  $(n, k, n-k+1)_q$  code.

Can encode each alphabet symbol using another code.



David Forney



# Concatenation of Codes

Take any  $(N, K, D)_{q^k}$  code.

Can encode each alphabet symbol of  $k$  bits using another  $(n, k, d)_q$  code.

## **Theorem:**

The concatenated code is a  $(Nn, Kk, Dd)_q$  code

## **Proof:**

<Discuss>

# Concatenation of Codes

Take a RS code  $(n, k, n-k+1)_{Q = q^{k'}}$  code with  $n = q^{k'}$ .

Can encode each alphabet symbol of  $k' = \log Q = \log n$  bits using another code.

E.g., use  $((k' + \log k'), k', 3)_2$ -Hamming code. Now we can correct one error per alphabet symbol with little rate loss. (Good for sparse periodic errors.)

Or  $(2^{k'}, k', 2^{k'-1})_2$  Hadamard code. (Say  $k = n/2$ .)

Then get  $(n^2, (n/2) \log n, n^2/4)_2$  code.

Much better than plain Hadamard code in rate, distance worse only by factor of 2.