

# 15-853:Algorithms in the Real World

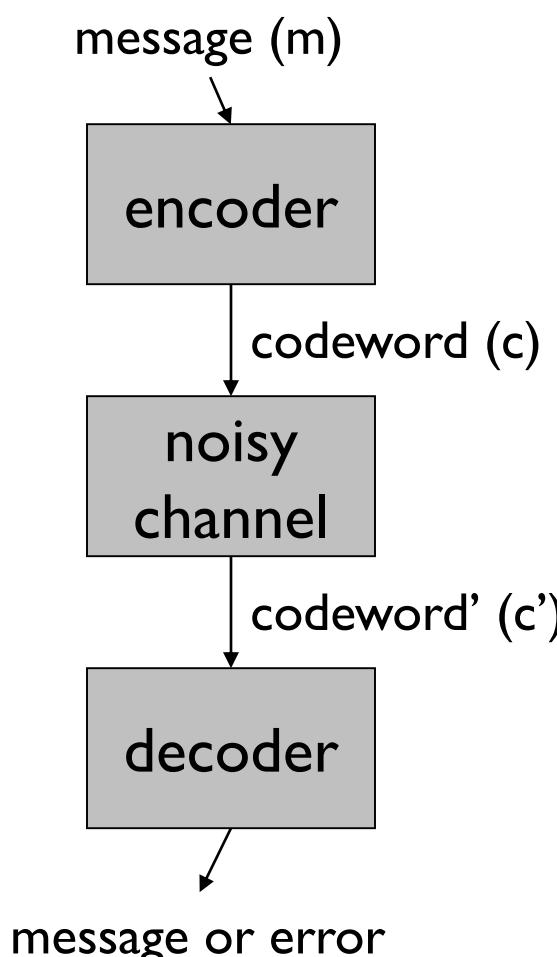
## Error Correcting Codes (cont..)

Scribe volunteers: ?

### **Announcement:**

Scribe notes template and instructions on the course webpage

# General Model



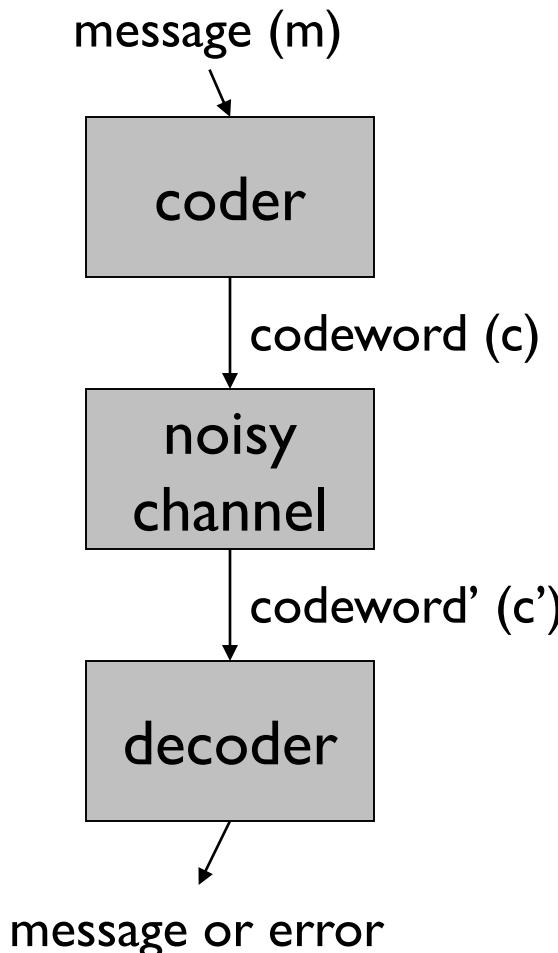
“Noise” introduced by the channel:

- changed fields in the codeword vector (e.g. a flipped bit).
  - Called **errors**
- missing fields in the codeword vector (e.g. a lost byte).
  - Called **erasures**

How the decoder deals with errors and/or erasures?

- **detection** (only needed for errors)
- **correction**

# Block Codes



Each message and codeword is of fixed size

$\Sigma$  = codeword alphabet

$$k = |m| \quad n = |c| \quad q = |\Sigma|$$

$\mathbf{C}$  = “code” = set of codewords

$$\mathbf{C} \subseteq \Sigma^n \text{ (codewords)}$$

$\Delta(x, y)$  = number of positions s.t.  $x_i \neq y_i$

$$d = \min\{\Delta(x, y) : x, y \in \mathbf{C}, x \neq y\}$$

Code described as:  $(n, k, d)_q$

# Role of Minimum Distance

## **Theorem:**

A code C with minimum distance “d” can:

1. detect any  $(d-1)$  errors
2. recover any  $(d-1)$  erasures
3. correct any  $\langle$ write $\rangle$  errors

## Stated another way:

For s-bit error detection  $d \geq s + 1$

For s-bit error correction  $d \geq 2s + 1$

To correct a erasures and b errors if

$$d \geq a + 2b + 1$$

Next we will see  
an application of erasure codes in  
today's large-scale data storage systems

# Large-scale distributed storage systems



1000s of interconnected servers

100s of petabytes of data

- Commodity components
- Software issues, power failures, maintenance shutdowns



# Large-scale distributed storage systems



1000s of interconnected servers



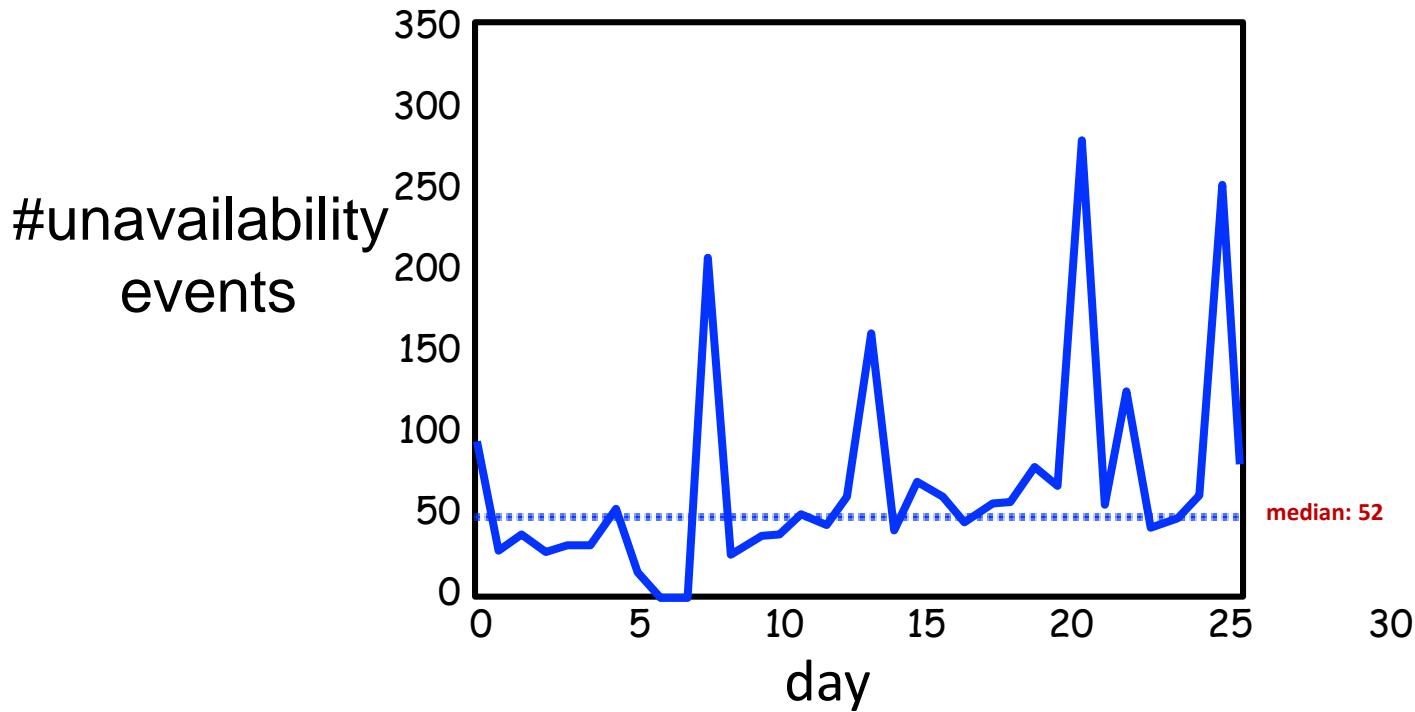
**Unavailabilities are the norm  
rather than the exception**

- Commodity components
- Software issues, power failures, maintenance shutdowns



# Facebook analytics cluster in production: unavailability statistics

- Multiple thousands of servers
- Unavailability event: server unresponsive for  $> 15$  min



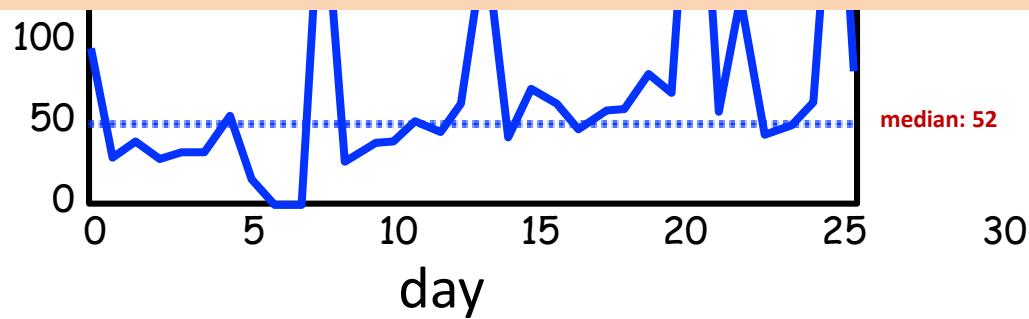
[Rashmi, Shah, Gu, Kuang, Borthakur, Ramchandran,  
USENIX HotStorage 2013 and ACM SIGCOMM 2014]

# Facebook analytics cluster in production: unavailability statistics

- Multiple thousands of servers
- Unavailability event: server unresponsive for > 15 min

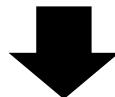


**Daily server unavailability = 0.5 - 1%**



[Rashmi, Shah, Gu, Kuang, Borthakur, Ramchandran,  
USENIX HotStorage 2013 and ACM SIGCOMM 2014]

# Servers unavailable



## Data inaccessible

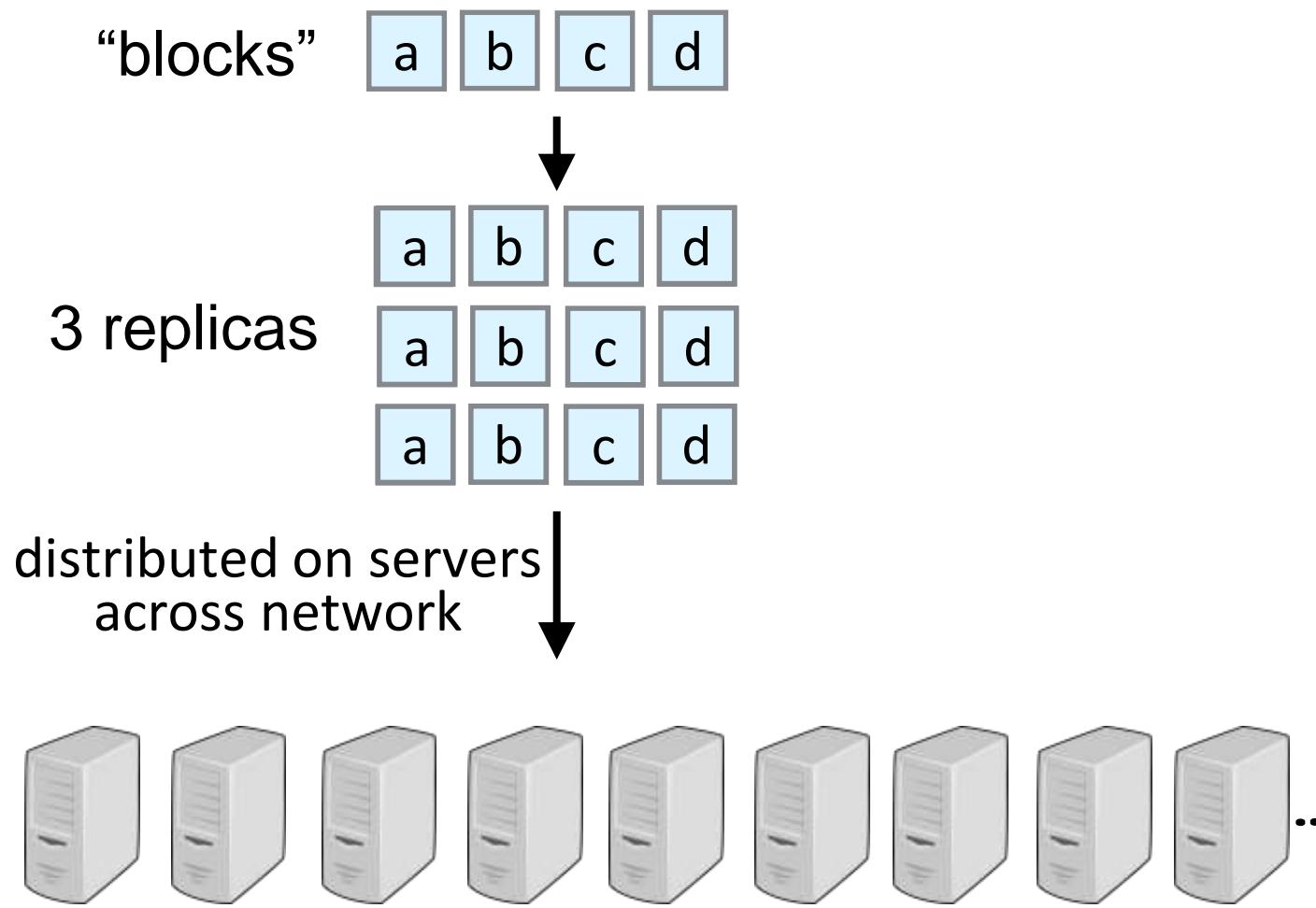


Applications cannot wait,  
Data cannot be lost

**Data needs to be stored in a redundant fashion**

# Traditional approach: Replication

- Storing **multiple copies** of data: Typically 3x-replication



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## Too expensive for large-scale data

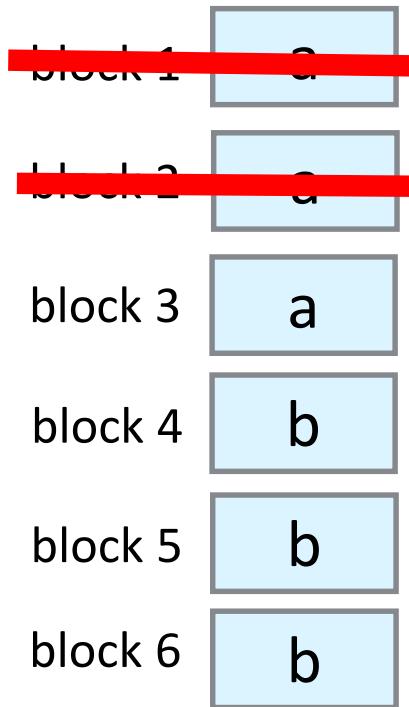


## Better alternative: **sophisticated codes**



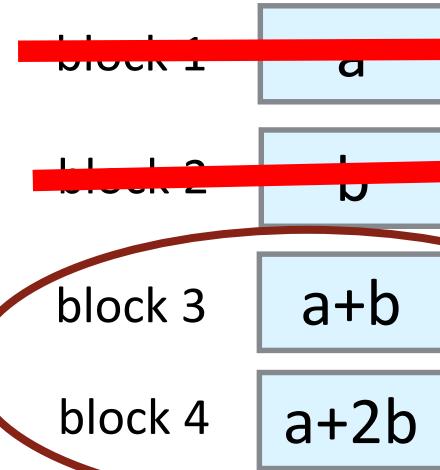
Two data blocks to be stored: a and b

Tolerate any 2 failures



3-replication

**Storage overhead = 3x**



Erasure code

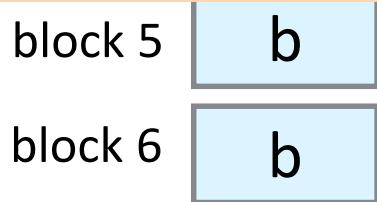
**Storage overhead = 2x**

Two data blocks to be stored: a and b

Tolerate any 2 failures



**Much less storage  
for desired fault tolerance**



3-replication

**Storage overhead = 3x**

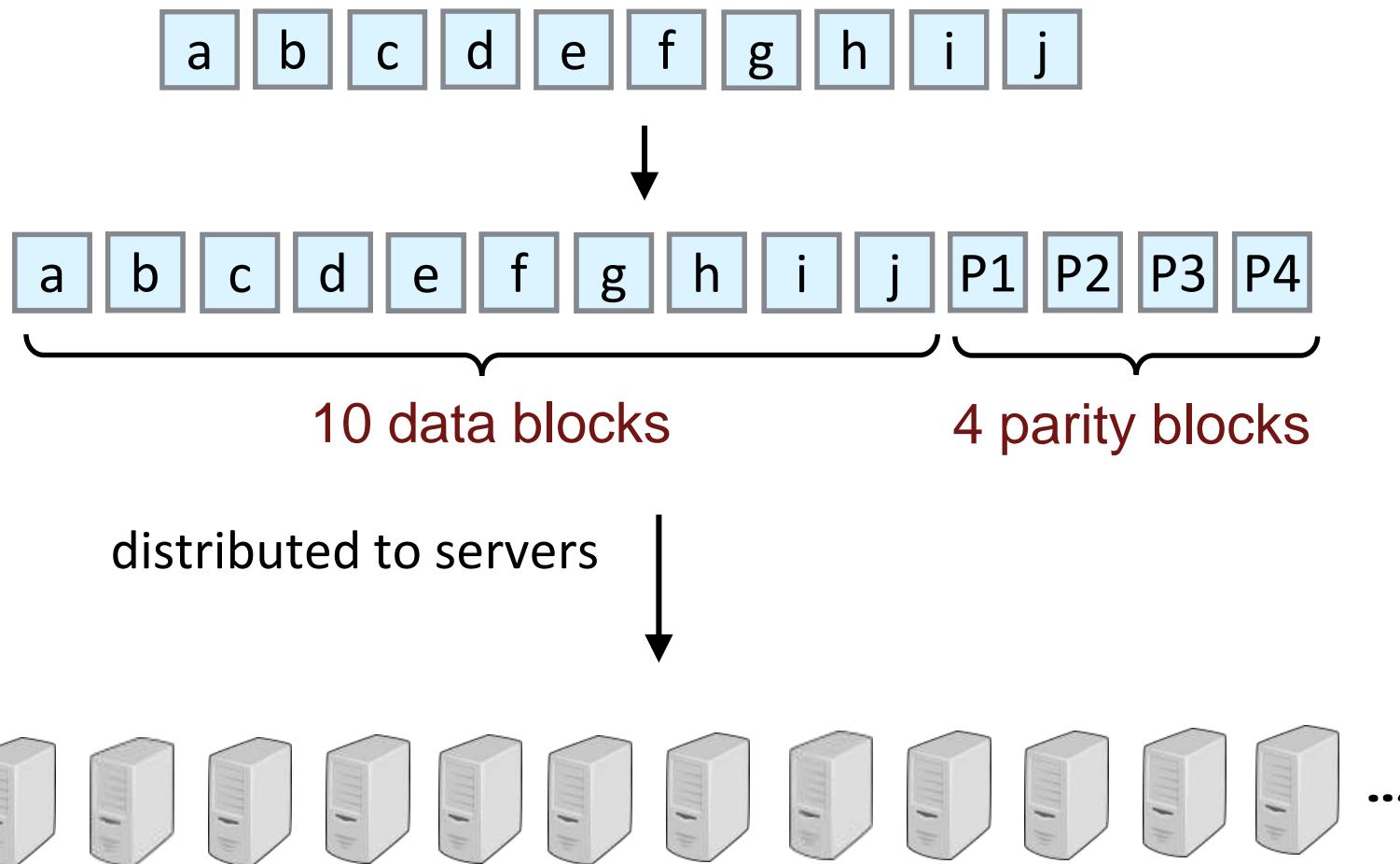
**“parity blocks”**

Erasure code

**Storage overhead = 2x**

# Erasure codes: how are they used in distributed storage systems?

Example:



# Almost all large-scale storage systems today employ erasure codes

Facebook, Google, Amazon, Microsoft...

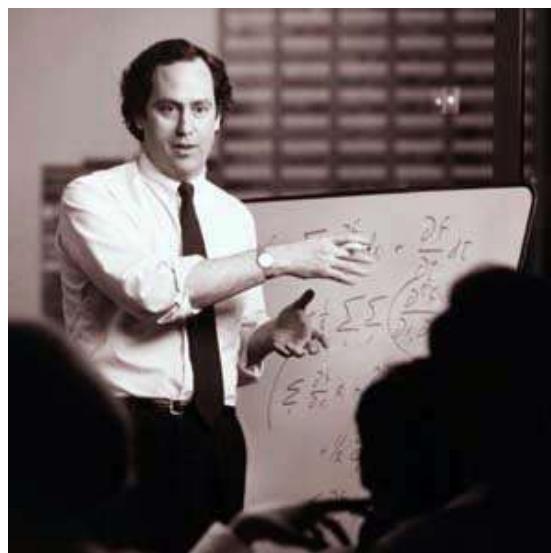
“Considering trends in data growth & datacenter hardware, we foresee HDFS **erasure coding** being an **important feature in years to come**”

- Cloudera Engineering (September, 2016)

# Error Correcting Multibit Messages

We will first discuss Hamming Codes

Named after Richard Hamming (1915-1998), a pioneer in error-correcting codes and computing in general.



# Error Correcting Multibit Messages

We will first discuss Hamming Codes

Codes are of form:  $(2^r-1, 2^r-1 - r, 3)$  for any  $r > 1$

e.g.  $(3,1,3)$ ,  $(7,4,3)$ ,  $(15,11,3)$ ,  $(31, 26, 3)$ , ...

which correspond to 2, 3, 4, 5, ... “parity bits” (i.e.  $n-k$ )

Question: Error detection and correction capability?

(Can detect 2-bit errors, or correct 1-bit errors.)

The high-level idea is to “localize” the error.

# Hamming Codes: Encoding

$r = 4$

Localizing error to top or bottom half 1xxx or 0xxx



$$P_8 = m_{15} \oplus m_{14} \oplus m_{13} \oplus m_{12} \oplus m_{11} \oplus m_{10} \oplus m_9$$

Localizing error to x1xx or x0xx



$$P_4 = m_{15} \oplus m_{14} \oplus m_{13} \oplus m_{12} \oplus m_7 \oplus m_6 \oplus m_5$$

Localizing error to xx1x or xx0x



$$P_2 = m_{15} \oplus m_{14} \oplus m_{11} \oplus m_{10} \oplus m_7 \oplus m_6 \oplus m_3$$

Localizing error to xxxx1 or xxxx0



$$P_1 = m_{15} \oplus m_{13} \oplus m_{11} \oplus m_9 \oplus m_7 \oplus m_5 \oplus m_3$$

# Hamming Codes: Decoding



We don't need  $p_0$ , so we have a  $(15, 11, ?)$  code.

After transmission, we generate

$$b_8 = p_8 \oplus m_{15} \oplus m_{14} \oplus m_{13} \oplus m_{12} \oplus m_{11} \oplus m_{10} \oplus m_9$$

$$b_4 = p_4 \oplus m_{15} \oplus m_{14} \oplus m_{13} \oplus m_{12} \oplus m_7 \oplus m_6 \oplus m_5$$

$$b_2 = p_2 \oplus m_{15} \oplus m_{14} \oplus m_{11} \oplus m_{10} \oplus m_7 \oplus m_6 \oplus m_3$$

$$b_1 = p_1 \oplus m_{15} \oplus m_{13} \oplus m_{11} \oplus m_9 \oplus m_7 \oplus m_5 \oplus m_3$$

With no errors, these will all be zero

With one error  $b_8 b_4 b_2 b_1$  gives us the error location.

e.g. **0100** would tell us that  $p_4$  is wrong, and  
**1100** would tell us that  $m_{12}$  is wrong

# Hamming Codes

## Can be generalized to any power of 2

- $n = 2^r - 1$  (15 in the example)
- $(n-k) = r$  (4 in the example)
- Can correct one error
- $d \geq 3$  (since we can correct one error)
- Gives  $(2^r-1, 2^r-1-r, 3)$  code

(We will later see an easy way to prove the minimum distance)

## Extended Hamming code

- Add back the parity bit at the end
- Gives  $(2^r, 2^r-1-r, 4)$  code
- Can still correct one error, but now can detect 3

# A Lower bound on parity bits:

## Hamming bound

How many nodes in hypercube do we need so that  $d = 3$ ?

Each of  $2^k$  codewords eliminates  $n$  neighbors plus itself,  
i.e.  $n+1$

$$\begin{aligned}2^n &\geq (n+1)2^k \\n &\geq k + \log_2(n+1) \\n &\geq k + \lceil \log_2(n+1) \rceil\end{aligned}$$

In above Hamming code,  $15 \geq 11 + \lceil \log_2(15+1) \rceil = 15$ .

Hamming Codes are called perfect codes since they match the lower bound exactly.

# A Lower bound on parity bits: Hamming bound

What about fixing 2 errors (i.e.  $d=5$ )?

Each of the  $2^k$  codewords eliminates itself, its neighbors and its neighbors' neighbors, giving:

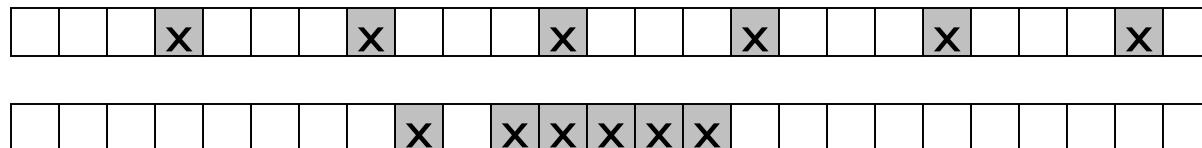
<board>

Generally to correct  $s$  errors:

$$n \geq k + \log_2 \left( 1 + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{s} \right)$$

## Lower Bounds: a side note

The lower bounds assume arbitrary placement of bit errors.  
In practice errors are likely to have patterns:  
maybe evenly spaced, or clustered:



Can we do better if we assume **regular errors**?

We will come back to this later when we talk about **Reed-Solomon** codes. This is a big reason why Reed-Solomon codes are used much more than Hamming-codes.

Q:

If no structure in the code, how would one perform encoding?

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Gigantic lookup table!

**If no structure in the code, encoding is highly inefficient.**

A common kind of structure added is **linearity**

# Linear Codes

If  $\Sigma$  is a field, then  $\Sigma^n$  is a vector space

**Definition:** C is a linear code if it is a linear subspace of  $\Sigma^n$  of dimension k.

This means that there is a set of k independent vectors

$v_i \in \Sigma^n$  ( $1 \leq i \leq k$ ) that span the subspace.

i.e. every codeword can be written as:

$$c = a_1 v_1 + a_2 v_2 + \dots + a_k v_k \quad \text{where } a_i \in \Sigma$$

“Basis (or spanning) Vectors”

# Some Properties of Linear Codes

1. Linear combination of two codewords is a codeword.

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2. Minimum distance ( $d$ ) = weight of least weight (non-zero) codewords

<Write proof>

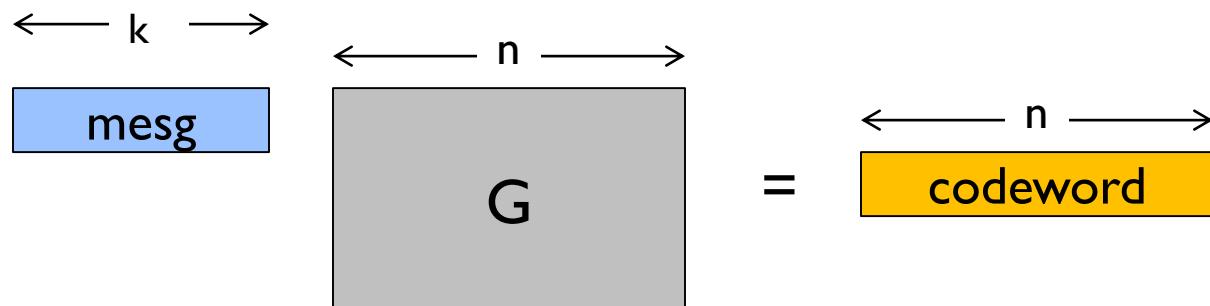
# Generator and Parity Check Matrices

3. Every linear code has two matrices associated with it.

## 1. Generator Matrix:

A  $k \times n$  matrix  $\mathbf{G}$  such that:  $C = \{ x\mathbf{G} \mid x \in \Sigma^k \}$

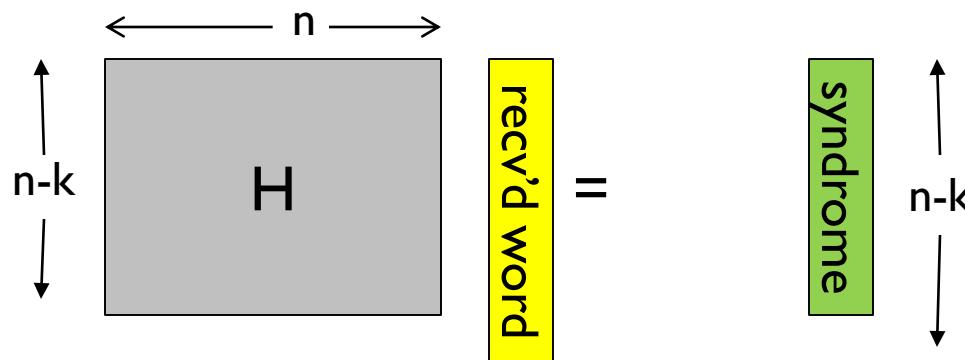
Made from stacking the spanning vectors



# Generator and Parity Check Matrices

## 2. Parity Check Matrix:

An  $(n - k) \times n$  matrix  $\mathbf{H}$  such that:  $C = \{y \in \Sigma^n \mid \mathbf{H}y^T = 0\}$   
(Codewords are the null space of  $\mathbf{H}$ .)



if syndrome = 0, received word = codeword

else have to use syndrome to get back codeword ("decode")

# Advantages of Linear Codes

- Encoding is efficient (vector-matrix multiply)
- Error detection is efficient (vector-matrix multiply)
- **Syndrome** ( $Hy^T$ ) has error information
- How to decode? In general, have  $q^{n-k}$  sized table for decoding (one for each syndrome).  
Useful if  $n-k$  is small, else want other approaches.

# Linear Codes

Basis vectors for the  $(7,4,3)_2$  Hamming code:

	$m_7$	$m_6$	$m_5$	$P_4$	$m_3$	$P_2$	$P_1$
$v_1$	1	0	0	1	0	1	1
$v_2$	0	1	0	1	0	1	0
$v_3$	0	0	1	1	0	0	1
$v_4$	0	0	0	0	1	1	1

Another way to see that  $d = 3$  for Hamming codes?

What is the least Hamming weight among non-zero codewords?

In the next class we will continue studying linear codes  
starting with  
additional properties of generator and parity check matrices  
and relationship between them