

**IR Trees**  
**Basic Liveness**  
**Basic Lexing**

**15-411/15-611 Compiler Design**

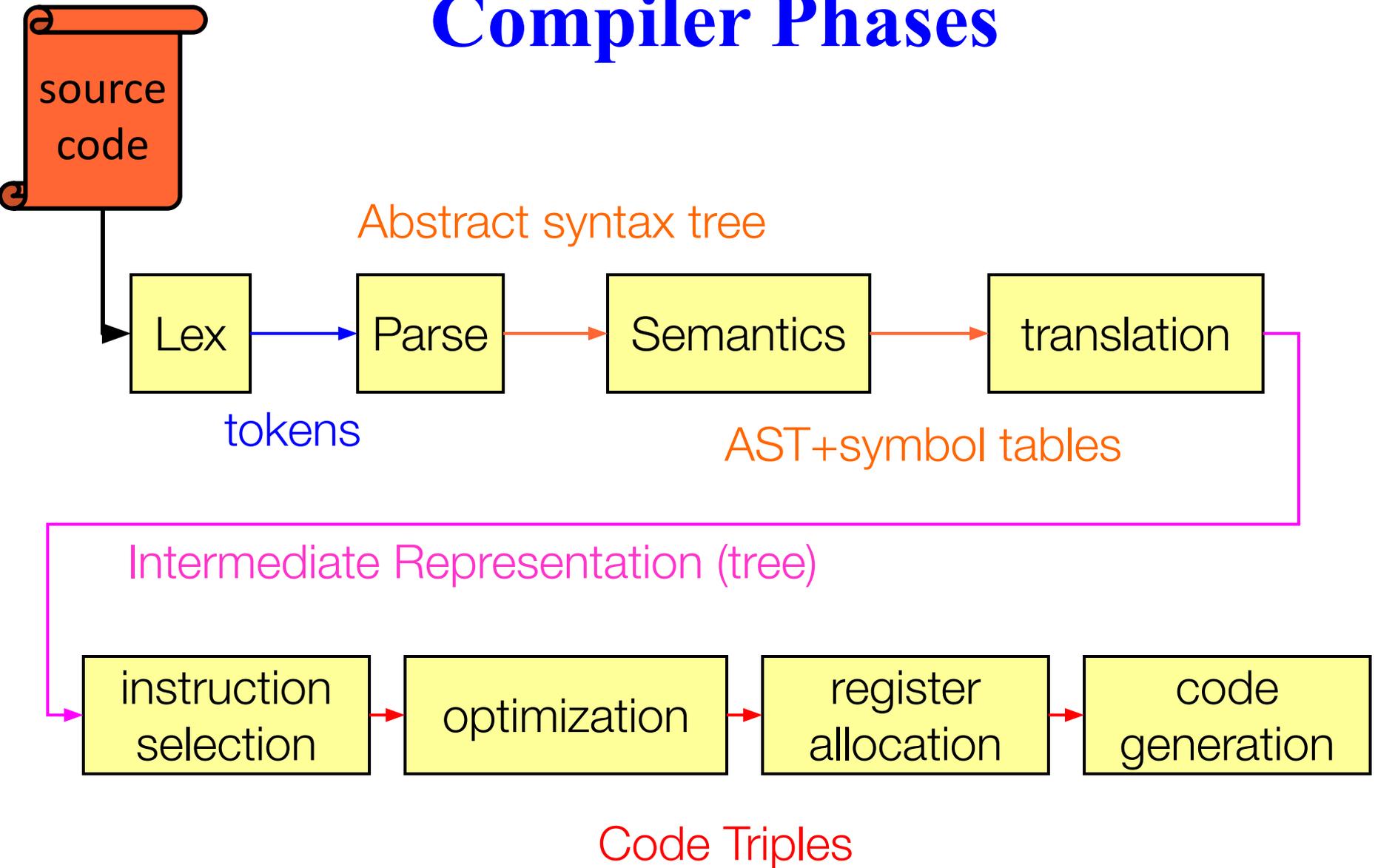
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# Today

- From AST  $\Rightarrow$  Tree IR
- Liveness across Control Flow
  - Basic Blocks
- Simple Lexing

# Compiler Phases



# Compiler Phases

Characters	⇒	Lex	⇒	Tokens
Tokens	⇒	Parse	⇒	AST
AST	⇒	Elaborate	⇒	AST
AST	⇒	Semantic Analysis	⇒	AST
AST	⇒	Translate	⇒	IR Trees
IR Trees	⇒	Munch	⇒	Abs Asm
Abs Asm	⇒	Optimize	⇒	Abs Asm
Abs Asm	⇒	Select	⇒	ASM
ASM	⇒	Reg Alloc	⇒	ASM

# AST

$e ::= n \mid x \mid e_1 \oplus e_2 \mid e_1 \ominus e_2 \mid e_1 \cong e_2$   
 $\mid f(e_1, \dots, e_n) \mid !e \mid e_1 \&\& e_2 \mid e_1 ? e_2 : e_3$

$s ::= \text{assign}(x, e)$   
 $\mid \text{if}(e, s_1, s_2)$   
 $\mid \text{decl}(x, \tau, s)$   
 $\mid \text{while}(e, s)$   
 $\mid \text{return } e$   
 $\mid \text{nop}$   
 $\mid \text{seq}(s_1, s_2)$

# Translation to IR Trees

- Translate from AST into IR Trees
- Goals:
  - Isolate side-effects
    - Make order of execution explicit
    - Support optimization of pure expressions
  - Make control flow explicit
- Tree IR contains
  - pure expressions  $p$
  - commands  $c$
  - a program  $r$
- Any expression which can have a side-effect must be a top-level expression.

# The Tree IR

$p ::= n \mid x \mid p_1 \oplus p_2$  Pure Expressions

$c ::= x \leftarrow p$  Commands

|  $x \leftarrow p_1 \ominus p_2$

|  $x \leftarrow f(p_1, p_2, \dots, p_n)$

| if  $(p_1 \geq p_2)$  then  $l_t$  else  $l_f$

| goto  $l$

|  $l:$

| return( $p$ )

$r ::= c_1 ; \dots ; c_n$  Programs

# Translating (Integer) Expressions

$$e ::= n \mid x \mid e_1 \oplus e_2 \mid e_1 \ominus e_2 \mid e_1 \geq e_2 \\ \mid f(e_1, \dots, e_n) \mid !e \mid e_1 \&\& e_2 \mid e_1 ? e_2 : e_3$$
$$n \mid x \mid e_1 \oplus e_2 ?$$
$$e_1 \ominus e_2 ?$$
$$p ::= n \mid x \mid p_1 \oplus p_2 \\ c ::= x \leftarrow p \\ \mid x \leftarrow p_1 \ominus p_2 \\ \mid x \leftarrow f(p_1, p_2, \dots, p_n) \\ \mid \text{if } (p_1 \geq p_2) \text{ then } l_t \text{ else } l_f \\ \mid \text{goto } l \\ \mid l: \\ \mid \text{return}(p) \\ r ::= c_1 ; \dots ; c_n$$

# Translating (Integer) Expressions

$$e ::= n \mid x \mid e_1 \oplus e_2 \mid e_1 \ominus e_2 \mid e_1 \geq e_2 \\ \mid f(e_1, \dots, e_n) \mid !e \mid e_1 \&\& e_2 \mid e_1 ? e_2 : e_3$$

- exp becomes
  - seq of commands
  - pure-expression
- $\text{tr}(e) = \langle r, p \rangle$   
result of  $e$  is  $p$

$$p ::= n \mid x \mid p_1 \oplus p_2 \\ c ::= x \leftarrow p \\ \mid x \leftarrow p_1 \ominus p_2 \\ \mid x \leftarrow f(p_1, p_2, \dots, p_n) \\ \mid \text{if } (p_1 \geq p_2) \text{ then } l_t \text{ else } l_f \\ \mid \text{goto } l \\ \mid l: \\ \mid \text{return}(p) \\ r ::= c_1 ; \dots ; c_n$$

# Translating (Integer) Expressions

- $\text{tr}(n) = \langle \cdot, n \rangle$
- $\text{tr}(x) = \langle \cdot, x \rangle$
- $\text{tr}(e_1 \oplus e_2) = \langle \cdot, p_1 \oplus p_2 \rangle$

```
 $p ::= n \mid x \mid p_1 \oplus p_2$   
 $c ::= x \leftarrow p$   
      |  $x \leftarrow p_1 \ominus p_2$   
      |  $x \leftarrow f(p_1, p_2, \dots, p_n)$   
      | if  $(p_1 \geq p_2)$  then  $l_t$  else  $l_f$   
      | goto  $l$   
      |  $l:$   
      | return( $p$ )  
 $r ::= c_1 ; \dots ; c_n$ 
```

```
 $e ::= n \mid x \mid e_1 \oplus e_2 \mid e_1 \ominus e_2 \mid e_1 \geq e_2$   
      |  $f(e_1, \dots, e_n) \mid !e \mid e_1 \&\& e_2 \mid e_1 ? e_2 : e_3$ 
```

# Translating (Integer) Expressions

- $\text{tr}(n) = \langle \cdot, n \rangle$
- $\text{tr}(x) = \langle \cdot, x \rangle$
- $\text{tr}(e_1 \oplus e_2) :=$   
 $\text{tr}(e_1) = \langle r_1, p_1 \rangle$   
 $\text{tr}(e_2) = \langle r_2, p_2 \rangle$   
 $\langle r_1; r_2, p_1 \oplus p_2 \rangle$

```

p ::= n | x | p1 ⊕ p2
c ::= x ← p
    | x ← p1 ⊙ p2
    | x ← f(p1, p2, ..., pn)
    | if (p1 ≧ p2) then It else If
    | goto I
    | I:
    | return(p)
r ::= c1; ... ; cn

```

```

e ::= n | x | e1 ⊕ e2 | e1 ⊙ e2 | e1 ≧ e2
    | f(e1, ..., en) | !e | e1 && e2 | e1 ? e2 : e3

```

# Translating (Integer) Expressions

- $\text{tr}(n) = \langle \cdot, n \rangle$
- $\text{tr}(x) = \langle \cdot, x \rangle$
- $\text{tr}(e_1 \oplus e_2) :=$ 
  - $\text{tr}(e_1) = \langle r_1, p_1 \rangle$
  - $\text{tr}(e_2) = \langle r_2, p_2 \rangle$
  - $\langle r_1; r_2, p_1 \oplus p_2 \rangle$
- $\text{tr}(e_1 \ominus e_2) :=$ 
  - $\text{tr}(e_1) = \langle r_1, p_1 \rangle$
  - $\text{tr}(e_2) = \langle r_2, p_2 \rangle$
  - $\langle r_1; r_2; t \leftarrow p_1 \ominus p_2, t \rangle$  ( $t$  fresh)

```
p ::= n | x | p1 ⊕ p2
c ::= x ← p
    | x ← p1 ⊙ p2
    | x ← f(p1, p2, ..., pn)
    | if (p1 ≥ p2) then lt else lf
    | goto l
    | l:
    | return(p)
r ::= c1; ... ; cn
```

# Translating (Integer) Expressions

- $\text{tr}(n) = \langle \cdot, n \rangle$
- $\text{tr}(x) = \langle \cdot, x \rangle$
- $\text{tr}(e_1 \oplus e_2) :=$   
 $\text{tr}(e_1) = \langle r_1, p_1 \rangle$   
 $\text{tr}(e_2) = \langle r_2, p_2 \rangle$   
 $\langle r_1; r_2, p_1 \oplus p_2 \rangle$
- $\text{tr}(e_1 \ominus e_2) :=$   
 $\text{tr}(e_1) = \langle r_1, p_1 \rangle$   
 $\text{tr}(e_2) = \langle r_2, p_2 \rangle$   
 $\langle r_1; r_2; t \leftarrow p_1 \ominus p_2, t \rangle$  ( $t$  fresh)
- $\text{tr}(f(e_1, \dots, e_n)) =$

```

p ::= n | x | p1 ⊕ p2
c ::= x ← p
    | x ← p1 ⊙ p2
    | x ← f(p1, p2, ..., pn)
    | if (p1 ≧ p2) then lt else lf
    | goto l
    | l:
    | return(p)
r ::= c1; ...; cn

```

# Why call it Tree IR?

- $\text{tr}(n) = \langle \cdot, n \rangle$
- $\text{tr}(x) = \langle \cdot, x \rangle$
- $\text{tr}(e_1 \oplus e_2) :=$ 
  - $\text{tr}(e_1) = \langle r_1, p_1 \rangle$
  - $\text{tr}(e_2) = \langle r_2, p_2 \rangle$
  - $\langle r_1; r_2, p_1 \oplus p_2 \rangle$
- $\text{tr}(e_1 \otimes e_2) :=$ 
  - $\text{tr}(e_1) = \langle r_1, p_1 \rangle$
  - $\text{tr}(e_2) = \langle r_2, p_2 \rangle$
  - $\langle r_1; r_2; t \leftarrow p_1 \otimes p_2, t \rangle \quad (t \text{ fresh})$
- $\text{tr}(f(e_1, \dots, e_n)) =$ 
  - $\text{tr}(e_1) = \langle r_1, p_1 \rangle$
  - $\text{tr}(e_n) = \langle r_n, p_n \rangle$
  - $\langle r_1; r_2; t \leftarrow f(p_1, \dots, p_n), \quad (t \text{ fresh})$

# What next?

$e ::= n \mid x \mid e_1 \oplus e_2 \mid e_1 \ominus e_2 \mid e_1 \cong e_2$   
 $\mid f(e_1, \dots, e_n) \mid !e \mid e_1 \&\& e_2 \mid e_1 ? e_2 : e_3$

$s ::= \text{assign}(x, e)$   
 $\mid \text{if}(e, s_1, s_2)$   
 $\mid \text{decl}(x, \tau, s)$   
 $\mid \text{while}(e, s)$   
 $\mid \text{return } e$   
 $\mid \text{nop}$   
 $\mid \text{seq}(s_1, s_2)$

# Statements

$\text{tr}(\text{assign}(x,e)) :=$

$\text{tr}(\text{if}(e,s_1,s_2))$

$\text{tr}(\text{decl}(x,\tau,s))$

$\text{tr}(\text{while}(e,s))$

$\text{tr}(\text{return } e) :=$

$\text{tr}(\text{nop}) :=$

$\text{tr}(\text{seq}(s_1,s_2)) :=$

$p$	$::=$	$n \mid x \mid p_1 \oplus p_2$
$c$	$::=$	$x \leftarrow p$
		$\mid x \leftarrow p_1 \ominus p_2$
		$\mid x \leftarrow f(p_1, p_2, \dots, p_n)$
		$\mid \text{if } (p_1 \geq p_2) \text{ then } l_t \text{ else } l_f$
		$\mid \text{goto } l$
		$\mid l:$
		$\mid \text{return}(p)$
$r$	$::=$	$c_1 ; \dots ; c_n$

# Statements

$\text{tr}(\text{assign}(x, e)) := \text{tr}(e_1) = \langle r_1, p_1 \rangle$

$\langle r_1; x \leftarrow p_1 \rangle$

$\text{tr}(\text{if}(e, s_1, s_2))$

$\text{tr}(\text{decl}(x, \tau, s))$

$\text{tr}(\text{while}(e, s))$

$\text{tr}(\text{return } e) := \text{tr}(e_1) = \langle r_1, p_1 \rangle$

$\langle r_1; \text{return}(p_1) \rangle$

$\text{tr}(\text{nop}) := \cdot$

$\text{tr}(\text{seq}(s_1, s_2)) := \langle \text{tr}(s_1); \text{tr}(s_2) \rangle$

$p$	$::=$	$n \mid x \mid p_1 \oplus p_2$
$c$	$::=$	$x \leftarrow p$
		$x \leftarrow p_1 \ominus p_2$
		$x \leftarrow f(p_1, p_2, \dots, p_n)$
		if $(p_1 \geq p_2)$ then $l_t$
		goto $l$
		$l:$
		return( $p$ )
$r$	$::=$	$c_1; \dots; c_n$

# take 1: $\text{tr}(\text{if}(e, s_1, s_2))$

$\text{tr}(\text{if}(e, s_1, s_2)) :=$

```
 $p$  ::=  $n \mid x \mid p_1 \oplus p_2$   
 $c$  ::=  $x \leftarrow p$   
      |  $x \leftarrow p_1 \ominus p_2$   
      |  $x \leftarrow f(p_1, p_2, \dots, p_n)$   
      |  $\text{if } (p_1 \geq p_2) \text{ then } l_t \text{ else } l_f$   
      |  $\text{goto } l$   
      |  $l:$   
      |  $\text{return}(p)$   
 $r$  ::=  $c_1 ; \dots ; c_n$ 
```

# take 1: $\text{tr}(\text{if}(e, s_1, s_2))$

$\text{tr}(\text{if}(e, s_1, s_2)) :=$

$\text{tr}(e) = \langle r, p \rangle$

$\langle r ;$

if  $(e \neq 0)$  then  $l_t$  else  $l_f ;$

$l_t : s_1 ; \text{goto } l_3 ;$

$l_f : s_2 ; \text{goto } l_3 ;$

$l_3 : >$

$(l_t, l_f, l_3 \text{ fresh})$

```
p ::= n | x | p1 ⊕ p2
c ::= x ← p
    | x ← p1 ⊙ p2
    | x ← f(p1, p2, ..., pn)
    | if (p1 ≥ p2) then lt else lf
    | goto l
    | l:
    | return(p)
r ::= c1 ; ... ; cn
```

# take 1: $\text{tr}(\text{if}(e, s_1, s_2))$

$\text{tr}(\text{if}(e, s_1, s_2)) :=$

$\text{tr}(e) = \langle r, p \rangle$

$\langle r ;$

if  $(e \neq 0)$  then  $l_t$  else  $l_f ;$

$l_t : s_1 ; \text{goto } l_3 ;$

$l_f : s_2 ; \text{goto } l_3 ;$

$l_3 : \rangle$

$(l_t, l_f, l_3 \text{ fresh})$

tree-IR is in basic block form

# take 1: $\text{tr}(\text{if}(e, s_1, s_2))$

$\text{tr}(\text{if}(e, s_1, s_2)) :=$

$\text{tr}(e) = \langle r, p \rangle$

$\langle r ;$

if  $(e \neq 0)$  then  $l_t$  else  $l_f ;$

$l_t : s_1 ; \text{goto } l_3 ;$

$l_f : s_2 ; \text{goto } l_3 ;$

$l_3 : \rangle$

$(l_t, l_f, l_3 \text{ fresh})$

And yet,  
something feels  
wrong here.

$$\mathbf{cp}(b, l_t, l_f) = \langle r \rangle$$

$$\mathbf{cp}(b, l_t, l_f) = \langle r \rangle$$

where the last command in  $r$  is  
a goto to either  $l_t$  or  $l_f$  based on  $b$

$$\mathbf{cp}(e_1 \geq e_2, l_t, l_f)$$

$$\mathbf{cp}(! e, l_t, l_f)$$

$$\mathbf{cp}(e_1 \ \&\& \ e_2, l_t, l_f)$$

$$\mathbf{cp}(0, l_t, l_f)$$

$$\mathbf{cp}(1, l_t, l_f)$$

$$\mathbf{cp}(e, l_t, l_f)$$

# Translating Boolean Expressions

$$\begin{aligned}
 \text{cp}(e_1 \geq e_2, l_t, l_f) &:= & \text{tr}(e_1) = \langle r_1, p_1 \rangle \\
 & & \text{tr}(e_2) = \langle r_2, p_2 \rangle \\
 & & \langle r_1; r_2; \text{if } (p_1 \geq p_2) \text{ then } l_t \text{ else } l_f \rangle \\
 \text{cp}(! e, l_t, l_f) &= & \text{cp}(e, l_f, l_t) \\
 \text{cp}(e_1 \&\& e_2, l_t, l_f) &= & \text{cp}(e_1, l_{\text{fresh}}, l_f) ; \\
 & & l_{\text{fresh}} : \text{cp}(e_2, l_t, l_f) \\
 \text{cp}(0, l_t, l_f) &= & \text{goto } l_f \\
 \text{cp}(1, l_t, l_f) &= & \text{goto } l_t \\
 \text{cp}(e, l_t, l_f) &:= & \text{tr}(e) = \langle r, p \rangle \\
 & & \langle r ; \text{if } (p \neq 0) \text{ then } l_t \text{ else } l_f \rangle
 \end{aligned}$$

# Translating Boolean Expressions

$cp(e_1 \geq e_2, l_t, l_f) := tr(e_1) = \langle r_1, p_1 \rangle$   
 $tr(e_2) = \langle r_2, p_2 \rangle$   
 $\langle r_1, r_2, \text{if } (p_1 \geq p_2) \text{ then } l_t \text{ else } l_f \rangle$

$cp(! e, l_t, l_f) = cp(e, l_f, l_t)$

$cp(e_1 \ \&\& \ e_2, l_t, l_f) = cp(e_1, l_{fresh}, l_f) ;$   
 $l_{fresh} : cp(e_2, l_t, l_f)$

$cp(0, l_t, l_f) = \text{goto } l_f$

$cp(1, l_t, l_f) = \text{goto } l_t$

$cp(e, l_t, l_f) := tr(e) = \langle r, p \rangle$   
 $\langle r ; \text{if } (p \neq 0) \text{ then } l_t \text{ else } l_f \rangle$

$tr(e) =$

# Translating Boolean Expressions

$$\begin{aligned} \text{cp}(e_1 \geq e_2, l_t, l_f) &:= & \text{tr}(e_1) = \langle r_1, p_1 \rangle \\ & & \text{tr}(e_2) = \langle r_2, p_2 \rangle \\ & & \langle r_1, r_2, \text{if } (p_1 \geq p_2) \text{ then } l_t \text{ else } l_f \rangle \end{aligned}$$

$$\text{cp}(! e, l_t, l_f) = \text{cp}(e, l_f, l_t)$$

$$\begin{aligned} \text{cp}(e_1 \ \&\& \ e_2, l_t, l_f) &= & \text{cp}(e_1, l_{\text{fresh}}, l_f) ; \\ & & l_{\text{fresh}} : \text{cp}(e_2, l_t, l_f) \end{aligned}$$

$$\text{cp}(0, l_t, l_f) = \text{goto } l_f$$

$$\text{cp}(1, l_t, l_f) = \text{goto } l_t$$

$$\begin{aligned} \text{cp}(e, l_t, l_f) &:= & \text{tr}(e) = \langle r, p \rangle \\ & & \langle r ; \text{if } (p \neq 0) \text{ then } l_t \text{ else } l_f \rangle \end{aligned}$$

$$\text{tr}(e) = \langle \text{cp}(e, l_t, l_f) ;$$

$$l_t : t \leftarrow 1 ; \text{goto } l_{\text{done}} ;$$

$$l_f : t \leftarrow 0 ; \text{goto } l_{\text{done}} ; \quad (l_f, l_f, l_{\text{done}} \text{ fresh})$$

$$l_{\text{done}} \rangle$$

## take 2: $\text{tr}(\text{if}(e, s_1, s_2))$

$\text{tr}(\text{if}(e, s_1, s_2)) :=$

$\text{tr}(e) = \langle r, p \rangle$

$\langle r ;$

if  $(e \neq 0)$  then  $l_t$  else  $l_f ;$

$l_t : s_1 ; \text{goto } l_3 ;$

$l_f : s_2 ; \text{goto } l_3 ;$

$l_3 : \rangle$

$(l_t, l_f, l_3 \text{ fresh})$

## take 2: $\text{tr}(\text{if}(e, s_1, s_2))$

$\text{tr}(\text{if}(e, s_1, s_2)) :=$

$< \text{cp}(e, l_t, l_f) ;$

$l_t : s_1 ; \text{goto } l_3 ;$

$l_f : s_2 ; \text{goto } l_3 ;$

$l_3 : >$

$(l_t, l_f, l_3 \text{ fresh})$

# Liveness Analysis

- An example of a dataflow analysis
- There are many different dataflow analysis.
- Liveness is an example of a **backward, may** analysis
- We will see many others later on.
- Today we just extend to handle control flow

# Computing liveness

<b>v</b>	<b>←</b>	<b>1</b>	<b>{ }</b>
<b>w</b>	<b>←</b>	<b>v + 3</b>	<b>{ }</b>
<b>x</b>	<b>←</b>	<b>w + v</b>	<b>{ }</b>
<b>u</b>	<b>←</b>	<b>v</b>	<b>{ }</b>
<b>s</b>	<b>←</b>	<b>u + x</b>	<b>{ }</b>
	<b>←</b>	<b>w</b>	<b>{ }</b>
	<b>←</b>	<b>s</b>	<b>{ }</b>
	<b>←</b>	<b>u</b>	<b>{ }</b>

If **t** is used at point **p**, then it is live immediately before **p**

# Computing liveness

$v \leftarrow 1$	$\{ \}$
$w \leftarrow v + 3$	$\{ v \}$
$x \leftarrow w + v$	$\{ w, v \}$
$u \leftarrow v$	$\{ v \}$
$s \leftarrow u + x$	$\{ u, x \}$
$\leftarrow w$	$\{ w \}$
$\leftarrow s$	$\{ s \}$
$\leftarrow u$	$\{ u \}$

If  $t$  is used at point  $p$ , then it is live **immediately** before  $p$

# Computing liveness

$v \leftarrow 1$	$\{ \}$
$w \leftarrow v + 3$	$\{ v \}$
$x \leftarrow w + v$	$\{ w, v \}$
$u \leftarrow v$	$\{ v \}$
$s \leftarrow u + x$	$\{ u, x \}$
$\leftarrow w$	$\{ w \}$
$\leftarrow s$	$\{ s \}$
$\leftarrow u$	$\{ u \}$

If  $t$  is used at point  $p$ , then it is live **immediately** before  $p$

What about before  $p$ ?

# Computing liveness

$v \leftarrow 1$	$\{ \}$
$w \leftarrow v + 3$	$\{ v \}$
$x \leftarrow w + v$	$\{ w, v \}$
$u \leftarrow v$	$\{ v \}$
$s \leftarrow u + x$	$\{ u, x \}$
$\leftarrow w$	$\{ w \}$
$\leftarrow s$	$\{ s \}$
$\leftarrow u$	$\{ u \}$

If  $t$  is used at point  $p$ , then it is live **immediately** before  $p$

$t$  is live at  $p$  if:

- it is live after  $p$  & it is NOT defined at  $p$
- it is used in  $p$

# Computing liveness

$v \leftarrow 1$	{ }
$w \leftarrow v + 3$	{ $v$ }
$x \leftarrow w + v$	{ $w, v$ }
$u \leftarrow v$	{ $v$ }
$s \leftarrow u + x$	{ $u, x$ }
$\leftarrow w$	{ $w$ }
$\leftarrow s$	{ $s, u$ }
$\leftarrow u$	{ $u$ }

If  $t$  is used at point  $p$ , then it is live **immediately** before  $p$

$t$  is live at  $p$  if:

- it is live after  $p$  & it is NOT defined at  $p$
- it is used in  $p$

# Computing liveness

$v \leftarrow 1$	{ }
$w \leftarrow v + 3$	{ v }
$x \leftarrow w + v$	{ w, v }
$u \leftarrow v$	{ v }
$s \leftarrow u + x$	{ u, x }
$\leftarrow w$	{ w, s, u }
$\leftarrow s$	{ s, u }
$\leftarrow u$	{ u }

If  $t$  is used at point  $p$ , then it is live **immediately** before  $p$

$t$  is live at  $p$  if:

- it is live after  $p$  & it is NOT defined at  $p$
- it is used in  $p$

# Computing liveness

$v \leftarrow 1$	{ }
$w \leftarrow v + 3$	{ $v$ }
$x \leftarrow w + v$	{ $w, v$ }
$u \leftarrow v$	{ $v$ }
$s \leftarrow u + x$	{ $u, x$ }
$\leftarrow w$	{ $w, s, u$ }
$\leftarrow s$	{ $s, u$ }
$\leftarrow u$	{ $u$ }

If  $t$  is used at point  $p$ , then it is live **immediately** before  $p$

$t$  is live at  $p$  if:

- it is live after  $p$  & it is NOT **defined at**  $p$
- it is used in  $p$

# Computing liveness

$v \leftarrow 1$	{ }
$w \leftarrow v + 3$	{ v }
$x \leftarrow w + v$	{ w, v }
$u \leftarrow v$	{ v }
$s \leftarrow u + x$	{ u, x, w }
$\leftarrow w$	{ w, s, u }
$\leftarrow s$	{ s, u }
$\leftarrow u$	{ u }

If  $t$  is used at point  $p$ , then it is live **immediately** before  $p$

$t$  is live at  $p$  if:

- it is live after  $p$  & it is NOT defined at  $p$
- it is used in  $p$

# Computing liveness

$v \leftarrow 1$	{ }
$w \leftarrow v + 3$	{ v }
$x \leftarrow w + v$	{ w, v }
$u \leftarrow v$	{ v, x, w }
$s \leftarrow u + x$	{ u, x, w }
$\leftarrow w$	{ w, s, u }
$\leftarrow s$	{ s, u }
$\leftarrow u$	{ u }

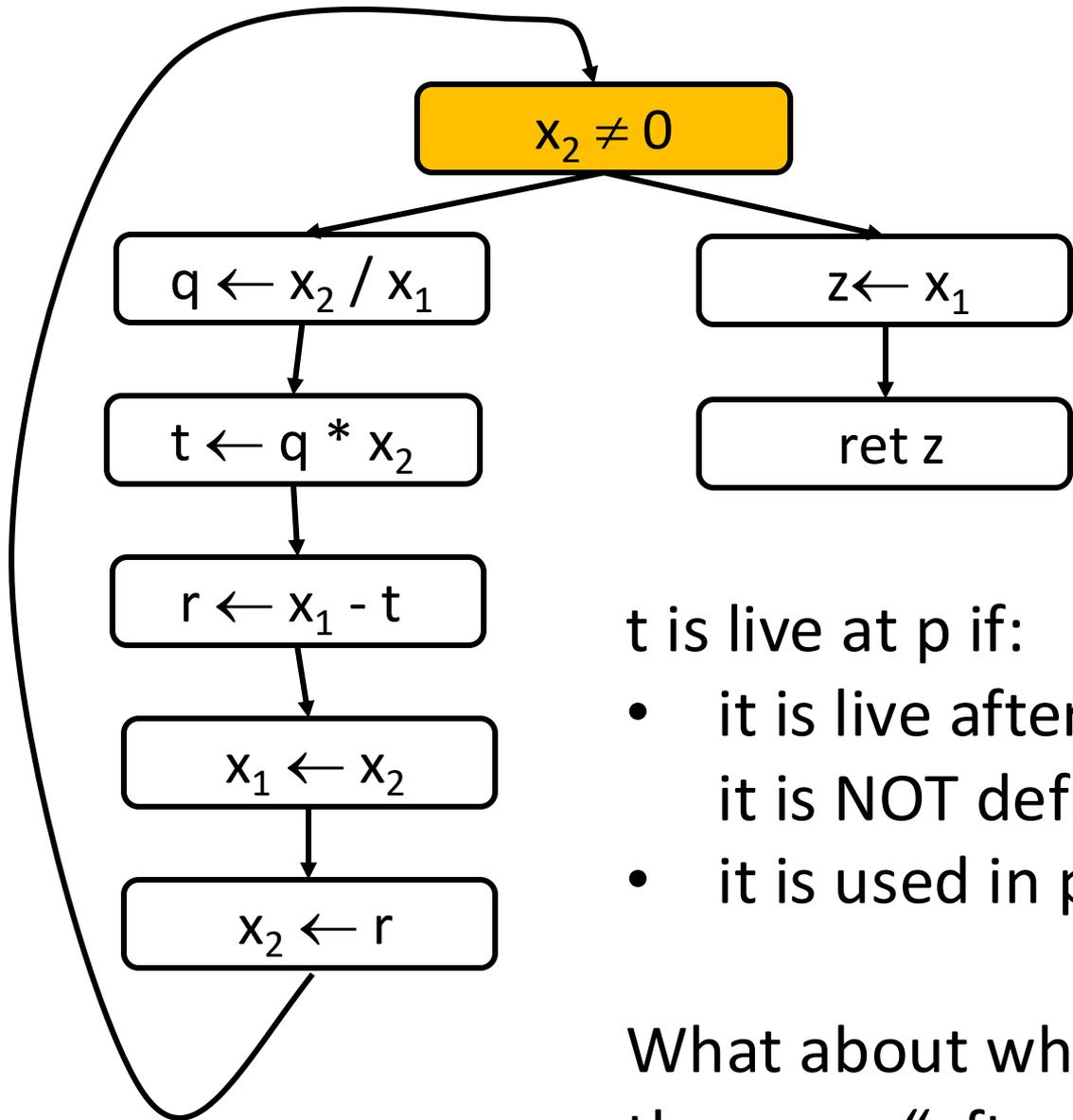
If  $t$  is used at point  $p$ , then it is live **immediately** before  $p$

$t$  is live at  $p$  if:

- it is live after  $p$  & it is NOT defined at  $p$
- it is used in  $p$

# What about control flow?

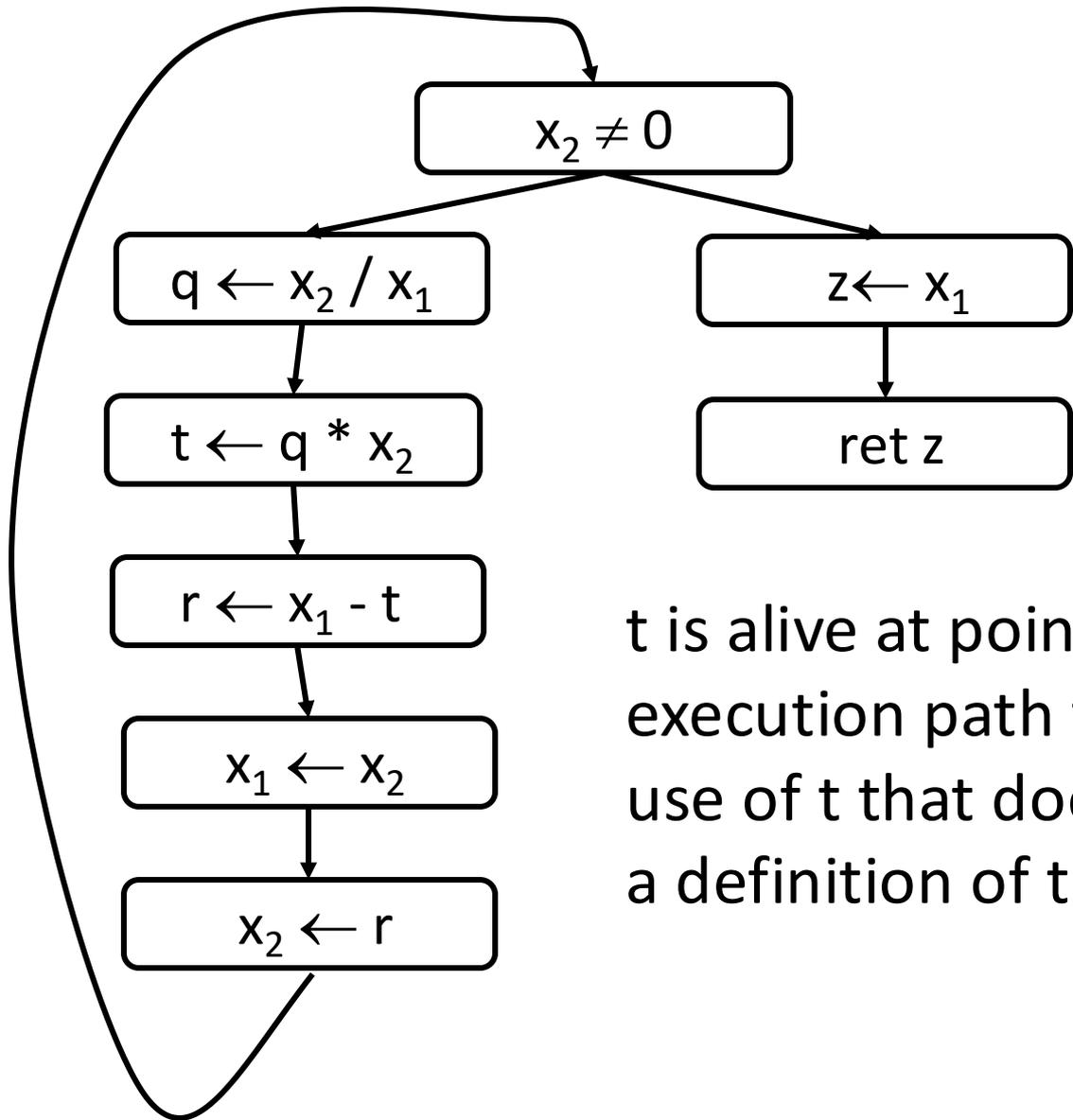
- We need to extend ideas to programs with control flow.
- Use Control Flow Graph (CFG) to represent the program
  - Nodes: program points, entry, exit
  - Edges:  $(u,v) \in G$  if control can potentially go from  $u$  to  $v$



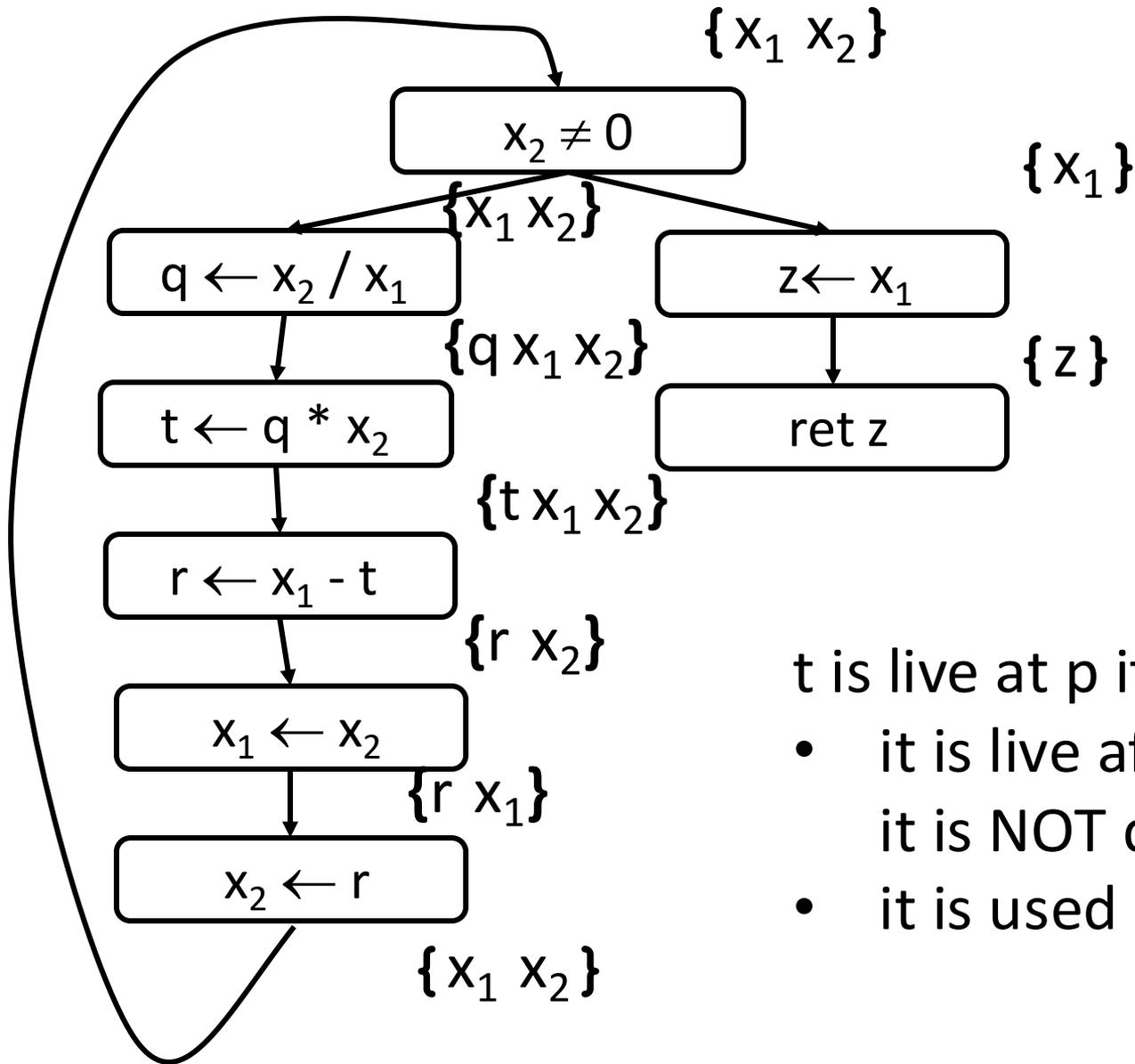
t is live at p if:

- it is live after p & it is NOT defined at p
- it is used in p

What about when there is more than one “after p”?



t is alive at point p if there is an execution path from p to some use of t that does not go through a definition of t.



$t$  is live at  $p$  if:

- it is live after  $p$  & it is NOT defined at  $p$
- it is used in  $p$

# Liveness Analysis

- Each point in program has a liveIn set and a liveOut set.
- Each point either adds to the set (any uses)
- Or removes from the set (any defs)

$$\text{In}(p) = \text{Uses}(p) \cup (\text{Out}(p) - \text{Defs}(p))$$

$$\text{Out}(p) = \bigcup_{s \in \text{Succ}(p)} \text{In}(s)$$

# Algorithm

forall nodes,  $n \in \text{CFG}$

$$\text{In}(n) = \text{Out}(n) = \{\}$$

Until no changes in In or Out sets:

forall nodes,  $p \in \text{CFG}$

$$\text{In}(p) = \text{Uses}(p) \cup (\text{Out}(p) - \text{Defs}(p))$$

$$\text{Out}(p) = \bigcup_{s \in \text{Succ}(p)} \text{In}(s)$$

- Does this terminate?
- Practically, what is best order to visit nodes?

# Alternative: Worklist Approach

forall nodes,  $n \in \text{CFG}$

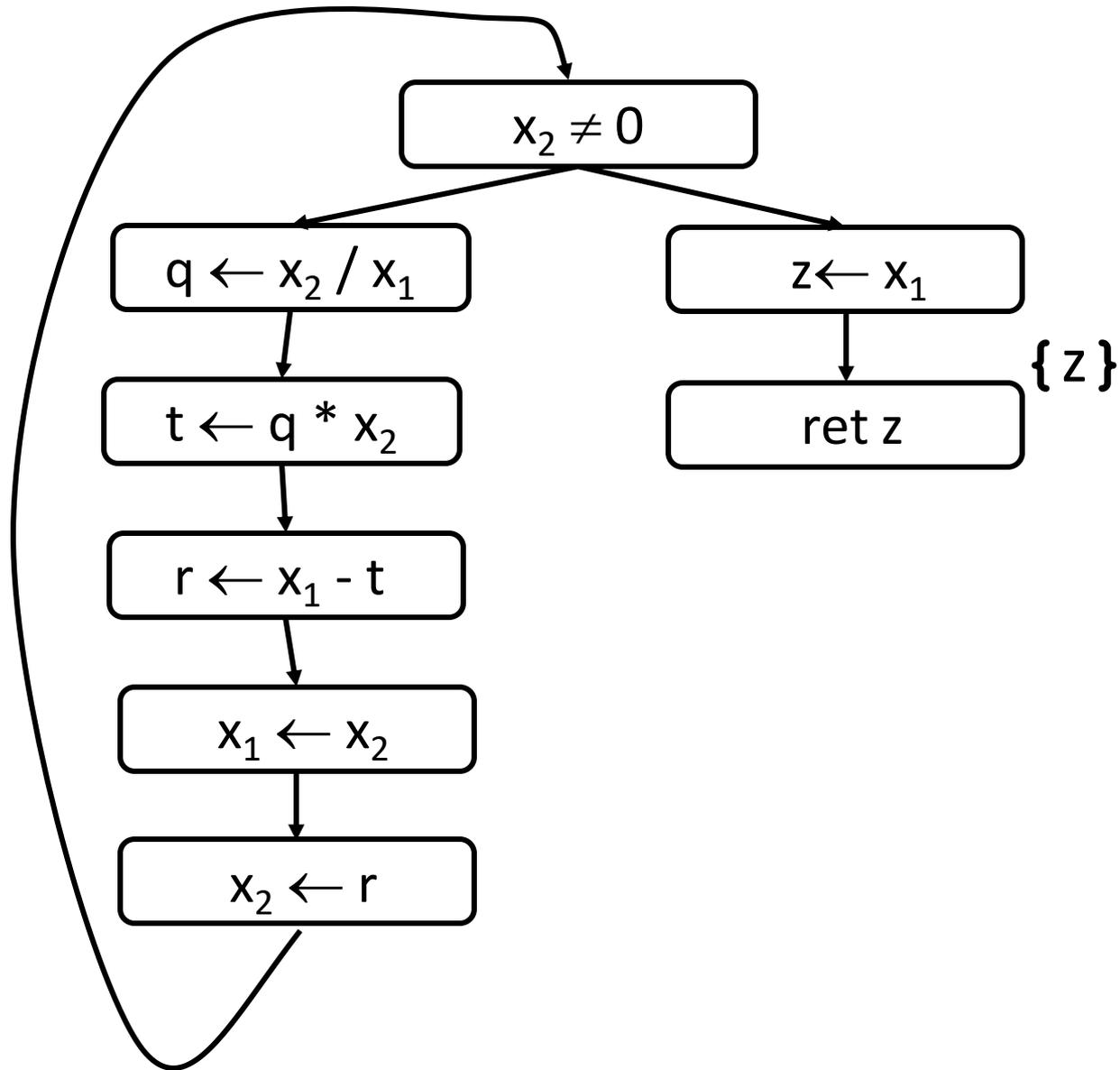
$$\text{In}(n) = \{\}$$

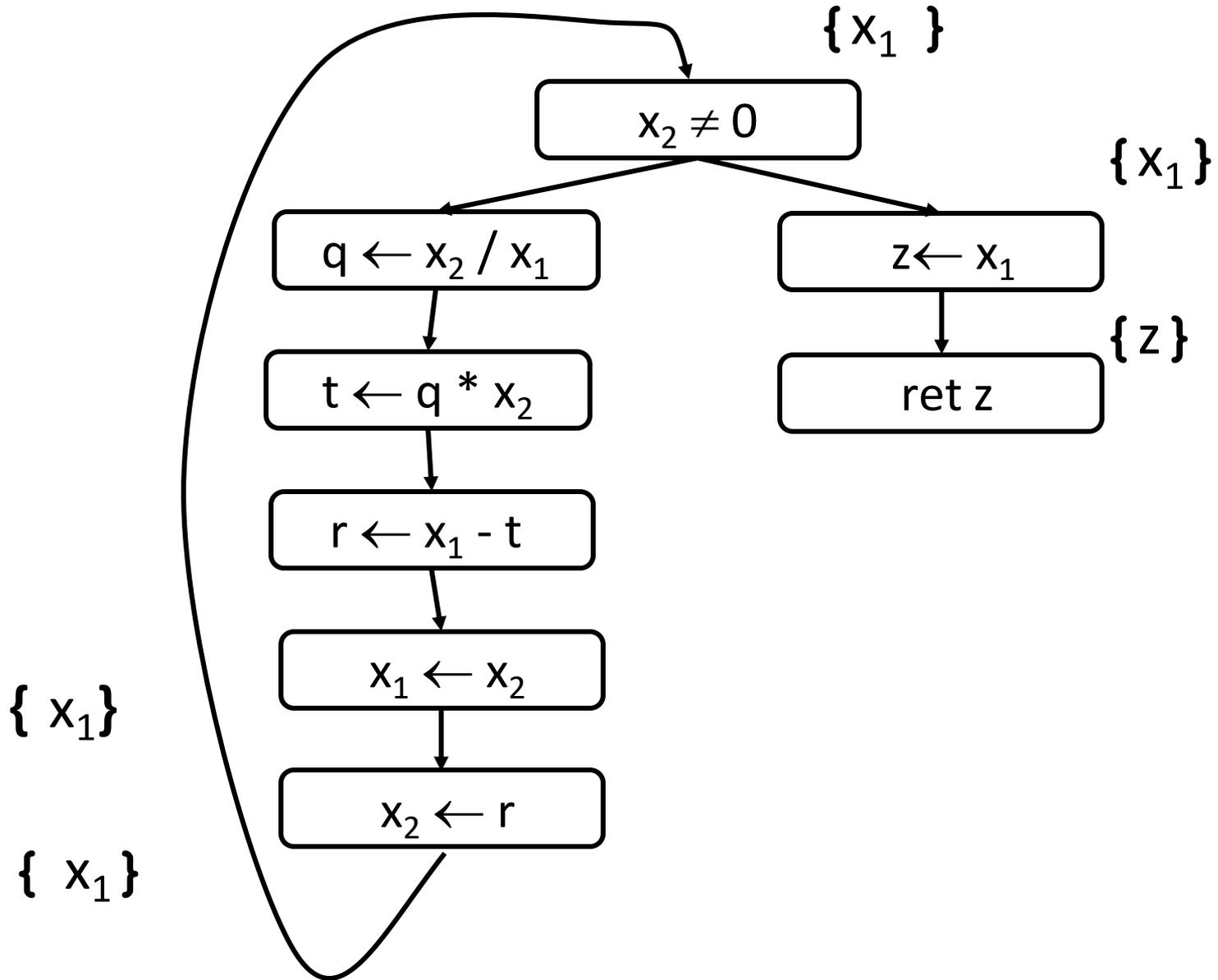
forall nodes,  $p \in \text{CFG}$ :

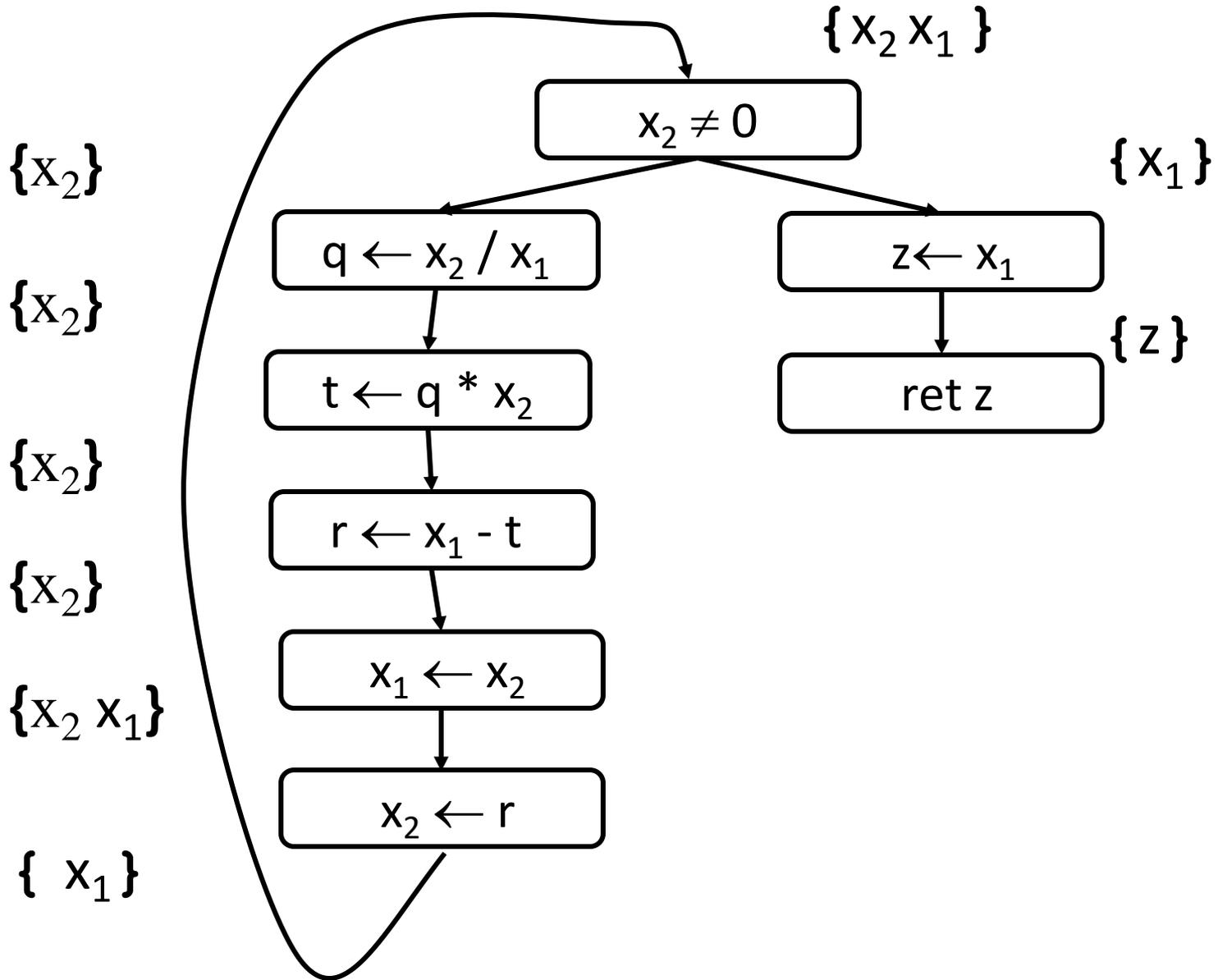
if  $v \in \text{Uses}(p) - \text{Defs}(p)$  not in  $\text{In}(p)$

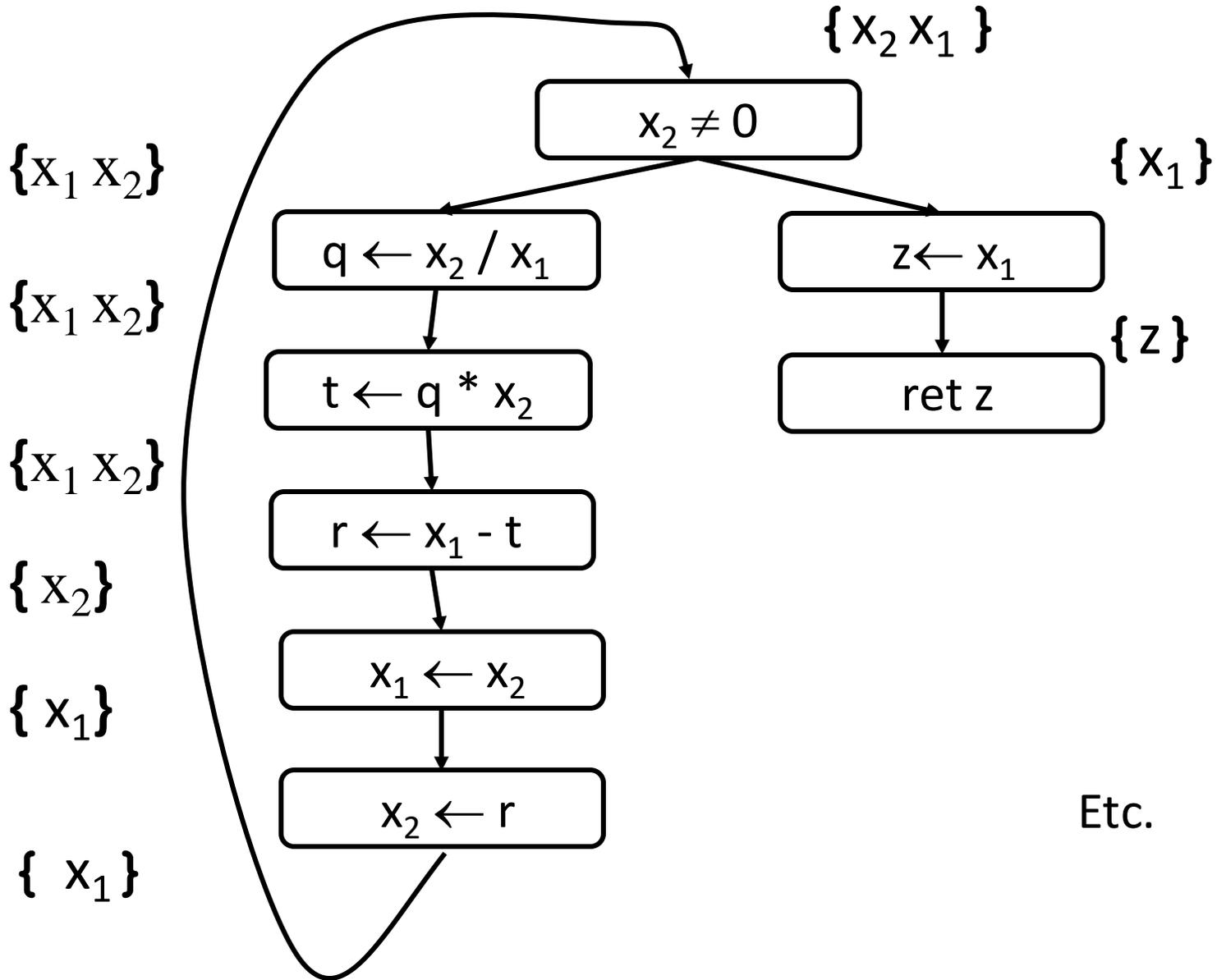
$$\text{In}(p) \cup \{v\}$$

propagate to all  $\text{pred}(p)$  until  $v$  is defined or  $v$  is marked livein.

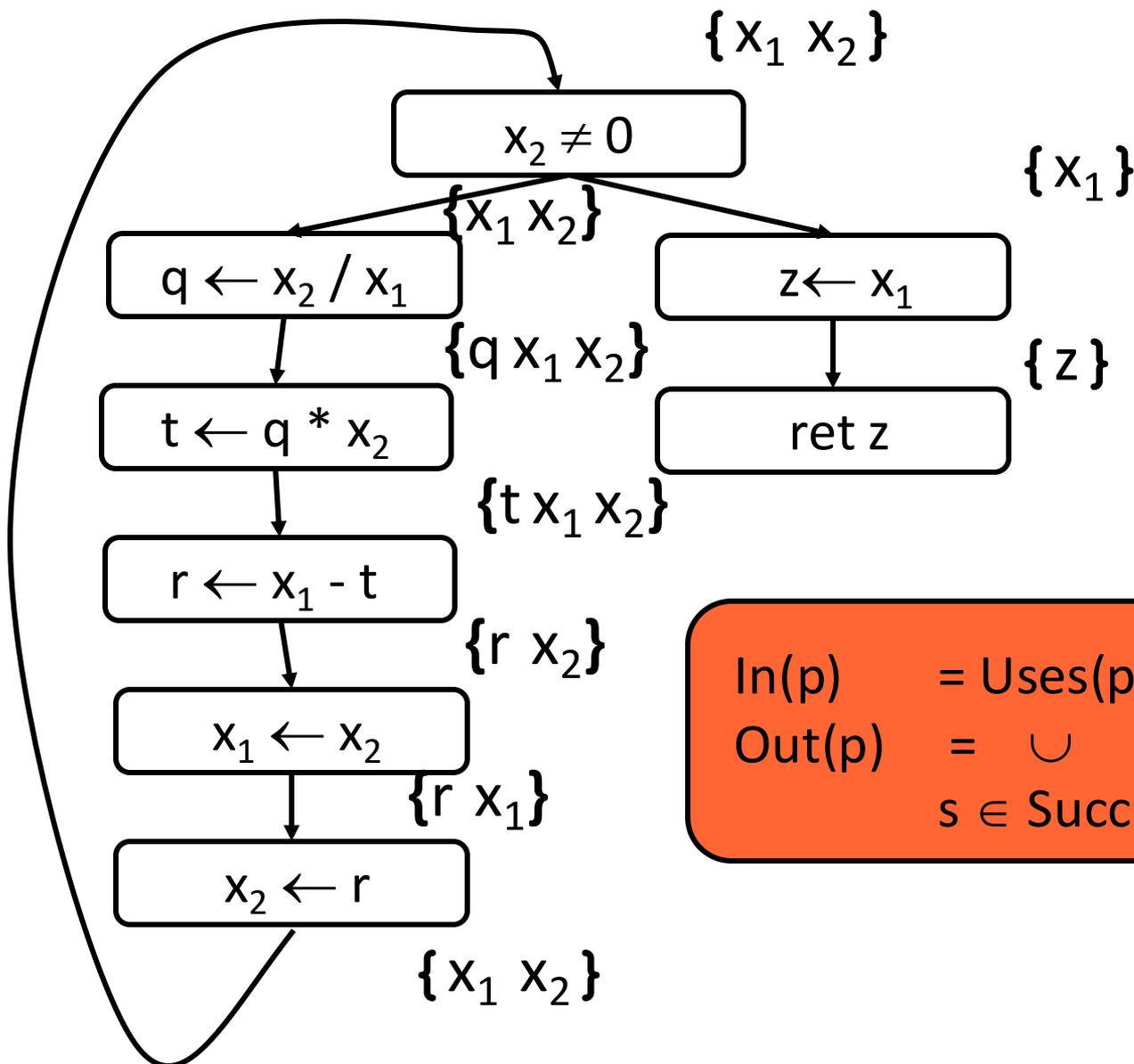








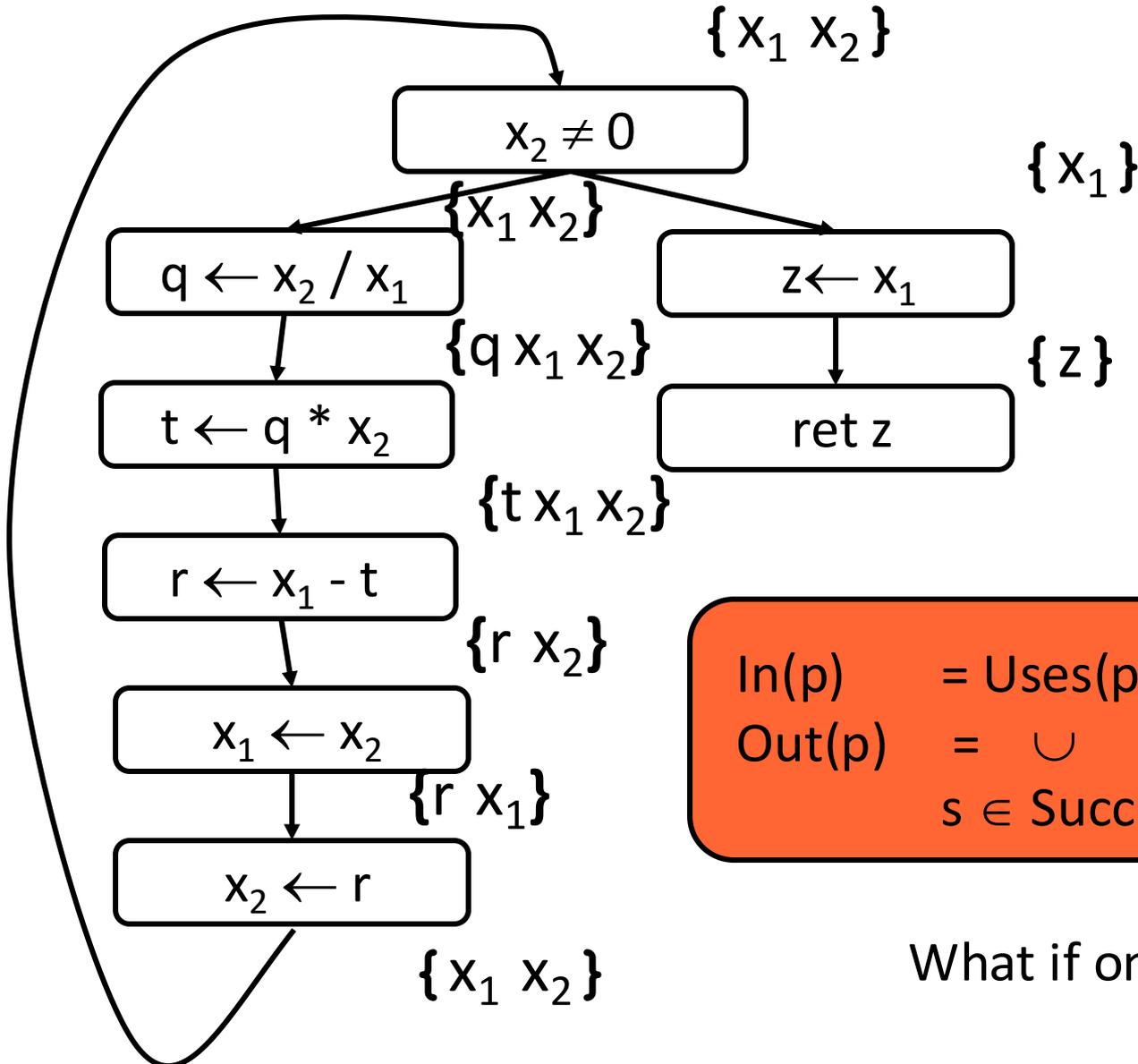
# Another Alternative



$$\text{In}(p) = \text{Uses}(p) \cup (\text{Out}(p) - \text{Defs}(p))$$

$$\text{Out}(p) = \bigcup_{s \in \text{Succ}(p)} \text{In}(s)$$

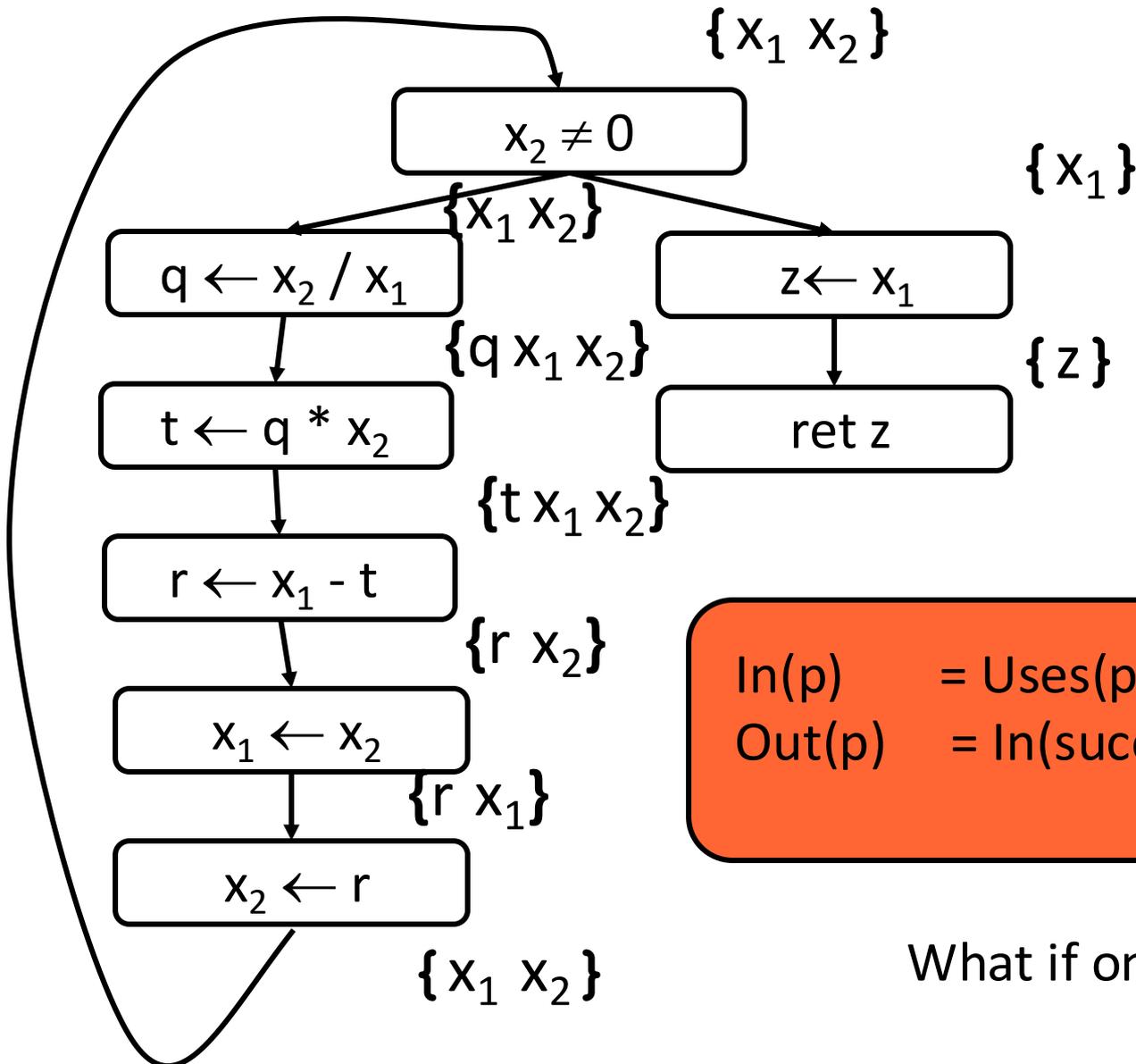
# Improving Algorithm



$\text{In}(p) = \text{Uses}(p) \cup (\text{Out}(p) - \text{Defs}(p))$   
 $\text{Out}(p) = \bigcup_{s \in \text{Succ}(p)} \text{In}(s)$

What if only 1 successor?

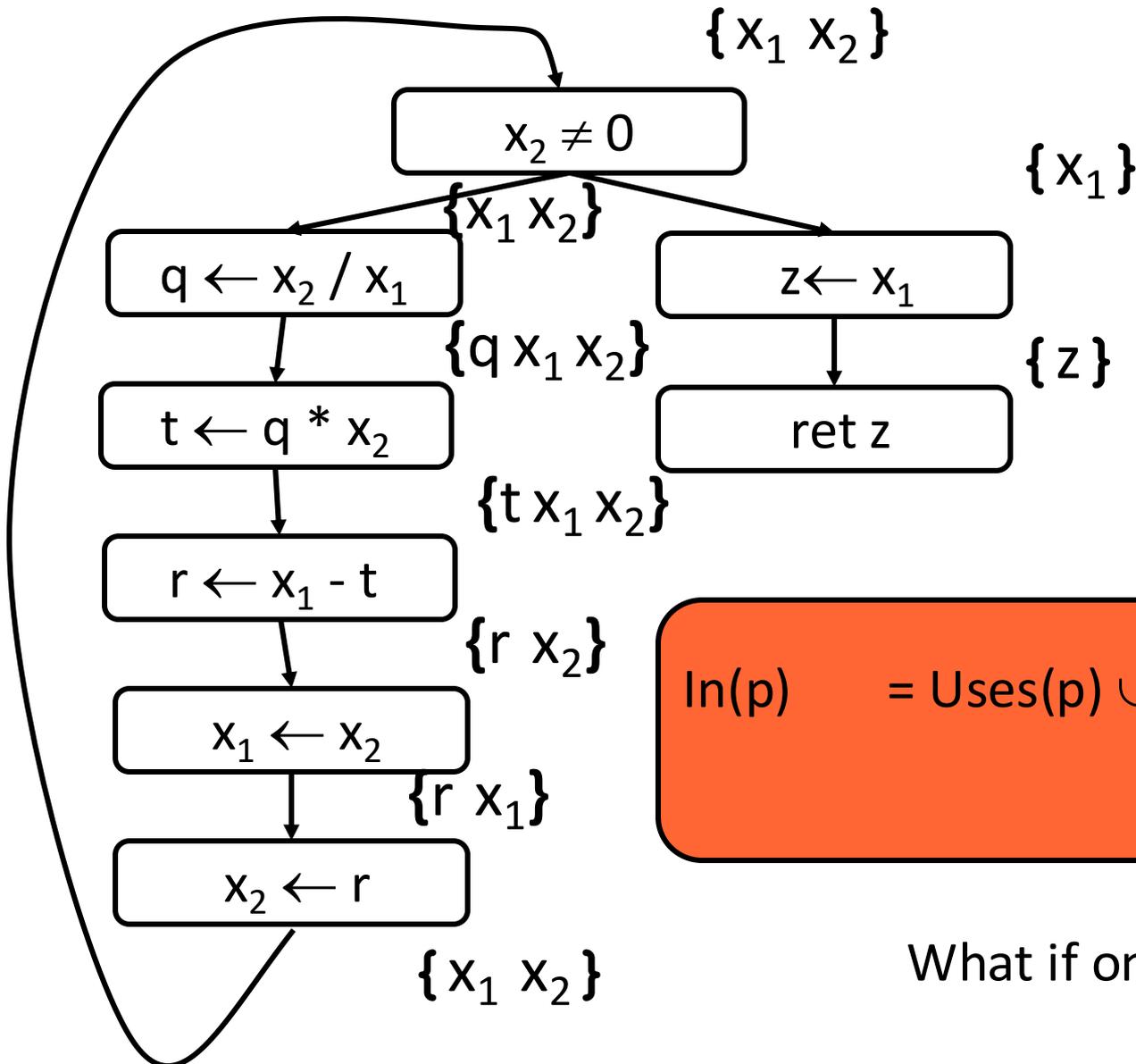
# Improving Algorithm



$\text{In}(p) = \text{Uses}(p) \cup (\text{Out}(p) - \text{Defs}(p))$   
 $\text{Out}(p) = \text{In}(\text{succ}(p))$

What if only 1 successor?

# Improving Algorithm



$$\text{In}(p) = \text{Uses}(p) \cup (\text{In}(\text{succ}(p)) - \text{Defs}(p))$$

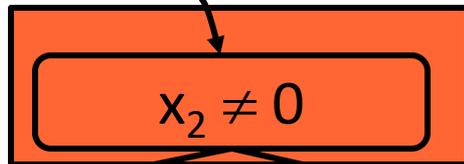
What if only 1 successor?

# Using BasicBlocks

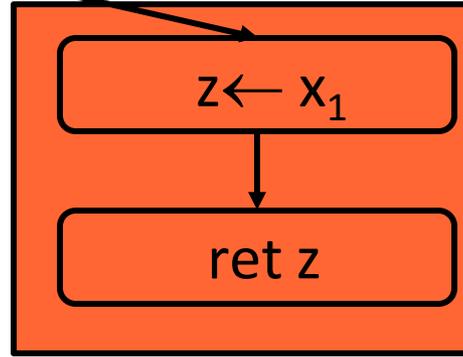
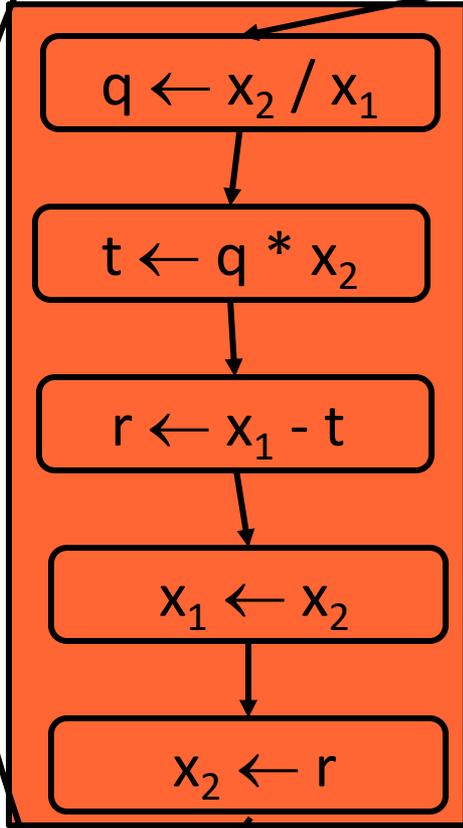
- A basic block has a single entry point and a single exit.
- Once you start a basic block you execute all of it
- Can create a single dataflow equation for the block by expanding:  
$$\text{In}(p) = \text{Uses}(p) \cup (\text{In}(\mathbf{\text{succ}(p)} - \text{Defs}(p)))$$
- And, then instead of in and out sets for each program point, you get ones for each basic block

# Basic Blocks

- Each basic block starts with a “leader”
  - function entry
  - label
- Ends with **return** or **jmp**
- Only 1 entry, only 1 exit
- If last statement is conditional jump, two possible successors in control flow graph

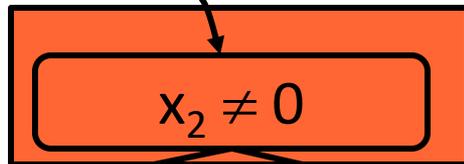


Defs={}, Uses={ $x_2$ }

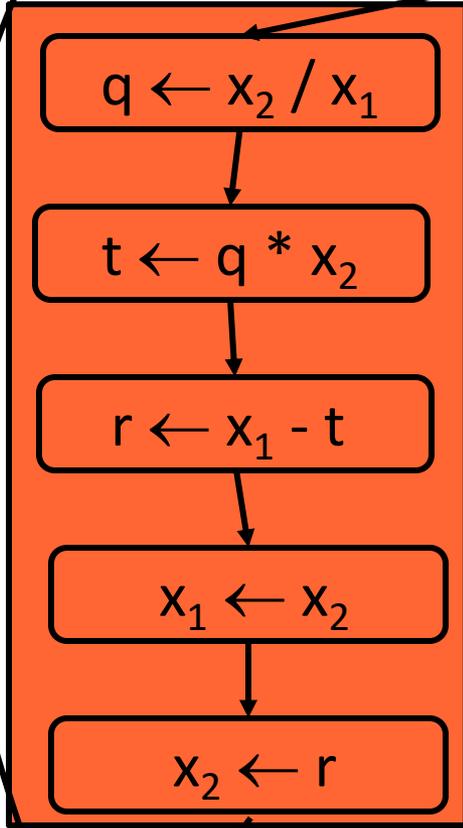


Defs={  $z$  }, Uses={  $x_1$  }

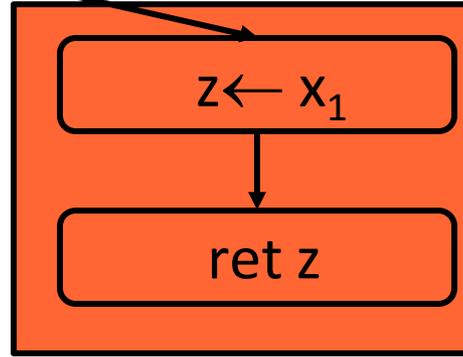
Defs={ ? }, Uses={ ? }



Defs={}, Uses={ $x_2$ }



Defs={  $q$   $t$   $r$   $x_1$   $x_2$  }, Uses={ $x_1$   $x_2$  }

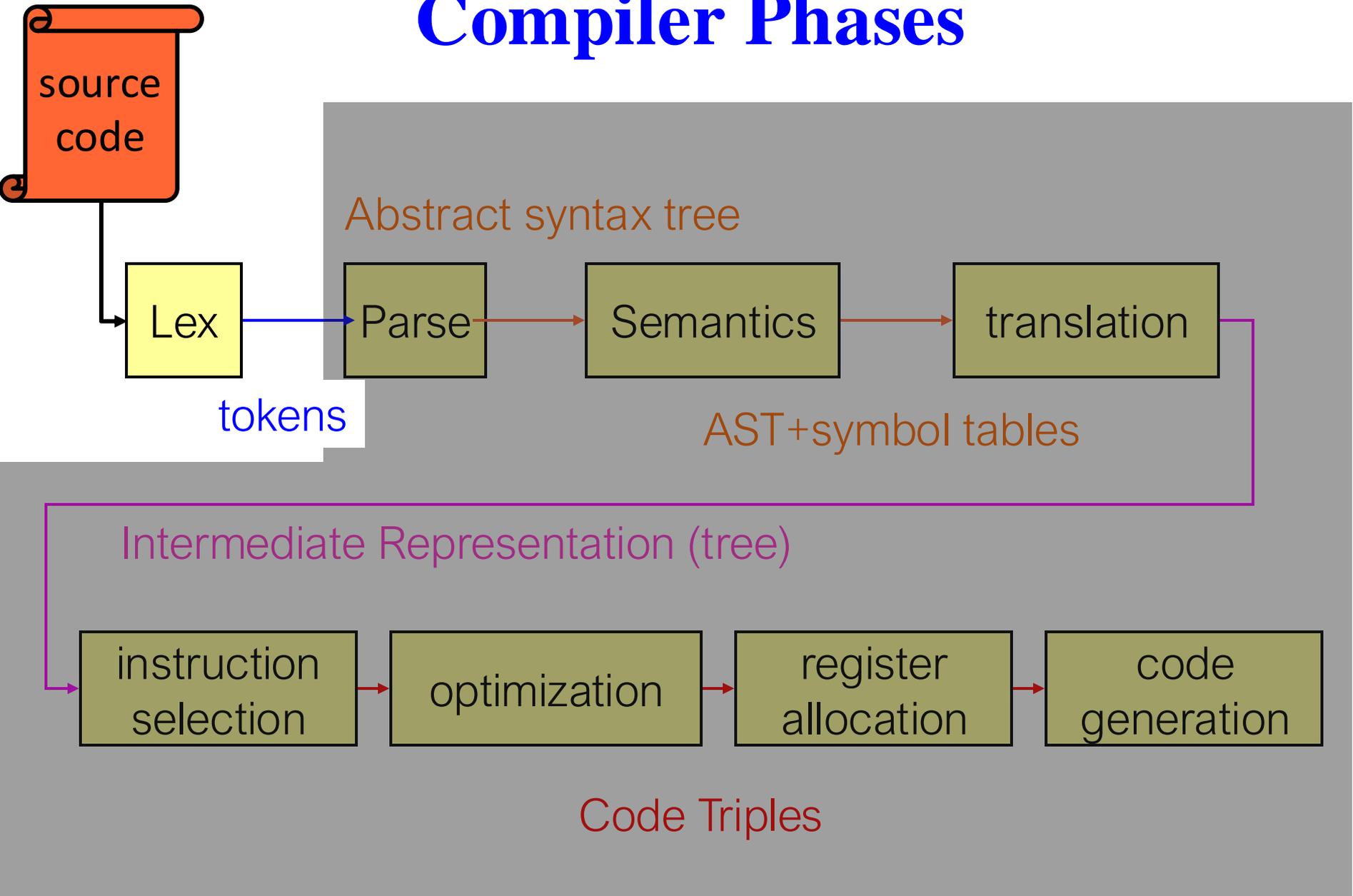


Defs={  $z$  }, Uses={ $x_1$ }

# Liveness Analysis

- An example of a dataflow analysis
- There are many different dataflow analysis.
- Liveness is an example of a **backward, may** analysis
- We will see many others later on.

# Compiler Phases



# The Lexer

- Turn stream of characters into a stream of tokens

```
// create a user friendly descriptor for this arg.  
// if key is absent, then use it.  Otherwise use longkey  
  
char*  
ArgDesc::helpkey(WhichKey keytype, bool includebraks)  
{  
    static char buffer[128];  /* format buffer */  
    char* p = buffer;  
    ...  
}
```

```
CHAR STAR ID DOUBLE_COLON ID LPARIN ID ID COMMA BOOL ID  
RPARIN LBRACE STATIC CHAR ID LBRAK INTCONST RBRAK SEMI  
CHAR STAR ID EQ ID SEMI ...
```

# The Lexer

- Turn stream of characters into a stream of tokens
  - Strips out “unnecessary characters”
    - comments
    - whitespace
  - Classify tokens by type
    - keywords
    - numbers
    - punctuation
    - identifiers
  - Track location
  - Associate with syntactic information

# The Lexer

- Turn stream of characters into a stream of tokens

```
// create a user friendly descriptor for this arg.  
// if key is absent, then use it.  Otherwise use longkey  
  
char*  
ArgDesc::helpkey(WhichKey keytype, bool includebraks)  
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    static char buffer[128]; /* format buffer */  
    char* p = buffer;  
    ...  
}
```

```
CHAR STAR ID DOUBLE COLON ID LPARIN ID ID COMMA BOOL ID  
RPARIN LBRACE STATIC CHAR ID LBRAK INTCONST RBRAK SEMI  
CHAR STAR ID EQ ID SEMI ...
```

# The Lexer

- Turn stream of characters into a stream of tokens

```
// create a user friendly descriptor for this arg.  
// if key is absent, then use it.  Otherwise use longkey  
  
char*  
ArgDesc::helpkey(WhichKey keytype, bool includebraks)  
{  
    static char buffer[128]; /* format buffer */  
    char* p = buffer;
```

Position: 4,0

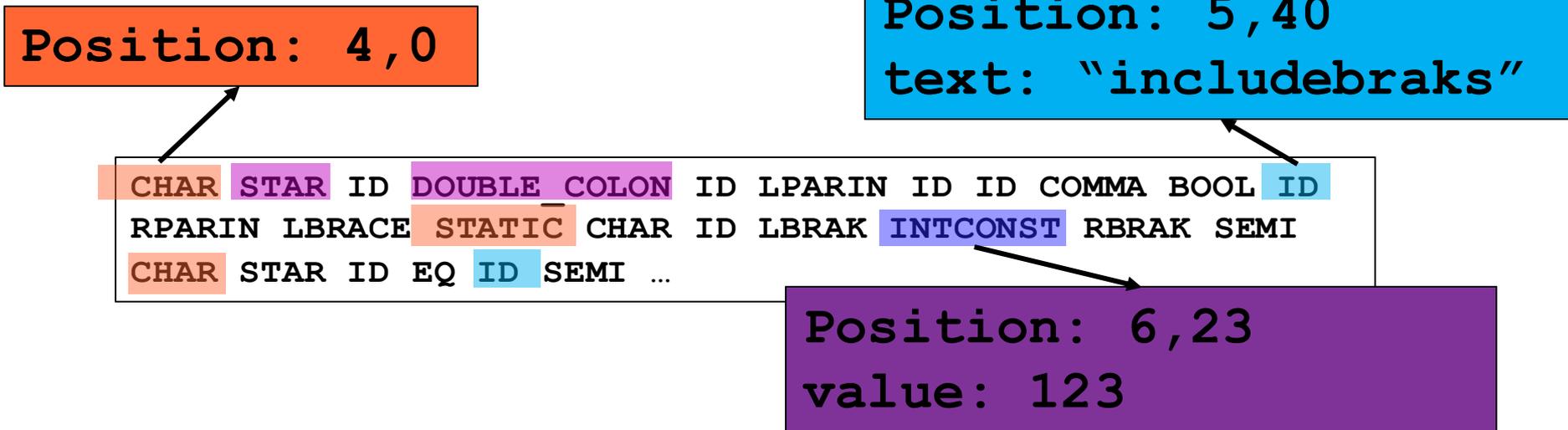
Position: 5,40  
text: "includebraks"

```
CHAR STAR ID DOUBLE COLON ID LPARIN ID ID COMMA BOOL ID  
RPARIN LBRACE STATIC CHAR ID LBRAK INTCONST RBRAK SEMI  
CHAR STAR ID EQ ID SEMI ...
```

Position: 6,23  
value: 123

# The Lexer

- Turn stream of characters into a stream of tokens
  - More concise
  - Easier to parse



# Lexical Analyzers

- Input: stream of characters
- Output: stream of tokens (with information)
- How to build?
  - By hand is tedious
  - Use Lexical Analyzer Generator, e.g., flex
- Define tokens with regular expressions
- Flex turns REs into Deterministic Finite Automata (DFA) which recognizes and returns tokens.

## 2. Flex Program Format

- A flex program has three sections:

Definitions

%%

RE rules & actions

%%

User code

# wc As a Flex Program

```
%{
    int charCount=0, wordCount=0, lineCount=0;
}%
word    [^ \t\n]+
%%
{word} {wordCount++; charCount += yyleng; }
[\\n]  {charCount++; lineCount++;}
.      {charCount++;}
%%
int main(void) {
    yylex();
    printf("Chars %d, Words: %d, Lines: %d\\n",
        charCount, wordCount, lineCount);
    return 0;
}
```

# Section 1: RE Definitions

- Format:

name RE

- Examples:

`digit` [0-9]

`letter` [A-Za-z]

`id` {letter} ({letter}|{digit})\*

`word` [^\t\n]+

# Regular Expressions in Flex

<b>x</b>	match the char <b>x</b>
<b>\.</b>	match the char <b>.</b>
<b>"string"</b>	match contents of string of chars
<b>.</b>	match any char except <b>\n</b>
<b>^</b>	match beginning of a line
<b>\$</b>	match the end of a line
<b>[xyz]</b>	match one char <b>x</b> , <b>y</b> , or <b>z</b>
<b>[^xyz]</b>	match any char except <b>x</b> , <b>y</b> , and <b>z</b>
<b>[a-z]</b>	match one of <b>a</b> to <b>z</b>

# Some number REs

$[0-9]$

A single digit.

$[0-9]^+$

An integer.

$[0-9]^+ (\.[0-9]^+)?$  An integer or fp number.

$[+-]? [0-9]^+ (\.[0-9]^+)? ([eE][+-]?[0-9]^+)?$   
Integer, fp, or scientific notation.

## Section 2: RE/Action Rule

- A rule has the form:

```
name      { action }  
re        { action }
```

- the name must be defined in section 1
- the action is any C code

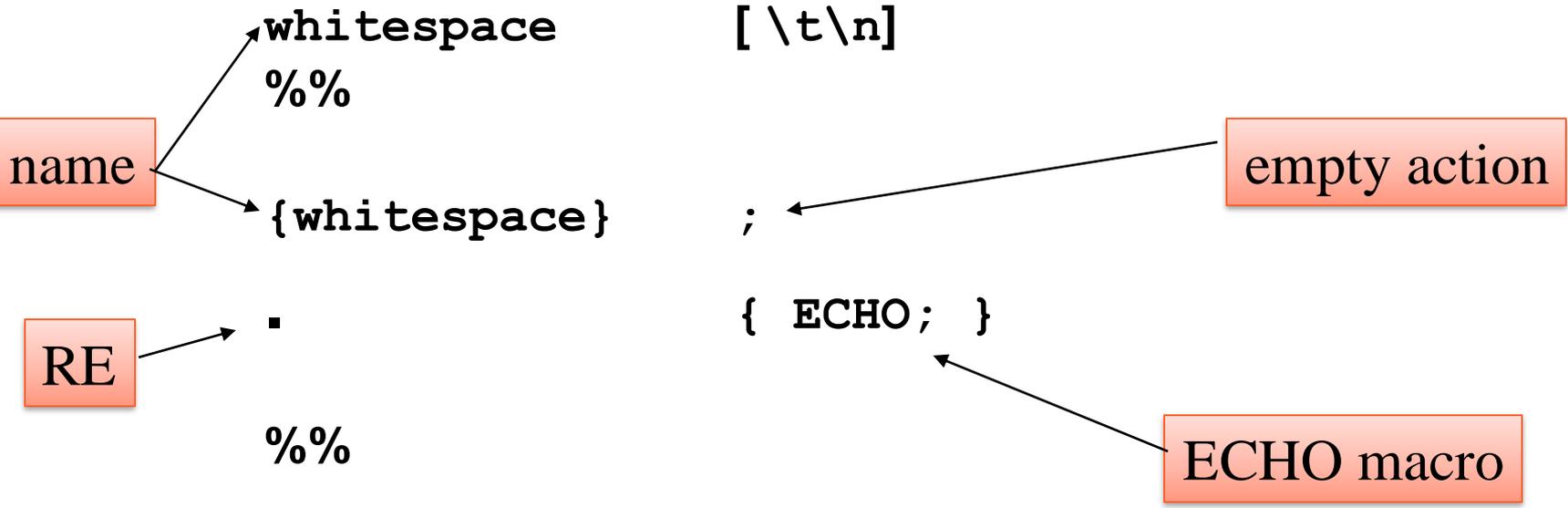
- If the named RE matches\* an input character sequence, then the C code is executed.

\* Some caveats here

# Section 3: C Functions

- Added to end of the lexical analyzer

# Removing Whitespace



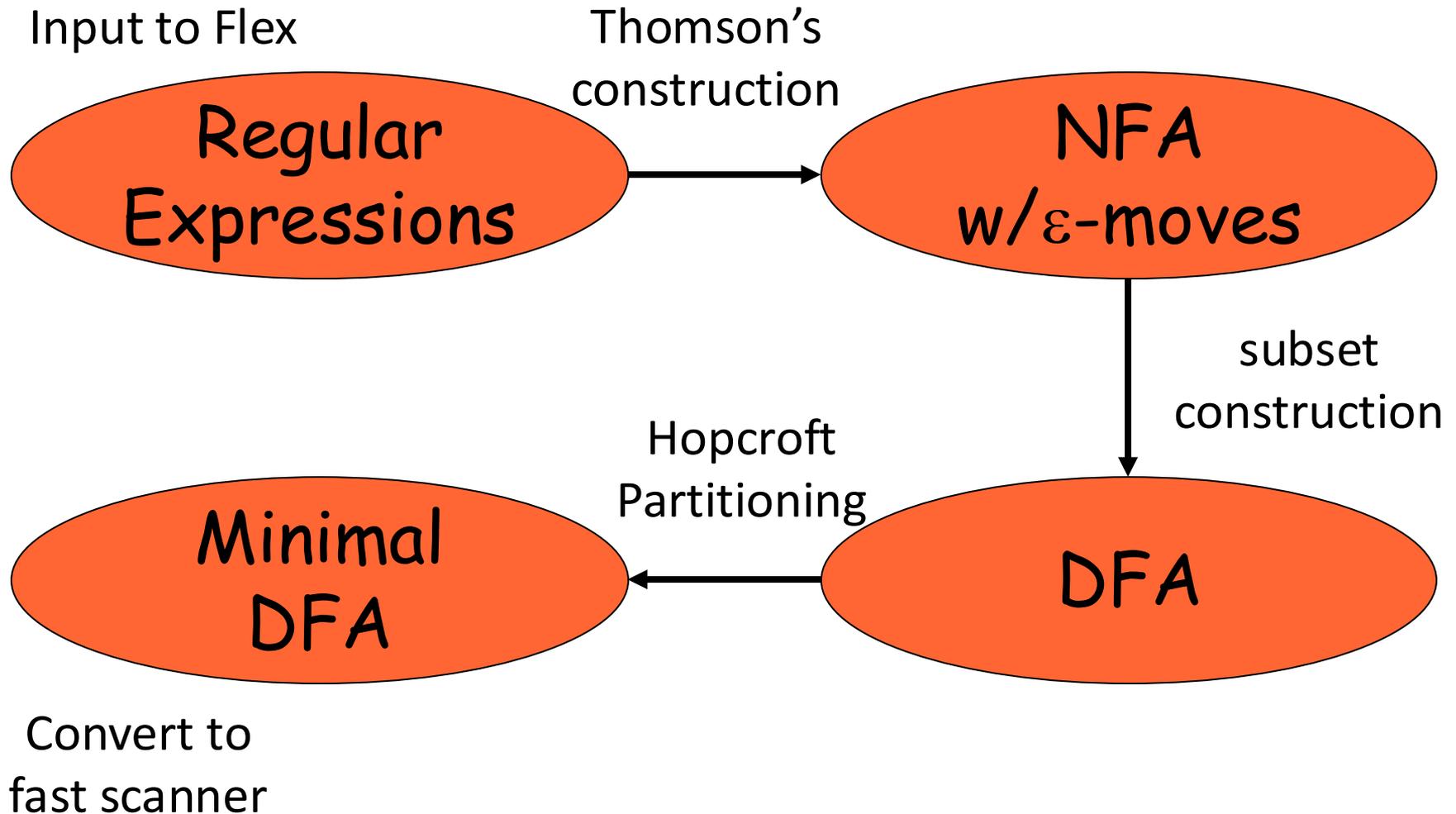
```
int main(void)
{
    yylex();
    return 0;
}
```

# Today – part 1

- Lexing
- Flex & other scanner generators
- **Regular Expressions**
- Finite Automata
- RE  $\rightarrow$  NFA
- NFA  $\rightarrow$  DFA
- DFA  $\rightarrow$  Minimized DFA
- Limits of Regular Languages

# Under The Covers

- How to go from REs to a working scanner?



# Regular Languages

- Finite Alphabet,  $\Sigma$ , of symbols.
- word (or string), a finite sequence of symbols from  $\Sigma$ .
- Language over  $\Sigma$  is a set of words from  $\Sigma$ .
- Regular Expressions describe Regular Languages.
  - easy to write down, but hard to use directly
- The languages accepted by Finite Automata are also Regular.

# Regular Expressions defined

- Base Cases:

- A single character  $a$

- The empty string  $\varepsilon$

- Recursive Rules:

If  $R_1$  and  $R_2$  are regular expressions

- Concatenation  $R_1R_2$

- Union  $R_1 \mid R_2$

- Closure  $R_1^*$

- Grouping  $(R_1)$

- REs describe Regular Languages.

# RE Examples

- even a's
- odd b's
- even a's or odd b's
- even a's followed by odd b's

# RE Examples

- even a's

$$R^A = b^* (a b^* a b^* )^*$$

- odd b's

$$R^B = a^* b a^* (b a^* b a^*)^*$$

- even a's or odd b's

$$R^A \mid R^B$$

- even a's followed by odd b's

$$R^A R^B$$