

Dynamic Semantics

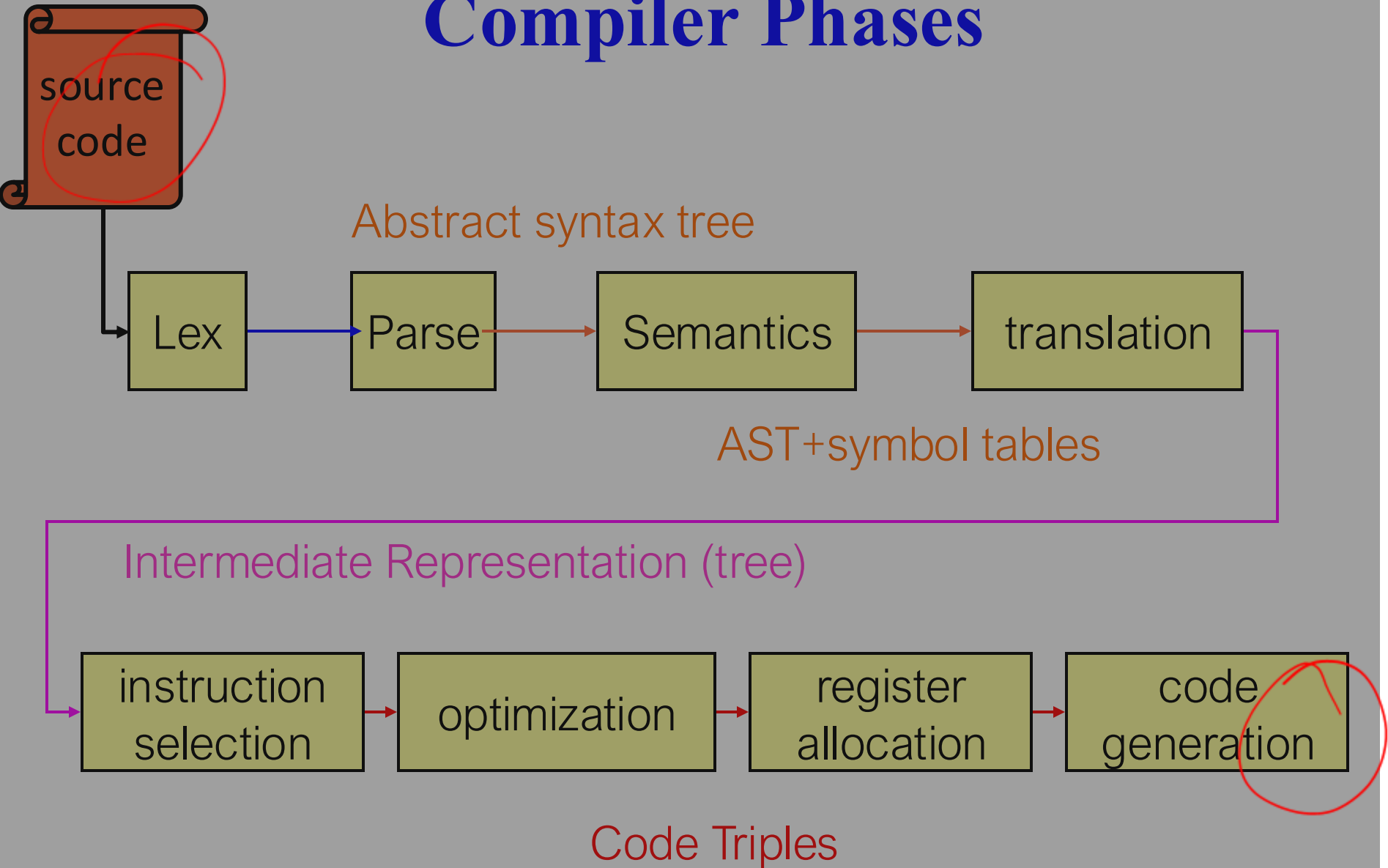


15-411/15-611 Compiler Design

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Compiler Phases



Today

- Overview
- Our destination
- Assumptions
- Evaluation
- Variables and the environment
- Execution
- Functions, returns, and the stack
- L3 summary

Dynamic Semantics

- Formally describe how programs execute
- Concise and precise definition
- Our Purpose: Informs compiler writing.
- Could: prove properties about
 - source programs
 - compiler transformations
 - resulting executable

Static → Dynamic

- Static semantics describes which programs are well-formed
- Dynamic semantics describes how well-formed programs execute
- A language is safe when all well-formed programs are well-behaved.

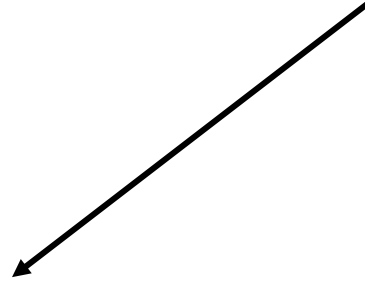
Approaches to Dynamic Semantics

- Denotational:
What does the program mean?
- Axiomatic:
What can we prove about the program?
- Operational:
How does the program execute?

Operational Semantics

- Structural (small-step semantics)
What are the basic steps of the execution
- Natural (large-step semantics)
Relationship of operations to effects
- operational semantics on abstract machines
 - syntax directed
 - inductive
 - transition rules which formally describe how a piece of syntax will change the abstract machines

Our destination



aka: End of the next lecture

Our destination

Evaluation of expression e in the context of

- a **Heap**,
- **Stack**, and
- binding **environment**.

$$\underbrace{H; S; \eta}_{\text{Context}} \vdash e \triangleright \underbrace{K}_{\text{Result}}$$

Our destination

Evaluation of expression e in the context of

- a **Heap**,
- **Stack**, and
- binding **environment**.

$$H; S; \eta \vdash e \triangleright K$$


Small-step semantics: where is the program counter?

Our destination

Evaluation of expression e in the context of

- a **Heap**,
- **Stack**, and
- binding **environment**.

$$H; S; \eta \vdash e \triangleright K$$

K is a continuation, i.e.,

evaluate e and pass result to K

Our destination

Execution of a statement s in the context of

- a **Heap**,
- **Stack**, and
- binding **environment**.

$$H; S; \eta \vdash s \underline{\blacktriangleright} K$$

Execute s and then the next statement in K

Assumptions

- Working on our standard AST:
 - expressions (n, x, \oplus, \dots) and
 - statements (decl, assign, return, ...)
- Working on well-formed ASTs, i.e., they pass static semantics
- It bears repeating: well-formed programs are well-behaved
 - Or, as Milner quipped: “well typed programs do not go wrong.”
 - “well typed programs do not get stuck.”

$$e \triangleright K$$

- Evaluate e pass result into K
- For example,

$$e_1 + e_2 \triangleright K$$

$$e_1 \triangleright (e_1 + e_2)K$$

$$e \triangleright K$$

- Evaluate e pass result into K
- For example, we have the judgement:

$$e_1 + e_2 \triangleright K \longrightarrow e_1 \triangleright (\quad K)$$

$$e \triangleright K$$

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- For example, we have the judgement:

$$e_1 + e_2 \triangleright K \longrightarrow e_1 \triangleright (\quad K)$$

- Evaluate $e_1 + e_2$ by evaluating e_1 and then pass value into \blacksquare and continue.
- \blacksquare is “hole” into which we put the value of e_1 after it is evaluated.

$$e \triangleright K$$

- Evaluate e pass result into K
- For example, we have the judgement:

$$\underline{e_1 + e_2} \triangleright K \longrightarrow e_1 \triangleright (\blacksquare + e_2, K)$$

- Evaluate $e_1 + e_2$ by evaluating e_1 and then pass value into \blacksquare and continue.
- \blacksquare is “hole” into which we put the value of e_1 after it is evaluated.

$$e \triangleright K$$

- Evaluate e pass result into K
- For example, we have the judgements:

$$\underline{e_1 + e_2} \triangleright K \longrightarrow \underline{e_1} \triangleright (\blacksquare + e_2, K)$$

$e \triangleright K$

- Evaluate e pass result into K
- For example, we have the judgements:

$$e_1 + e_2 \triangleright K \longrightarrow e_1 \triangleright (\blacksquare + e_2, K)$$

$$c_1 \triangleright (\blacksquare + e_2, K) \longrightarrow$$

$e \triangleright K$

- Evaluate e pass result into K
- For example, we have the judgements:

$$e_1 + e_2 \triangleright K \longrightarrow e_1 \triangleright (\blacksquare + e_2, K)$$

$$c_1 \triangleright (\blacksquare + e_2, K) \longrightarrow e_2 \triangleright (c_1 + \blacksquare, K)$$

$e \triangleright K$

- Evaluate e pass result into K
- For example, we have the judgements:

$$e_1 + e_2 \triangleright K \longrightarrow e_1 \triangleright (\blacksquare + e_2, K)$$

$$c_1 \triangleright (\blacksquare + e_2, K) \longrightarrow e_2 \triangleright (c_1 + \blacksquare, K)$$

$$c_2 \triangleright (c_1 + \blacksquare, K) \longrightarrow c \triangleright K$$

Where, $c = c_1 + c_2 \text{ mod } 2^{32}$

ops that can cause exceptions: \oslash

$$\underline{e_1 \oslash e_2} \triangleright K \longrightarrow e_1 \triangleright (\blacksquare \oslash e_2, K)$$

$$c_1 \triangleright (\blacksquare \oslash e_2, K) \longrightarrow e_2 \triangleright (c_1 \oslash \blacksquare, K)$$

$$c_2 \triangleright (c_1 \oslash \blacksquare, K) \longrightarrow c \triangleright K \quad \text{if } c = \underline{c_1 \oslash c_2}$$

$$c_2 \triangleright (c_1 \oslash \blacksquare, K) \longrightarrow \text{excpt(arith)} \quad \text{if } c_1 \oslash c_2 \text{ undef}$$

The empty continuation

- $c \triangleright \cdot$
indicates there is nothing more to do
- We stop and return

value(c)

- Giving the judgement:

$c \triangleright \cdot$ \rightarrow value(c)

short-circuiting

$$\begin{array}{lcl} e_1 \ \&\& \ e_2 \triangleright K & \longrightarrow & e_1 \triangleright (_ \ \&\& \ e_2 \ , \ K) \\ \underline{\text{false}} \triangleright (_ \ \&\& \ e_2 \ , \ K) & \longrightarrow & \text{false} \triangleright K \\ \text{true} \triangleright (_ \ \&\& \ e_2 \ , \ K) & \longrightarrow & e_2 \triangleright K \end{array}$$

- of note:
 - Booleans are not 0 & 1, but false & true

short-circuiting

$$\begin{array}{lll} e_1 \ \&\& \ e_2 \triangleright K & \longrightarrow & e_1 \triangleright (_ \ \&\& \ e_2 \ , \ K) \\ \text{false} \triangleright (_ \ \&\& \ e_2 \ , \ K) & \longrightarrow & \text{false} \triangleright K \\ \text{true} \triangleright (_ \ \&\& \ e_2 \ , \ K) & \longrightarrow & e_2 \triangleright K \end{array}$$

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short-circuiting

$$\begin{array}{lcl} e_1 \ \&\& \ e_2 \triangleright K & \longrightarrow & e_1 \triangleright (_ \ \&\& \ e_2 , K) \\ \text{false} \triangleright (_ \ \&\& \ e_2 , K) & \longrightarrow & \text{false} \triangleright K \\ \text{true} \triangleright (_ \ \&\& \ e_2 , K) & \longrightarrow & e_2 \triangleright K \end{array}$$

$$\begin{array}{lcl} e_1 \ || \ e_2 \triangleright K & \longrightarrow & e_1 \triangleright (\blacksquare \ || \ e_2 , K) \\ \text{true} \triangleright (\blacksquare \ || \ e_2 , K) & \longrightarrow & \text{true} \triangleright K \\ \text{false} \triangleright (\blacksquare \ || \ e_2 , K) & \longrightarrow & e_2 \triangleright K \end{array}$$

Example

$$\begin{aligned}
 & \boxed{((4 + 5) * 10) + 2} \triangleright \cdot \\
 & (4 + 5) * 10 \triangleright (B + 2, \cdot) \\
 & 4 + 5 \triangleright (0 * 10, B + 2, \cdot) \\
 & 4 \triangleright (B + 5, \dots) \\
 & 5 \triangleright (4 + B, \dots) \\
 & 9 \triangleright (B * 10, B + 2, \cdot) \\
 & 10 \triangleright (9 + B, B + 2, \cdot) \\
 & 20 \triangleright (B + 2, \cdot) \\
 & \vdots \\
 & 92 \triangleright \cdot \quad \Longrightarrow \text{Value}(92)
 \end{aligned}$$

Example

$$\boxed{((4 + 5) * 10)} + \boxed{2} \triangleright \cdot$$

Example

$$\begin{array}{l} \rightarrow ((4 + 5) * 10) + 2 \quad \triangleright \cdot \\ (4 + 5) * 10 \quad \triangleright _ + 2 \end{array}$$

Example

$$\begin{array}{l} \rightarrow \quad ((4 + 5) * 10) + 2 \quad \triangleright \quad \cdot \\ \quad \quad (4 + 5) * 10 \quad \quad \quad \triangleright \quad _ + 2 \end{array}$$

Example

$$\begin{aligned} & ((4 + 5) * 10) + 2 \quad \triangleright \cdot \\ \longrightarrow & (4 + 5) * 10 \quad \triangleright _ + 2 \\ \longrightarrow & \boxed{4 + 5} \quad \triangleright _ * \boxed{10}, _ + 2 \end{aligned}$$

Example

$$\begin{array}{l} \longrightarrow ((4 + 5) * 10) + 2 \quad \triangleright \cdot \\ \longrightarrow (4 + 5) * 10 \quad \triangleright _ + 2 \\ \longrightarrow \boxed{4 + 5} \quad \triangleright _ * \boxed{10}, _ + 2 \\ \longrightarrow 4 \quad \triangleright _ + 5, _ * 10, _ + 2 \end{array}$$

Example

$$\begin{aligned} & ((4 + 5) * 10) + 2 \quad \triangleright \quad \cdot \\ \longrightarrow & (4 + 5) * 10 \quad \triangleright \quad _ + 2 \\ \\ \longrightarrow & 4 + 5 \quad \triangleright \quad _ * 10, _ + 2 \\ \longrightarrow & 4 \quad \triangleright \quad _ + 5, _ * 10, _ + 2 \\ \longrightarrow & 5 \quad \triangleright \quad 4 + _, _ * 10, _ + 2 \end{aligned}$$

Example

$$\begin{aligned} & ((4 + 5) * 10) + 2 \quad \triangleright \quad \cdot \\ \longrightarrow & (4 + 5) * 10 \quad \triangleright \quad _ + 2 \\ \\ \longrightarrow & 4 + 5 \quad \triangleright \quad _ * 10, _ + 2 \\ \longrightarrow & 4 \quad \triangleright \quad _ + 5, _ * 10, _ + 2 \\ \longrightarrow & 5 \quad \triangleright \quad 4 + _, _ * 10, _ + 2 \\ \longrightarrow & 9 \quad \triangleright \quad _ * 10, _ + 2 \end{aligned}$$

Example

	$((4 + 5) * 10) + 2$	\triangleright	.
\longrightarrow	$(4 + 5) * 10$	\triangleright	$_ + 2$
\longrightarrow	$4 + 5$	\triangleright	$_ * 10, _ + 2$
\longrightarrow	4	\triangleright	$_ + 5, _ * 10, _ + 2$
\longrightarrow	5	\triangleright	$4 + _, _ * 10, _ + 2$
\longrightarrow	9	\triangleright	$_ * 10, _ + 2$
\longrightarrow	10	\triangleright	$9 * _, _ + 2$

Example

	$((4 + 5) * 10) + 2$	\triangleright	.
\longrightarrow	$(4 + 5) * 10$	\triangleright	$_ + 2$
\longrightarrow	$4 + 5$	\triangleright	$_ * 10, _ + 2$
\longrightarrow	4	\triangleright	$_ + 5, _ * 10, _ + 2$
\longrightarrow	5	\triangleright	$4 + _, _ * 10, _ + 2$
\longrightarrow	9	\triangleright	$_ * 10, _ + 2$
\longrightarrow	10	\triangleright	$9 * _, _ + 2$
\longrightarrow	90	\triangleright	$_ + 2$

Example

	$((4 + 5) * 10) + 2$	\triangleright	.
\longrightarrow	$(4 + 5) * 10$	\triangleright	$_ + 2$
\longrightarrow	$4 + 5$	\triangleright	$_ * 10, _ + 2$
\longrightarrow	4	\triangleright	$_ + 5, _ * 10, _ + 2$
\longrightarrow	5	\triangleright	$4 + _, _ * 10, _ + 2$
\longrightarrow	9	\triangleright	$_ * 10, _ + 2$
\longrightarrow	10	\triangleright	$9 * _, _ + 2$
\longrightarrow	90	\triangleright	$_ + 2$
\longrightarrow	2	\triangleright	$90 + _$
\longrightarrow	92	\triangleright	.

variables and η

- We need to keep track of variables and their values
- η defines the environment
 - if x has the value v in the environment, then

$$\eta(x) = v$$

- We add a value v for x to the environment

$$\eta[x \mapsto v]$$

yielding

$$\eta, x \mapsto v$$

Our new abstract machine

$$\eta \vdash e \triangleright K$$

- We add a rule for variables,

$$\eta \vdash x \triangleright K \longrightarrow \eta(x) \triangleright K$$

- Why is this rule ok? I.e., what if x is undefined?

Our new abstract machine

$$\eta \vdash e \triangleright K$$

- We add a rule for variables,

$$\eta \vdash x \triangleright K \longrightarrow \eta(x) \triangleright K$$

- Why is this rule ok? x is never undefined since we already passed static semantics

Our new abstract machine

$$\eta \vdash e \triangleright K$$

- We add a rule for variables,

$$\eta \vdash x \triangleright K \longrightarrow \eta(x) \triangleright K$$

- And, augment old rules with η , e. g.,

$$\eta \vdash e_1 \oplus e_2 \triangleright K \longrightarrow \eta \vdash e_1 \triangleright (\blacksquare \oplus e_2, K)$$

Execution

$$\eta \vdash s \blacktriangleright K$$

- Statements alter the environment and then become a **nop**, and then goto the statement in K

$$\begin{aligned} \eta \vdash \text{seq}(s_1, s_2) \blacktriangleright K &\longrightarrow \eta \vdash s_1 \blacktriangleright (s_2, K) \\ &\longrightarrow \eta \vdash \text{nop} \blacktriangleright (s_2, K) \\ &\longrightarrow \eta \vdash s_2 \blacktriangleright K \end{aligned}$$

Execution

$$\eta \vdash s \blacktriangleright K$$

- Statements alter the environment and then become a **nop**, and then goto the statement in K

$$\begin{array}{l} \eta \vdash \text{seq}(s_1, s_2) \blacktriangleright K \quad \longrightarrow \quad \eta \vdash s_1 \blacktriangleright (s_2, K) \\ \eta \vdash \text{nop} \blacktriangleright (s, K) \quad \longrightarrow \quad \eta \vdash s \blacktriangleright K \end{array}$$

Modifying η

- Declaration adds a mapping to η

~~Environment Stack~~

$$\eta \vdash \text{decl}(x, \tau, s) \blacktriangleright K \quad \longrightarrow \quad \eta[x \mapsto \text{nothing}] \vdash s \blacktriangleright K$$

(Note: Red handwritten annotations include a bracket under 'nothing' and a large bracket under the entire right-hand side of the equation.)

- Assignment, changes the value in η

Modifying η

- Declaration adds a mapping to η

$$\eta \vdash \text{decl}(x, \tau, s) \blacktriangleright K \quad \longrightarrow \quad \eta[x \mapsto \text{nothing}] \vdash s \blacktriangleright K$$

- Assignment, changes the value in η (after evaluating the right hand side.)

$$\eta \vdash \text{assign}(x, e) \blacktriangleright K \quad \longrightarrow \quad \eta \vdash e \triangleright (\text{assign}(x, _), K)$$

Handwritten red annotations: A red line underlines the expression $\text{assign}(x, e)$ in the left-hand side. A red arrow points from the e in the left-hand side to the e in the right-hand side. Another red arrow points from the $\text{assign}(x, _)$ in the right-hand side to the $\text{assign}(x, _)$ in the right-hand side.

Modifying η

- Declaration adds a mapping to η

~~η~~ + map

$$\eta \vdash \text{decl}(x, \tau, s) \blacktriangleright K \quad \longrightarrow \quad \eta[x \mapsto \text{nothing}] \vdash s \blacktriangleright K$$

- Assignment, changes the value in η (after evaluating the right hand side.)

$$\begin{aligned} \eta \vdash \text{assign}(x, e) \blacktriangleright K & \longrightarrow \eta \vdash e \triangleright (\text{assign}(x, _), K) \\ \eta \vdash v \triangleright (\text{assign}(x, _), K) & \longrightarrow \eta[x \mapsto v] \vdash \text{nop} \blacktriangleright K \end{aligned}$$

Scoping

$[x \mapsto v_1] \vdash \text{assign}(x, e) \blacktriangleright K$

$\rightarrow [x \mapsto v_1] \vdash e \triangleright (\text{assign}(x, \blacksquare), K)$

$\rightarrow [x \mapsto v_1] \vdash v_2 \triangleright (\text{assign}(x, \blacksquare), K)$

$\rightarrow [x \mapsto v_2] \vdash \text{nop} \triangleright K \quad \blacktriangleright$

Now, what does $[x \mapsto v_1, x \mapsto v_2] \vdash x \triangleright K$ evaluate to?

Statements

- if

$$\begin{array}{l} \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K \\ \eta \vdash \text{true} \triangleright (\text{if}(_, s_1, s_2), K) \\ \eta \vdash \text{false} \triangleright (\text{if}(_, s_1, s_2), K) \end{array} \quad \begin{array}{l} \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} \quad \begin{array}{l} \eta \vdash e \triangleright (\text{if}(_, s_1, s_2), K) \\ \eta \vdash s_1 \blacktriangleright K \\ \eta \vdash s_2 \blacktriangleright K \end{array}$$

Statements

- if

$$\begin{array}{ll} \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{if}(_, s_1, s_2), K) \\ \eta \vdash \text{true} \triangleright (\text{if}(_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_1 \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{if}(_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_2 \blacktriangleright K \end{array}$$

- while

$$\eta \vdash \text{while}(e, s) \blacktriangleright K \quad \longrightarrow \quad \eta \vdash \text{if}(e, \text{seq}(s, \text{while}(e, s)), \text{nop}) \blacktriangleright K$$

Statements

- if

$$\begin{array}{ll} \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{if}(_, s_1, s_2), K) \\ \eta \vdash \text{true} \triangleright (\text{if}(_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_1 \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{if}(_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_2 \blacktriangleright K \end{array}$$

- while

$$\eta \vdash \text{while}(e, s) \blacktriangleright K \quad \longrightarrow \quad \eta \vdash \text{if}(e, \text{seq}(s, \text{while}(e, s)), \text{nop}) \blacktriangleright K$$

- assert

$$\begin{array}{ll} \eta \vdash \text{assert}(e) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{assert}(_), K) \\ \eta \vdash \text{true} \triangleright (\text{assert}(_), K) & \longrightarrow \quad \eta \vdash \text{nop} \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{assert}(_), K) & \longrightarrow \quad \text{exception}(\text{abort}) \end{array}$$

Statements

- if

$$\begin{array}{ll} \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{if}(_, s_1, s_2), K) \\ \eta \vdash \text{true} \triangleright (\text{if}(_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_1 \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{if}(_, s_1, s_2), K) & \longrightarrow \quad \eta \vdash s_2 \blacktriangleright K \end{array}$$

- while

$$\eta \vdash \text{while}(e, s) \blacktriangleright K \quad \longrightarrow \quad \eta \vdash \text{if}(e, \text{seq}(s, \text{while}(e, s)), \text{nop}) \blacktriangleright K$$

- assert

$$\begin{array}{ll} \eta \vdash \text{assert}(e) \blacktriangleright K & \longrightarrow \quad \eta \vdash e \triangleright (\text{assert}(_), K) \\ \eta \vdash \text{true} \triangleright (\text{assert}(_), K) & \longrightarrow \quad \eta \vdash \text{nop} \blacktriangleright K \\ \eta \vdash \text{false} \triangleright (\text{assert}(_), K) & \longrightarrow \quad \text{exception}(\text{abort}) \end{array}$$

- return?

while(x>0, assign(x, x+1))

- Assuming $\eta = [x \mapsto 1]$
- and $s \equiv x = x + 1$

$[x \mapsto 1] \vdash \text{while}(x > 0, s)$

► $[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)))$
not

while($x > 0$, assign($x, x+1$))

- Assuming $\eta = [x \mapsto 1]$
- and $s \equiv x = x + 1$

→ $[x \mapsto 1] \vdash \text{while}(x > 0, s) \quad \blacktriangleright \cdot$
 $[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop}) \quad \blacktriangleright \cdot$

while($x > 0$, assign($x, x+1$))

- Assuming $\eta = [x \mapsto 1]$
- and $s \equiv x = x + 1$

→ $[x \mapsto 1] \vdash \text{while}(x > 0, s) \quad \blacktriangleright \cdot$

→ $[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop}) \quad \blacktriangleright \cdot$

→ $[x \mapsto 1] \vdash \underline{x > 0} \quad \triangleright \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$

while($x > 0$, assign($x, x+1$))

- Assuming $\eta = [x \mapsto 1]$
- and $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	▶ .
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	▶ .
→	$[x \mapsto 1] \vdash x > 0$	▷ $\text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷ $_ > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \underline{1}$	▷ $\underline{_} > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$

while($x > 0$, assign($x, x+1$))

- Assuming $\eta = [x \mapsto 1]$
- and $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	▶ .
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	▶ .
→	$[x \mapsto 1] \vdash x > 0$	▷ $\text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷ $_ > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 1$	▷ $_ > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 0$	▷ $1 > _; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$

↓

while($x > 0$, assign($x, x+1$))


- Assuming $\eta = [x \mapsto 1]$
- and $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	▶ .
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	▶ .
→	$[x \mapsto 1] \vdash x > 0$	▷ $\text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷ $_ > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 1$	▷ $_ > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 0$	▷ $1 > _; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{true}$	▷ $\text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$

while($x > 0$, assign($x, x+1$))

- Assuming $\eta = [x \mapsto 1]$
- and $s \equiv x = x + 1$

	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	▶	.
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	▶	.
→	$[x \mapsto 1] \vdash x > 0$	▷	$\text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷	$_ > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 1$	▷	$_ > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 0$	▷	$1 > _ ; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{true}$	▷	$\text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{seq}(s, \text{while}(x > 0, s))$	▶	.



while(x>0, assign(x, x+1))

- Assuming $\eta = [x \mapsto 1]$
- and $s \equiv x = x + 1$

→	$[x \mapsto 1] \vdash \text{while}(x > 0, s)$	▶	.
→	$[x \mapsto 1] \vdash \text{if}(x > 0, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$	▶	.
→	$[x \mapsto 1] \vdash x > 0$	▷	$\text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash x$	▷	$_ > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 1$	▷	$_ > 0; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash 0$	▷	$1 > _ ; \text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{true}$	▷	$\text{if}(_, \text{seq}(s, \text{while}(x > 0, s)), \text{nop})$
→	$[x \mapsto 1] \vdash \text{seq}(s, \text{while}(x > 0, s))$	▶	.
→	$[x \mapsto 1] \vdash \text{assign}(x, x + 1)$	▶	$\text{while}(x > 0, \text{assign}(x, x + 1))$
→	$[x \mapsto 1] \vdash x + 1$	▷	$\text{assign}(x, _); \text{while}(x > 0, s)$
→	$[x \mapsto 1] \vdash x$	▷	$_ + 1; \text{assign}(x, _); \text{while}(x > 0, s)$
→	$[x \mapsto 1] \vdash 1$	▷	$_ + 1; \text{assign}(x, _); \text{while}(x > 0, s)$
→	$[x \mapsto 1] \vdash 1$	▷	$1 + _ ; \text{assign}(x, _); \text{while}(x > 0, s)$
→	$[x \mapsto 1] \vdash 2$	▷	$\text{assign}(x, _); \text{while}(x > 0, s)$
→	$[x \mapsto 2] \vdash \text{nop}$	▶	$\text{while}(x > 0, s)$
→	$[x \mapsto 2] \vdash \text{while}(x > 0, s)$		

The return Statement

$\eta \vdash \underline{\text{return}(e)} \blacktriangleright K$

$\rightarrow \eta \vdash \underline{e} \triangleright (\text{return}(\blacksquare), K)$

$\rightarrow \eta \vdash \underline{v} \triangleright (\text{return}(\blacksquare), K)$

- But now what?

The return Statement

$\eta \vdash \text{return}(e) \blacktriangleright K$

$\rightarrow \eta \vdash e \triangleright (\text{return}(\blacksquare), K)$

$\rightarrow \eta \vdash v \triangleright (\text{return}(\blacksquare), K)$

- We need to represent the stack, S , which will have
 - an environment
 - a continuation

$S ::= \cdot \mid S, \langle \eta, K \rangle$

- Our new abstract machine augments all old rules with S

$S; \eta \vdash e \triangleright K$
 $S; \eta \vdash s \blacktriangleright K$

The return Statement

$S, \langle \eta', K' \rangle; \eta \vdash \text{return}(e) \blacktriangleright K$

$\rightarrow S, \langle \eta', K' \rangle; \eta \vdash e \triangleright (\text{return}(\blacksquare), K)$

$\rightarrow S, \langle \eta', K' \rangle; \eta \vdash v \triangleright (\text{return}(\blacksquare), K)$

$\rightarrow S; \eta' \vdash v \triangleright K'$



The return Statement

$S, \langle \eta', K' \rangle; \eta \vdash \text{return}(e) \blacktriangleright K$

$\rightarrow S, \langle \eta', K' \rangle; \eta \vdash e \triangleright (\text{return}(\blacksquare), K)$

$\rightarrow S, \langle \eta', K' \rangle; \eta \vdash v \triangleright (\text{return}(\blacksquare), K)$

$\rightarrow S; \eta' \vdash v \triangleright K'$

And, for void functions we need:

$S, \langle \eta', K' \rangle; \eta \vdash \text{nop} \blacktriangleright \cdot \rightarrow S; \eta' \vdash \text{nothing} \triangleright K'$

$S, \langle \eta', K' \rangle; \eta \vdash \text{return} \blacksquare \blacktriangleright K$
 $\text{nothing} \triangleright K'$

Function calls

- Special case with no arguments

$$S ; \eta \vdash f() \triangleright K \quad \longrightarrow \quad (S, \langle \eta, K \rangle) ; \cdot \vdash s \triangleright \cdot$$

(given that f is defined as $f()\{s\}$)

Function calls

- Special case with no arguments

$$S ; \eta \vdash f() \triangleright K \quad \longrightarrow \quad (S, \langle \eta, K \rangle) ; \cdot \vdash s \blacktriangleright \cdot$$

(given that f is defined as $f()\{s\}$)

- And, two arguments

$$S ; \eta \vdash f(e_1, e_2) \triangleright K \quad \longrightarrow \quad S ; \eta \vdash e_1 \triangleright (f(_, e_2), K)$$

Function calls

- Special case with no arguments

$$S ; \eta \vdash f() \triangleright K \quad \longrightarrow \quad (S, \langle \eta, K \rangle) ; \cdot \vdash s \blacktriangleright \cdot$$

(given that f is defined as $f()\{s\}$)

- And, two arguments

$$\begin{array}{l} S ; \eta \vdash f(e_1, e_2) \triangleright K \\ S ; \eta \vdash c_1 \triangleright (f(-, e_2), K) \end{array} \quad \longrightarrow \quad \begin{array}{l} S ; \eta \vdash e_1 \triangleright (f(-, e_2), K) \\ S ; \eta \vdash e_2 \triangleright (f(c_1, -), K) \end{array}$$

(Handwritten red annotations: an arrow pointing to c_1 and a bracket under e_2)

Function calls

- Special case with no arguments

$$S ; \eta \vdash f() \triangleright K \quad \longrightarrow \quad (S, \langle \eta, K \rangle) ; \cdot \vdash s \blacktriangleright \cdot$$

(given that f is defined as $f()\{s\}$)

- And, two arguments

$$S ; \eta \vdash f(e_1, e_2) \triangleright K \quad \longrightarrow \quad S ; \eta \vdash e_1 \triangleright (f(_, e_2), K)$$

$$S ; \eta \vdash c_1 \triangleright (f(_, e_2), K) \quad \longrightarrow \quad S ; \eta \vdash e_2 \triangleright (f(c_1, _), K)$$

$$S ; \eta \vdash \underline{c_2} \triangleright (f(\underline{c_1}, _), K) \quad \longrightarrow \quad (S, \langle \eta, K \rangle) ; [x_1 \mapsto c_1, x_2 \mapsto c_2] \vdash s \blacktriangleright \cdot$$

(given that f is defined as $f(x_1, x_2)\{s\}$)

f ↑

Putting it all together

- We start with

$$\text{∴} \vdash \text{main}() \triangleright \cdot$$

- We stop with (assuming main returns c)

$$\text{∴} \eta \vdash c \triangleright \cdot \rightarrow \text{value}(c)$$

Putting it all together

- We start with

$$\cdot; \cdot \vdash \boxed{\text{main}()} \triangleright \cdot$$

- We stop with (assuming main returns c)

$$\underbrace{\cdot; \eta}_\cdot \vdash c \triangleright \cdot \rightarrow \text{value}(c)$$

- Unless, we get an error

exception(E)

Putting it all together

- We start with

$$\cdot; \cdot \vdash \text{main}() \triangleright \cdot$$

- We stop with (assuming main returns c)

$$\cdot; \eta \vdash c \triangleright \cdot \rightarrow \text{value}(c)$$

- Unless, we get an error

$$\text{exception}(E)$$

- And, along the way,

$$\underline{S; \eta \vdash e \triangleright K}$$

$$\underline{S; \eta \vdash s \blacktriangleright K}$$



L3



Expressions	$e ::= c \mid e_1 \odot e_2 \mid \text{true} \mid \text{false} \mid e_1 \ \&\& \ e_2 \mid x \mid f(e_1, e_2) \mid f()$
Statements	$s ::= \text{nop} \mid \text{seq}(s_1, s_2) \mid \text{assign}(x, e) \mid \text{decl}(x, \tau, s) \mid \text{if}(e, s_1, s_2) \mid \text{while}(e, s) \mid \text{return}(e) \mid \text{assert}(e)$
Values	$v ::= c \mid \text{true} \mid \text{false} \mid \text{nothing}$
Environments	$\eta ::= \cdot \mid \eta, x \mapsto c$
Stacks	$S ::= \cdot \mid S, \langle \eta, K \rangle$
Cont. frames	$\phi ::= _ \odot e \mid c \odot _ \mid _ \ \&\& \ e \mid f(_, e) \mid f(c, _) \mid s \mid \text{assign}(x, _) \mid \text{if}(_, s_1, s_2) \mid \text{return}(_) \mid \text{assert}(_)$
Continuations	$K ::= \cdot \mid \phi, K$
Exceptions	$E ::= \text{arith} \mid \text{abort}$

$S ; \eta \vdash e_1 \odot e_2 \triangleright K$	\longrightarrow	$S ; \eta \vdash e_1 \triangleright (_ \odot e_2 , K)$
$S ; \eta \vdash c_1 \triangleright (_ \odot e_2 , K)$	\longrightarrow	$S ; \eta \vdash e_2 \triangleright (c_1 \odot _ , K)$
$S ; \eta \vdash c_2 \triangleright (c_1 \odot _ , K)$	\longrightarrow	$S ; \eta \vdash c \triangleright K \quad (c = c_1 \odot c_2)$
$S ; \eta \vdash c_2 \triangleright (c_1 \odot _ , K)$	\longrightarrow	exception(arith) $\quad (c_1 \odot c_2 \text{ undefined})$
$S ; \eta \vdash e_1 \ \&\& \ e_2 \triangleright K$	\longrightarrow	$S ; \eta \vdash e_1 \triangleright (_ \ \&\& \ e_2 , K)$
$S ; \eta \vdash \text{false} \triangleright (_ \ \&\& \ e_2 , K)$	\longrightarrow	$S ; \eta \vdash \text{false} \triangleright K$
$S ; \eta \vdash \text{true} \triangleright (_ \ \&\& \ e_2 , K)$	\longrightarrow	$S ; \eta \vdash e_2 \triangleright K$
$S ; \eta \vdash x \triangleright K$	\longrightarrow	$S ; \eta \vdash \eta(x) \triangleright K$

$S ; \eta \vdash \text{nop} \blacktriangleright (s, K)$	\longrightarrow	$S ; \eta \vdash s \blacktriangleright K$
$S ; \eta \vdash \text{assign}(x, e) \blacktriangleright K$	\longrightarrow	$S ; \eta \vdash e \triangleright (\text{assign}(x, _), K)$
$S ; \eta \vdash c \triangleright (\text{assign}(x, _), K)$	\longrightarrow	$S ; \eta[x \mapsto c] \vdash \text{nop} \blacktriangleright K$
$S ; \eta \vdash \text{decl}(x, \tau, s) \blacktriangleright K$	\longrightarrow	$S ; \eta[x \mapsto \text{nothing}] \vdash s \blacktriangleright K$
$S ; \eta \vdash \text{assert}(e) \blacktriangleright K$	\longrightarrow	$S ; \eta \vdash e \triangleright (\text{assert}(_), K)$
$S ; \eta \vdash \text{true} \triangleright (\text{assert}(_), K)$	\longrightarrow	$S ; \eta \vdash \text{nop} \blacktriangleright K$
$S ; \eta \vdash \text{false} \triangleright (\text{assert}(_), K)$	\longrightarrow	$\text{exception}(\text{abort})$
$S ; \eta \vdash \text{if}(e, s_1, s_2) \blacktriangleright K$	\longrightarrow	$S ; \eta \vdash e \triangleright (\text{if}(_, s_1, s_2), K)$
$S ; \eta \vdash \text{true} \triangleright (\text{if}(_, s_1, s_2), K)$	\longrightarrow	$S ; \eta \vdash s_1 \blacktriangleright K$
$S ; \eta \vdash \text{false} \triangleright (\text{if}(_, s_1, s_2), K)$	\longrightarrow	$S ; \eta \vdash s_2 \blacktriangleright K$
$S ; \eta \vdash \text{while}(e, s) \blacktriangleright K$	\longrightarrow	$S ; \eta \vdash \text{if}(e, \text{seq}(s, \text{while}(e, s)), \text{nop}) \blacktriangleright K$
$S ; \eta \vdash f(e_1, e_2) \triangleright K$	\longrightarrow	$S ; \eta \vdash e_1 \triangleright (f(_, e_2), K)$
$S ; \eta \vdash c_1 \triangleright (f(_, e_2), K)$	\longrightarrow	$S ; \eta \vdash e_2 \triangleright (f(c_1, _), K)$
$S ; \eta \vdash c_2 \triangleright (f(c_1, _), K)$	\longrightarrow	$(S, \langle \eta, K \rangle) ; [x_1 \mapsto c_1, x_2 \mapsto c_2] \vdash s \blacktriangleright \cdot$ <i>(given that f is defined as $f(x_1, x_2)\{s\}$)</i>
$S ; \eta \vdash f() \triangleright K$	\longrightarrow	$(S, \langle \eta, K \rangle) ; \cdot \vdash s \blacktriangleright \cdot$ <i>(given that f is defined as $f()\{s\}$)</i>
$S ; \eta \vdash \text{return}(e) \blacktriangleright K$	\longrightarrow	$S ; \eta \vdash e \triangleright (\text{return}(_), K)$
$(S, \langle \eta', K' \rangle) ; \eta \vdash v \triangleright (\text{return}(_), K)$	\longrightarrow	$S ; \eta' \vdash v \triangleright K'$
$\cdot ; \eta \vdash c \triangleright (\text{return}(_), K)$	\longrightarrow	$\text{value}(c)$

Pretty Amazing

- Clear, Concise
- What about rule set?
 - deterministic?
 - ?
- But, the amazing thing is:

Theorem 1 (No undefined behavior) *If a program is valid as defined by the static semantics, and*

$$\cdot; \cdot \vdash \text{main}() \longrightarrow \mathcal{ST}_1 \longrightarrow \dots \longrightarrow \mathcal{ST}_n$$

then either \mathcal{ST}_n is a final state or else \mathcal{ST}_n is not-stuck because there exists a state \mathcal{ST}' such that $\mathcal{ST}_n \longrightarrow \mathcal{ST}'$.

Next Time

- memory!