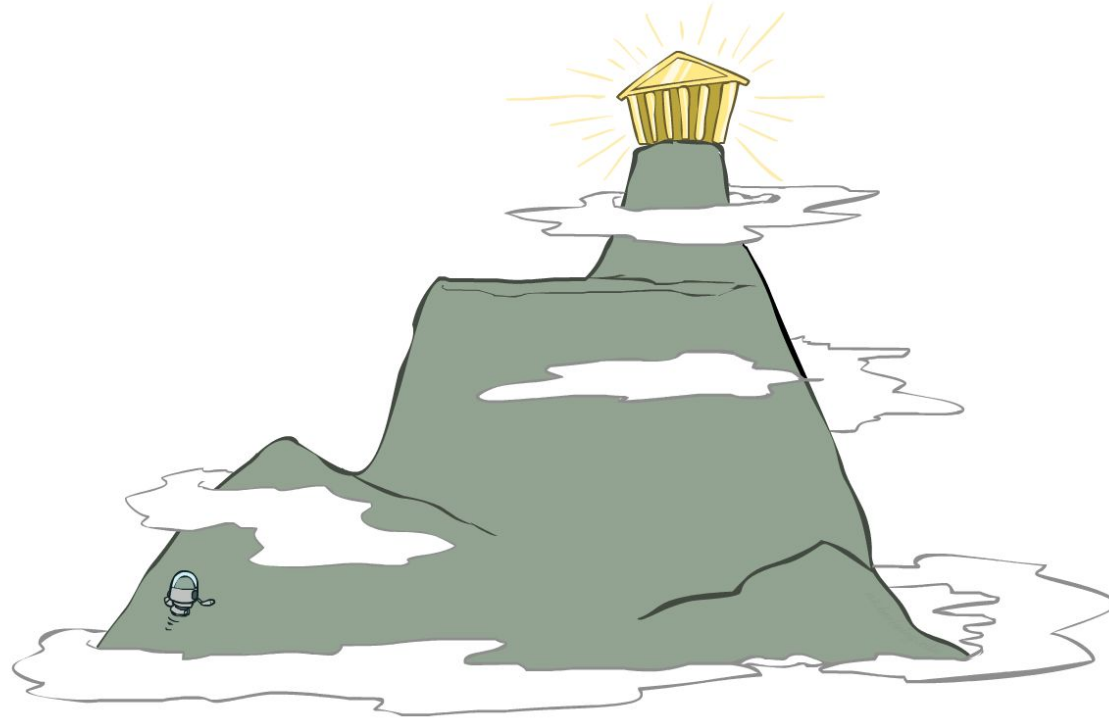


# AI: Representation and Problem Solving

## Local Search



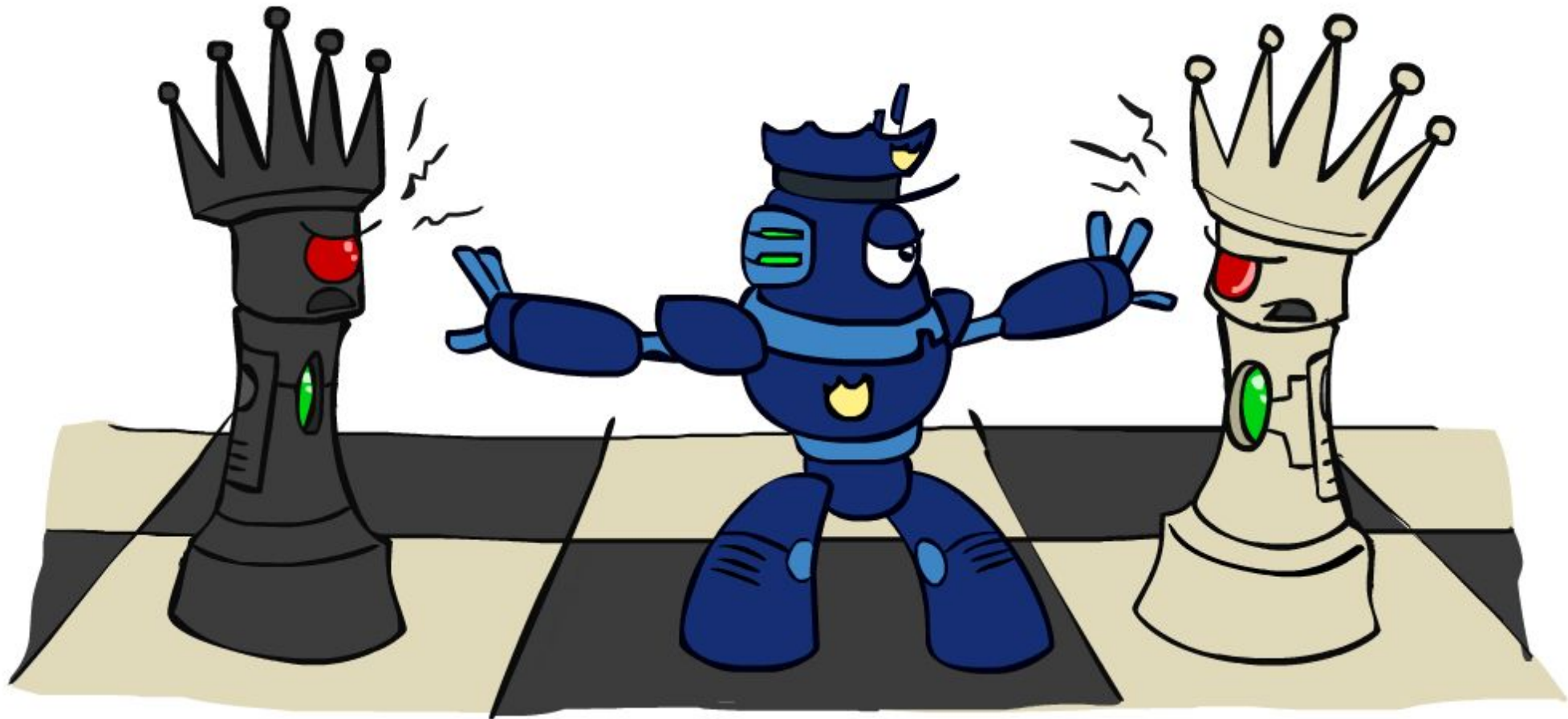
Instructors: Tuomas Sandholm and Vincent Conitzer

Slide credits: CMU AI, <http://ai.berkeley.edu>

# Local Search

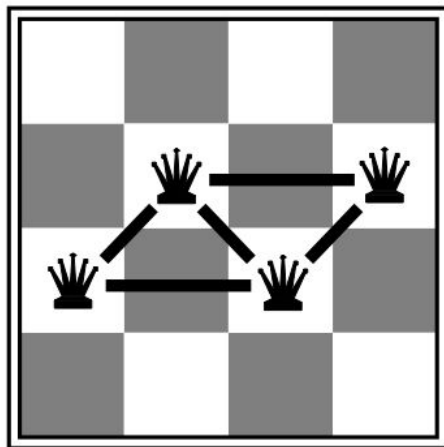
- Can be applied to identification problems (e.g., CSPs), as well as some planning and optimization problems
- For identification problems, we use a **complete-state formulation**, e.g., all variables assigned in a CSP (may not satisfy all the constraints)
- For planning problems, typically we make local decisions
  - E.g., not a plan all the way to the goal or not a deep search

# Iterative Improvement for CSPs

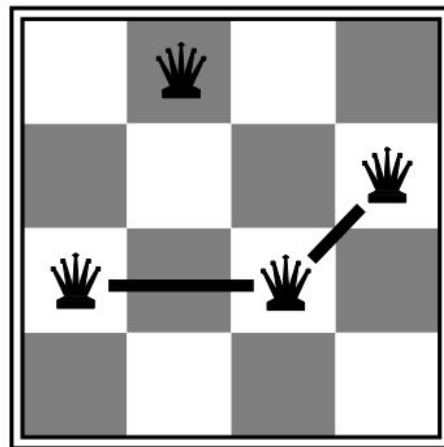
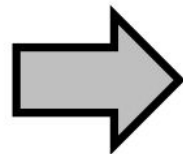


# Iterative Improvement for CSPs

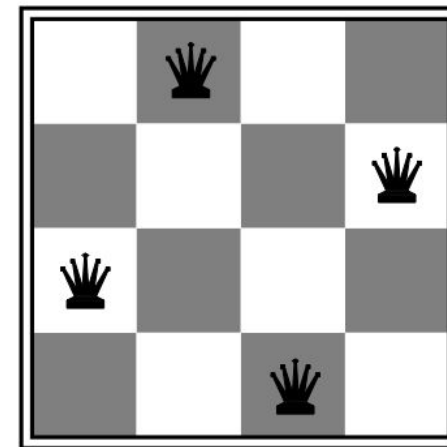
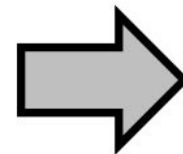
- Start with an arbitrary assignment, iteratively *reassign* variable values
- While not solved,
  - Variable selection: randomly select a conflicted variable
  - Value selection with **min-conflicts heuristic  $h$** : Choose a value that violates the fewest constraints (break tie randomly)
- For  $n$ -Queens: Variables  $x_i \in \{1..n\}$ ; Constraints  $x_i \neq x_j, |x_i - x_j| \neq |i - j|, \forall i \neq j$



**$h = 5$**



**$h = 2$**

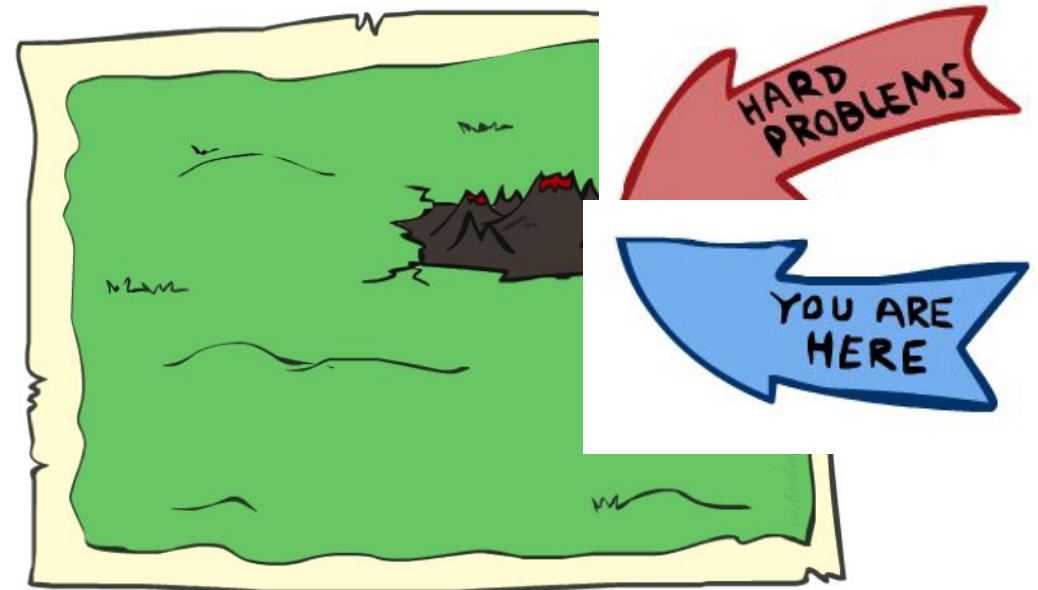
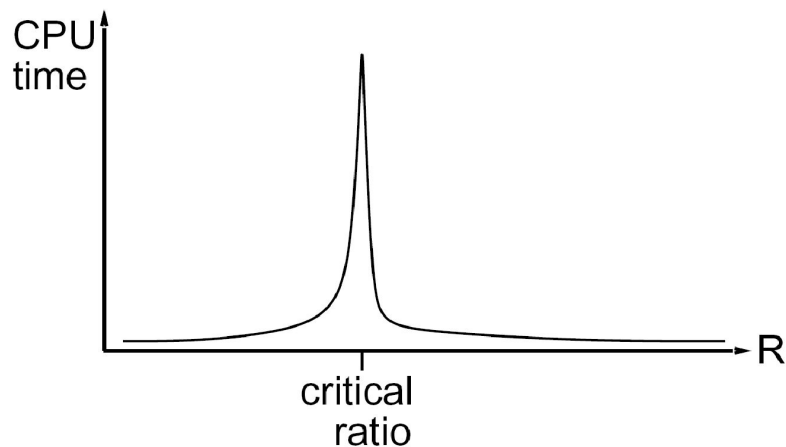


**$h = 0$**

# Iterative Improvement for CSPs

- Given random initial state, can solve n-queens in almost constant time for arbitrary n with high probability (e.g., n = 10,000,000)!
- Same for any randomly-generated CSP *except* in a narrow range of the ratio

$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$

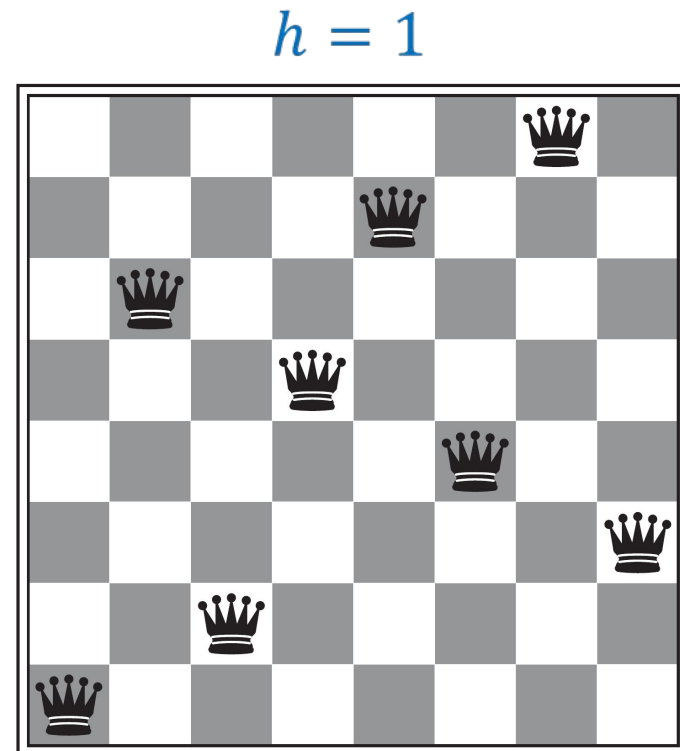


# Local Search

- A local search algorithm is...
  - **Optimal** if it always finds a global minimum/maximum heuristic value

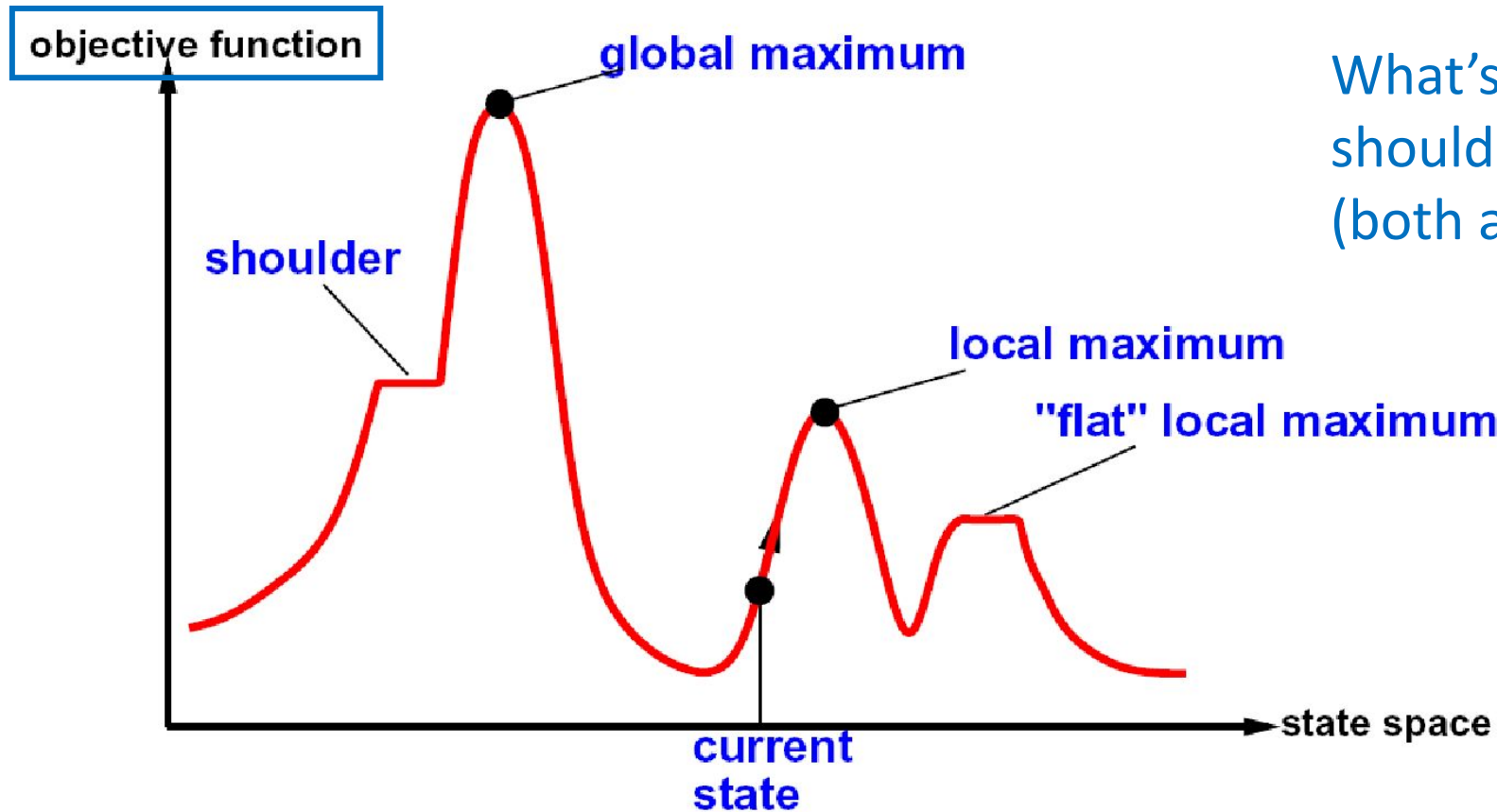
Will an iterative improvement algorithm for CSPs always find a solution?

No! May get stuck in a local optimum



# State-Space Landscape

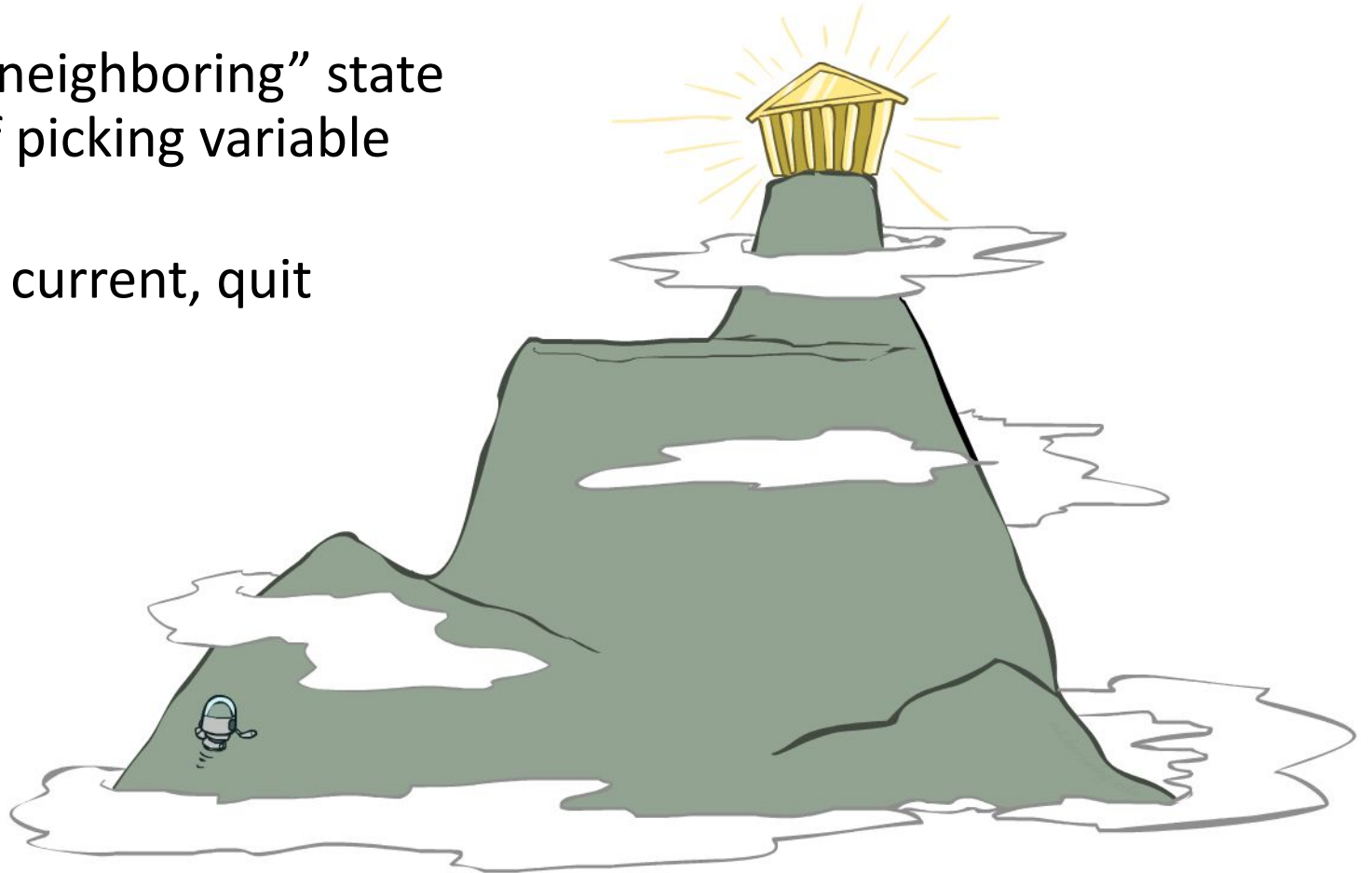
In identification problems, could be a function measuring how close you are to a valid solution, e.g.,  $-1 \times \text{\#conflicts}$  in n-Queens/CSP



What's the difference between shoulder and flat local maximum (both are plateaux)?

# Hill Climbing (Greedy Local Search)

- Simple, general idea:
  - Start wherever
  - Repeat: move to the best “neighboring” state (successor state) instead of picking variable randomly
  - If no neighbors better than current, quit





# Hill Climbing (Greedy Local Search)



**function** HILL-CLIMBING(*problem*) **returns** a state that is a local maximum

*current* ← MAKE-NODE(*problem*.INITIAL-STATE)

**loop do**

*neighbor* ← a highest-valued successor of *current*

**if** *neighbor*.VALUE ≤ *current*.VALUE **then return** *current*.STATE

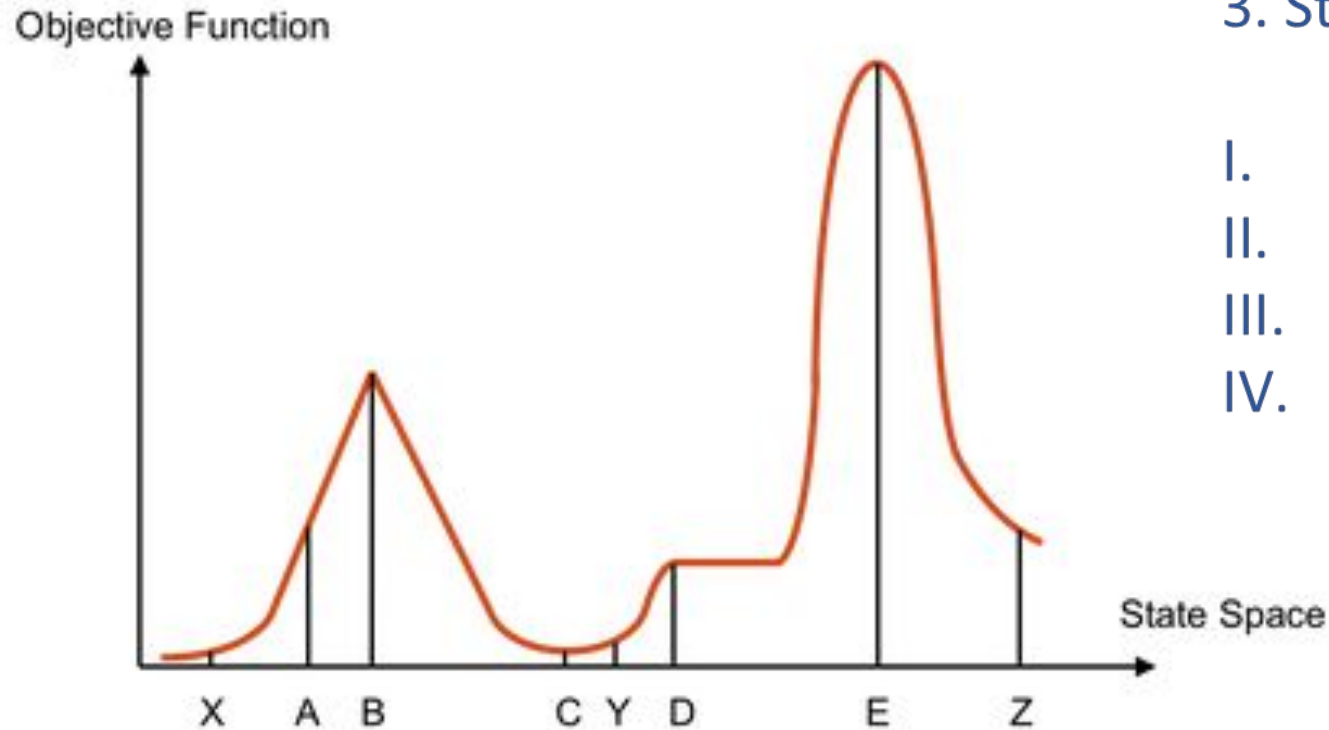
*current* ← *neighbor*

What if there is a tie?  
Typically break ties randomly

What if we do not stop here? Make a sideways move if “=”

- In 8-Queens, steepest-ascent hill climbing solves 14% of problem instances
  - Takes 4 steps on average when it succeeds, and 3 steps when it fails
- When allow for ≤100 consecutive sideways moves, solves 94% of problem instances
  - Takes 21 steps on average when it succeeds, and 64 steps when it fails

# Poll 1 (on Piazza): Hill Climbing



1. Starting from X, where do you end up?
2. Starting from Y, where do you end up?
3. Starting from Z, where do you end up?

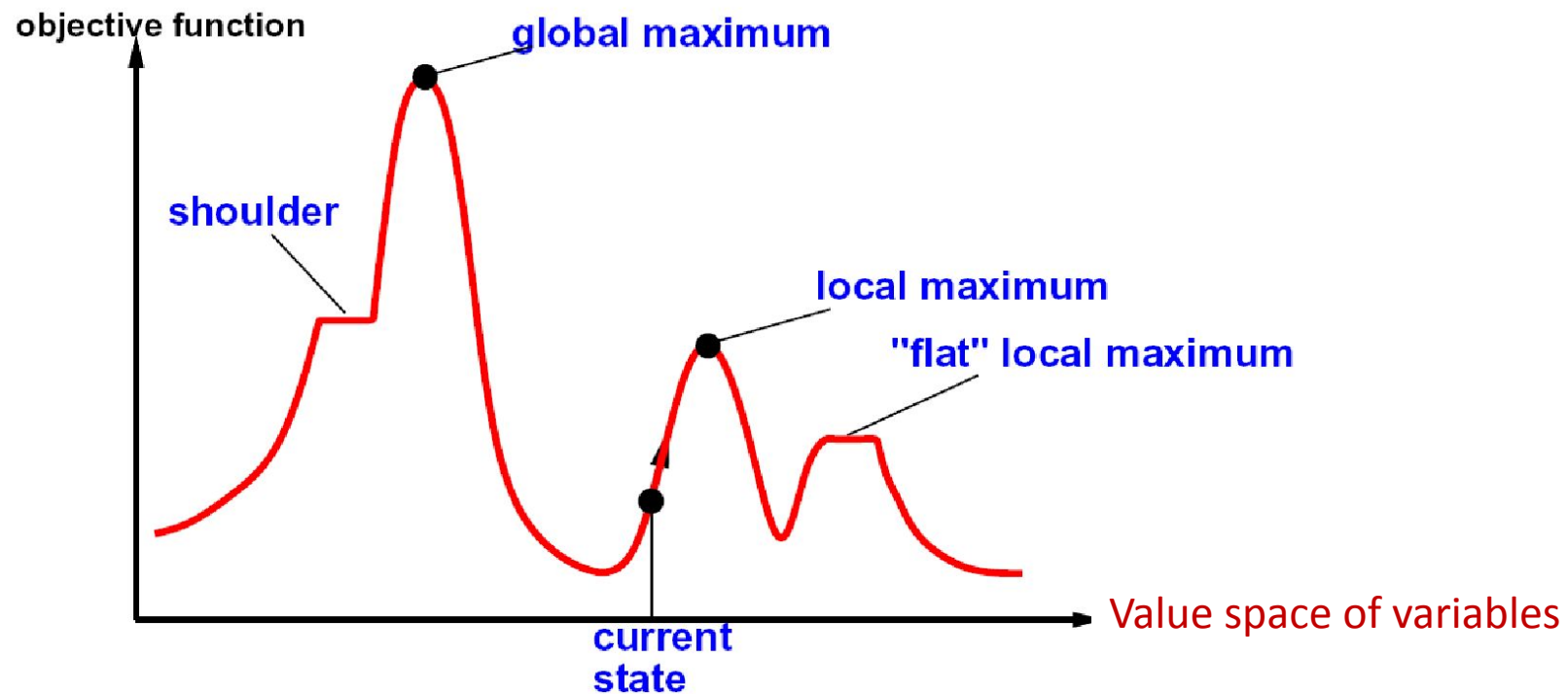
- I.  $X \rightarrow A, Y \rightarrow D, Z \rightarrow E$
- II.  $X \rightarrow B, Y \rightarrow D, Z \rightarrow E$
- III.  $X \rightarrow B, Y \rightarrow E, Z \rightarrow E$
- IV. I don't know

# Variants of Hill Climbing

- Random-restart hill climbing
  - “If at first you don’t succeed, try again.”
  - In what kind of landscape will random-restarts hill climbing work the best?
- Stochastic hill climbing
  - Choose randomly from the uphill moves, with probability dependent on the “steepness” (i.e., amount of improvement)
  - Converges slower than steepest ascent, but may find better solutions
- First-choice hill climbing
  - Generate successors randomly (one by one) until a better one is found
  - Suitable when there are too many successors to enumerate

# Variants of Hill Climbing

- What if variables are continuous, e.g., find  $x \in [0,1]$  that maximizes  $f(x)$ ?
  - Gradient ascent
    - Use gradient to find best direction
    - Use the magnitude of the gradient to determine how big a step you move

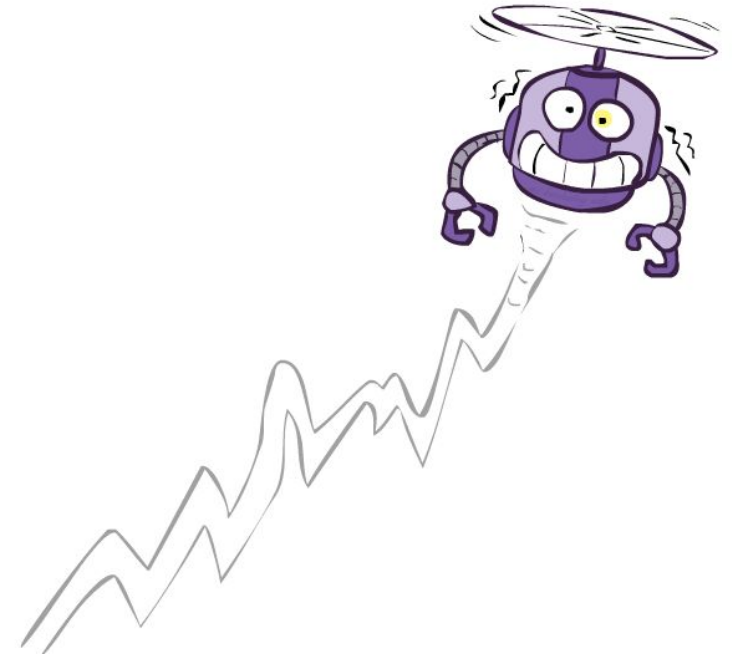


# Random Walk

- Uniformly randomly choose a neighbor to move to
  - Save the best you've seen so far
  - Stop after  $K$  moves
- 
- What happens to the solution as  $K$  increases?

# Simulated Annealing

- Combines random walk and hill climbing
- Inspired by statistical physics
- Annealing – Metallurgy
  - Heating metal to high temperature then cooling
  - Reaching low energy state
- Simulated Annealing
  - Allow for downhill moves and make them rarer as time goes on
  - Escapes local maxima and reaches global maxima



# Simulated Annealing

**function** SIMULATED-ANNEALING(*problem*, *schedule*) **returns** a solution state

**inputs:** *problem*, a problem

*schedule*, a mapping from time to “temperature”

*current*  $\leftarrow$  MAKE-NODE(*problem*.INITIAL-STATE)

**for**  $t = 1$  **to**  $\infty$  **do**

$T \leftarrow$  *schedule*( $t$ )

**if**  $T = 0$  **then return** *current*

*next*  $\leftarrow$  a randomly selected successor of *current*

$\Delta E \leftarrow$  *next*.VALUE – *current*.VALUE

**if**  $\Delta E > 0$  **then** *current*  $\leftarrow$  *next*

**else** *current*  $\leftarrow$  *next* only with probability  $e^{\Delta E/T}$

Control the change of  
temperature  $T$  ( $\downarrow$  over time)

Almost the same as hill climbing  
except for a *random* successor

Unlike hill climbing, move  
downhill with some probability

## Poll 2:

Which of the following will make it more likely that we'll take a downward step?

- A. Decrease  $T$ , decrease  $\Delta E$
- B. Decrease  $T$ , increase  $\Delta E$
- C. Increase  $T$ , decrease  $\Delta E$
- D. Increase  $T$ , increase  $\Delta E$

**function** SIMULATED-ANNEALING(*problem*, *schedule*) **returns** a solution state

**inputs:** *problem*, a problem

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- B. Decrease  $T$ , increase  $\Delta E$
- C. Increase  $T$ , decrease  $\Delta E$
- D. Increase  $T$ , increase  $\Delta E$

$\Delta E$  is negative but should be close to 0,  
T should be big because of E's negative

**function** SIMULATED-ANNEALING(*problem*, *schedule*) **returns** a solution state

**inputs:** *problem*, a problem

*schedule*, a mapping from time to “temperature”

*current*  $\leftarrow$  MAKE-NODE(*problem*.INITIAL-STATE)

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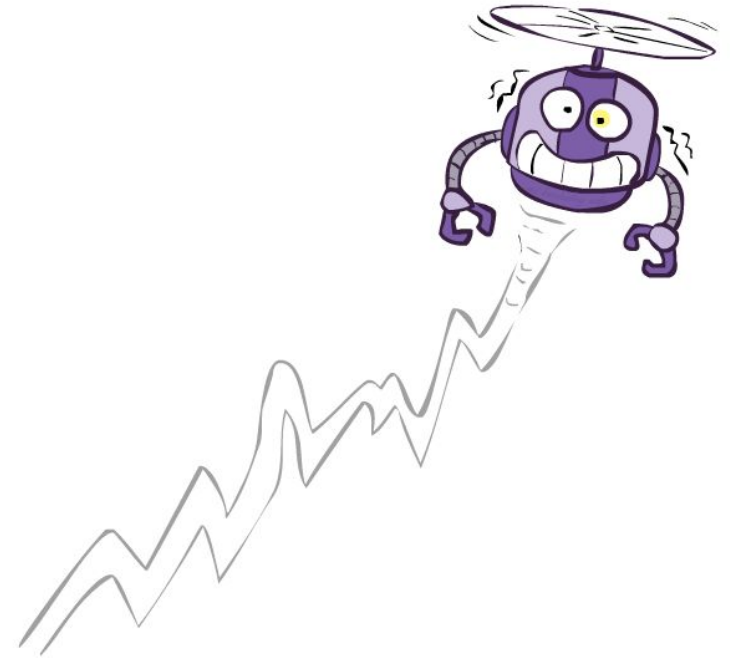
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**if**  $\Delta E > 0$  **then** *current*  $\leftarrow$  *next*

**else** *current*  $\leftarrow$  *next* only with probability  $e^{\Delta E/T}$

# Simulated Annealing

- $P[\text{move downhill}] = e^{\Delta E/T}$ 
  - Bad moves are more likely to be allowed when  $T$  is high (at the beginning of the algorithm)
  - Really bad moves are less likely to be allowed
- Guarantee: If  $T$  decreased slowly enough, will converge to optimal state!
- But! In reality, the more downhill steps you need to escape a local optimum, the less likely you are to ever make them all in a row



# Summary: Local Search

- Maintain a constant number of current nodes or states, and move to “neighbors” or generate “offspring” in each iteration
  - Do not maintain a search tree or multiple paths
  - Typically, do not retain the path to the node
- Advantages
  - Use little memory
  - The assignments of other variables give guidance on how the selected variable should be set
  - Can potentially solve large-scale problems or get a reasonable (suboptimal or almost feasible) solution