

INSTRUCTIONS

- **Due: Monday, March 11, 2024 at 10:00 PM EDT.** Remember that you may use up to 2 slip days for the Written Homework making the last day to submit **Wednesday, March 13, 2024 at 10:00 PM EDT.**
- **Format:** Write your answers in the `yoursolution.tex` file and compile a pdf (preferred) or you can type directly on the blank pdf. Make sure that your answers are within the dedicated regions for each question/part. If you do not follow this format, we may deduct points. We will NOT accept handwritten solutions of any kind.
- **Images:** To insert pictures, we recommend drawing it on PowerPoint or Google Drawings, saving it as an image and including it in your latex source.
- **How to submit:** Submit a pdf with your answers on Gradescope. Log in and click on our class 15-281 and click on the submission titled HW6 and upload your pdf containing your answers. **Misaligned submissions will have at least 5% taken off their score.**
- **Policy:** See the course website for homework policies and Academic Integrity.

Name	
Andrew ID	
Hours to complete?	<input type="radio"/> (0, 2] hours <input type="radio"/> (2, 3] hours <input type="radio"/> (3, 4] hours <input type="radio"/> (4, 5] hours <input type="radio"/> (5, 6] hours <input type="radio"/> (6, 7] hours <input type="radio"/> (7, 8] hours <input type="radio"/> > 8 hours

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Q1	Q2	Q3	Q4	Q5	Total
/18	/24	/20	/30	/8	/100

Q1. [18 pts] Probability: Product Rule and Bayes Rule

Part 1: Product Rule

Suppose that if we randomly choose a student, the probability that they like to play volleyball is 0.01. Now, suppose that if we randomly choose a student that likes to play volleyball, the probability that they are tall is 0.3. In other words, the probability that a student is tall given that they like to play volleyball is 0.3.

(a) [2 pts] Intuitively, would you expect the probability that a student likes to play volleyball and is tall to be lower or higher than 0.01? (Why?)

- Lower
 Higher

(b) [8 pts] Consider two binary random variables, L and T. L represents whether you are late for work or not, while T represents whether there's a traffic jam or not. So, $+l, +t$ means that you're late for work and there's a traffic jam. We are given the following probability tables:

<i>T</i>	$P(T)$
$+t$	0.4
$-t$	0.6

<i>L</i>	<i>T</i>	$P(L T)$
$+l$	$+t$	0.8
$-l$	$+t$	0.2
$+l$	$-t$	0.25
$-l$	$-t$	0.75

Compute the four entries of $P(L, T)$.

(L, T)	P(L, T)
$(+l, +t)$	
$(+l, -t)$	
$(-l, +t)$	
$(-l, -t)$	

Part 2: Bayes Rule

The product rule allows us to write the joint distribution of two random variables, A and B , in two different ways:

$$P(A, B) = P(A | B)P(B)$$

$$P(A, B) = P(B | A)P(A)$$

Setting these equal to each other and moving one of the marginal terms to the other side gives us a derivation of Bayes' rule:

$$P(A | B)P(B) = P(B | A)P(A)$$

$$P(A | B) = \frac{P(B | A)P(A)}{P(B)}$$

Bayes' rule is incredibly useful as it relates $P(A | B)$ to $P(B | A)$ and allows us to calculate one from the other.

As an example, let's take a look at one variant of what is commonly known as the false positive paradox.

In a population of 1000 people, 2% have a deadly disease. You are administering a test for this disease, which has a false positive rate of 5% (i.e. it tests positive when a person doesn't have the disease 5% of the time) and a false negative rate of 0%.

Let T be a random variable indicating whether or not the person tests positive, and D indicate whether or not the person actually has the disease. We then have the following tables:

D	$P(D)$
$+d$	0.02
$-d$	0.98

T	D	$P(T D)$
$+t$	$+d$	1.0
$-t$	$+d$	0
$+t$	$-d$	0.05
$-t$	$-d$	0.95

(c) [8 pts] Compute the four entries in the $P(D | T)$ table:

D	T	(D T)
$+d$	$+t$	
$+d$	$-t$	
$-d$	$+t$	
$-d$	$-t$	

Q2. [24 pts] Classical Planning and GraphPlan

Suppose we translate the Valet Parking problem from the previous online homework into a classical planning problem with predicates $\text{ClearBehind}(\text{car})$ and $\text{ParkedBehind}(\text{car1}, \text{car2})$. Feel free to refer to the Valet Parking problem specifics in the online homework (Homework 5 Question 4) as necessary, but note that the specific states in this problem may correspond to the diagram in the previous online homework. We define two operations:

$\text{ParkBehind}(\text{car1}, \text{car2})$

- Preconditions:
 - $\text{ClearBehind}(\text{car1})$
 - $\text{ClearBehind}(\text{car2})$
 - $\text{ParkedBehind}(\text{car1}, \text{place})$
- Add List:
 - $\text{ParkedBehind}(\text{car1}, \text{car2})$
 - $\text{ClearBehind}(\text{place})$
 - $\neg \text{ParkedBehind}(\text{car1}, \text{place})$
 - $\neg \text{ClearBehind}(\text{car2})$
- Delete List:
 - $\text{ClearBehind}(\text{car2})$
 - $\text{ParkedBehind}(\text{car1}, \text{place})$

$\text{ParkInNewRow}(\text{car})$

- Preconditions:
 - $\text{ClearBehind}(\text{car})$
 - $\text{ParkedBehind}(\text{car}, \text{place})$
 - $\neg \text{ParkedBehind}(\text{car}, \text{curb})$
- Add List:
 - $\text{ParkedBehind}(\text{car}, \text{curb})$
 - $\text{ClearBehind}(\text{place})$
 - $\neg \text{ParkedBehind}(\text{car}, \text{place})$
- Delete List:
 - $\text{ParkedBehind}(\text{car}, \text{place})$
 - $\neg \text{ParkedBehind}(\text{car}, \text{curb})$

Recall, linear planning works on one goal until it is completely solved before moving on to the next goal. In contrast, non-linear planning considers all possible sub-goal orderings and handles goal interactions by interleaving. The issue with non-interleaved planning methods such as linear planning is that it will naively pursue one subgoal X after satisfying another subgoal Y, but may perform extra steps or may never accomplish the goal because steps required to accomplish X might undo things in subgoal Y. This issue has been coined the Sussman anomaly.

- (a) [8 pts] With the following initial state, identify the solution plans a linear and non-linear planner would return using the operators above. Both linear and nonlinear planners will try goals from left to right.

$$\text{State} = \text{ParkedBehind}(C, A) \wedge \text{ParkedBehind}(A, \text{Curb}) \wedge$$

$$\text{ParkedBehind}(B, \text{Curb}) \wedge \text{ClearBehind}(B) \wedge \text{ClearBehind}(C)$$

Assume all appropriate negated predicates are also in the knowledge base.

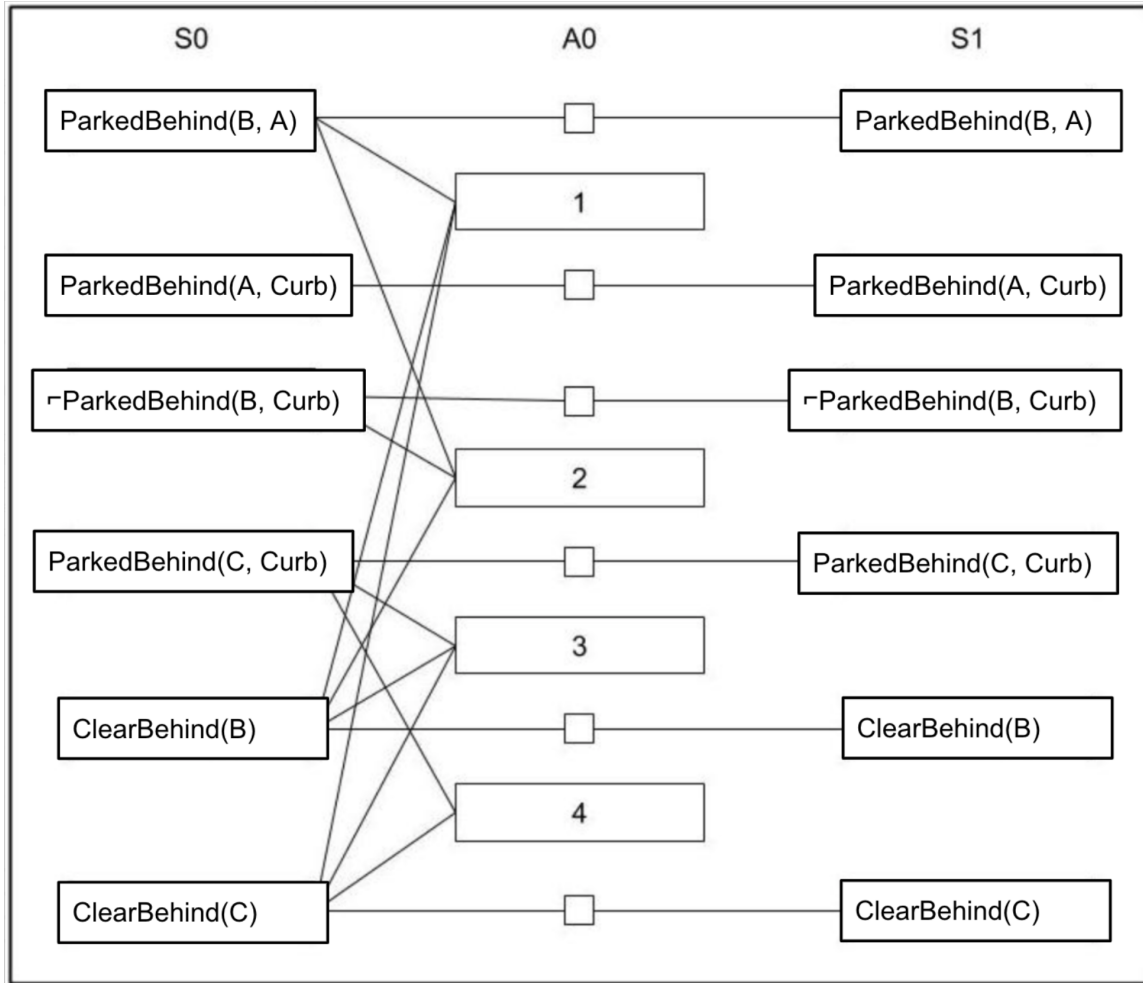
$$\text{Goal} = \text{ParkedBehind}(A, B) \wedge \text{ParkedBehind}(B, C) \wedge \text{ParkedBehind}(C, \text{Curb})$$

Linear plan:

Non-linear plan:

(b) [4 pts] Now consider the following image that shows a template for the first two levels of the **GraphPlan graph** for a ValetParking problem. We have drawn in the connections between actions in A0 and their preconditions in S0, as well as persistence actions (unnamed action nodes or **no-ops**). Your task is to:

- Fill in the blanks for the appropriate action nodes in A0 for the boxes labeled 1-4 below.
- Write “N/A” if there is no possible action for the given preconditions. NOTE: normally, when running GraphPlan we won’t include such N/A boxes.



1:	2:	3:	4:
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(c) [4 pts] Which edges are connected to the state layer S1 as a result of each of the above actions?

- List all the nodes (predicates) in S1 to which there is an **add** edge from each of the following actions
- Write “N/A” if the action was not possible
- NOTE: not all predicate nodes are shown in S1 above but you should still include ALL relevant predicates in your response.

1:	2:
3:	4:

For the following questions, remember that no-op actions count as actions. If you want to use these actions, refer to them as No-op(state) where the precondition and result of No-op(state) is the “state” predicate.

- (d) [2 pts] In your completed GraphPlan graph, name two action nodes between which there is an *Inconsistent effects* mutex relation.

Node 1:

Node 2:

- (e) [2 pts] In your completed GraphPlan graph, name two action nodes between which there is an *Interference* mutex relation.

Node 1:

Node 2:

- (f) [4 pts] One of the conditions for the GraphPlan algorithm to terminate with a failure is that the graph has **leveled off**. What does this mean? (Choose only one answer)

- A) All possible actions have been explored.
- B) There is no non-empty set of literals between which there are no mutex links.
- C) Two consecutive levels are identical.
- D) The last level of states contains a goal state.

Q3. [20 pts] Planning

Consider a planning environment with six different operations (defined in the table below), starting state A , and goal condition $C \wedge D \wedge E$. Only one operation may be applied at a time, and we are trying to find the plan with the fewest number of operations.

	op1	op2	op3	op4	op5	op6
Precondition	A	B	A	A	A	A
Add	B	C, D, E	C	D	E	E, $\neg A$
Delete						

(a) [5 pts]

- (i) [3 pts] Run linear planning on this environment with the order of subgoals: C then D then E . What plan is returned?

Plan:

- (ii) [1 pt] Is that plan optimal?

Yes No

- (iii) [1 pt] Explain your answer to part (ii).

Answer:

(b) [15 pts]

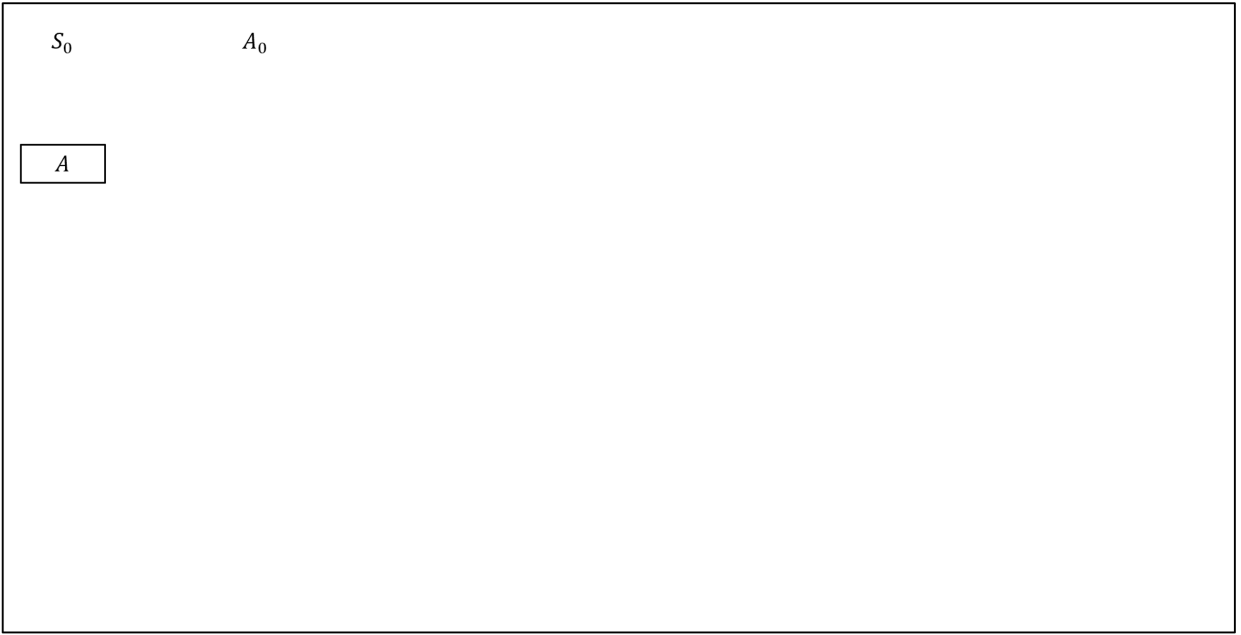
- (i) [4 pts] Run GraphPlan on this environment. Draw the **GraphPlan graph**, adding action levels and proposition levels until GraphPlan terminates.

Note: make sure to include the No-op actions for persistent states in your drawing.

For your submission to this problem, you may do one of the following:

- Draw/annotate on top of the existing images in the pdf.
- Edit the `figures/graphplan.png` image file to add markings.

Hand drawing is acceptable, as long as it is clear and precise enough.



(ii) [3 pts] What plan is returned by GraphPlan?

Plan:

(iii) [2 pts] Is that plan optimal?

Yes No

(iv) [6 pts] List ALL pairs of exclusive operators in A_0 and ALL pairs of exclusive propositions in S_1 . Write 'None' if none exist.

Note: Remember that no-op counts as an action.

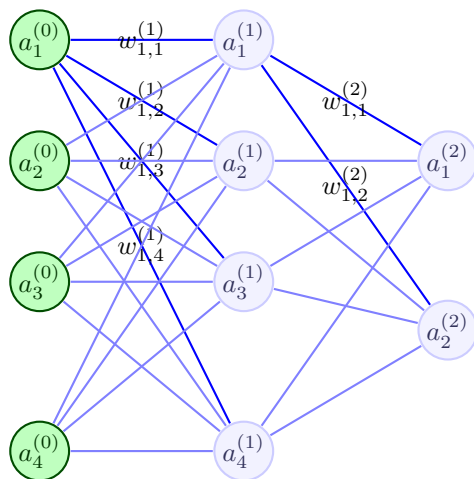
Exclusive Operators in A_0 :

Exclusive Propositions in S_1 :

Q4. [30 pts] Machine Learning

(a) [12 pts] Pinky is trying to predict whether a student will pass 15-281 Midterm 2. Pinky decides to build and train the neural network depicted below, using the following input features for a student:

- $a_1^{(0)}$: Midterm 1 exam score
- $a_2^{(0)}$: Percentage of lectures attended
- $a_3^{(0)}$: Percentage of recitations attended
- $a_4^{(0)}$: Average homework score



$$w^{(1)} = \begin{bmatrix} 0.1 & -0.2 & -0.3 & -0.4 \\ 0.1 & 0.2 & -0.3 & -0.4 \\ 0.1 & 0.2 & 0.3 & 0.4 \\ 0.1 & -0.2 & 0.3 & -0.4 \end{bmatrix} \quad w^{(2)} = \begin{bmatrix} 0.1 & 0.2 \\ 0.2 & 0.2 \\ 0.3 & 0.1 \\ 0.1 & 0.3 \end{bmatrix}$$

Note that $w_{i,j}^{(k)}$ refers to the weight corresponding between the connection between the i th neuron in layer $k - 1$ and the j th neuron in layer k . After training, Pinky needs your help to predict whether the following student would pass 15-281 Midterm 2:

	Midterm 1 Score	% Lectures Attended	% Recitations Attended	Avg. Homework Score
Student 1	87	92	84	93

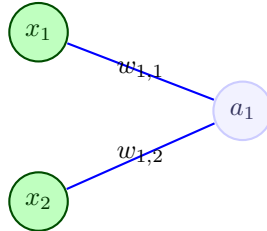
Use the learned weights of the network to find the value before and after the activation at each of the following nodes. Assume this network has **no bias terms** and uses a **ReLU activation**, where $\text{ReLU}(x) = \max(0, x)$. Show your work for partial credit.

Node	Before Activation	After Activation
Node $a_1^{(1)}$	i)	ii)
Node $a_2^{(1)}$	iii)	iv)
Node $a_3^{(1)}$	v)	vi)
Node $a_4^{(1)}$	vii)	viii)

(b) Consider the following network architecture.

The network takes in $x_1, x_2 \in \{0, 1\}$, and must output $a_1 \in \{0, 1\}$ for each of the following questions. The network computes the forward process as $a_1 = \text{activation}(w^T x + b)$ using **sign** activation

$$\text{sign}(x) = \begin{cases} 0 & x \leq 0 \\ 1 & x > 0 \end{cases}$$



- (i) [5 pts] Can this network represent the logical **AND** operator between 2 variables $x_1, x_2 \in \{0, 1\}$? If yes, explain why by **specifying** w and b . If not, draw a network by hand or with <https://alexlenail.me/NN-SVG/> that can and explain why it works instead.

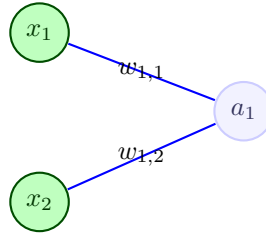
- (ii) [5 pts] Can this network represent the logical **OR** operator between 2 variables x_1 and $x_2 \in \{0, 1\}$? If yes, explain why by **specifying** w and b . If not, draw a network by hand or with <https://alexlenail.me/NN-SVG/> that can and explain why it works instead.

The following has been reproduced from the previous page for your convenience.

Consider the following network architecture.

The network takes in $x_1, x_2 \in \{0, 1\}$, and must output $a_1 \in \{0, 1\}$ for each of the following questions. The network computes the forward process as $a_1 = \text{activation}(w^T x + b)$ using **sign** activation

$$\text{sign}(x) = \begin{cases} 0 & x \leq 0 \\ 1 & x > 0 \end{cases}$$



- (iii) [8 pts] Can this network represent the logical **XOR** operator between 2 variables x_1 and $x_2 \in \{0, 1\}$? If yes, explain why by **specifying** w and b . If not, draw a network by hand or with <https://alexlenail.me/NN-SVG/> that can and explain why it works instead.

Q5. [8 pts] Ethics

Please read the following article and answer the questions below.

<https://www.nytimes.com/2021/11/19/technology/can-a-machine-learn-morality.html>. Note that you can sign up for a New York Times account for free using your andrewID.

- (a) [2 pts] Give an example of an AI system **NOT** mentioned by the article where employing morality is important.

Answer:

- (b) [2 pts] How do the researchers propose to instill ethical decision-making abilities in machines?

Answer:

- (c) [2 pts] What are some potential future implications of machines learning about morality that the article discusses?

Answer:

- (d) [2 pts] After reading the article, what are your thoughts on the ethical implications of machines making moral decisions? To what extent should AI agents moral judgments?

Answer: