

# Announcements

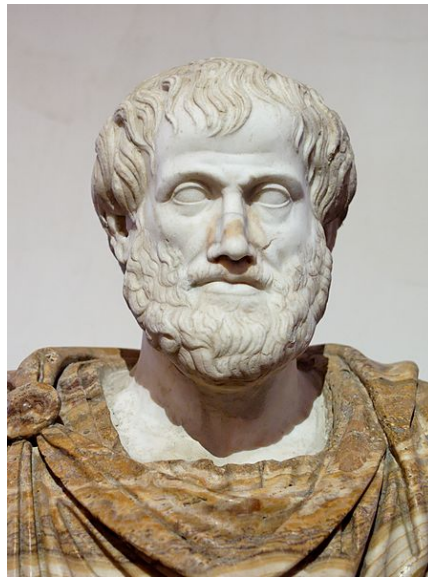
## Assignments:

- HW6
  - Due Tue 3/3, 10 pm
- P3
  - Due 3/5!!!!
- Final Exam Monday May 4, 1-4pm
  - Let us know ASAP if you have 3 exams scheduled within 24 hours
  - Make travel arrangements accordingly

No Homework during Spring Break!

# AI: Representation and Problem Solving

## First-Order Logic



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Slide credits: CMU AI, <http://aima.eecs.berkeley.edu>

# Outline

1. Need for first-order logic
2. Syntax and semantics
3. Planning with FOL
4. Inference with FOL

# Pros and Cons of Propositional Logic

- Propositional logic is **declarative**: pieces of syntax correspond to facts
- Propositional logic allows partial/disjunctive/negated information (unlike most data structures and databases)
- Propositional logic is **compositional**:  
meaning of  $B_{1,1} \wedge P_{1,2}$  is derived from meaning of  $B_{1,1}$  and of  $P_{1,2}$
- Meaning in propositional logic is **context-independent**  
(unlike natural language, where meaning depends on context)
- Propositional logic has very limited expressive power  
(unlike natural language)  
E.g., cannot say “pits cause breezes in adjacent squares”  
except by writing one sentence for each square

# Pros and Cons of Propositional Logic

## Conciseness

We don't need to write out the successor-state axioms for each state individually, we can use variables and qualifiers

## Rules of chess:

- 100,000 pages in propositional logic
- 1 page in first-order logic

## Rules of pacman:

- $\forall x,y,t \text{ At}(x,y,t) \Leftrightarrow [\text{At}(x,y,t-1) \wedge \neg \exists u,v \text{ Reachable}(x,y,u,v,\text{Action}(t-1))] \vee [\exists u,v \text{ At}(u,v,t-1) \wedge \text{Reachable}(x,y,u,v,\text{Action}(t-1))]$

# First-Order Logic (First-Order Predicate Calculus)

Whereas propositional logic assumes world contains **facts**,  
first-order logic (like natural language) assumes the world contains

- Objects: people, houses, numbers, theories, Ronald McDonald, colors, baseball games, wars, centuries, ...
- Relations (return true/false): red, round, bogus, prime, multistoried ..., brother of, bigger than, inside, part of, has color, occurred after, owns, ...
- Functions (return an object): father of, best friend, third inning of, one more than, end of, ...

# Logics in General

Language	What exists in the world	What an agent believes about facts
<u>Propositional logic</u>	<u>Facts</u>	true / false / unknown
<u>First-order logic</u>	<u>facts</u> , <u>objects</u> , <u>relations</u>	true / false / unknown
Probability theory	facts	degree of belief
Fuzzy logic	facts + degree of truth	known interval value

# Syntax of FOL

## Basic Elements

Constants *KingJohn, 2, CMU, ...*

*relation*  
Predicates

*Brother, >, ...*

*> (1, 2)*

Functions *Sqrt, LeftLegOf, ...*

Variables *x, y, a, b, ...*

Connectives  $\wedge \vee \neg \Rightarrow \Leftrightarrow$

Equality *=*

Quantifiers  $\forall \exists$  *for all*  $\rightarrow$  *there exists*



# Syntax of FOL

Atomic sentence =  $predicate(term_1, \dots, term_n)$   
or  $term_1 = term_2$

Term =  $function(term_1, \dots, term_n)$   
or constant  
or variable

## Examples

- $Brother(KingJohn, RichardTheLionheart)$
- $> (Length(LeftLegOf(Richard)), Length(LeftLegOf(KingJohn)))$

# Syntax of FOL

Complex sentences are made from atomic sentences using connectives

$$\neg S, \quad S_1 \wedge S_2, \quad S_1 \vee S_2, \quad S_1 \Rightarrow S_2, \quad S_1 \Leftrightarrow S_2$$

## Examples

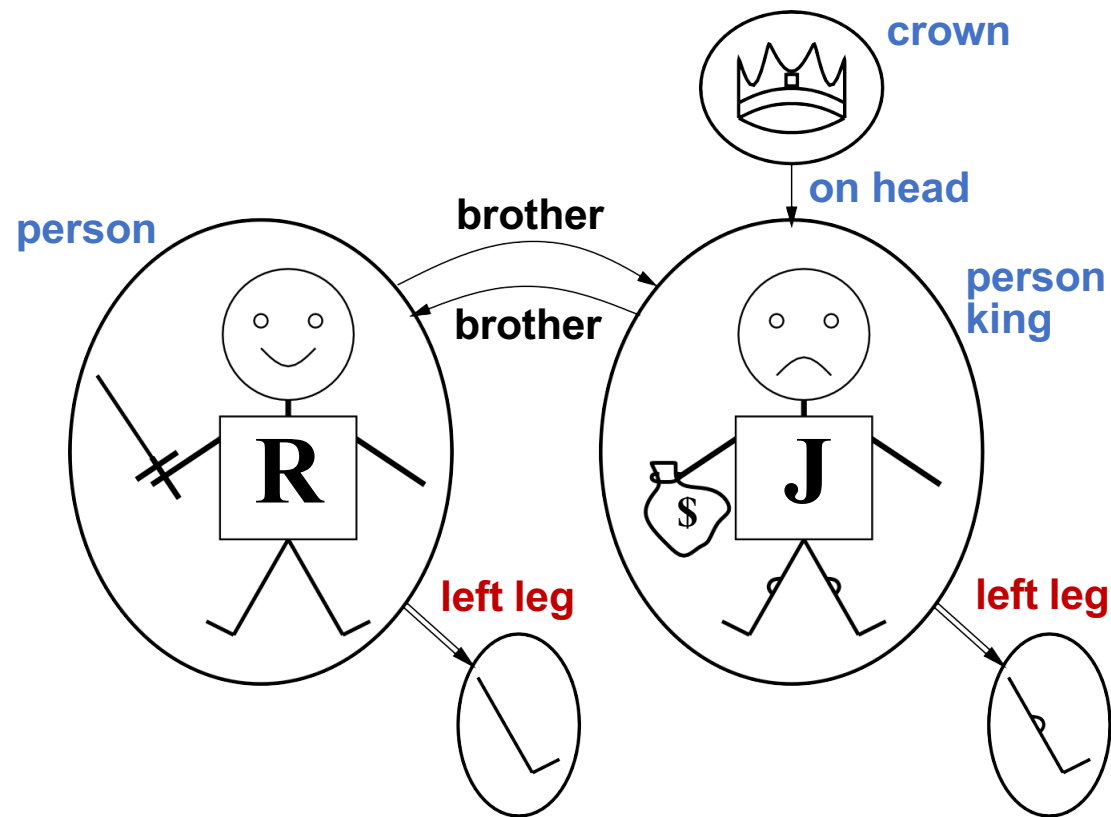
$$\textit{Sibling}(\textit{KingJohn}, \textit{Richard}) \Rightarrow \textit{Sibling}(\textit{Richard}, \textit{KingJohn})$$

$$>(1, 2) \vee \leq(1, 2)$$

$$>(1, 2) \wedge \neg >(1, 2)$$

# Models for FOL

## Example



# Models for FOL

*Brother(Richard, John)*

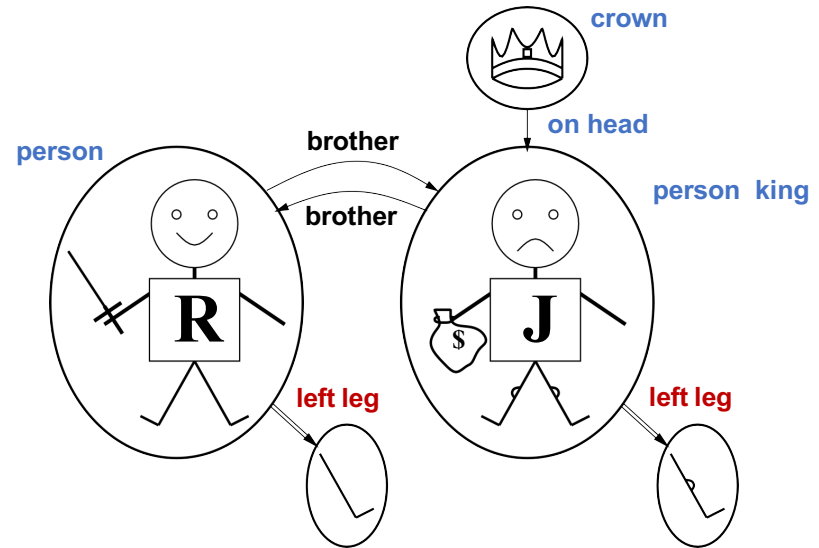
Consider the interpretation in which:

*Richard* → Richard the Lionheart

*John* → the evil King John

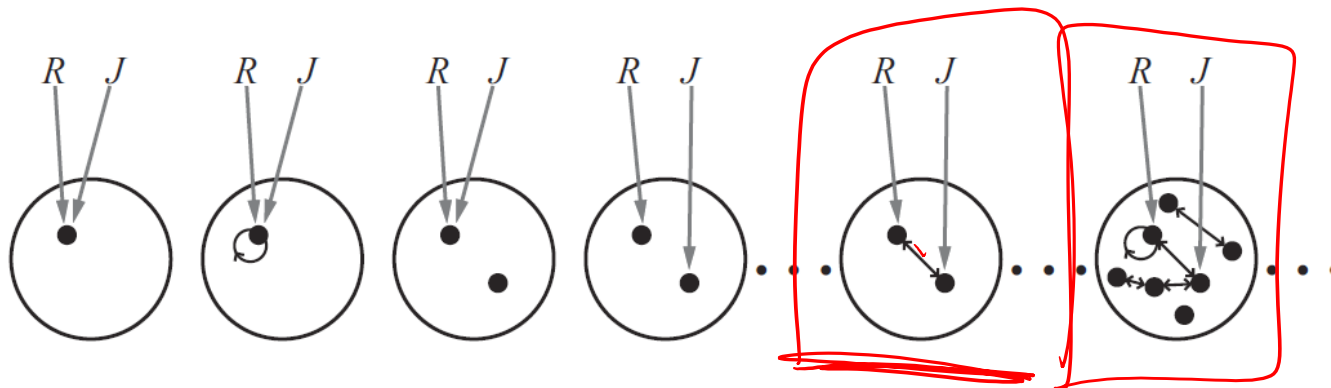
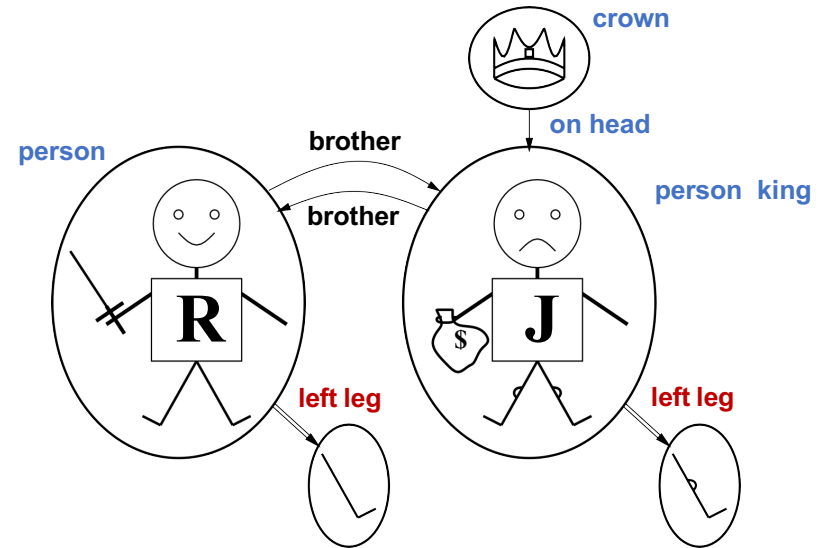
*Brother* → the brotherhood relation

What does the Brother relationship mean?



# Model for FOL

Lots of models!



# Model for FOL

Lots of models!

Entailment in propositional logic can be computed by enumerating models

We **can** enumerate the FOL models for a given KB vocabulary:

For each number of domain elements  $n$  from 1 to  $\infty$

For each  $k$ -ary predicate  $P_k$  in the vocabulary

For each possible  $k$ -ary relation on  $n$  objects

For each constant symbol  $C$  in the vocabulary

For each choice of referent for  $C$  from  $n$  objects . . .

Computing entailment by enumerating FOL models is not easy!

# Truth in First-Order Logic

Sentences are true with respect to a **model** and an **interpretation**

Model contains  $\geq 1$  objects (**domain elements**) and relations among them

Interpretation specifies referents for

constant symbols  $\rightarrow$  objects

predicate symbols  $\rightarrow$  relations

function symbols  $\rightarrow$  functional relations

An atomic sentence *predicate*(*term*<sub>1</sub>, ..., *term*<sub>*n*</sub>) is true:

iff the objects referred to by *term*<sub>1</sub>, ..., *term*<sub>*n*</sub>

are in the relation referred to by *predicate*

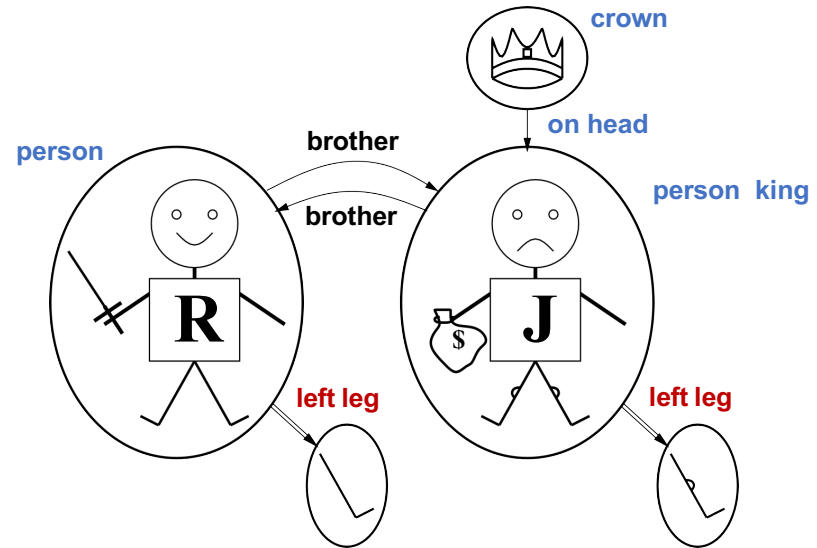
# Models for FOL

Consider the interpretation in which:

*Richard* → Richard the Lionheart

*John* → the evil King John

*Brother* → the brotherhood relation



Under this interpretation, *Brother(Richard, John)* is true just in the case Richard the Lionheart and the evil King John are in the brotherhood relation in the model



# Universal Quantification

$\forall(\text{variables}) \quad (\text{sentence})$  

Everyone at the banquet is hungry:

$\forall \underline{x} \quad At(x, Banquet) \Rightarrow Hungry(x)$

$\forall x \quad P$  is true in a model  $m$  iff  $P$  is true with  $x$  being each possible object in the model

Roughly speaking, equivalent to the conjunction of instantiations of  $P$

$(At(\underline{KingJohn}, Banquet) \Rightarrow Hungry(\underline{KingJohn}))$   
 $\wedge (At(\underline{Richard}, Banquet) \Rightarrow Hungry(\underline{Richard}))$   
 $\wedge (At(\underline{Banquet}, Banquet) \Rightarrow Hungry(\underline{Banquet}))$   
 $\wedge \dots$

# Universal Quantification

## Common mistake

Typically,  $\Rightarrow$  is the main connective with  $\forall$

Common mistake: using  $\wedge$  as the main connective with  $\forall$ :

$$\forall x \text{ } At(x, \textit{Banquet}) \wedge \textit{Hungry}(x)$$

means “Everyone is at the banquet and everyone is hungry”

# Existential Quantification

$\exists$  (variables) (sentence)

Someone at the tournament is hungry:

$\exists x \text{ At}(x, \text{Tournament}) \wedge \text{Hungry}(x)$

$\exists x P$  is true in a model  $m$  iff  $P$  is true with  $x$  being  
some possible object in the model

Roughly speaking, equivalent to the disjunction of instantiations of  $P$

$(\text{At}(\text{KingJohn}, \text{Tournament}) \wedge \text{Hungry}(\text{KingJohn}))$   
 $\vee (\text{At}(\text{Richard}, \text{Tournament}) \wedge \text{Hungry}(\text{Richard}))$   
 $\vee (\text{At}(\text{Tournament}, \text{Tournament}) \wedge \text{Hungry}(\text{Tournament}))$   
 $\vee \dots$

# Existential Quantification

## Common mistake

Typically,  $\wedge$  is the main connective with  $\exists$

Common mistake: using  $\Rightarrow$  as the main connective with  $\exists$ :

$$\exists x \text{ At}(x, \text{Tournament}) \Rightarrow \text{Hungry}(x)$$

is true if there is anyone who is not at the tournament!

# Properties of Quantifiers

$\forall x \forall y$  is the same as  $\forall y \forall x$

$\exists x \exists y$  is the same as  $\exists y \exists x$

$\exists x \forall y$  is **not** the same as  $\forall y \exists x$

$\exists x \forall y \text{ Loves}(x, y)$

“There is a person who loves everyone in the world”

$\forall y \exists x \text{ Loves}(x, y)$

“Everyone in the world is loved by at least one person”

**Quantifier duality:** each can be expressed using the other

$\forall x \text{ Likes}(x, \text{IceCream})$   $\neg \exists x \neg \text{Likes}(x, \text{IceCream})$

$\exists x \text{ Likes}(x, \text{Broccoli})$   $\neg \forall x \neg \text{Likes}(x, \text{Broccoli})$

# Fun with Sentences

Brothers are siblings

$$\forall x, y \text{ } Brother(x, y) \Rightarrow Sibling(x, y).$$

“Sibling” is symmetric

$$\forall x, y \text{ } Sibling(x, y) \Leftrightarrow Sibling(y, x).$$

A first cousin is a child of a parent's sibling

$$\forall x, y \text{ } FirstCousin(x, y) \Leftrightarrow \exists p, ps \text{ } Parent(p, x) \wedge Sibling(ps, p) \wedge Parent(ps, y)$$

# Equality

$term_1 = term_2$  is true under a given interpretation  
if and only if  $term_1$  and  $term_2$  refer to the same object

E.g.,  $1 = 2$  and  $\forall x \times (Sqrt(x), Sqrt(x)) = x$  are satisfiable  
 $2 = 2$  is valid

E.g., definition of (full) *Sibling* in terms of *Parent*:

$$\begin{aligned} &\underline{\forall x, y \text{ Sibling}(x, y)} \Leftrightarrow \\ &\quad [\underline{\neg(x = y)} \wedge \exists m, f \neg(m = f) \wedge \\ &\quad \text{Parent}(m, x) \wedge \text{Parent}(f, x) \wedge \text{Parent}(m, y) \wedge \text{Parent}(f, y)] \end{aligned}$$

## Piazza Poll 1

Given the following two FOL sentences:

$$\gamma: \forall x \text{ Hungry}(x)$$

$$\delta: \exists x \text{ Hungry}(x)$$

Which of these is true?

- A)  $\gamma \models \delta$
- B)  $\delta \models \gamma$
- C) Both
- D) Neither



## Piazza Poll 1

Given the following two FOL sentences:

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A)  $\gamma \models \delta$

B)  $\delta \models \gamma$

C) Both

D) Neither

# Interacting with FOL KBs

Suppose a wumpus-world agent is using an FOL KB  
and perceives a smell and a breeze (but no glitter) at  $t = 5$ :

$Tell(KB, Percept([Smell, Breeze, None], 5))$   
 $Ask(KB, \exists a Action(a, 5)) \leftarrow \text{entailment}$

i.e., does  $KB$  entail any particular actions at  $t = 5$ ?

Answer: Yes ,  $\{a/Shoot\}$   $\leftarrow$  substitution (binding list)  
 $\uparrow$  value  
 $\uparrow$  variable

Notation Alert!

Given a sentence  $S$  and a substitution  $\sigma$ ,  
 $S\sigma$  denotes the result of plugging  $\sigma$  into  $S$ ; e.g.,

Notation Alert!

$S = Smarter(x, y)$

$\sigma = \{x/EVE, y/WALL-E\}$

$S\sigma = Smarter(EVE, WALL-E)$

$Ask(KB, S)$  returns some/all  $\sigma$  such that  $KB \models S\sigma$

# Inference in First-Order Logic

## A) Reducing first-order inference to propositional inference

- Removing  $\forall$
- Removing  $\exists$
- Unification

## B) *Lifting* propositional inference to first-order inference

- Generalized Modus Ponens
- FOL forward chaining

# Universal Instantiation

Every instantiation of a universally quantified sentence is entailed by it:

$$\forall v a$$

$$\text{Subst}(\{v/g\}, a)$$

for any variable  $v$  and ground term  $g$

E.g.,  $\forall x$   $King(x) \wedge Greedy(x) \Rightarrow Evil(x)$  yields

$$King(John) \wedge Greedy(John) \Rightarrow Evil(John) \quad \wedge$$

$$King(Richard) \wedge Greedy(Richard) \Rightarrow Evil(Richard) \quad \wedge$$

$$King(Father(John)) \wedge Greedy(Father(John)) \Rightarrow Evil(Father(John))$$

# Existential Instantiation

For any sentence  $a$ , variable  $v$ , and constant symbol  $k$   
that does not appear elsewhere in the knowledge base:

$$\begin{array}{l} \exists v \quad a \\ \text{Subst}(\{v/k\}, a) \end{array}$$

E.g.,  $\exists x \quad \text{Crown}(x) \wedge \text{OnHead}(x, \text{John})$  yields

$$\text{Crown}(\underline{C_1}) \wedge \text{OnHead}(\underline{C_1}, \text{John})$$

provided  $C_1$  is a new constant symbol, called a **Skolem constant**

# Reduction to Propositional Inference

Suppose the KB contains just the following:

$$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$$

*King(John)*

*Greedy(John)*

*Brother(Richard, John)*

Instantiating the universal sentence in *all possible* ways, we have

$$\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$$

$$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$$

*King(John)*

*Greedy(John)*

*Brother(Richard, John)*

The new KB is **propositionalized**: proposition symbols are

*King(John), Greedy(John), Evil(John), King(Richard) etc.*

# Reduction to Propositional Inference

Claim: a ground sentence\* is entailed by new KB iff entailed by original KB

Claim: every FOL KB can be propositionalized so as to preserve entailment

Idea: propositionalize KB and query, apply resolution, return result Problem: with function symbols, there are infinitely many ground terms,

e.g., *Father(Father(Father(John)))*

Theorem: Herbrand (1930). If a sentence  $\alpha$  is entailed by an FOL KB, it is entailed by a **finite** subset of the propositional KB

Idea: For  $n = 0$  to  $\infty$  do

create a propositional KB by instantiating with depth- $n$  terms see if  $\alpha$  is entailed by this KB

Problem: works if  $\alpha$  is entailed, loops if  $\alpha$  is not entailed

Theorem: Turing (1936), Church (1936), entailment in FOL is **semidecidable**

# Problems with Propositionalization

Propositionalization seems to generate lots of irrelevant sentences. E.g., from

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\forall y \text{ Greedy}(y)$

$\text{Brother}(\text{Richard}, \text{John})$

it seems obvious that  $\text{Evil}(\text{John})$ , but propositionalization produces lots of facts such as  $\text{Greedy}(\text{Richard})$  that are irrelevant



# Unification

We can get the inference immediately if we can find a substitution  $\theta$  such that  $King(x)$  and  $Greedy(x)$  match  $King(John)$  and  $Greedy(y)$

$\theta = \{x/John, y/John\}$  works

$Unify(a, \beta) = \theta$  if  $a\theta = \beta\theta$

$p$	$q$	$\theta$
$Knows(John, x)$	$Knows(John, Jane)$	$\{x/Jane\}$
$Knows(John, x)$	$Knows(y, Sam)$	$\{x/Sam, y/John\}$
$Knows(John, x)$	$Knows(y, Mother(y))$	$\{y/John, x/Mother(John)\}$
$Knows(John, x)$	$Knows(x, Sam)$	$fail$

Standardizing apart eliminates overlap of variables, e.g.,  $Knows(z_{17}, Sam)$

# Generalized Modus Ponens (GMP)

$$\frac{p'_1, p'_2, \dots, p'_n, \quad (p_1 \wedge p_2 \dots \wedge p_n \Rightarrow q)}{q\theta} \quad \text{where } p'_i\theta = p_i\theta \text{ for all } i$$

Relations  $\Rightarrow$

Example

$p'_1$  is King(John)

$p'_2$  is Greedy(y)

$p_1$  is King(x)

$p_2$  is Greedy(x)

$q$  is Evil(x)

$\theta$  is  $\{x/\text{John}, y/\text{John}\}$

$q\theta$  is Evil(John)

GMP used with KB of **definite clauses** (**exactly** one positive literal)

All variables assumed universally quantified

# FOL Forward Chaining

```
function FOL-FC-Ask( $KB$ ,  $\alpha$ ) returns a substitution or false
  repeat until new is empty
     $new \leftarrow \{ \}$ 
    for each sentence  $r$  in  $KB$  do
      ( $p_1 \wedge \dots \wedge p_n \Rightarrow q$ )  $\leftarrow$  Standardize-Apart( $r$ )
      for each  $\theta$  such that  $(p_1 \wedge \dots \wedge p_n)\theta = (p_1^t \wedge \dots \wedge p_n^t)\theta_n$ 
        for some  $p_1^t, \dots, p_n^t$  in  $KB$ 
           $q^t \leftarrow \text{Subst}(\theta, q)$ 
          if  $q^t$  is not a renaming of a sentence already in  $KB$  or new then do
            add  $q^t$  to new
             $\varphi \leftarrow \text{Unify}(q^t, \alpha)$ 
            if  $\varphi$  is not fail then return  $\varphi$ 
    add new to  $KB$ 
  return false
```