### **Announcements**

#### Midterm 1 Exam

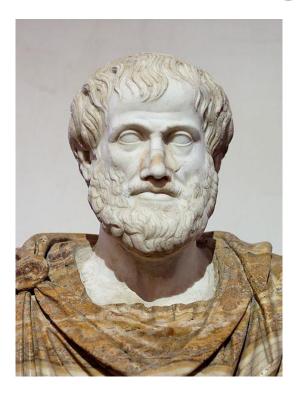
- Tue 10/1, in class
- See Piazza for details
  - Practice exam
  - Recitation Friday
  - Vote for Sunday review session time slot

### Assignments:

- P2: Logic and Planning
  - Due Sat 10/5, 10 pm

# AI: Representation and Problem Solving

# First-Order Logic



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Slide credits: CMU AI, http://aima.eecs.berkeley.edu

## Propositional Logic vs First-Order Logic

#### Rules of chess:

- 100,000 pages in propositional logic
- 1 page in first-order logic

### Rules of pacman:

```
■ \forallx,y,t At(x,y,t) \Leftrightarrow [At(x,y,t-1) \land \neg \exists u,v Reachable(x,y,u,v,Action(t-1))] v [\exists u,v At(u,v,t-1) \land Reachable(x,y,u,v,Action(t-1))]
```

# First-Order Logic (First-Order Predicate Calculus)

Whereas propositional logic assumes world contains facts, first-order logic (like natural language) assumes the world contains

- Objects: people, houses, numbers, theories, Ronald McDonald, colors, baseball games, wars, centuries, ...
- Relations: red, round, bogus, prime, multistoried ...,
   mother of, bigger than, inside, part of, has color, occurred after, owns, ...
- Functions: mother of, best friend, third inning of, one more than, end of, ...

# Logics in General

Language	What exists in the world	What an agent believes about facts
Propositional logic	Facts	true / false / unknown
First-order logic	facts, objects, relations	true / false / unknown
Probability theory	facts	degree of belief
Fuzzy logic	facts + degree of truth	known interval value

# Syntax of FOL

#### **Basic Elements**

Constants KingJohn, 2, CMU, ...

Predicates Brother, >, . . .

Functions Sqrt, LeftLegOf, . . .

Variables  $x, y, a, b, \dots$ 

Connectives  $\wedge \vee \neg \Rightarrow \Leftrightarrow$ 

Equality =

Quantifiers ∀∃

# Syntax of FOL

```
Atomic sentence = predicate(term_1, ..., term_n)

or term_1 = term_2

Term = function(term_1, ..., term_n)

or constant

or variable
```

### Examples

Brother(KingJohn, RichardTheLionheart)

> (Length(LeftLegOf(Richard)), Length(LeftLegOf(KingJohn)))

# Syntax of FOL

Complex sentences are made from atomic sentences using connectives

$$\neg S$$
,  $S_1 \land S_2$ ,  $S_1 \lor S_2$ ,  $S_1 \Rightarrow S_2$ ,  $S_1 \Leftrightarrow S_2$ 

### **Examples**

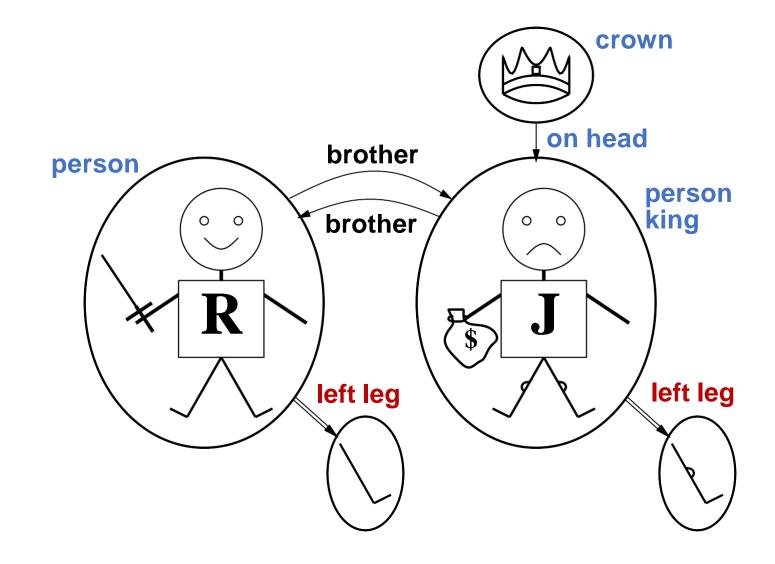
 $Sibling(KingJohn, Richard) \Rightarrow Sibling(Richard, KingJohn)$ 

$$>(1, 2) \lor \le (1, 2)$$

$$>(1, 2) \land \neg > (1, 2)$$

## Models for FOL

### Example

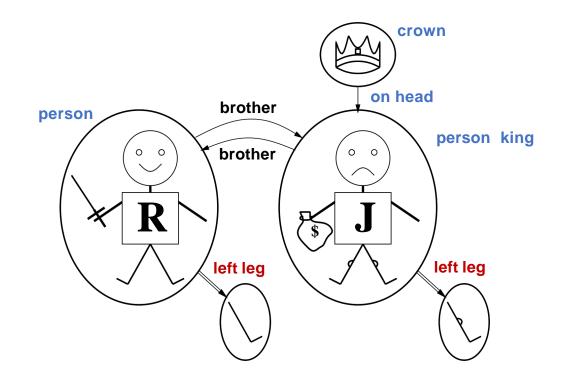


### Models for FOL

Brother(Richard, John)

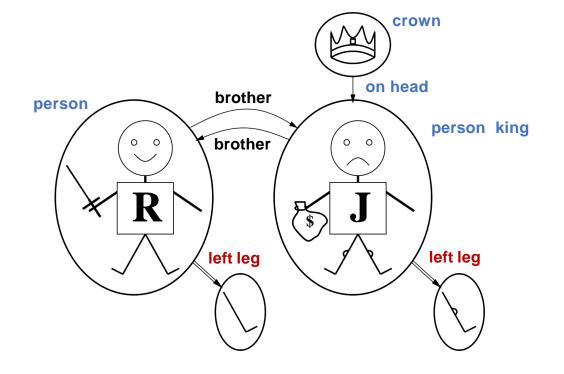
Consider the interpretation in which:

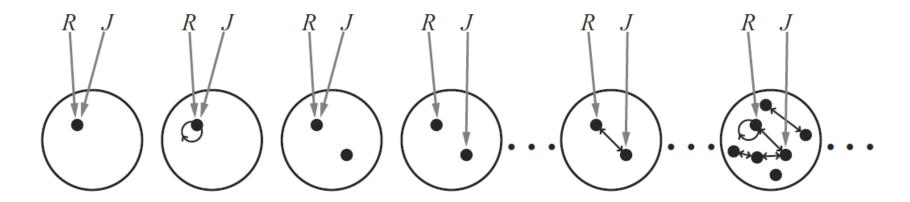
 $Richard \rightarrow Richard$  the Lionheart  $John \rightarrow the$  evil King John  $Brother \rightarrow the$  brotherhood relation



# Model for FOL

Lots of models!





### Model for FOL

Lots of models!

Entailment in propositional logic can be computed by enumerating models

We can enumerate the FOL models for a given KB vocabulary:

For each number of domain elements n from 1 to  $\infty$ For each k-ary predicate  $P_k$  in the vocabulary

For each possible k-ary relation on n objects

For each constant symbol C in the vocabulary

For each choice of referent for C from n objects . . .

Computing entailment by enumerating FOL models is not easy!

# Truth in First-Order Logic

Sentences are true with respect to a model and an interpretation

Model contains  $\geq 1$  objects (domain elements) and relations among them

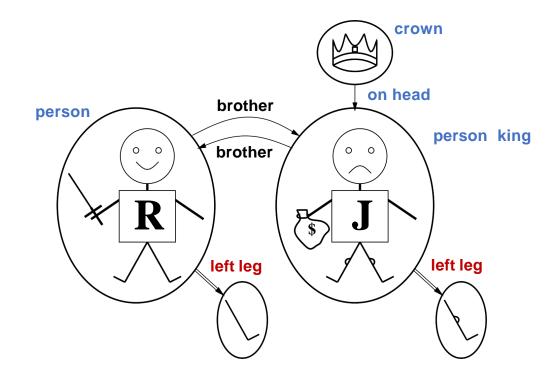
```
Interpretation specifies referents for constant symbols → objects predicate symbols → relations function symbols → functional relations
```

```
An atomic sentence predicate(term_1, ..., term_n) is true: iff the objects referred to by term_1, ..., term_n are in the relation referred to by predicate
```

### Models for FOL

Consider the interpretation in which:

 $Richard \rightarrow Richard$  the Lionheart  $John \rightarrow the$  evil King John  $Brother \rightarrow the$  brotherhood relation



Under this interpretation, *Brother*(*Richard*, *John*) is true just in the case Richard the Lionheart and the evil King John are in the brotherhood relation in the model

## Universal Quantification

```
\forall (variables) (sentence)
```

```
Everyone at the banquet is hungry:
```

```
\forall x \ At(x, Banquet) \Rightarrow Hungry(x)
```

 $\forall x \ P$  is true in a model m iff P is true with x being each possible object in the model

Roughly speaking, equivalent to the conjunction of instantiations of P

```
(At(KingJohn, Banquet) \Rightarrow Hungry(KingJohn))
 \land (At(Richard, Banquet) \Rightarrow Hungry(Richard))
 \land (At(Banquet, Banquet) \Rightarrow Hungry(Banquet))
 \land \dots
```

## Universal Quantification

Common mistake

Typically,  $\Rightarrow$  is the main connective with  $\forall$ 

Common mistake: using ∧ as the main connective with ∀:

 $\forall x At(x, Banquet) \land Hungry(x)$ 

means "Everyone is at the banquet and everyone is hungry"

## Existential Quantification

```
∃ (variables) (sentence)
```

```
Someone at the tournament is hungry: \exists xAt(x, Tournament) \land Hungry(x)
```

 $\exists xP$  is true in a model m iff P is true with x being some possible object in the model

Roughly speaking, equivalent to the disjunction of instantiations of *P* 

```
(At(KingJohn, Tournament) ∧ Hungry(KingJohn))
∨ (At(Richard, Tournament) ∧ Hungry(Richard))
∨ (At(Tournament, Tournament) ∧ Hungry(Tournament))
∨ . . .
```

## Existential Quantification

Common mistake

Typically,  $\wedge$  is the main connective with  $\exists$ 

Common mistake: using  $\Rightarrow$  as the main connective with  $\exists$ :

 $\exists xAt(x, Tournament) \Rightarrow Hungry(x)$ 

is true if there is anyone who is not at the tournament!

## Properties of Quantifiers

```
\forall x \quad \forall y \quad \text{is the same as } \forall y \quad \forall x
\exists x \quad \exists y \quad \text{is the same as } \exists y \quad \exists x
\exists x \quad \forall y \quad \text{is not the same as } \forall y \quad \exists x
```

```
\exists x \ \forall y \ Loves(x, y)
```

"There is a person who loves everyone in the world"

```
\forall y \exists x Loves(x, y)
```

"Everyone in the world is loved by at least one person"

Quantifier duality: each can be expressed using the other

```
\forall x \ Likes(x, IceCream) \neg \exists x \neg Likes(x, IceCream) \exists x \ Likes(x, Broccoli) \neg \forall x \neg Likes(x, Broccoli)
```

# Example Sentences

### Brothers are siblings

```
\forall x, y Brother(x, y) \Rightarrow Sibling(x, y).
```

### "Sibling" is symmetric

 $\forall x, y Sibling(x, y) \Leftrightarrow Sibling(y, x)$ .

### A first cousin is a child of a parent's sibling

 $\forall x, y First Cousin(x, y) \Leftrightarrow \exists p, ps \ Parent(p, x) \land Sibling(ps, p) \land Parent(ps, y)$ 

## Equality

```
term_1 = term_2 is true under a given interpretation if and only if term_1 and term_2 refer to the same object
```

```
E.g., 1 = 2 and \forall x \times (Sqrt(x), Sqrt(x)) = x are satisfiable 2 = 2 is valid
```

E.g., definition of (full) *Sibling* in terms of *Parent*:

```
\forall x, y \; Sibling(x, y) \Leftrightarrow
[\neg(x = y) \land \exists m, f \neg(m = f) \land Parent(m, x) \land Parent(f, x) \land Parent(m, y) \land Parent(f, y)]
```

### Piazza Poll 1

### Given the following two FOL sentences:

```
\gamma: \forall x \; Hungry(x)
```

 $\delta$ :  $\exists x \; Hungry(x)$ 

#### Which of these is true?

- A)  $\gamma \models \delta$
- B)  $\delta \models \gamma$
- C) Both
- D) Neither

### Piazza Poll 1

### Given the following two FOL sentences:

 $\gamma$ :  $\forall x \; Hungry(x)$ 

 $\delta$ :  $\exists x \; Hungry(x)$ 

#### Which of these is true?

- A)  $\gamma \models \delta$
- B)  $\delta \models \gamma$
- C) Both
- D) Neither

## Interacting with FOL KBs

Ask(KB, S) returns some/all  $\theta$  such that  $KB = S\theta$ 

```
Suppose a wumpus-world agent is using an FOL KB
and perceives a smell and a breeze (but no glitter) at t = 5:
Tell(KB, Percept([Smell, Breeze, None], 5))
Ask(KB, \exists a Action(a, 5))
i.e., does KB entail any action at t = 5?
Answer: Yes, \{a/Shoot\} \leftarrow substitution (binding list)
                                                                   Notation Alert!
Given a sentence S and a substitution \theta,
                                                                   Notation Alert!
S\theta denotes the result of plugging \theta into S; e.g.,
S = Smarter(x, y)
\theta = \{x/EVE, y/WALL-E\}
S\theta = Smarter(EVE, WALL-E)
```

# Inference in First-Order Logic

- A) Reducing first-order inference to propositional inference
- Removing ∀
- Removing 3
- Unification

- B) Lifting propositional inference to first-order inference
- Generalized Modus Ponens
- FOL forward chaining

### Universal Instantiation

Every instantiation of a universally quantified sentence is entailed by it:

```
\forall v a
```

```
Subst(\{v/g\}, a)
```

for any variable v and ground term g

```
E.g., \forall x \quad King(x) \land Greedy(x) \Rightarrow Evil(x) yields
```

 $King(John) \land Greedy(John) \Rightarrow Evil(John)$ 

 $King(Richard) \land Greedy(Richard) \Rightarrow Evil(Richard)$ 

 $King(Father(John)) \land Greedy(Father(John)) \Rightarrow Evil(Father(John))$ 

### Existential Instantiation

For any sentence a, variable v, and constant symbol k that does not appear elsewhere in the knowledge base:  $\exists v \quad a$  Subst $(\{v/k\}, a)$ 

E.g., 
$$\exists x \quad Crown(x) \land OnHead(x, John)$$
 yields  $Crown(C_1) \land OnHead(C_1, John)$ 

provided  $C_1$  is a new constant symbol, called a Skolem constant

## Reduction to Propositional Inference

#### Suppose the KB contains just the following:

```
\forall x \ King(x) \land Greedy(x) \Rightarrow Evil(x)
King(John)
Greedy(John)
Brother(Richard, John)
```

#### Instantiating the universal sentence in *all* possible ways, we have

```
King(John) \land Greedy(John) \Rightarrow Evil(John)
King(Richard) \land Greedy(Richard) \Rightarrow Evil(Richard)
King(John)
Greedy(John)
Greedy(John)
Brother(Richard, John)
```

#### The new KB is propositionalized: proposition symbols are

King(John), Greedy(John), Evil(John), King(Richard) etc.

## Reduction to Propositional Inference

Claim: a ground sentence\* is entailed by new KB iff entailed by original KB

Claim: every FOL KB can be propositionalized so as to preserve entailment

Idea: propositionalize KB and query, apply resolution, return result Problem: with function symbols,

there are infinitely many ground terms, e.g., Father(Father(John)))

Theorem: Herbrand (1930). If a sentence a is entailed by an FOL KB, it is entailed by a finite subset of the propositional KB

Idea: For n=0 to  $\infty$  do create a propositional KB by instantiating with depth-n terms see if a is entailed by this KB

Problem: works if a is entailed, loops if a is not entailed

Theorem: Turing (1936), Church (1936), entailment in FOL is semidecidable

## Problems with Propositionalization

Propositionalization seems to generate lots of irrelevant sentences. E.g., from

```
\forall x \, King(x) \land Greedy(x) \Rightarrow Evil(x)
King(John)
\forall y \, Greedy(y)
Brother(Richard, John)
```

it seems obvious that Evil(John), but propositionalization produces lots of facts such as Greedy(Richard) that are irrelevant

### Unification

We can get the inference immediately if we can find a substitution  $\theta$  such that King(x) and Greedy(x) match King(John) and Greedy(y)

 $\theta$ = {x/John, y/John} works

Unify  $(a, \beta) = \theta$  if  $a\theta = \beta\theta$ 

p	q	$\mid  heta$
Knows(John, x)	Knows(John, Jane)	{x/Jane}
Knows(John, x)	Knows(y, Sam)	{x/Sam, y/John}
Knows(John, x)	Knows(y, Mother(y))	$\{y/John, x/Mother(John)\}$
Knows(John, x)	Knows(x, Sam)	fail

Standardizing apart eliminates overlap of variables, e.g.,  $Knows(z_{17}, Sam)$ 

# Generalized Modus Ponens (GMP)

$$\frac{p_1', p_2', \dots, p_n', \qquad (p_1 \land p_2 \dots \land p_n \Rightarrow q)}{q\theta} \qquad \text{where } p_i'\theta = p_i\theta \text{ for all } i$$

### Example

```
p_1' is King(John) p_1 is King(x) p_2' is Greedy(y) p_2 is Greedy(x) q is Evil(x)
```

 $\theta$  is  $\{x/John, y/John\}$  $q\theta$  is Evil(John)

GMP used with KB of definite clauses (exactly one positive literal) All variables assumed universally quantified

## FOL Forward Chaining

```
function FOL-FC-Ask(KB, \alpha) returns a substitution or false
    repeat until new is empty
          new ← { }
          for each sentence r in KB do
                (p_1 \wedge \ldots \wedge p_n \Rightarrow q) \leftarrow \text{Standardize-Apart}(r)
               for each \theta such that (p_1 \land \ldots \land p_n)\theta = (p_1^t \land \ldots \land p^t)\theta
                                 for some p_1^t, \ldots, p_n^t in KB
                     q^t \leftarrow \text{Subst}(\theta, q)
                    if q^{t} is not a renaming of a sentence already in KB or new then do
                           add q^t to new
                           \varphi \leftarrow \text{Unify}(q^t, \alpha)
                           if \varphi is not fail then return \varphi
          add new to KB
    return false
```