

# Announcements

## Assignments:

- HW5
  - Due date Thu, 2/27, 11:59 pm

## Midterm

- See Piazza post for details
- Added Friday OH and OH appointments

## Feedback



# Plan

## Last time

- Neural Networks
  - Universal Approximation
  - Optimization / Backpropagation

## Today

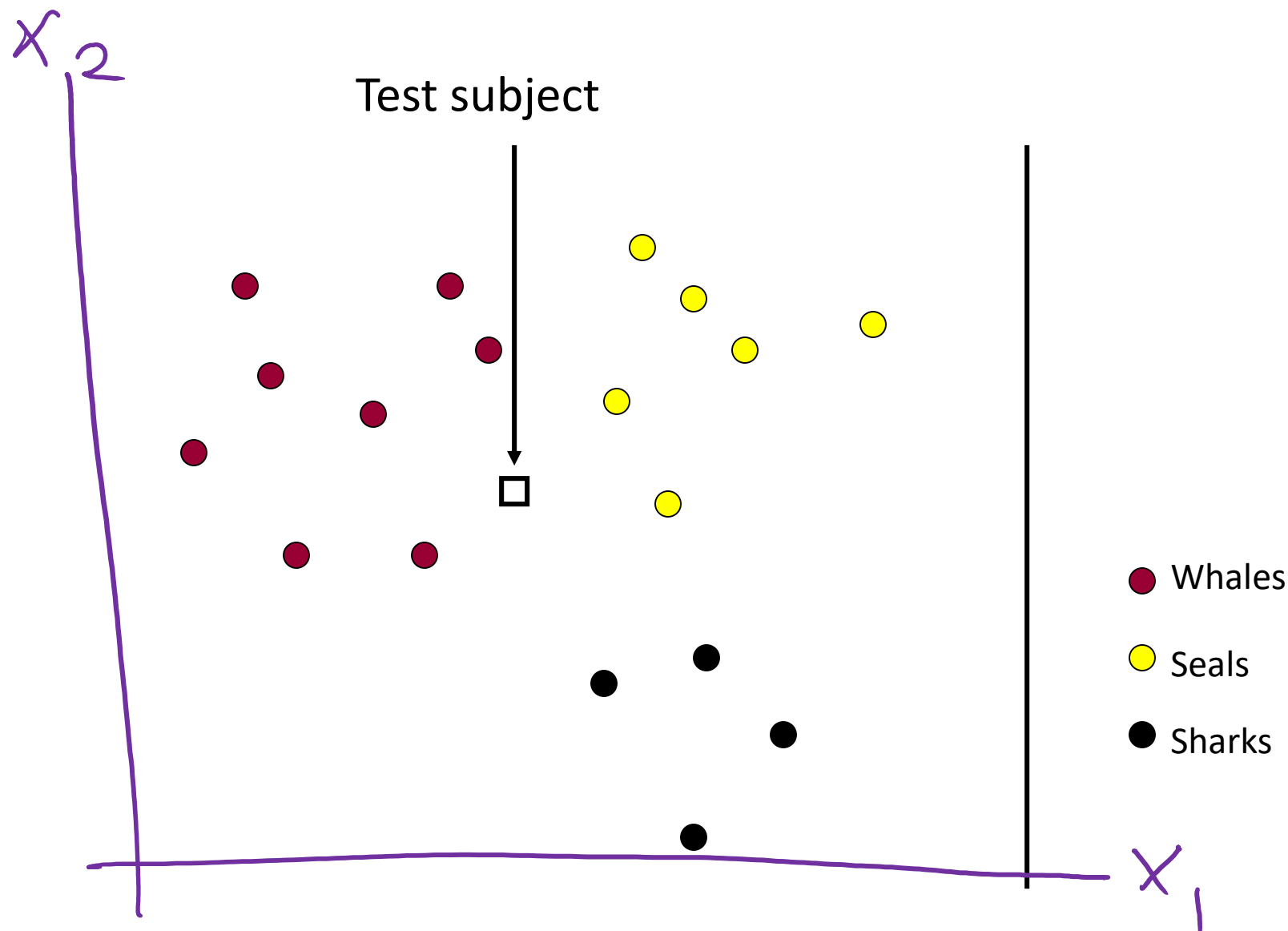
- Wrap-up Convolutional Neural Networks
- Nearest Neighbor Classification

# Introduction to Machine Learning

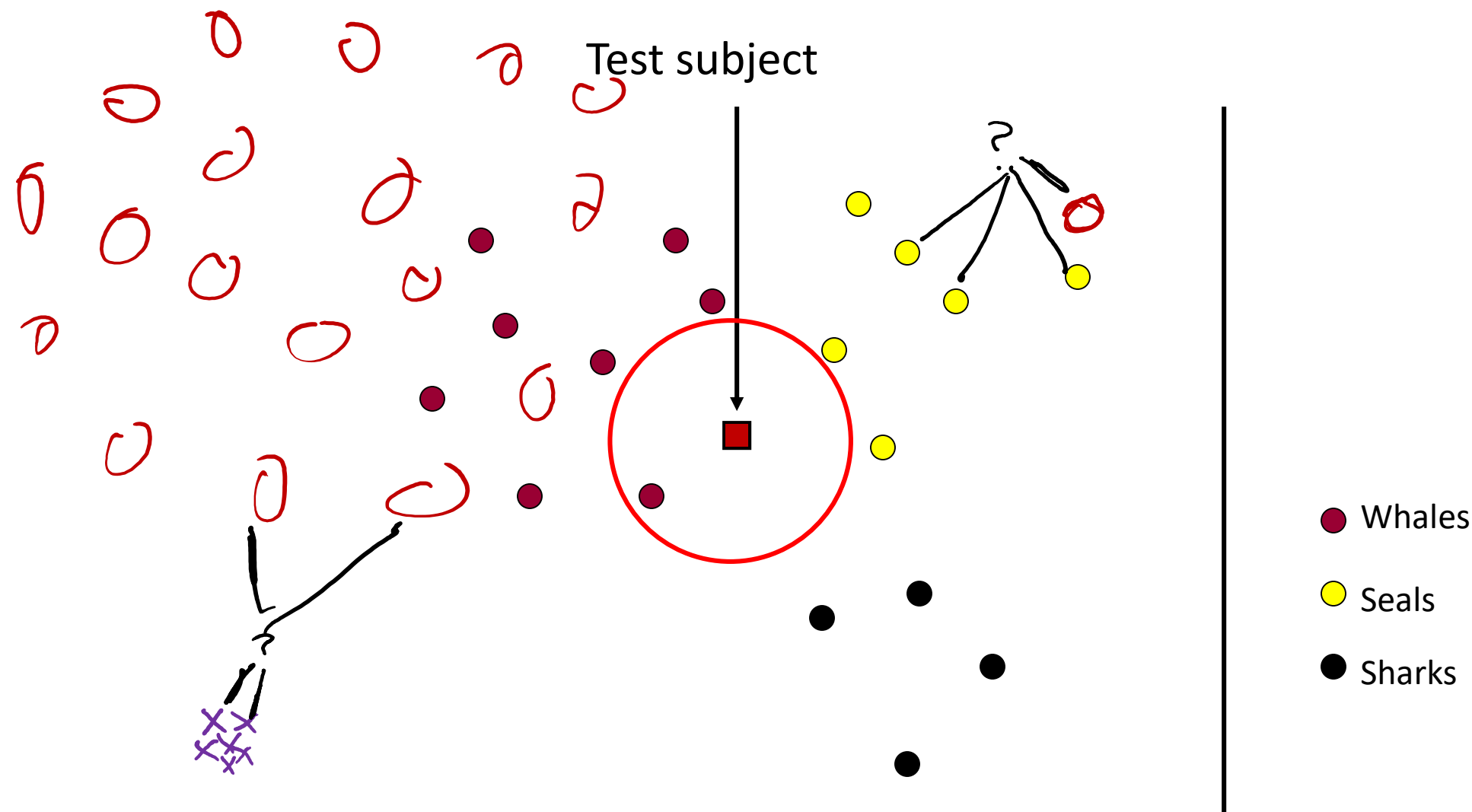
## Nearest Neighbor

Instructor: Pat Virtue

# Nearest Neighbor Classifier



# Nearest Neighbor Classifier



# Nearest Neighbor Classification

Given a training dataset  $\mathcal{D} = \{y^{(n)}, \mathbf{x}^{(n)}\}_{n=1}^N$ ,  $y \in \{1, \dots, C\}$ ,  $\mathbf{x} \in \mathbb{R}^m$   
and a test input  $\mathbf{x}_{test}$ , predict the class label,  $\hat{y}_{test}$ :

1) Find the closest point in the training data to  $\mathbf{x}_{test}$   
$$\underline{n} = \underset{n}{\operatorname{argmin}} \underline{d(\mathbf{x}_{test}, \mathbf{x}^{(n)})}$$

2) Return the class label of that closest point  
$$\hat{y}_{test} = y^{(n)}$$


Need distance function! What should  $d(\mathbf{x}, \mathbf{z})$  be?

$$d(\mathbf{x}, \mathbf{z}) = \|\mathbf{x} - \mathbf{z}\|_2 \qquad \|\mathbf{x} - \mathbf{z}\|_1$$

# Fisher Iris Dataset

Fisher (1936) used 150 measurements of flowers from 3 different species: Iris setosa (0), Iris virginica (1), Iris versicolor (2) collected by Anderson (1936)

| Species | Sepal Length | Sepal Width | Petal Length | Petal Width |
|---------|--------------|-------------|--------------|-------------|
| 0       | 4.3          | 3.0         | 1.1          | 0.1         |
| 0       | 4.9          | 3.6         | 1.4          | 0.1         |
| 0       | 5.3          | 3.7         | 1.5          | 0.2         |
| 1       | 4.9          | 2.4         | 3.3          | 1.0         |
| 1       | 5.7          | 2.8         | 4.1          | 1.3         |
| 1       | 6.3          | 3.3         | 4.7          | 1.6         |
| 1       | 6.7          | 3.0         | 5.0          | 1.7         |

Full dataset: [https://en.wikipedia.org/wiki/Iris\\_flower\\_data\\_set](https://en.wikipedia.org/wiki/Iris_flower_data_set)

# Fisher Iris Dataset

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| 1       | 5.7          | 2.8         |
| 1       | 6.3          | 3.3         |
| 1       | 6.7          | 3.0         |

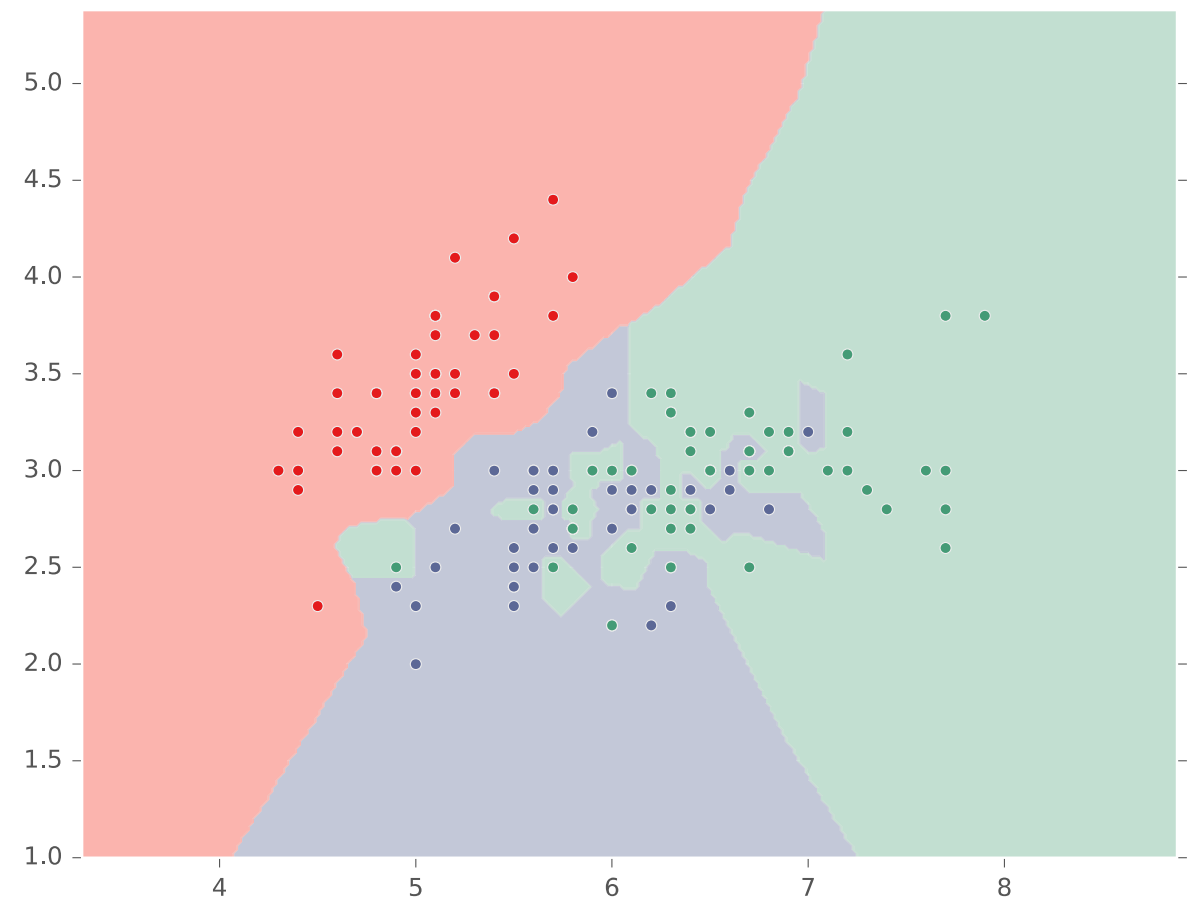
Deleted two of the four features, so that input space is 2D



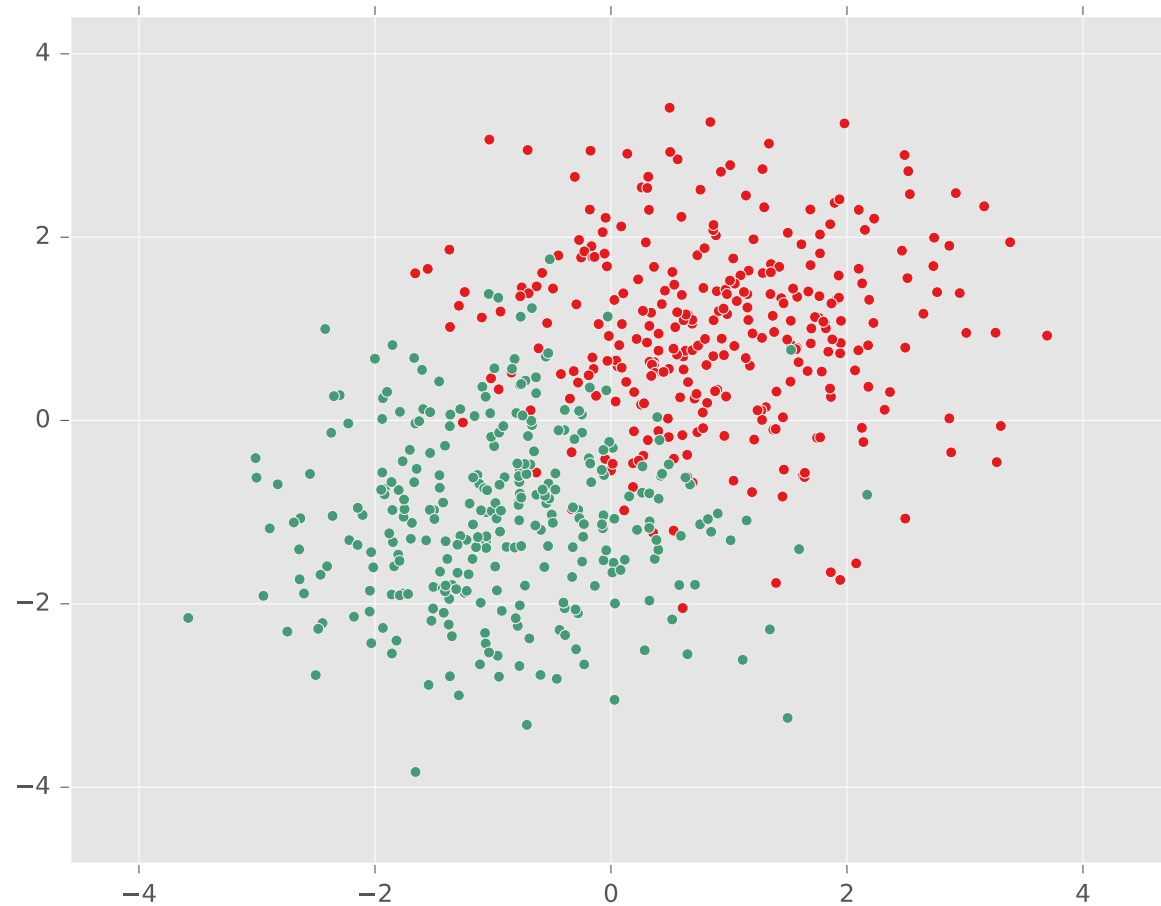
# Nearest Neighbor on Fisher Iris Data



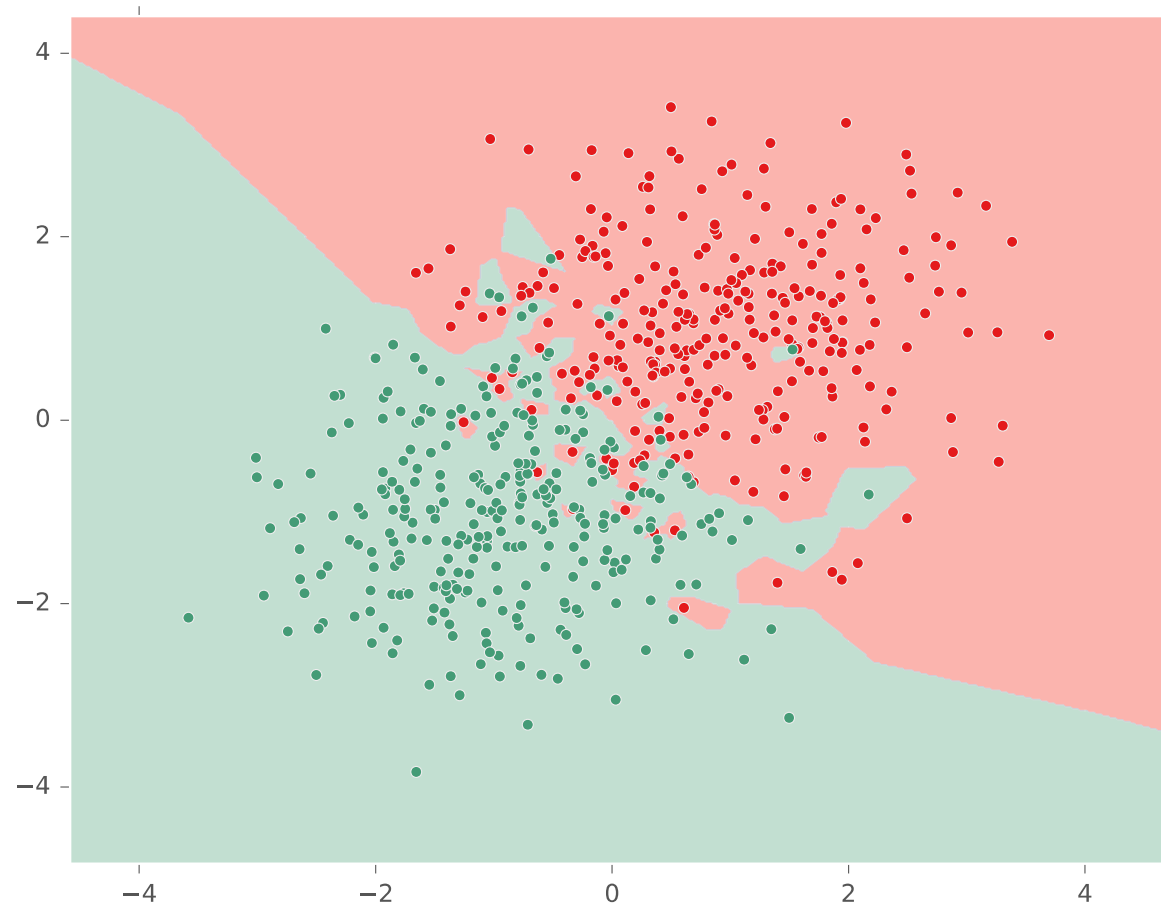
# Nearest Neighbor on Fisher Iris Data



# Nearest Neighbor on Gaussian Data



# Nearest Neighbor on Gaussian Data



# Parametric models

Assume some model (Gaussian, Bernoulli, Multinomial, logistic, network of logistic units, Linear, Quadratic) with fixed number of parameters

- Linear/Logistic Regression, Naïve Bayes, Discriminant Analysis, Neural Networks

Estimate parameters  $(\mu, \sigma^2, \theta, w, \beta)$  using MLE/MAP and plug in

**Pro** – need few data points to learn parameters

**Con** – Strong distributional assumptions, not satisfied in practice

# Nonparametric models

Nonparametric: number of parameters scales with number of training data

- Typically don't make any distributional assumptions
- As we have more data, we should be able to learn more complex models

Some nonparametric methods

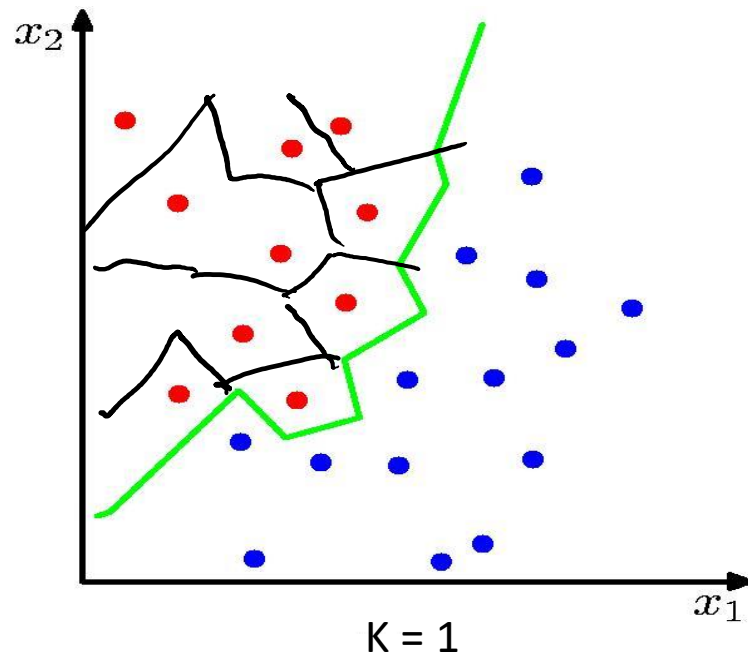
- Nearest Neighbor (k-Nearest Neighbor) Classifier
- Decision Trees

# Parametric vs Nonparametric

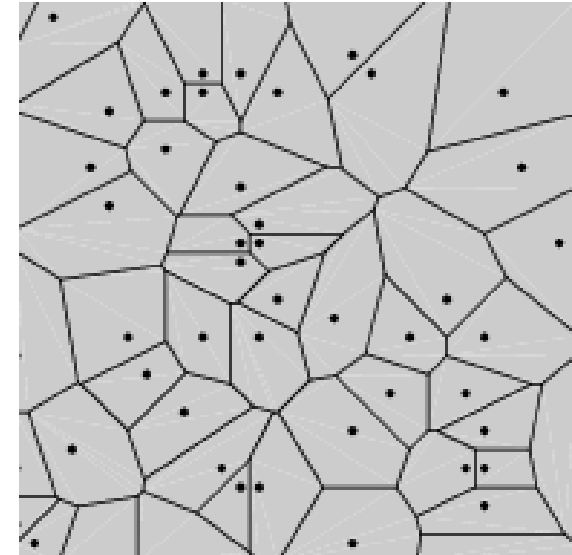
- **Nonparametric** models place very mild assumptions on the data distribution and provide good models for complex data
- **Parametric** models rely on very strong (simplistic) distributional assumptions
- **Nonparametric** models requires storing and computing with the entire data set.
- **Parametric** models, once fitted, are much more efficient in terms of storage and computation

# Nearest Neighbor Decision Boundary

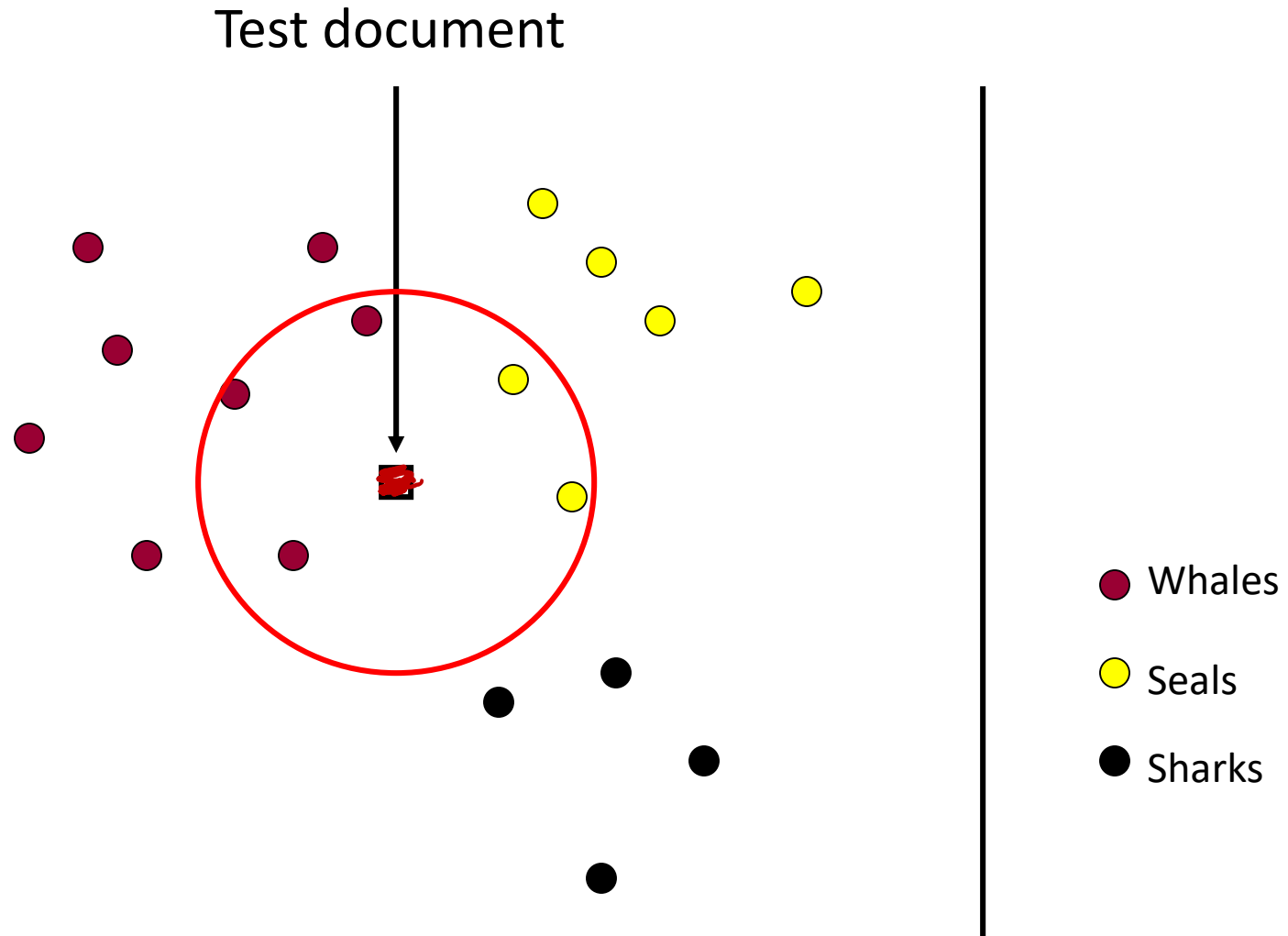
Nearest neighbor classifier decision boundary



Voronoi Diagram



# k-NN classifier (k=5)



# k-Nearest Neighbor Classification

Given a training dataset  $\mathcal{D} = \{y^{(n)}, \mathbf{x}^{(n)}\}_{n=1}^N$ ,  $y \in \{1, \dots, C\}$ ,  $\mathbf{x} \in \mathbb{R}^m$

and a test input  $\mathbf{x}_{test}$ , predict the class label,  $\hat{y}_{test}$ :

- 1) Find the closest  $k$  points in the training data to  $\mathbf{x}_{test}$

$$\mathcal{N}_k(\mathbf{x}_{test}, \mathcal{D})$$

- 2) Return the class label of that closest point

$$\hat{y}_{test} = \operatorname{argmax}_c p(Y = c \mid \mathbf{x}_{test}, \mathcal{D}, k)$$

$$= \operatorname{argmax}_c \frac{1}{k} \sum_{i \in \mathcal{N}_k(\mathbf{x}_{test}, \mathcal{D})} \mathbb{I}(y^{(i)} = c)$$

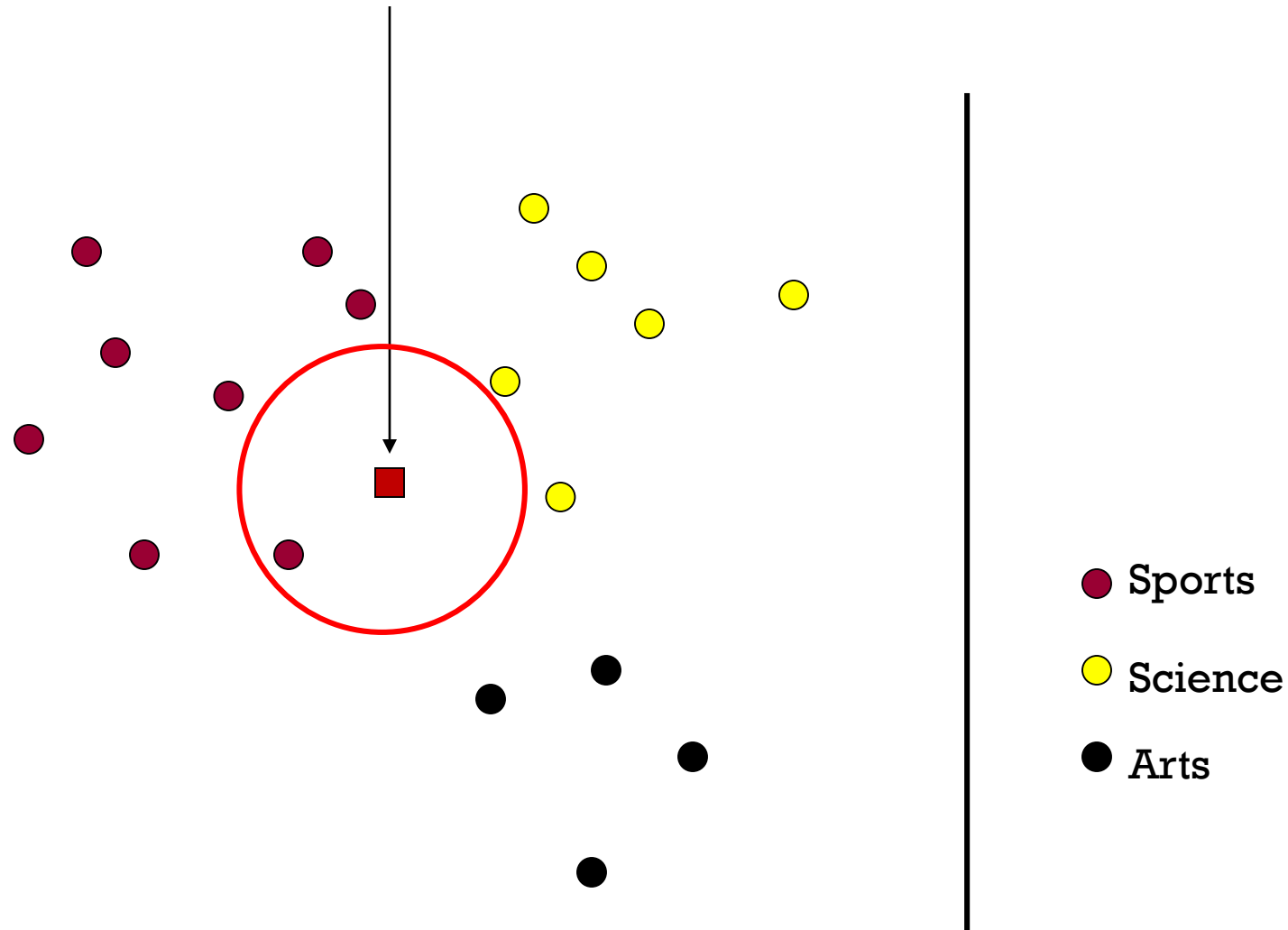
$$\mathbb{I}(z) = \begin{cases} 1 & z = \text{true} \\ 0 & z = \text{false} \end{cases}$$

Indicator function

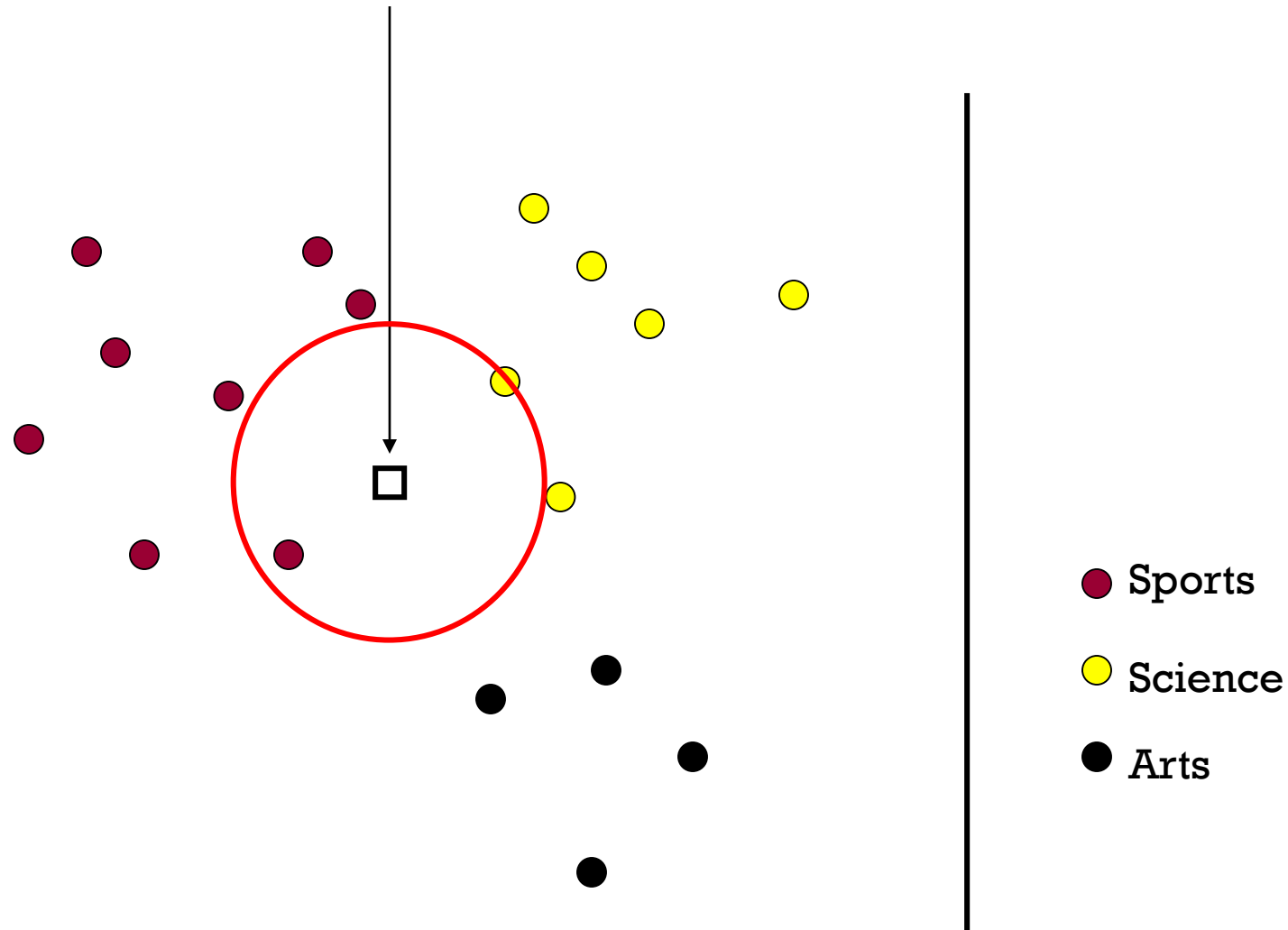
$$\hat{y}_{test} = \operatorname{argmax}_c \frac{k_c}{k},$$

where  $k_c$  is the number of the  $k$ -neighbors with class label  $c$

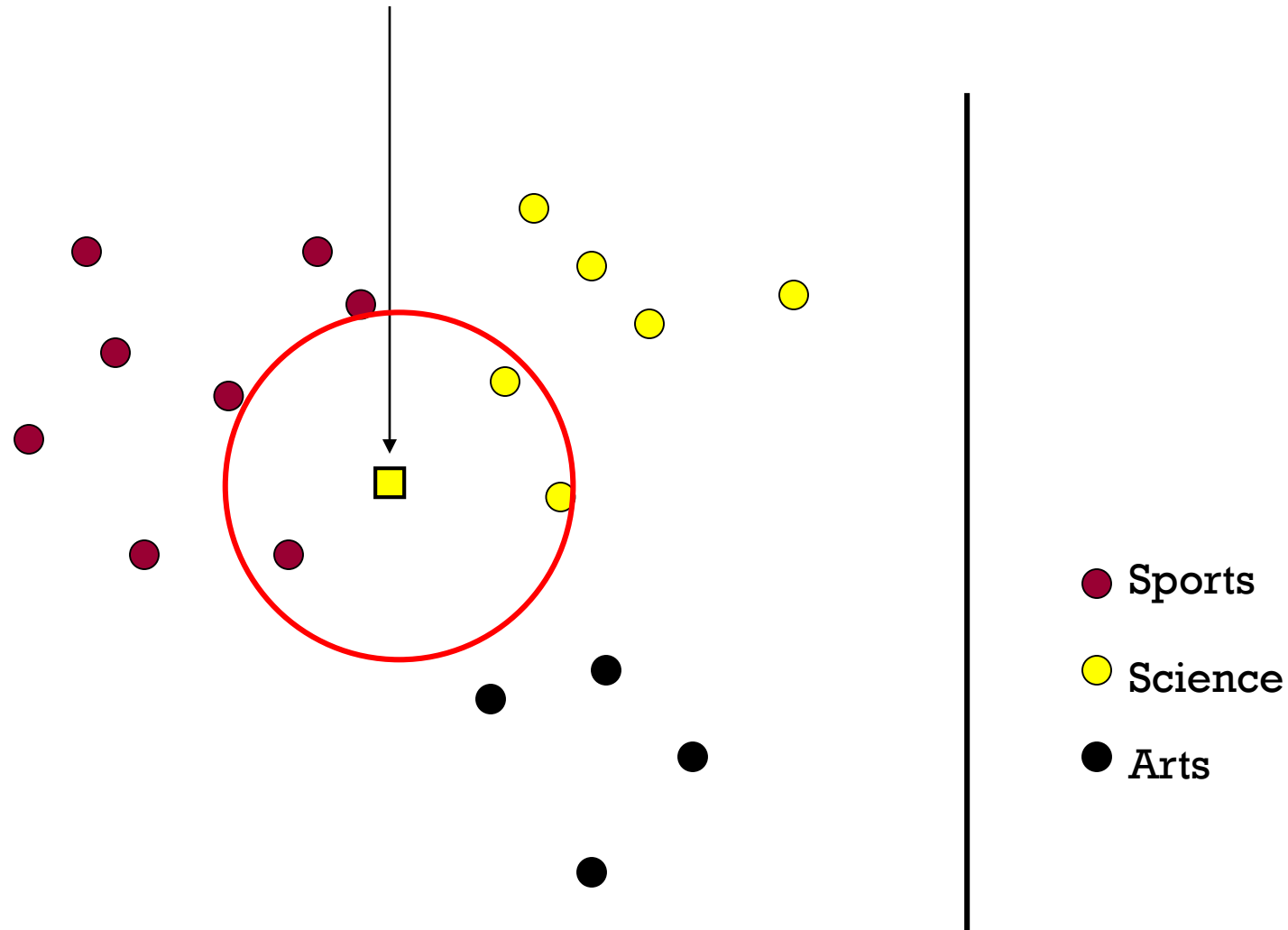
# 1-Nearest Neighbor (kNN) classifier



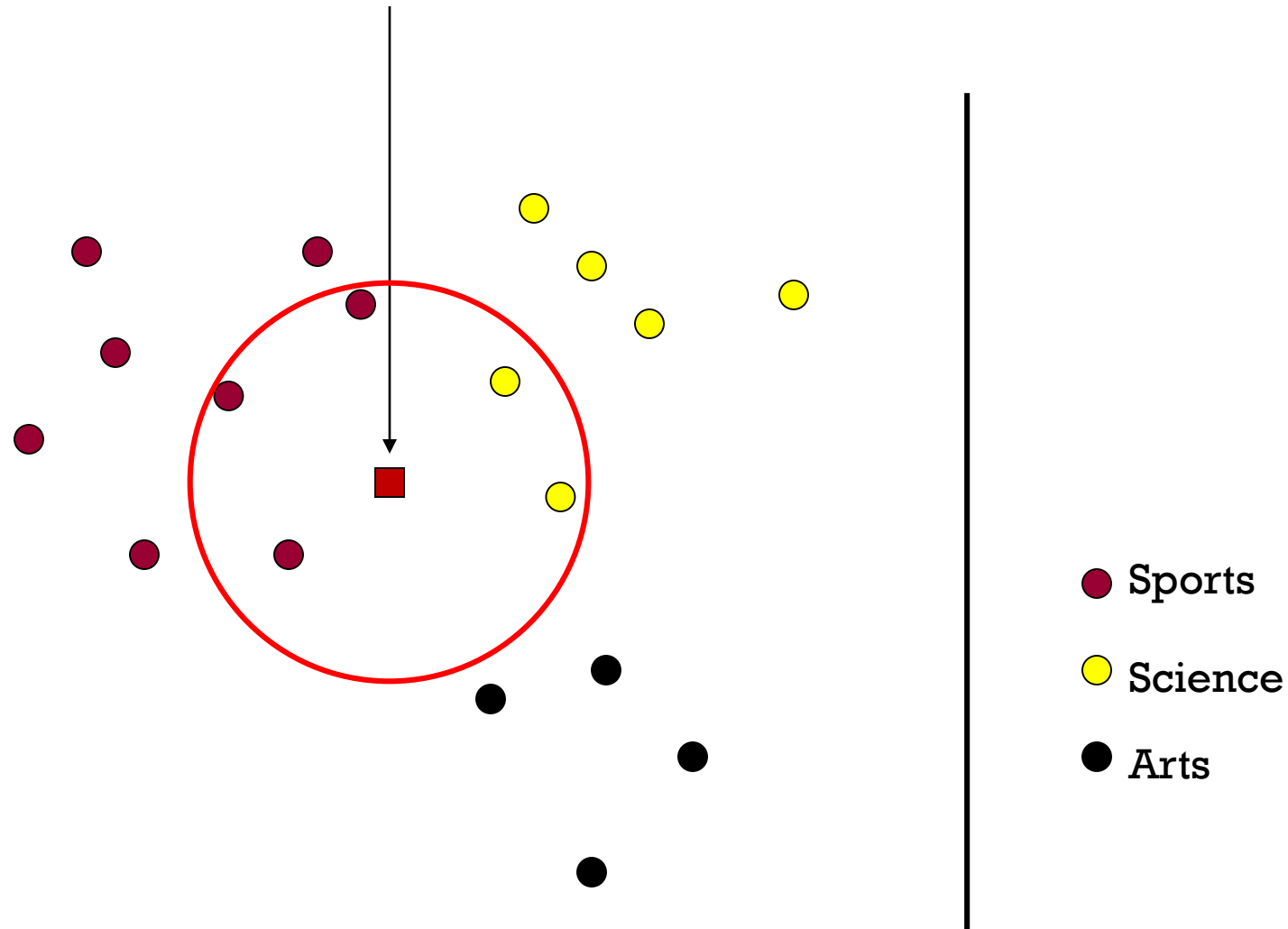
# 2-Nearest Neighbor (kNN) classifier



# 3-Nearest Neighbor (kNN) classifier



# 5-Nearest Neighbor (kNN) classifier



# What is the best $k$ ?

## Approximation vs. Stability (aka Bias vs Variance) Tradeoff

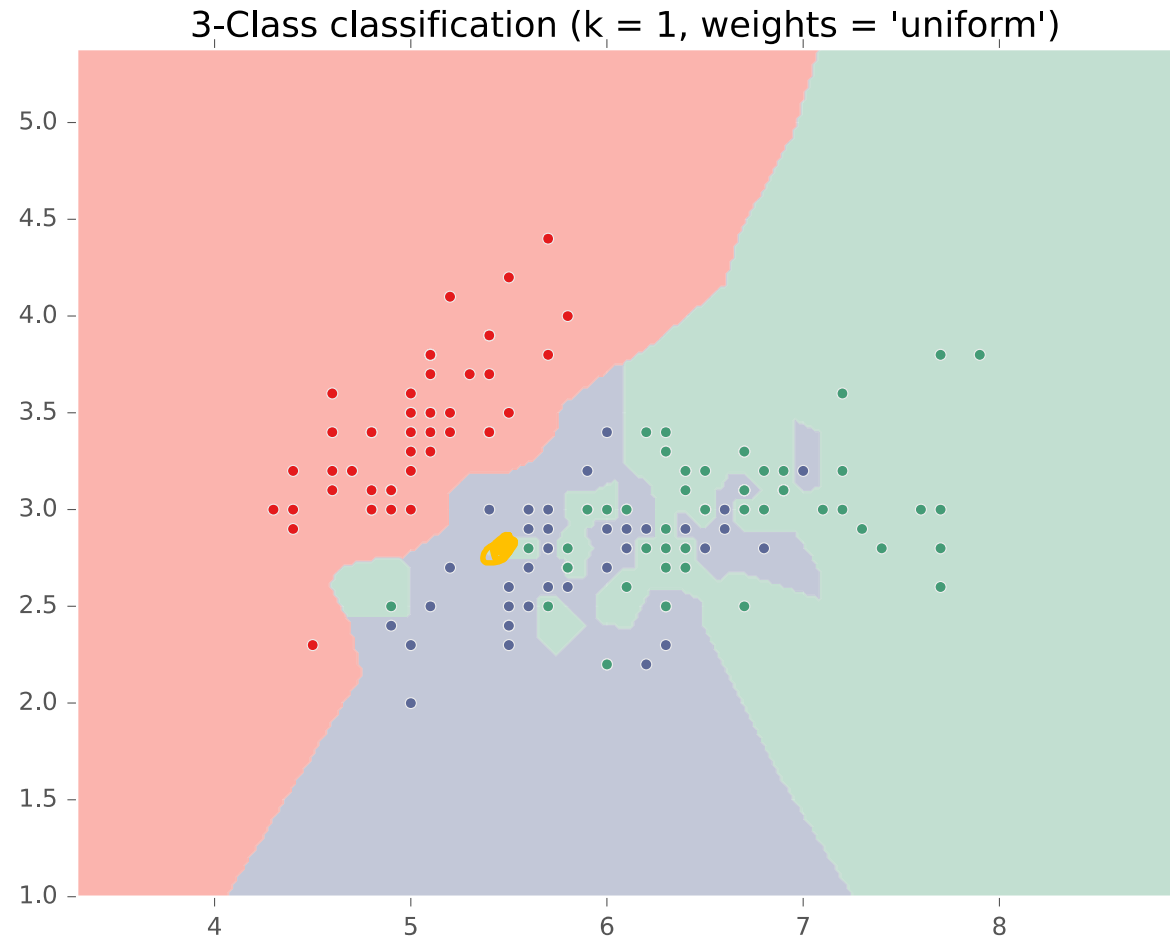
- Larger  $k \rightarrow$  predicted label is more stable
- Smaller  $k \rightarrow$  predicted label is more affected by individual training points



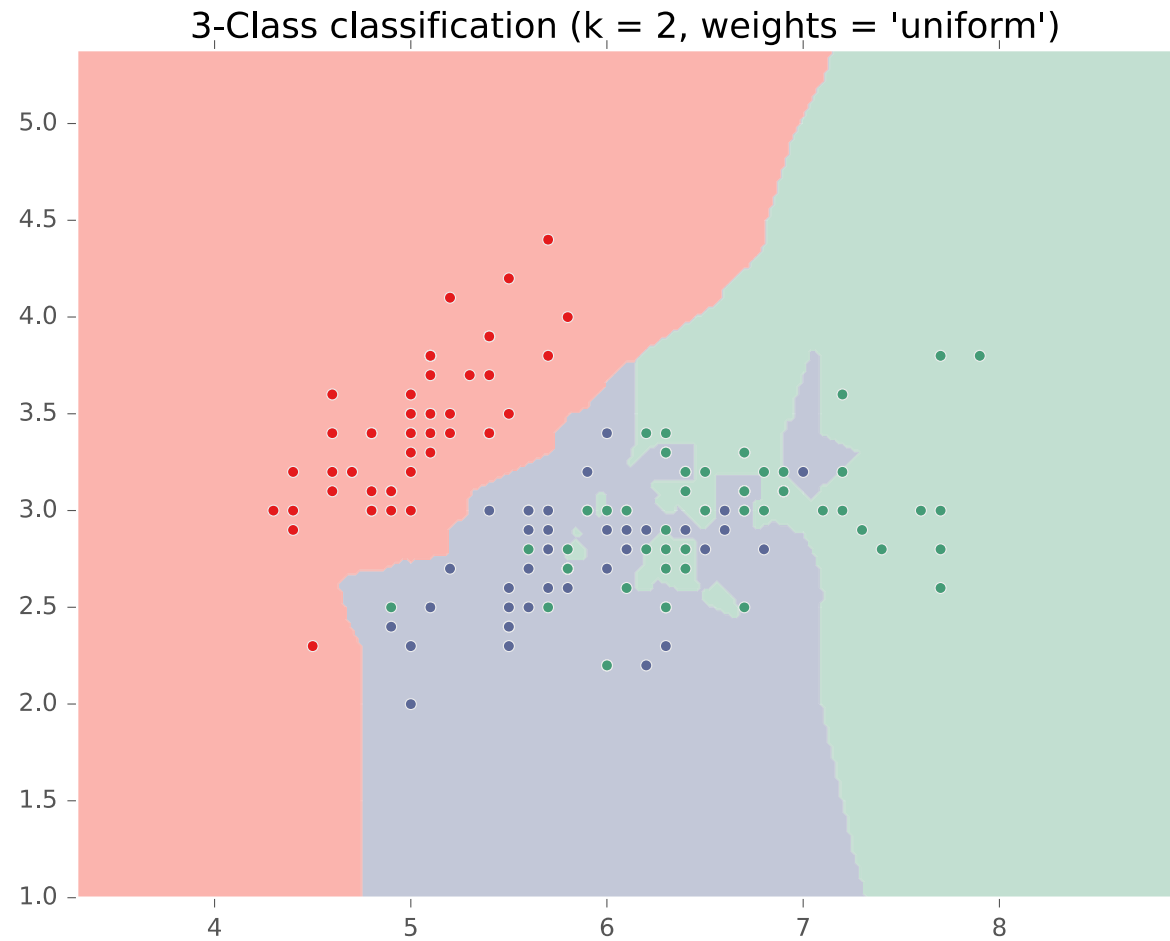
How to choose hyperparameter  $k$ ?

# k-NN on Fisher Iris Data

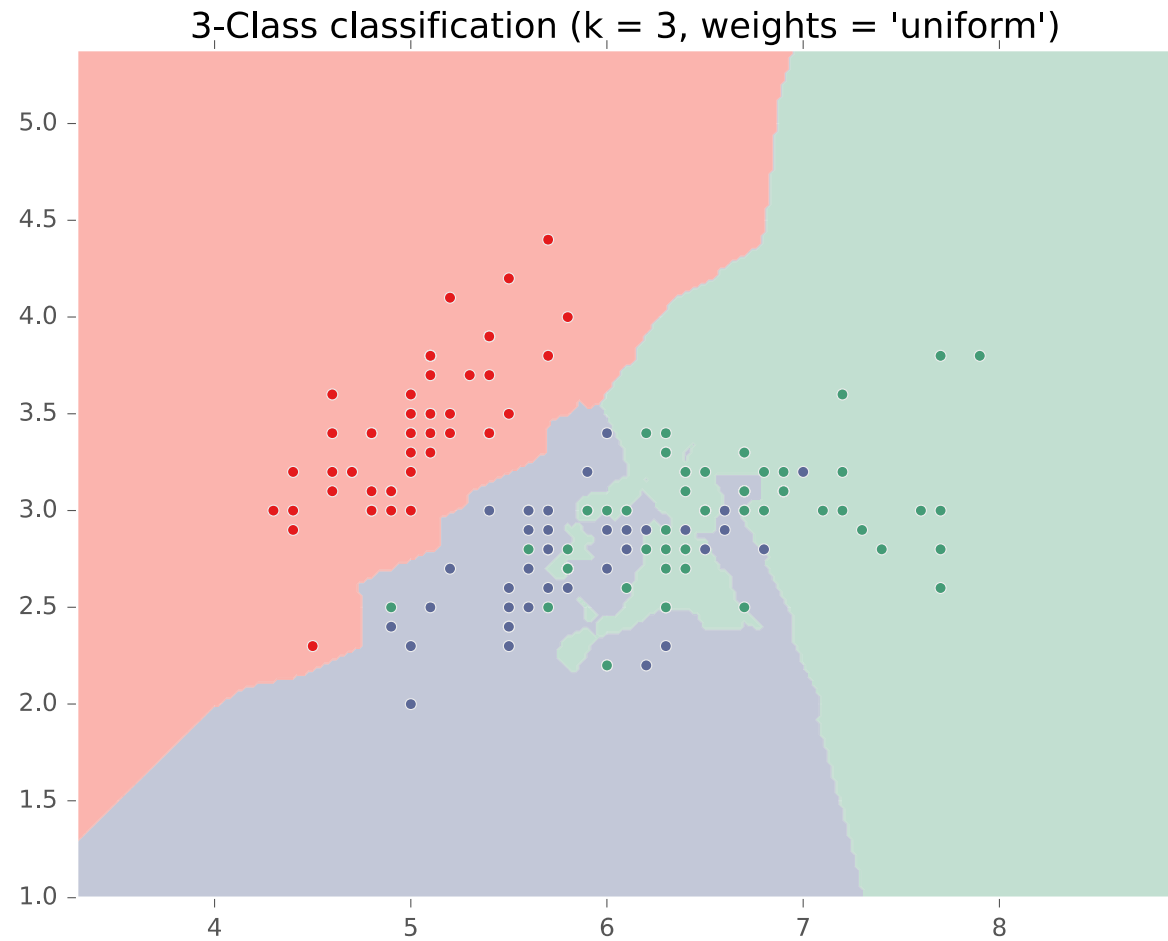
## Special Case: Nearest Neighbor



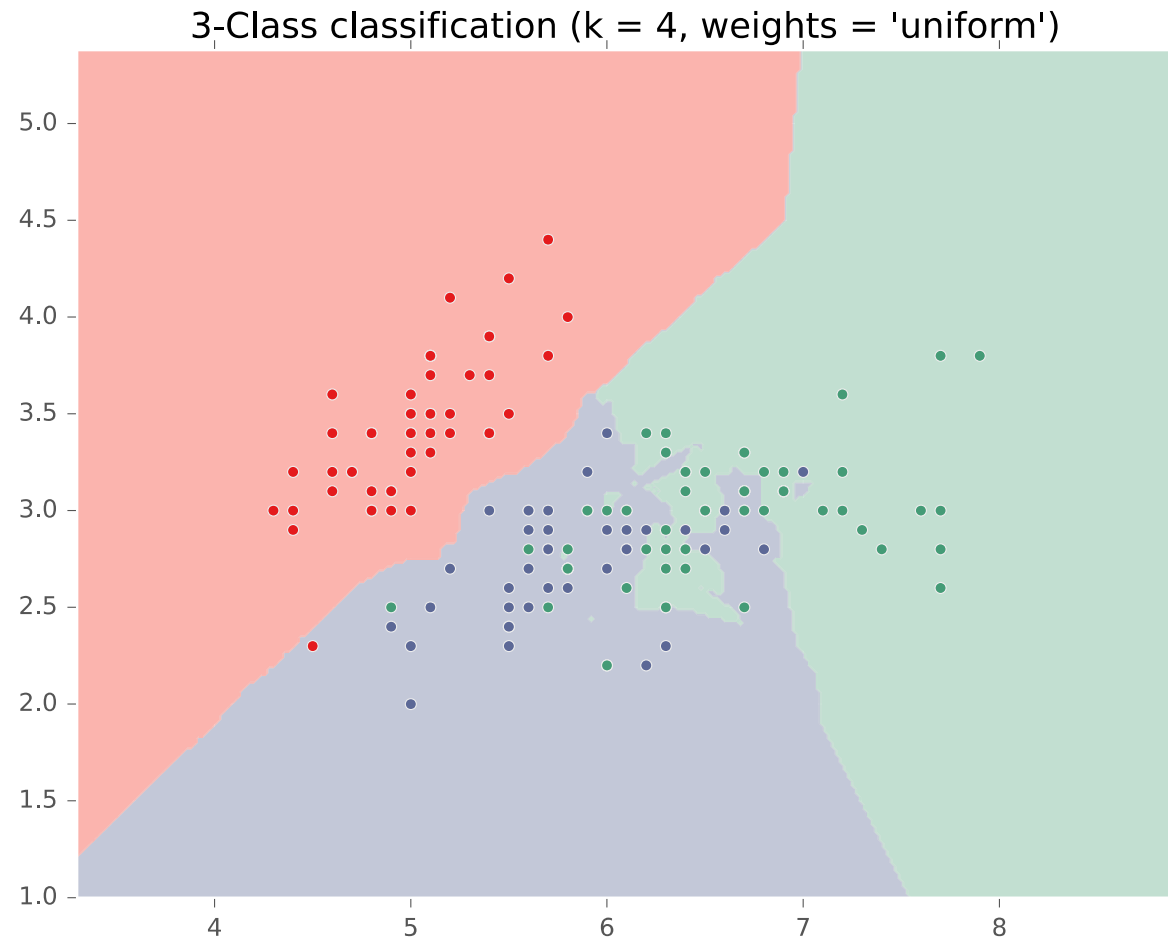
# k-NN on Fisher Iris Data



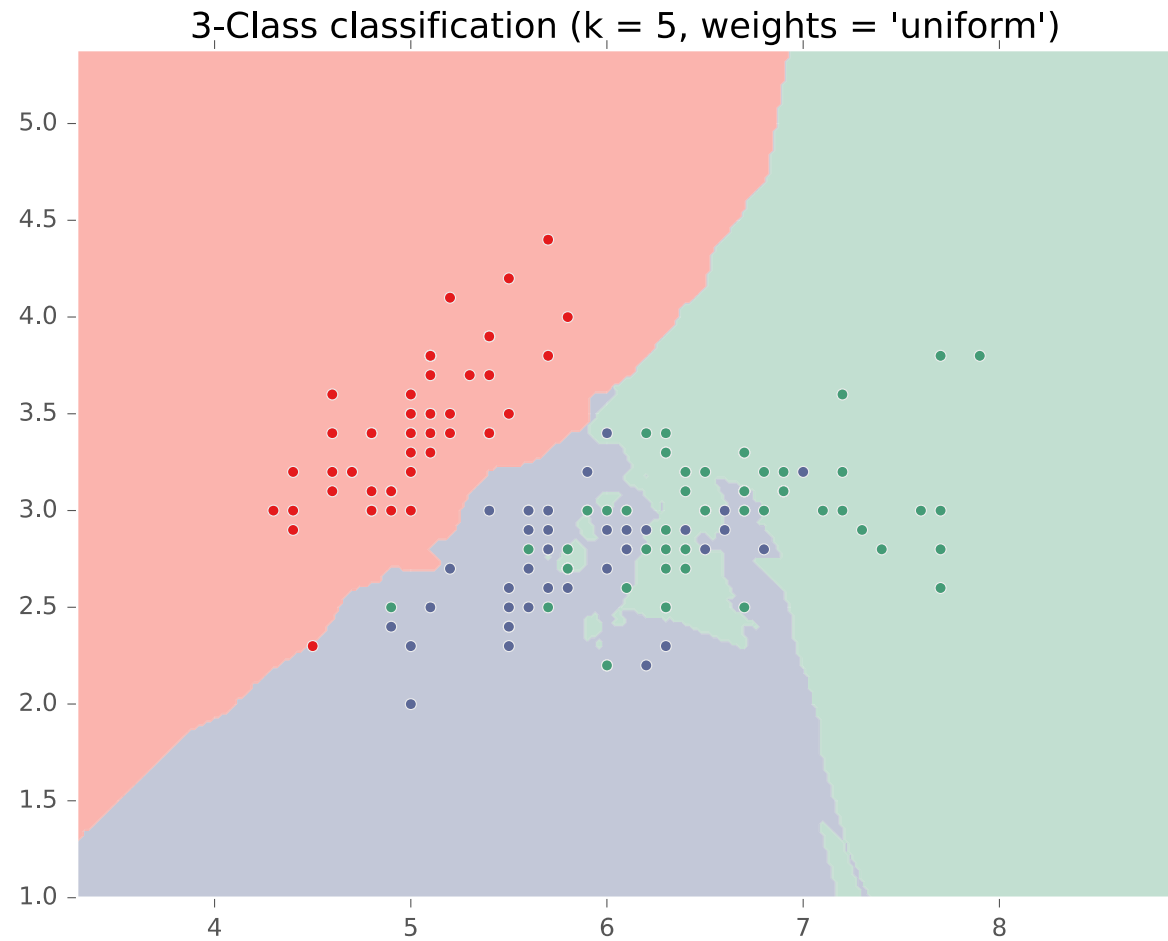
# k-NN on Fisher Iris Data



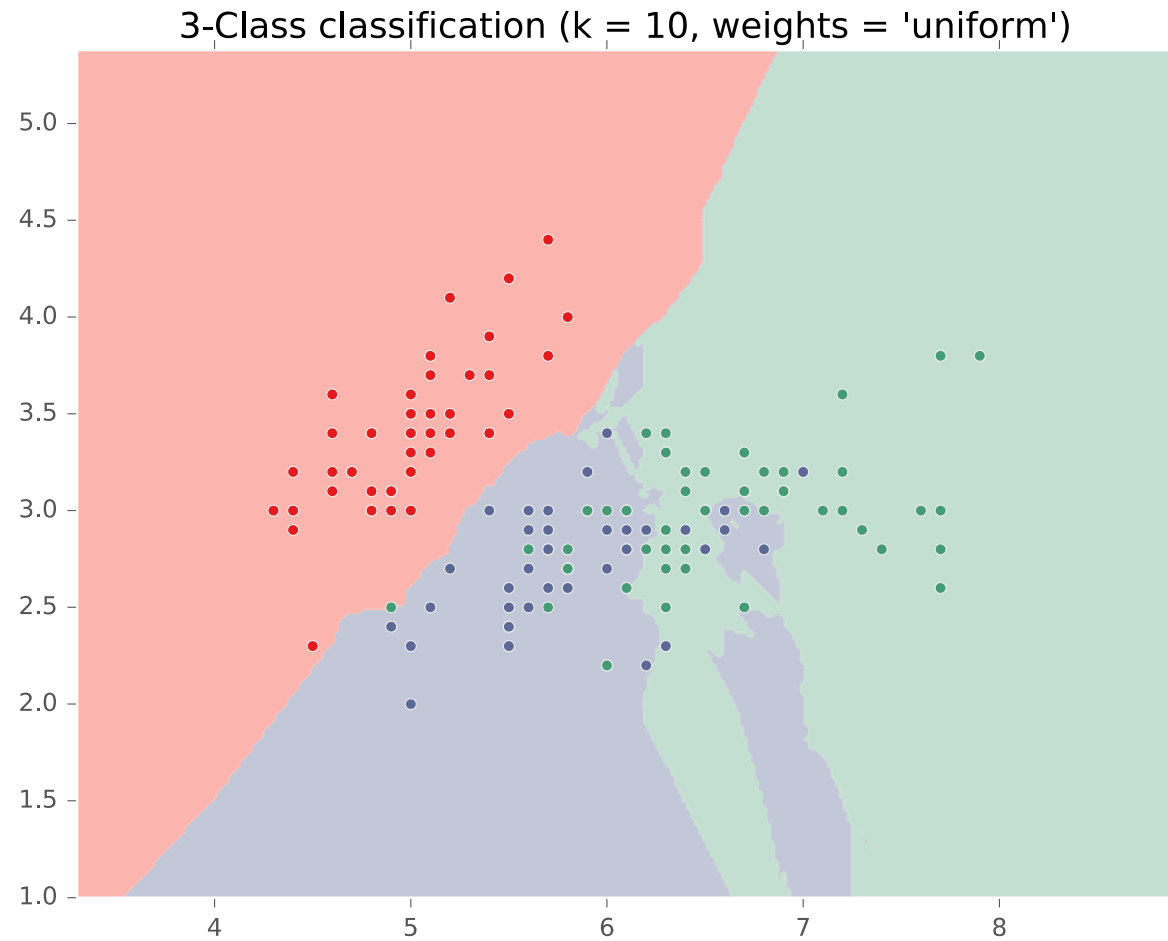
# k-NN on Fisher Iris Data



# k-NN on Fisher Iris Data

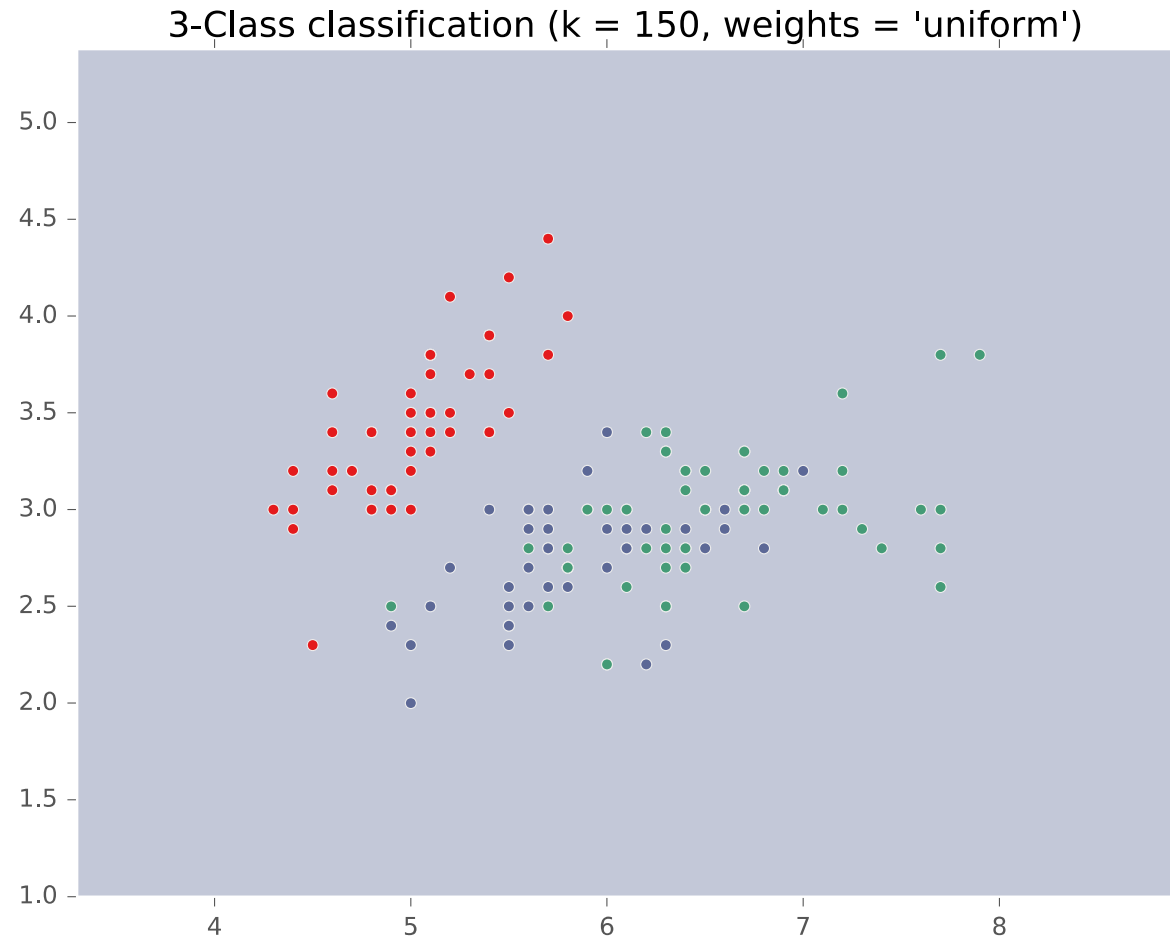


# k-NN on Fisher Iris Data



# k-NN on Fisher Iris Data

## Special Case: Majority Vote

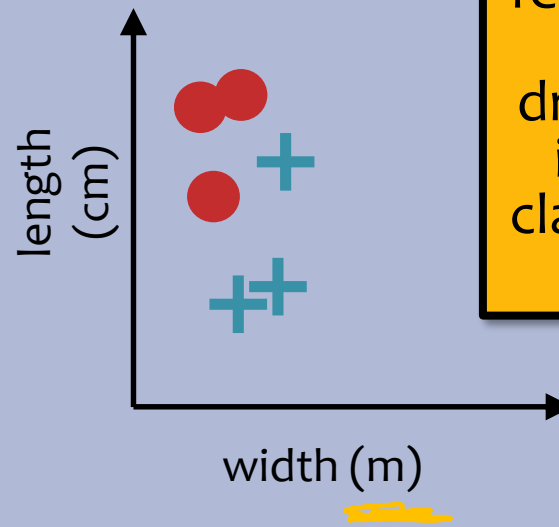
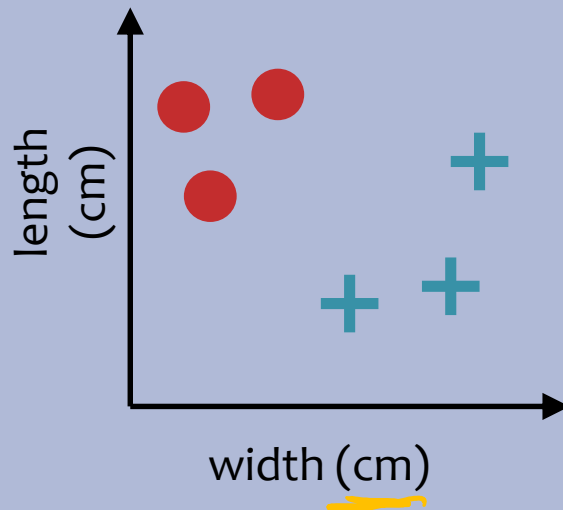


# Nearest Neighbor

## Assumptions!

- Similar points have similar neighbors
- All feature dimensions are created equally!

Example: two features for kNN



**big problem:**  
feature scale  
could  
dramatically  
influence  
classification  
results

# Nearest Neighbor and Deep Learning

Use neural networks to learn to transform input data into a feature space where distance is more meaningful

- Example: Face recognition