### 15-150

### **Principles of Functional Programming**

Slides for Lecture 20 **Time to be Lazy** April 4, 2024 Michael Erdmann

### Lessons:

- Infinite data structures
- Encapsulated Computation

   (We have seen a form of that with failure continuations.
   Closures are the key tool.)
- Demand-driven (lazy) computation

Examples of (potentially) infinite data:

- Even integers, natural numbers, primes
- All keystrokes you will make on a keyboard.
- Video/Audio streams

### Streams

**Caution:** 

### We will build our own streams.

These are different from SML's built-in I/O streams.

## **Brain Teaser**

What is the difference between f and (fn x => f x) ?

# **Brain Teaser**

What is the difference between

f and (fn x => f x)?

f is not evaluated in (fn x => f x) until
the lambda expression is called on an argument
and the body f x is evaluated.

If f is itself an expression, it *may not* be valuable, whereas (fn x => f x) is valuable.

Brain Teaser (cont) What is the difference between f and (fn x => f x)? For instance, consider: fun g x = g xNow suppose f is the expression (q 3). (g 3) (fn x => (g 3) x)is a value (a closure) loops

Brain Teaser (cont) What is the difference between f and (fn x => f x)? For instance, consider: fun  $g x = g x g : 'a \rightarrow 'b$ Now suppose f is the expression (g 3). (g 3) (fn x => (g 3) x): a > bis a value (a closure) loops here g:int -> 'a (q 3) : 'a -> 'b

# Suspensions

### **Definition**

A suspension of type  $\tau$  is a function of type

#### unit $\rightarrow \tau$ .

We say a suspension is *forced* when it is applied to argument ().

If e:t, then (fn () => e) is a suspension of type t.

We view such a suspension as a *lazy* represention of e. The suspension is a function, so e will not be evaluated until the suspension is forced.

# Streams

We will model (potentially infinite) streams of data much like lists, but lazily:

- Base case: an empty stream
- Inductive case:
   A suspension of the following:
   A single element
   "consed"

onto another stream.

(Suspensions will allow us to build streams with no base cases!) (never-ending, infinite, yet encapsulated finitely)

# Streams

We will model (potentially infinite) streams of data much like lists, but lazily:

## **Co-Induction**

A suspension of the following: A single element "consed"

onto another stream.

(Suspensions will allow us to build streams with no base cases!) (never-ending, infinite, yet encapsulated finitely)

# STREAM Signature

# First, a signature to describe streams abstractly.

(Then we will implement them.)

signature STREAM =

sig

type 'a stream (\* abstract \*)

Streams are abstract, modeled by the type 'a stream.

signature STREAM =

sig

Streams are abstract and lazy. We may want to look at their elements. The type 'a front represents the result of performing just enough computation to expose the first element of a stream, as well as obtain the rest of the stream.

We say that a **front** is a "view" of a **stream**.

**Cons** models the (co)inductive nature of streams.

**Empty** models the result of exposing an empty stream.

signature STREAM =

#### sig

type 'a stream (\* abstract \*)

datatype 'a front = Empty | Cons of 'a \* 'a stream

val expose : 'a stream -> 'a front

This function performs the computations needed to see the first element of a stream. The function returns the corresponding front (which could be Empty).

Caution: Since exposing a stream value involves computation, the computation might not terminate. This is different from looking at list values.

```
signature STREAM =
```

type 'a stream (\* abstract \*)

datatype 'a front = Empty | Cons of 'a \* 'a stream

```
val expose : 'a stream -> 'a front
```

val delay : (unit -> 'a front) -> 'a stream

This function expects a suspension of a **front** and creates the corresponding **stream** for it.

Notice that the function expects a suspension of a front, not merely the front. Why?

The reason is contained in the earlier brain teaser: SML evaluates arguments *eagerly*. If we had delay : 'a front -> 'a stream, then delay (e) would evaluate e, but we want the computation represented by e to be lazy, so need delay (fn () => e).

```
signature STREAM =
```

type 'a stream (\* abstract \*)
datatype 'a front = Empty | Cons of 'a \* 'a stream

val expose : 'a stream -> 'a front

val delay : (unit -> 'a front) -> 'a stream

val empty : 'a stream

This is one representation of a stream containing no elements.

In particular, we will ensure that expose (empty)  $\Rightarrow$  Empty.

Caution: One can imagine other "empty stream"s, for instance a stream value **s** such that **expose(s)** loops forever.

```
signature STREAM =
```

```
type 'a stream (* abstract *)
datatype 'a front = Empty | Cons of 'a * 'a stream
```

```
val expose : 'a stream -> 'a front
```

val delay : (unit -> 'a front) -> 'a stream

```
val empty : 'a stream
```

```
val cons : 'a * 'a stream -> 'a stream
```

A function useful for constructing streams eagerly, i.e., e.g., when elements are already known.

```
signature STREAM =
```

type 'a stream (\* abstract \*)
datatype 'a front = Empty | Cons of 'a \* 'a stream

val expose : 'a stream -> 'a front

val delay : (unit -> 'a front) -> 'a stream

val empty : 'a stream
val cons : 'a \* 'a stream -> 'a stream
val null : 'a stream -> bool

A function to test whether a stream is empty.

Caution: Under the hood, this may involve stream exposures, so might not terminate.

```
signature STREAM =
```

type 'a stream (\* abstract \*)
datatype 'a front = Empty | Cons of 'a \* 'a stream

val expose : 'a stream -> 'a front
val delay : (unit -> 'a front) -> 'a stream

val empty : 'a stream val cons : 'a \* 'a stream -> 'a stream val null : 'a stream -> bool val take : ('a stream \* int ) -> 'a list

take(s,n)returns the first n elements of stream s, as a list;raises Subscript, if any exposure encounters Empty.

Caution: As always, stream exposures can loop forever.

```
signature STREAM =
```

type 'a stream (\* abstract \*)
datatype 'a front = Empty | Cons of 'a \* 'a stream

val expose : 'a stream -> 'a front
val delay : (unit -> 'a front) -> 'a stream

val empty : 'a stream
val cons : 'a \* 'a stream -> 'a stream
val null : 'a stream -> bool
val take : ('a stream \* int ) -> 'a list

val map : ('a -> 'b) -> 'a stream -> 'b stream
This is lazy!!! So map f s returns a stream s', but does not
apply f to any element of s, not until someone exposes s'.

```
signature STREAM =
```

type 'a stream (\* abstract \*)
datatype 'a front = Empty | Cons of 'a \* 'a stream

val expose : 'a stream -> 'a front
val delay : (unit -> 'a front) -> 'a stream

val empty : 'a stream
val cons : 'a \* 'a stream -> 'a stream
val null : 'a stream -> bool
val take : ('a stream \* int ) -> 'a list

val map : ('a -> 'b) -> 'a stream -> 'b stream
val filter: ('a -> bool) -> 'a stream -> 'a stream

Again: This is lazy!!!

```
signature STREAM =
```

type 'a stream (\* abstract \*)
datatype 'a front = Empty | Cons of 'a \* 'a stream

val expose : 'a stream -> 'a front
val delay : (unit -> 'a front) -> 'a stream

val empty : 'a stream
val cons : 'a \* 'a stream -> 'a stream
val null : 'a stream -> bool
val take : ('a stream \* int ) -> 'a list

val map : ('a -> 'b) -> 'a stream -> 'b stream
val filter: ('a -> bool) -> 'a stream -> 'a stream
(\* ... more functions: append, tabulate, zip ... \*)
end

# **Stream Structure**

# Time to implement streams.

Here, we will implement some key functions, look at some examples, implement a couple higher-order functions, then build a stream containing all the primes.

struct

datatype 'a stream = Stream of unit -> 'a front

We define the representation of an 'a stream to be (a Stream constructor wrapped around) the suspension of an 'a front.

We do not really need to define a datatype with a Stream constructor, but doing so helps when debugging. After all, a suspension is simply a function. By wrapping Stream around suspensions, we can more readily see what the function means to encode (we could also look at the function type).

> There is one additional subtlety: **'a stream** refers to **'a front**. Let's see how to deal with that.

struct

datatype 'a stream = Stream of unit -> 'a front and 'a front = Empty | Cons of 'a \* 'a stream

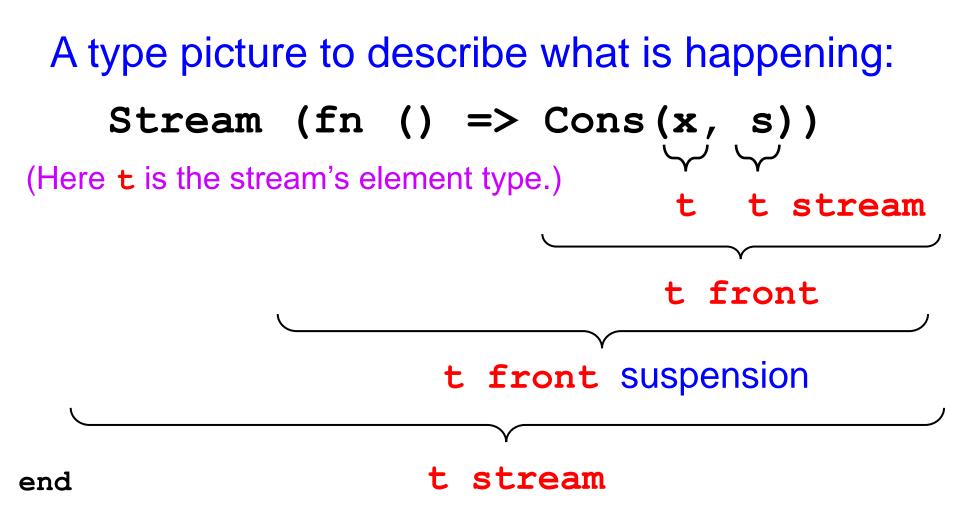
The and keyword allows us to define mutually recursive datatypes.

(recall that we can also define mutually recursive functions with **and**).

As a reminder: the datatype declaration for 'a front was specified concretely in signature STREAM, so we need to implement it as it was specified.

struct

datatype 'a stream = Stream of unit -> 'a front
and 'a front = Empty | Cons of 'a \* 'a stream



struct

datatype 'a stream = Stream of unit -> 'a front
and 'a front = Empty | Cons of 'a \* 'a stream

fun delay (d) = Stream(d)

struct

datatype 'a stream = Stream of unit -> 'a front
and 'a front = Empty | Cons of 'a \* 'a stream

```
fun delay (d) = Stream(d)
```

```
fun expose (Stream(d)) = d ()
```

expose : 'a stream -> 'a front

expose(s) forces the underlying suspension in s.

struct

datatype 'a stream = Stream of unit -> 'a front and 'a front = Empty | Cons of 'a \* 'a stream

```
fun delay (d) = Stream(d)
fun expose (Stream(d)) = d ()
```

val empty = Stream (fn () => Empty)

empty is the suspension of Empty (which is a front), with the Stream constructor turning that into a stream.

struct

datatype 'a stream = Stream of unit -> 'a front and 'a front = Empty | Cons of 'a \* 'a stream

```
fun delay (d) = Stream(d)
fun expose (Stream(d)) = d ()
```

val empty = Stream (fn () => Empty)
fun cons (x, s) = Stream (fn () => Cons(x, s))

Given a known element x and a stream s, cons(x,s) creates a new stream, consisting of x followed by all the elements of s.

struct

datatype 'a stream = Stream of unit -> 'a front
and 'a front = Empty | Cons of 'a \* 'a stream

fun delay (d) = Stream(d)fun expose (Stream(d)) = d () val empty = Stream (fn () => Empty) fun cons (x, s) =Stream  $(fn () \Rightarrow Cons(x, s))$ fun map ... (\* we will implement this soon \*) fun filter ... (\* we will implement this soon \*) ... (\* other functions \*) ...

### Example #1

For all these examples, assume (a) we are writing code outside the Stream structure and (b) structure S = Stream.

Here is how we might implement an infinite stream, all of whose elements are 1:

fun ones'() = S.Cons(1, S.delay ones')
val ones = S.delay ones'

# Example #2

Here is how we might implement an infinite stream consisting of all the natural numbers:

fun nat' x () =
 S.Cons (x, S.delay (nat' (x+1)))
val nats = S.delay (nat' 0)
Observe:
 nat' : int -> unit -> int S.front

nats : int S.stream

#### (example #2 continued)

fun nat' x () = S.Cons (x, S.delay (nat'(x+1)))
val nats = S.delay (nat' 0)

### Consider now:

- val S.Cons(x, rest) = S.expose nats
- val S.Cons(y, \_) = S.expose rest

To what values are x and y bound? What does rest represent?

#### (example #2 continued)

fun nat' x () = S.Cons (x, S.delay (nat'(x+1)))
val nats = S.delay (nat' 0)

### Consider now:

- val S.Cons(x, rest) = S.expose nats
- val S.Cons(y, \_) = S.expose rest

To what values are x and y bound? What does rest represent?

### Answers: 0/x 1/y

rest is a stream consisting of all natural numbers greater than 0.

#### (example #2 continued)

fun nat' x () = S.Cons (x, S.delay (nat'(x+1)))
val nats = S.delay (nat' 0)

### Consider now:

- val S.Cons(x, rest) = S.expose nats
- val S.Cons(y, \_) = S.expose rest

# val S.Cons(z, \_) = S.expose nats To what value is z bound?

#### (example #2 continued)

fun nat' x () = S.Cons (x, S.delay (nat'(x+1)))
val nats = S.delay (nat' 0)

#### Consider now:

- val S.Cons(x, rest) = S.expose nats
- val S.Cons(y, \_) = S.expose rest
- val S.Cons(z, \_) = S.expose nats

To what value is **z** bound?

Answer: 0/z (Same as x.)

# Memoization

- Suppose exposing a stream element takes 1 month to compute.
- Each time we expose that same stream element, we will force the same suspension and therefore require 1 month of computation time.
- Some lazy languages, like Haskell, remember the value computed, so that it does not need to be re-computed on subsequent re-exposures, merely looked up. That is called *memoization*.
- We will see one way to do that Tuesday.

# Stream Equivalence

Recall the function

take : ('a stream \* int ) -> 'a list

 We say that two streams X and Y produced by the same structure Stream : STREAM are extensionally equivalent (X ≅ Y) if and only if Stream.take(X,n) ≅ Stream.take(Y,n) for all integers n ≥ 0.

# **Productive Streams**

- We say a stream s of type t Stream.stream is productive if and only if Stream.expose(s) returns one of the following:
  - a) Stream.Empty Or
  - b) Stream.Cons(x,s'), with x a value of type t and s' itself a productive stream.

# **Productive & Infinite Streams**

- We say a stream s of type t Stream.stream is *productive* if and only if Stream.expose(s) returns one of the following:
  - a) Stream.Empty Or
  - b) Stream.Cons(x,s'), with x a value of type t and s' itself a productive stream.
- Now we can formally define the intuitive notion of an infinite stream: A stream is *infinite* if it is productive and if successive exposures never encounter Stream.Empty.

# Some more Stream functions

Let us implement a few more functions inside the structure Stream.

(Since we are within the structure we do *not* use the qualified names "Stream." or "S.")

#### null

#### 'a stream -> bool

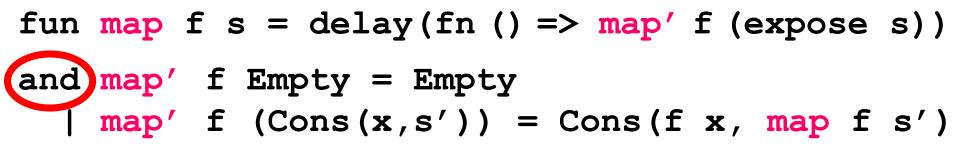
Observe that null must expose stream s, in order to try to determine whether the stream is empty, by checking whether the corresponding front is Empty. This exposure could take a long time, possibly even never terminating, depending on what s is.

map

('a -> 'b) -> 'a stream -> 'b stream

#### map

('a -> 'b) -> 'a stream -> 'b stream



I find it convenient mentally to have two mutually recursive functions when working with streams. One function focuses on streams, the other on fronts. Other implementations are possible (see next slide).

map

('a -> 'b) -> 'a stream -> 'b stream

Alternate implementation:

fun map f s =
 delay (fn () =>
 (case (expose s) of
 Empty => Empty
 | Cons(x,s') => Cons (f x, map f s')))

#### map

('a -> 'b) -> 'a stream -> 'b stream

fun map f s = delay(fn () => map' f (expose s))

and map' f Empty = Empty | map' f (Cons(') Notice the laziness here! s')

map does not actually call f on any elements of s.
Instead, map delays such work. When/if someone
exposes the mapped stream, f will be applied to
the first element of s by map'.

map

('a -> 'b) -> 'a stream -> 'b stream

fun map f s = delay(fn () => map' f (expose s))
and map' f Empty = Empty
| map' f (Cons(x,s')) = Cons(f x, map f s')
map does not actually call f on any elements of s.
Instead, map delays such work. When/if someone
exposes the mapped stream, f will be applied to
the first element of s by map'.

Then map' applies map f to the rest of the stream  $\dot{s}'$ , so as to delay further calls until someone needs the elements.

('a -> bool) -> 'a stream -> 'a stream

#### Similar to map, much like List.filter and List.map are similar.

There is one subtlety.

('a -> bool) -> 'a stream -> 'a stream

fun filter p s =
 delay (fn () => filter' p (expose s))

If someone exposes a filtered stream, code must look for the first element satisfying **p**. That may entail looking at multiple elements of **s**, so may need to call filter' repeatedly.

('a -> bool) -> 'a stream -> 'a stream

- fun filter p s =
   delay (fn () => filter' p (expose s))
- and filter' p Empty = Empty

$$|$$
 filter' p (Cons(x,s')) =

if (p x) then Cons (x, filter p s')
else filter' p (expose s')

If someone exposes a filtered stream, code must look for the first element satisfying p. That may entail looking at multiple elements of s, so may need to call filter' repeatedly.

('a -> bool) -> 'a stream -> 'a stream

- fun filter p s =
   delay (fn () => filter' p (expose s))
- and filter' p Empty = Empty
  - | filter' p (Cons(x,s')) =

if (p x) then Cons (x, filter p s')
else filter' p (expose s')

If someone exposes a filtered stream, Can loop code must look for the first element satisfying p. forever! That may entail looking at multiple elements of s, so may need to call filter' repeatedly.

#### Example #3

val evens = S.map (fn n => 2\*n) nats

val [0,2,4] = S.take (evens, 3)

**Observe:** evens : int S.stream

The stream evens is a lazy piece of code (sometimes called a *thunk*) that knows how to compute the even natural numbers from the stream nats, which itself is a thunk that knows how to compute natural numbers. In particular, the number 4 does not appear explicitly in nats or evens, but eventually is computed by the exposures implicit in the code for take.

#### Example #4

- val ns = S.filter (fn n => n < 0) nats
- Observe: ns : int S.stream

#### **Questions:**

- 1. Is ns a value?
- 2. If so, how long does it take to compute it?
- 3. Does S.expose ns reduce to a value?

### Example #4

#### val ns = S.filter (fn n => n < 0) nats

Recall: fun filter p s =

delay (fn () => filter' p (expose s))

#### **Questions:**

- 1. Is ns a value?
- 2. If so, how long does it take to compute it?
- 3. Does S.expose ns reduce to a value?

**Answers:** 

- 1. Yes.
- 2. The call to S.filter returns almost instantaneously.
- 3. No, S.expose ns loops forever.

fun filter p s =
 delay (fn () => filter' p (expose s))

# and filter' p Empty = Empty | filter' p (Cons(x,s')) = if (p x) then Cons (x, filter p s') else filter' p (expose s') loops

forever!

Inspired by the Sieve of Erathosthenes

2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,...

Write down all the natural numbers greater than 1.

#### Find leftmost element (2 currently).

#### Cross-off all multiples of that leftmost element.

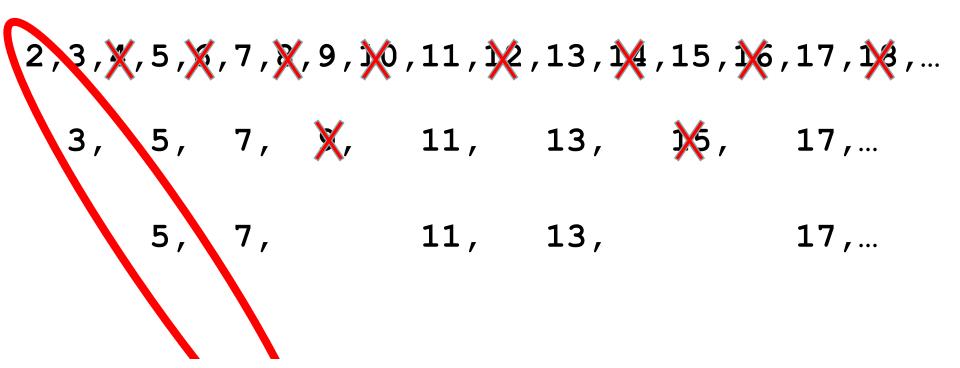
$$2, 3, \chi, 5, \chi, 7, \chi, 9, \chi, 11, \chi, 13, \chi, 15, \chi, 17, \chi, ...$$

Repeat the process with the remaining numbers.

2,3,
$$\chi$$
,5, $\chi$ ,7, $\chi$ ,9, $\chi$ ,11, $\chi$ ,13, $\chi$ ,15, $\chi$ ,17, $\chi$ ,...  
3,5,7, $\chi$ , 11, 13, $\chi$ , 17,...

# Example #5 : All the primes Inspired by the Sieve of Erathosthenes 2,3, 🗙, 5, 🗙, 7, 🗙, 9, 🞾, 11, 🚧, 13, 1⁄₄, 15, 1⁄⁄<sub>6</sub>, 17, 1⁄⁄<sub>8</sub>, ... 3, 5, 7, 🗙, 11, 13, 🔆, 17,... **(5)** 7, **11**, **13**, 17,...

Keep repeating this process.



The diagonal of leftmost elements constitutes all primes.

fun notDivides  $p q = (q \mod p <> 0)$ 

notDivides p q returns false if q is a multiple of p, and true otherwise.

fun notDivides p q = (q mod p <> 0)

fun sieve s = S.delay (fn () => sieve'(S.expose s))

sieve delays the actual sieving.

fun notDivides p q = (q mod p <> 0)

fun sieve s = S.delay (fn () => sieve'(S.expose s))
and sieve' (S.Empty) = S.Empty

We don't really need this clause, since there are infinitely many primes.

fun notDivides p q = (q mod p <> 0)

fun sieve s = S.delay (fn () => sieve'(S.expose s))
and sieve' (S.Empty) = S.Empty
| sieve' (S.Cons(p, s)) =
 S.Cons(p, sieve (S.filter (notDivides p) s)

sieve' filters out multiples of the element p
 that it finds at the head of its front,
recursively constructs a stream of all larger primes,
 and adds p to the front of that.

fun notDivides p q = (q mod p <> 0)

fun sieve s = S.delay (fn () => sieve'(S.expose s))
and sieve' (S.Empty) = S.Empty
| sieve' (S.Cons(p, s)) =
 S.Cons(p, sieve (S.filter (notDivides p) s))

val primes = sieve (S.delay (nat' 2))

All the primes represented lazily.

fun notDivides p q = (q mod p <> 0)

```
fun sieve s = S.delay (fn () => sieve'(S.expose s))
and sieve' (S.Empty) = S.Empty
| sieve' (S.Cons(p, s)) =
    S.Cons(p, sieve (S.filter (notDivides p) s))
```

val primes = sieve (S.delay (nat' 2))

val p400 = S.take (primes, 400)

The first 400 primes in a list.

## The first 400 primes

[2,3,5,7,11,13,17,19,23,29,31,37,41,43,47,53,59,61,67,71,73,79,83,89,97,101, 103, 107, 109, 113, 127, 131, 137, 139, 149, 151, 157, 163, 167, 173, 179, 181, 191, 193, 197, 199, 211, 223, 227, 229, 233, 239, 241, 251, 257, 263, 269, 271, 277, 281, 283, 293, 307, 311, 313, 317, 331, 337, 347, 349, 353, 359, 367, 373, 379, 383, 389, 397, 401, 409, 419,421,431,433,439,443,449,457,461,463,467,479,487,491,499,503,509,521, 523,541,547,557,563,569,571,577,587,593,599,601,607,613,617,619,631,641, 643, 647, 653, 659, 661, 673, 677, 683, 691, 701, 709, 719, 727, 733, 739, 743, 751, 757, 761,769,773,787,797,809,811,821,823,827,829,839,853,857,859,863,877,881, 883,887,907,911,919,929,937,941,947,953,967,971,977,983,991,997,1009,1013, 1019,1021,1031,1033,1039,1049,1051,1061,1063,1069,1087,1091,1093,1097,1103, 1109,1117,1123,1129,1151,1153,1163,1171,1181,1187,1193,1201,1213,1217,1223, 1229,1231,1237,1249,1259,1277,1279,1283,1289,1291,1297,1301,1303,1307,1319, 1321, 1327, 1361, 1367, 1373, 1381, 1399, 1409, 1423, 1427, 1429, 1433, 1439, 1447, 1451, 1453, 1459, 1471, 1481, 1483, 1487, 1489, 1493, 1499, 1511, 1523, 1531, 1543, 1549, 1553, 1559, 1567, 1571, 1579, 1583, 1597, 1601, 1607, 1609, 1613, 1619, 1621, 1627, 1637, 1657, 1663, 1667, 1669, 1693, 1697, 1699, 1709, 1721, 1723, 1733, 1741, 1747, 1753, 1759, 1777, 1783, 1787, 1789, 1801, 1811, 1823, 1831, 1847, 1861, 1867, 1871, 1873, 1877, 1879, 1889, 1901, 1907, 1913, 1931, 1933, 1949, 1951, 1973, 1979, 1987, 1993, 1997, 1999, 2003, 2011, 2017, 2027, 2029, 2039, 2053, 2063, 2069, 2081, 2083, 2087, 2089, 2099, 2111, 2113, 2129, 2131, 2137, 2141, 2143, 2153, 2161, 2179, 2203, 2207, 2213, 2221, 2237, 2239, 2243, 2251, 2267, 2269, 2273, 2281, 2287, 2293, 2297, 2309, 2311, 2333, 2339, 2341, 2347, 2351, 2357, 2371, 2377, 2381, 2383, 2389, 2393, 2399, 2411, 2417, 2423, 2437, 2441, 2447, 2459, 2467, 2473, 2477, 2503, 2521, 2531, 2539, 2543, 2549, 2551, 2557, 2579, 2591, 2593, 2609, 2617, 2621, 2633, 2647, 2657, 2659, 2663, 2671, 2677, 2683, 2687, 2689, 2693, 2699, 2707, 2711, 2713,2719,2729,2731,2741]

#### (spec for **sieve** might be)

- (\* sieve : int Stream.stream -> int Stream.stream
- REQUIRES: s consists, in ascending order, of all natural numbers starting with some prime q, excluding all multiples of primes less than q.
- ENSURES : (sieve s) returns a stream consisting of all primes starting with q, in ascending order.

# Inspired by Euclid's Proof

Theorem: There are infinitely many primes.

Proof:

Suppose  $p_1$ , ...,  $p_n$  are all the primes. Let  $P = p_1 * \cdots * p_n$  and Q = P + 1. Q > P, so some  $p_i$  divides Q and P. Thus  $p_i$  divides 1, establishing a contradiction. QED

(Euclid proved that for any finite list of primes, there exists a prime outside the list.)

# That is all.

Please have a good weekend.

See you Tuesday, when we will talk about mutation.