

15-150

# Principles of Functional Programming

## Lecture 3

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## **Recursion & Induction**

**Standard**

**Strong**

**Structural**

(\* square : int  $\rightarrow$  int

REQUIRES: true

ENSURES: square(n)  $\Rightarrow$   $n^2$

\*)

fun square (n : int) : int = n \* n

square is bound to a function value.

square : int  $\rightarrow$  int

"has type"

square 7 is an expression.

square 7 : int

square 7  $\xrightarrow{\text{"evaluates to"}}$  49

49 : int

49 is a value (values are also expressions)

# I sometimes abbreviate reductions

Instead of

square 7

$\Rightarrow$  [env when square was defined]  
(fn (n:int)  $\Rightarrow$  n\*n) 7

$\Rightarrow$  [env...][7/n] n\*n

$\Rightarrow$  [env...][7/n] 7\*n

$\Rightarrow$  [env...][7/n] 7\*7

$\Rightarrow$  49

I may just write

square 7

$\Rightarrow$  7\*7

$\Rightarrow$  49

# I sometimes abbreviate reductions

Instead of

square 7

$\Rightarrow$  [env when square was defined]  
(fn (n:int)  $\Rightarrow$  n\*n) 7

$\Rightarrow$  [env...][7/n] n\*n

$\Rightarrow$  [env...][7/n] 7\*n

$\Rightarrow$  [env...][7/n] 7\*7

$\Rightarrow$  49

or even just

square 7

$\Rightarrow$  49

(\* power : int \* int  $\rightarrow$  int

REQUIRES :  $k \geq 0$

ENSURES :  $\text{power}(n, k) \Leftrightarrow n^k$   
(let's define  $0^0 = 1$ )

\*)

fun power (n:int, 0:int): int = 1

| power (n, k) = n \* power(n, k-1)

---

(\* power : int \* int  $\rightarrow$  int

REQUIRES :  $k \geq 0$

ENSURES :  $\text{power}(n, k) \Leftrightarrow n^k$   
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REQUIRES :  $k \geq 0$

ENSURES :  $\text{power}(n, k) \Leftrightarrow n^k$

(let's define  $0^0 = 1$ )

\*)

fun power (n:int, 0:int): int = 1

| power (n, k) = n \* power(n, k-1)

$$3^7 = 3 \cdot 3 \cdot 3 \cdot 3 \cdot 3 \cdot 3 \cdot 3 \cdot 1$$

$$= 2187$$

$O(k)$  recursive calls

(\* even : int  $\rightarrow$  bool

REQUIRES : true

ENSURES : even  $k$  evaluates to

$\left\{ \begin{array}{l} \text{true, if } k \text{ is even;} \\ \text{false, if } k \text{ is odd.} \end{array} \right.$

\*)

fun even (k: int) : bool =

(k mod 2) = 0



# Typing & Evaluation of if...then...else.

•  $\text{if } e_1 \text{ then } e_2 \text{ else } e_3 \quad : \tau$

if  $e_1 : \text{bool}$ ,  
 $e_2 : \tau$ ,  
&  $e_3 : \tau$ .

(In particular,  $e_2$  &  $e_3$  must have the same type.)

• Evaluation is left-to-right.

$e_2$  is evaluated iff  $e_1 \hookrightarrow \text{true}$ .

$e_3$  is evaluated iff  $e_1 \hookrightarrow \text{false}$ .

(\* power : int \* int  $\rightarrow$  int

REQUIRES :  $k \geq 0$

ENSURES :  $\text{power}(n, k) \Leftrightarrow n^k$   
(let's define  $0^0 = 1$ )

\*)

fun power(n:int, o:int):int = 1

| power(n, k) =

if (even k)

then square(power(n, k div 2))

else n \* power(n, k-1)

(\* power : int \* int  $\rightarrow$  int

REQUIRES :  $k \geq 0$

ENSURES :  $\text{power}(n, k) \Leftrightarrow n^k$   
(let's define  $0^0 = 1$ )

\*)

fun power(n: int, k: int): int = 1

| power(n, k) =

if (even k)

then square(power(n, k div 2))

else n \* power(n, k - 1)

$$3^7 = 3 \cdot (3 \cdot (3 \cdot 1)^2)^2$$

---

$$= 2187$$

---

$O(\log k)$  recursive calls

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We would like to prove  
correctness for each implementation:

## Theorem

For all values  $n:\text{int}$  &  $k:\text{int}$ ,  
with  $k \geq 0$ ,  $\text{power}(n, k) \leftrightarrow n^k$ .

During lecture:

We proved the theorem for our two implementations of **power**.

We used standard mathematical induction for the first implementation and strong induction for the second implementation.

# Lists

Type  $t$  list for any type  $t$ .

Values  $[v_1, \dots, v_n]$ , with each  $v_i$  a value of type  $t$ ,  
&  $n \geq 0$ . (By  $n=0$  we mean the empty  
list, written  $[]$  or  $nil$ .)

Expressions • All the values, &  
•  $e :: es$ , with  $e : t$  &  $es : t$  list.

For example  $1 :: [2, 3]$  which gives the list  
 $[1, 2, 3]$  & int list

## Small comment

$1 :: [2, 3]$  &  $[1, 2, 3]$

are simply two different ways of  
writing the same thing (same list value).

Here is another way:  $1 :: 2 :: 3 :: nil$ .

$::$  is right-associative.

So

$1 :: 2 :: 3 :: \text{rest}$

means

$1 :: (2 :: (3 :: \text{rest})).$

# Type-checking

- $[] : t \text{ list}$
- $e :: es : t \text{ list}$   
if  $e : t$  and  $es : t \text{ list}$



# Evaluation

- $[]$  is a value (pronounced "nil")  
(same as nil)

- $$e :: es \implies e' :: es$$

if  $e \implies e'$

- $$v :: es \implies v :: es'$$

if  $v$  is a value  
&  $es \implies es'$ .

(I.e., left-to-right evaluation  
for sequential evaluation.)

Can use list structure as  
patterns, with variables binding  
to different parts of the list.

During lecture:

We wrote a **length** function for lists.

We proved that **length** is total

We used *structural induction* for the proof.

# Correspondence

Datastructure

Code

Proof

---

base case(s)

base case(s)

base case(s)

inductive/recursive  
definition(s)

recursive  
clause(s)

induction  
step(s)

# Correspondence

Datastructure	Code	Proof
base case(s) ○	base case(s) fun power(-, 0) = 1	base case(s) power(n, 0) $\leftrightarrow$ 1
inductive/recursive definition(s) (k-1) + 1	recursive clause(s)   power(n, k) = n * power(n, k-1)	induction step(s)

IH: power(n, k)  $\leftrightarrow$   $n^k$   
NTS: power(n, k+1)  $\leftrightarrow$   $n^{k+1}$

# Correspondence

Datastructure	Code	Proof
base case(s) []	base case(s) ()	base case(s) $\text{length } [] \leftrightarrow 0$
inductive/recursive definition(s) $x::xs$	recursive clause(s) $1 + \text{length}(xs)$	inductive case(s) IH: $\text{length}(xs) \leftrightarrow v$ NTS: $\text{length}(x::xs) \leftrightarrow v'$

# Correspondence

<u>Datastructure</u>	<u>Code</u>	<u>Proof</u>
base case(s) []	base case(s) 0	base case(s) $\text{length} [] \leftrightarrow 0$
inductive/recursive definition(s)	recursive clause(s)	inductive case(s)
$x::xs$	$1 + \text{length}(xs)$	IH: $\text{length}(xs) \leftrightarrow v$ NTS: $\text{length}(x::xs) \leftrightarrow v'$

There may be several base cases and/or several inductive cases.

That is all.

Have a good

Wednesday!