
WELCOME
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14-513
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Synchronization: Basic

18-213/18-613: Introduction to Computer Systems
23rd Lecture, April 12, 2022

Announcements

- **Homework out today and due a week from Thursday**
  - As usual

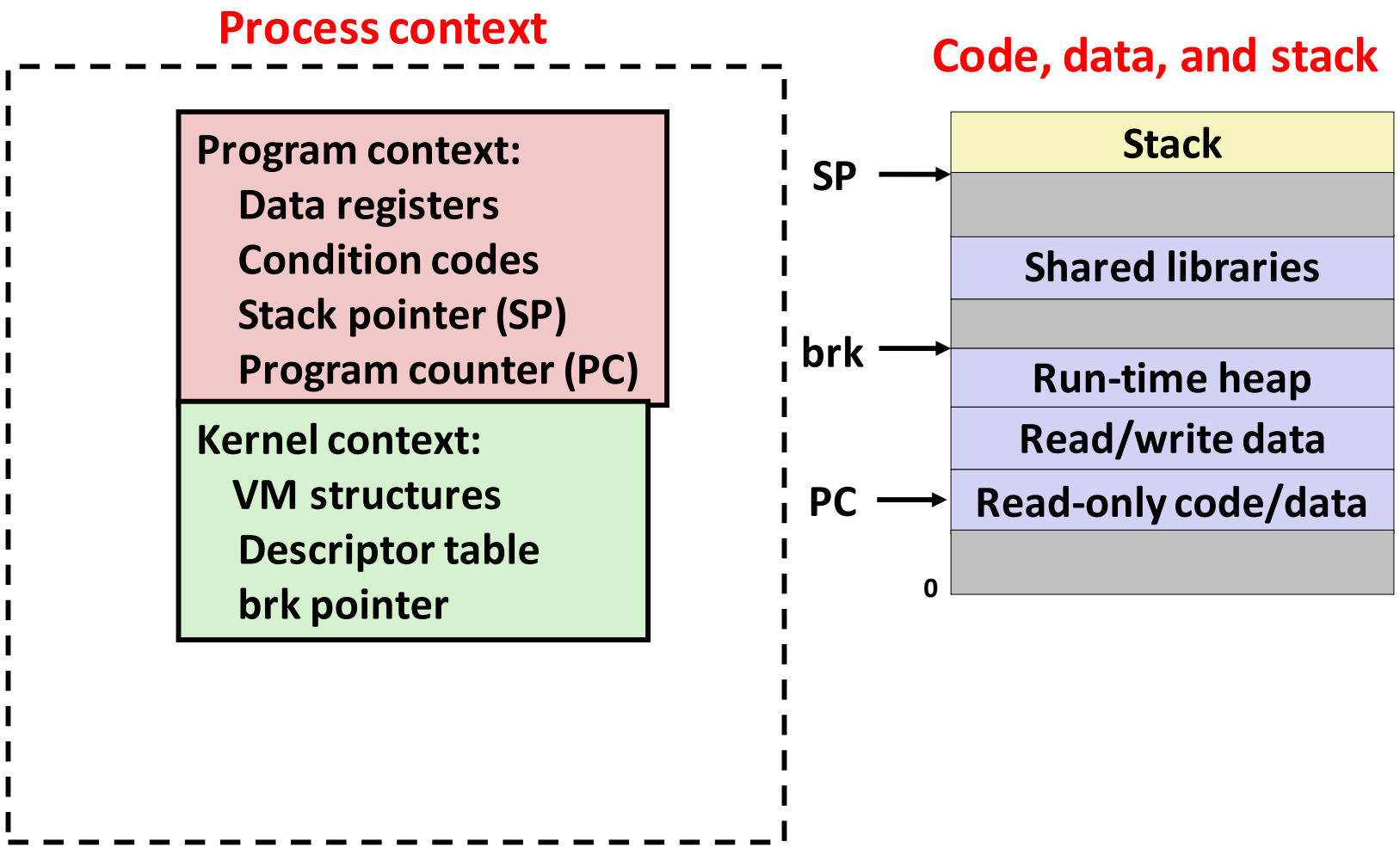
- **Shell Lab due Thursday**
  - Proxy Lab goes out.

Today

- **Recap: Threads, races, and deadlocks**
- Sharing — CSAPP 12.4
- Mutual exclusion — CSAPP 12.5
- Semaphores — CSAPP 12.5
- Producer-Consumer Synchronization — CSAPP 12.5

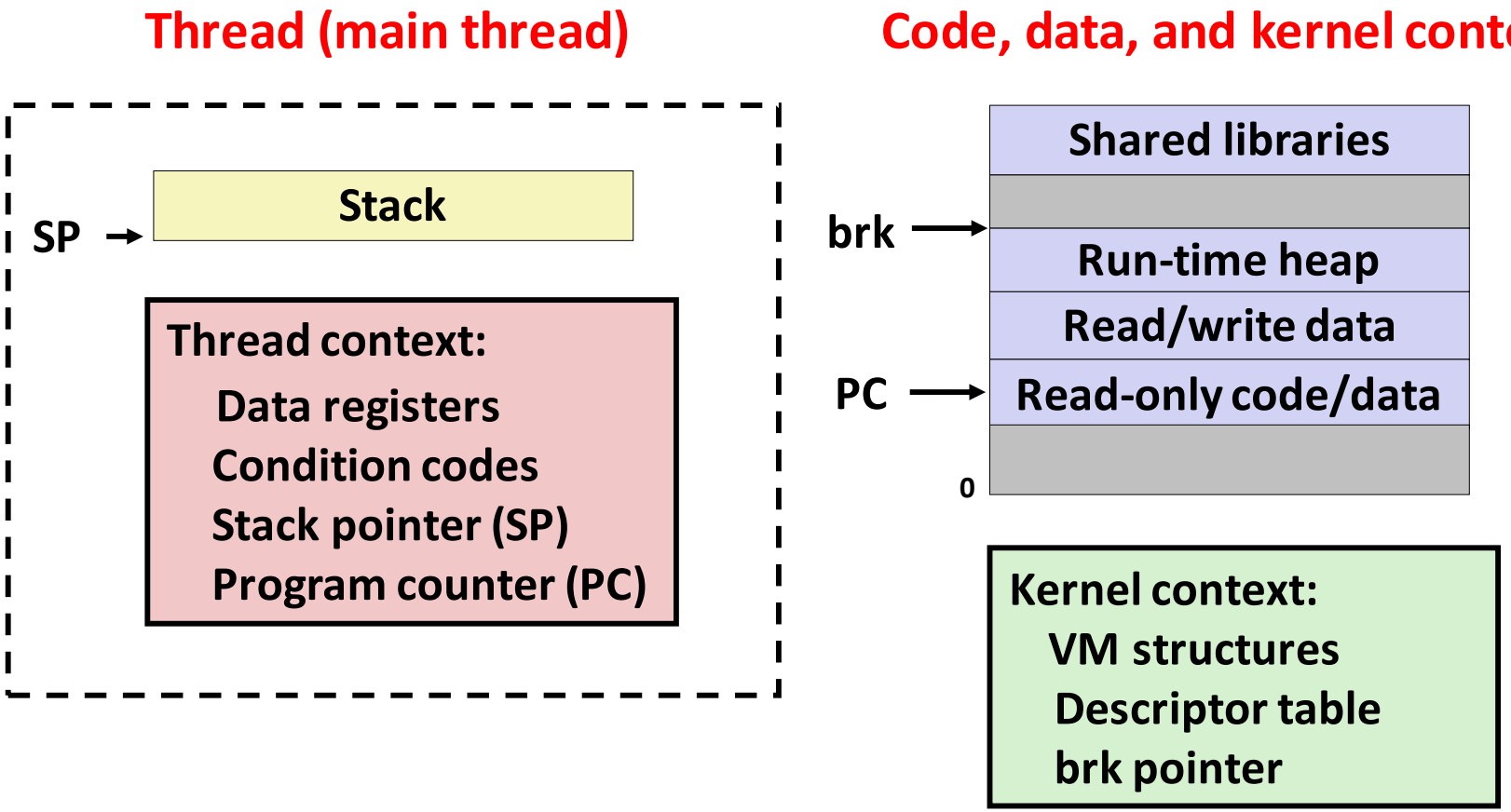
Traditional View of a Process

- Process = process context + code, data, and stack



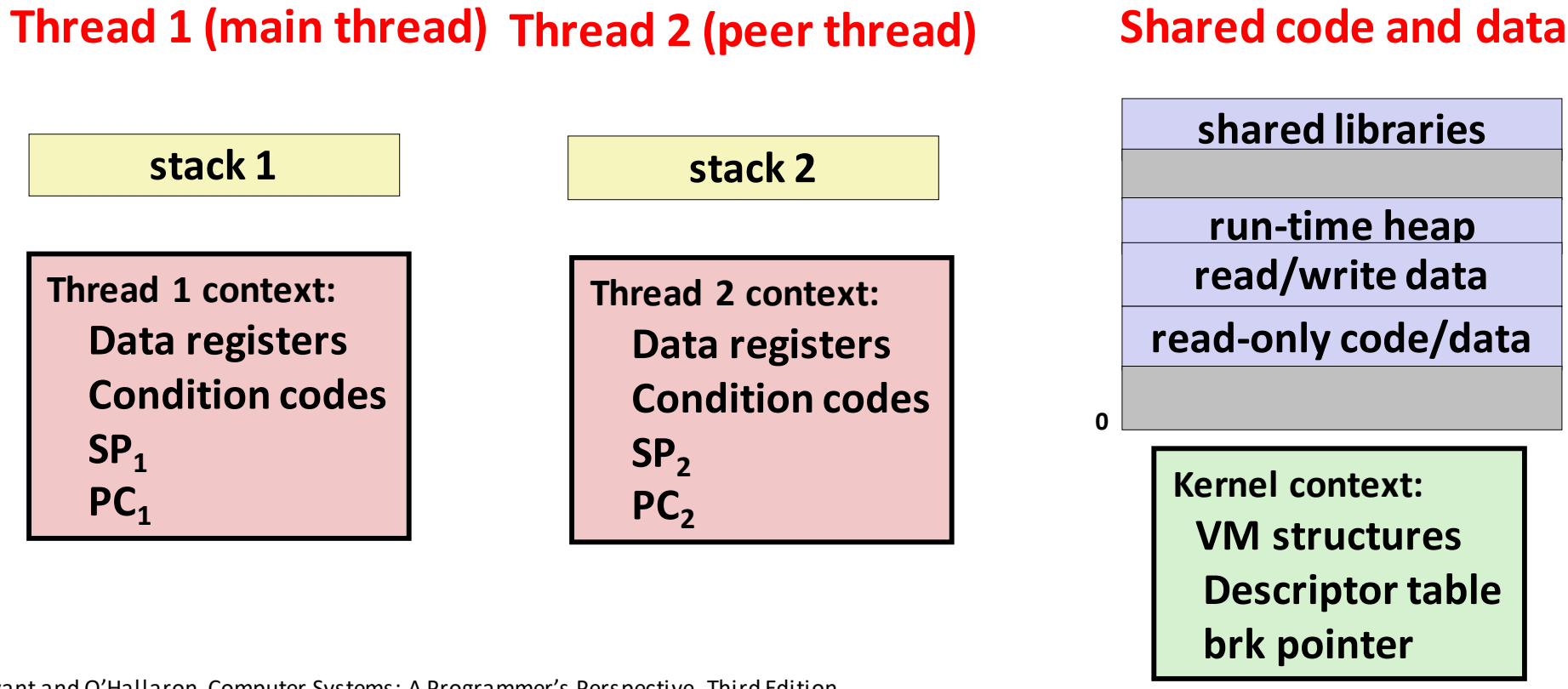
Alternate View of a Process

- Process = thread + code, data, and kernel context



A Process With Multiple Threads

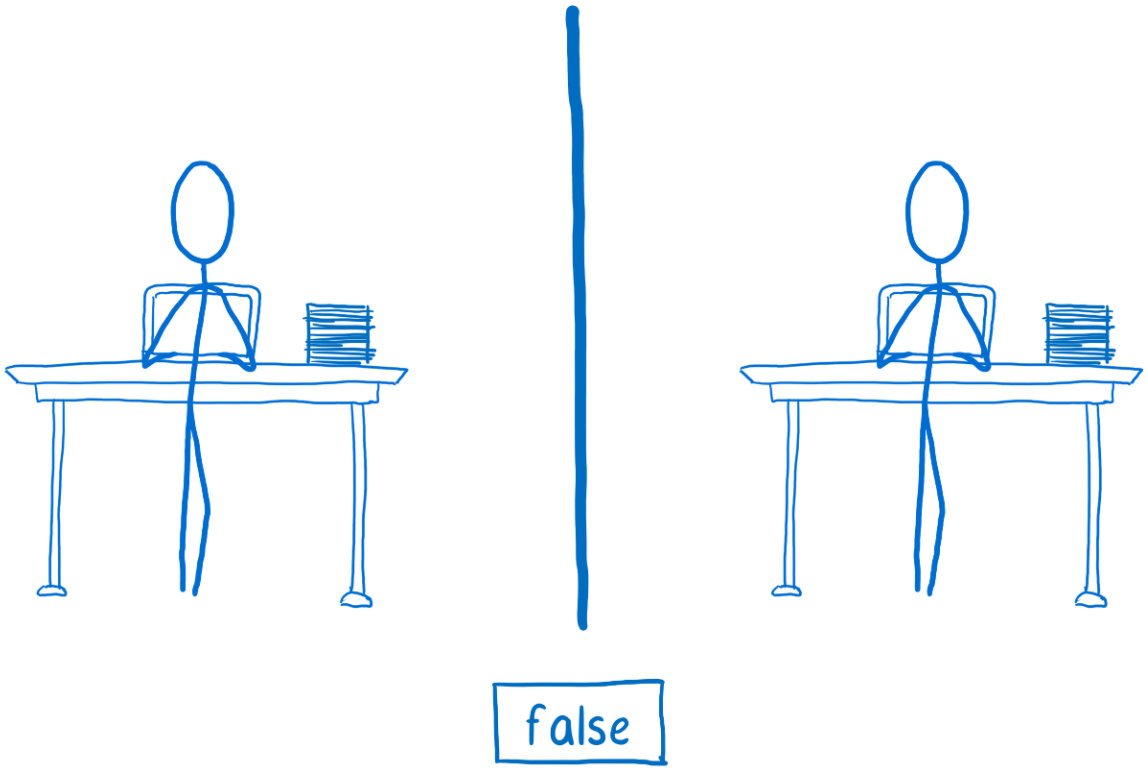
- **Multiple threads can be associated with a process**
  - Each thread has its own logical control flow
  - Each thread shares the same code, data, and kernel context
  - Each thread has its own stack for local variables
    - but not protected from other threads
  - Each thread has its own thread id (TID)



Race conditions

- **Event A can happen either before or after event B**
- **The program behaves differently depending on which one happens first**
  - Races are not necessarily bugs!
  - Only if one of the possible behaviors is incorrect

Race condition example

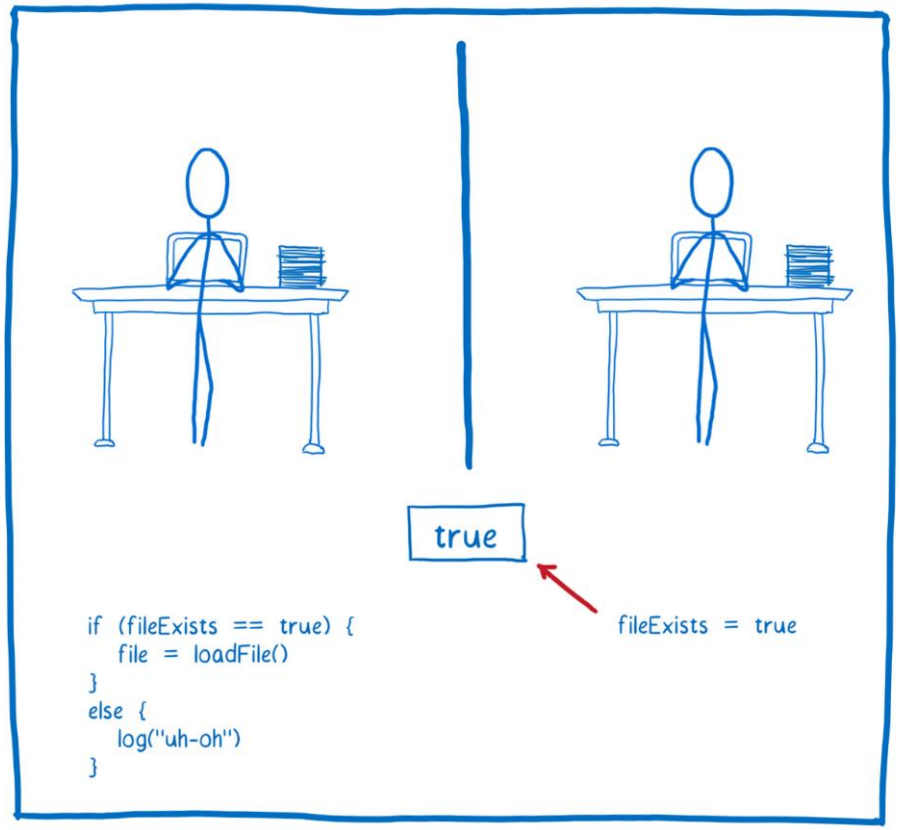


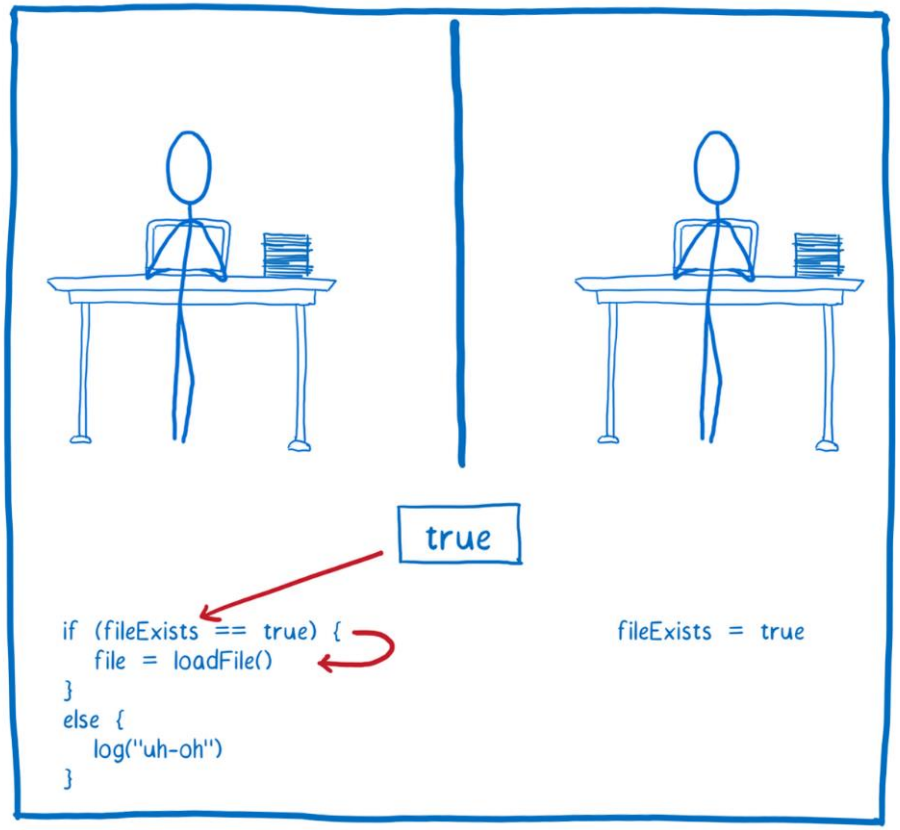

```
if (fileExists == true) {
   file = loadFile()
}
else {
   log("uh-oh")
}
```

```
fileExists = true
```

Lin Clark
https://hacks.mozilla.org/2017/06/avoiding-race-conditions-in-sharedarraybuffers-with-atomics/

Race condition example





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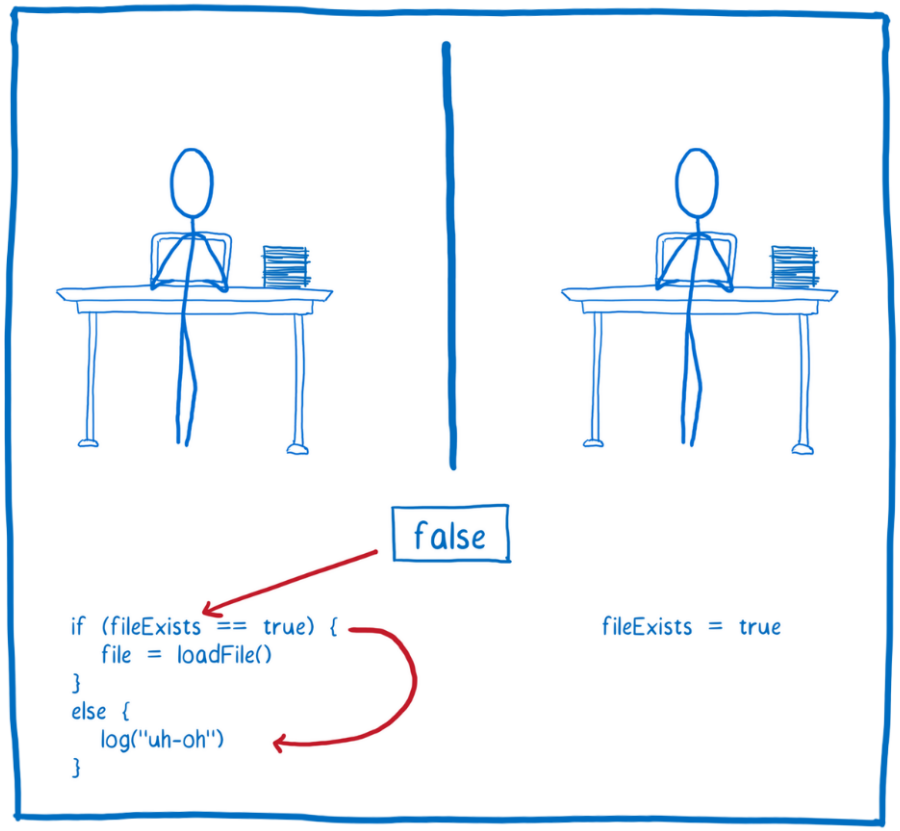
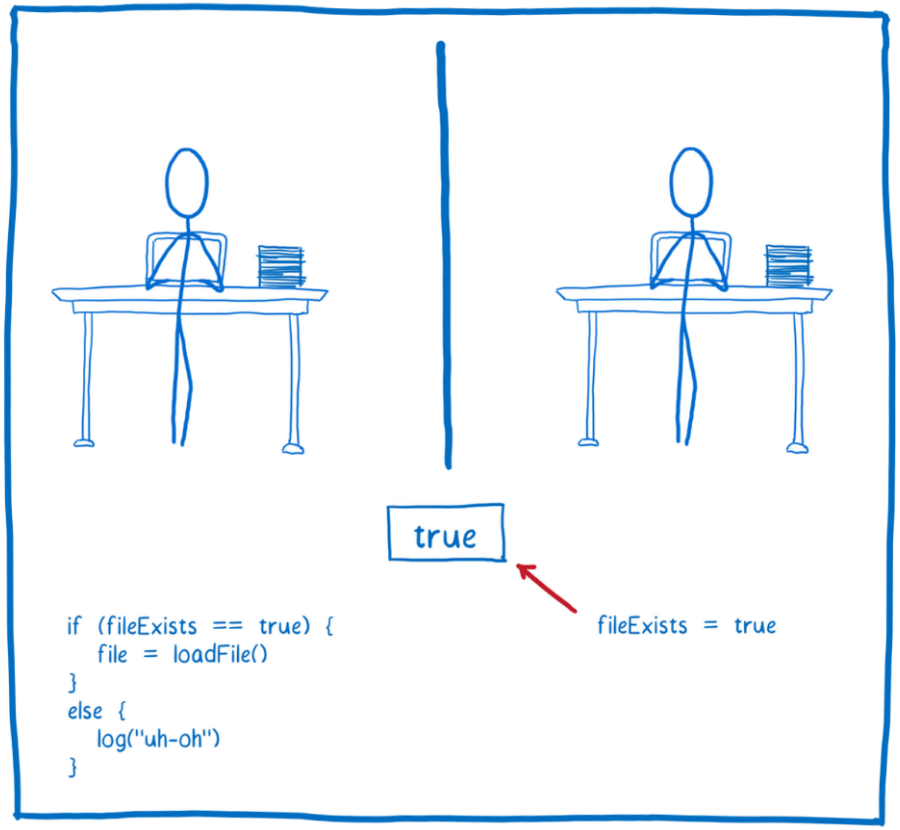
Race condition example





Lin Clark
https://hacks.mozilla.org/2017/06/avoiding-race-conditions-in-sharedarraybuffers-with-atomics/

More race condition examples

- File is deleted, in between when a program checks whether the file exists, and when it opens the file ("time-of-check to time-of-use" race)
- Child exits before parent can add it to the job list (tsh)
- Child thread reads variable after parent has changed it (Tuesday's lecture)
- Two threads update the same variable simultaneously (later in this lecture)

Deadlock

- **Whenever two or more threads/processes/… are stuck waiting for each other to do something**
- **In real life:**
  - Alice cannot put the groceries down until Bob opens the door
  - Bob cannot open the door until Alice hands him the keys
  - Alice cannot hand Bob the keys because she is holding the groceries
- **In programming:**
  - Client is waiting for server to send a message before it closes the connection
  - Server is waiting for client to close the connection before it sends the message (server has a bug)
- **Deadlock is *always* a bug**

Today

- Recap: Threads, races, and deadlocks
- **Sharing**
- Mutual exclusion
- Semaphores
- Producer-Consumer Synchronization

Shared Variables in Threaded C Programs

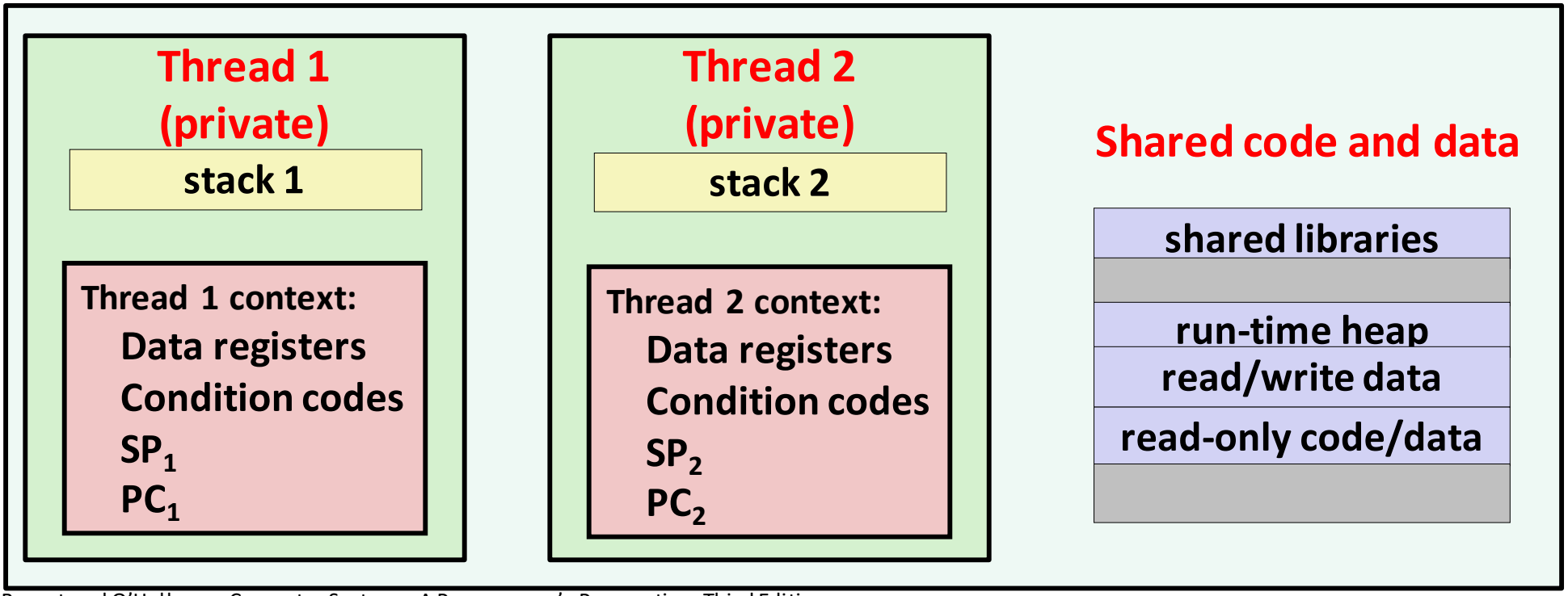
- **Question: Which variables in a threaded C program are shared?**
  - The answer is not as simple as "*global variables are shared*" and "*stack variables are private*"

- ***Def:* A variable `x` is *shared* if and only if multiple threads reference some instance of `x`.**

- **Requires answers to the following questions:**
  - What is the memory model for threads?
  - How are instances of variables mapped to memory?
  - How many threads might reference each of these instances?

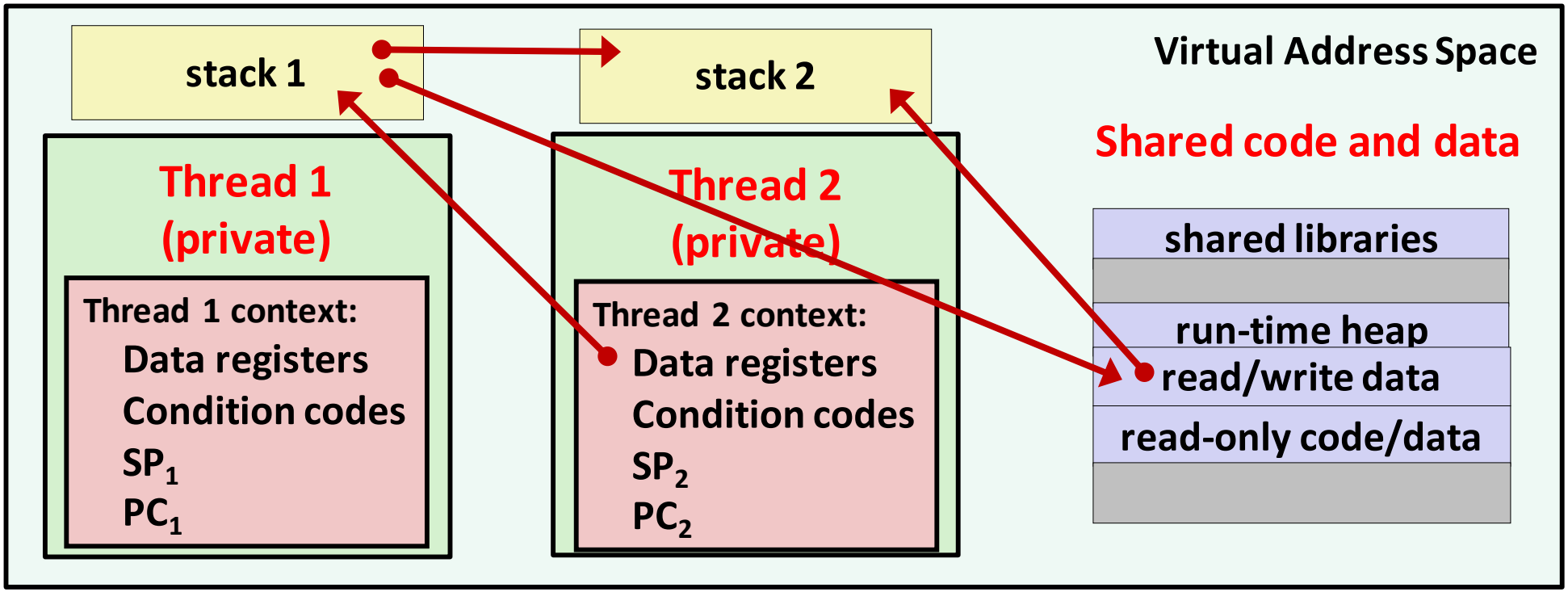
Threads Memory Model: Conceptual

- Multiple threads run within the context of a single process
- Each thread has its own separate thread context
  - Thread ID, stack, stack pointer, PC, condition codes, and GP registers
- All threads share the remaining process context
  - Code, data, heap, and shared library segments of the process virtual address space
  - Open files and installed handlers



Threads Memory Model: Actual

- **Separation of data is not strictly enforced:**
  - Register values are truly separate and protected, but…
  - Any thread can read and write the stack of any other thread



The mismatch between the conceptual and operation model is a source of confusion and errors

Example Program to Illustrate Sharing

```
char **ptr;  /* global var */

int main(int argc, char *argv[])
{
    long i;
    pthread_t tid;
    char *msgs[2] = {
        "Hello from foo",
        "Hello from bar"
    };

    ptr = msgs;
    for (i = 0; i < 2; i++)
        Pthread_create(&tid,
            NULL,
            thread,
            (void *)i);
    Pthread_exit(NULL);
}
```

sharing.c

```
void *thread(void *vargp)
{
    long myid = (long)vargp;
    static int cnt = 0;

    printf("[%ld]:  %s (cnt=%d)\n",
        myid, ptr[myid], ++cnt);
    return NULL;
}
```

Peer threads reference main thread's stack indirectly through global ptr variable

A common, but inelegant way to pass a single argument to a thread routine

Mapping Variable Instances to Memory

- **Global variables**
  - *Def:* Variable declared outside of a function
  - **Virtual memory contains exactly one instance of any global variable**

- **Local variables**
  - *Def:* Variable declared inside function without `static` attribute
  - **Each thread stack contains one instance of each local variable**

- **Local static variables**
  - *Def:* Variable declared inside function with the `static` attribute
  - **Virtual memory contains exactly one instance of any local static variable.**

Mapping Variable Instances to Memory

***Global var*: 1 instance (`ptr [data]`)**

***Local vars*: 1 instance (`i.m, msgs.m`)**

Notation: instance of msgs in main

```
char **ptr;  /* global var */

int main(int main, char *argv[])
{
    long i;
    pthread_t tid;
    char *msgs[2] = {
        "Hello from foo",
        "Hello from bar"
    };

    ptr = msgs;
    for (i = 0; i < 2; i++)
        Pthread_create(&tid,
            NULL,
            thread,
            (void *)i);
    Pthread_exit(NULL);
}
```

sharing.c

***Local var:* 2 instances (**
`myid.p0` [peer thread 0's stack],
`myid.p1` [peer thread 1's stack]
)

```
void *thread(void *vargp)
{
    long myid = (long)vargp;
    static int cnt = 0;

    printf("[%ld]:  %s (cnt=%d)\n",
        myid, ptr[myid], ++cnt);
    return NULL;
}
```

***Local static var*: 1 instance (`cnt [data]`)**

Shared Variable Analysis

- Which variables are shared?

Variable instance	*Referenced by main thread?*	*Referenced by peer thread 0?*	*Referenced by peer thread 1?*
ptr	yes	yes	yes
cnt	no	yes	yes
i.m	yes	no	no
msgs.m	yes	yes	yes
myid.p0	no	yes	no
myid.p1	no	no	yes

```
char **ptr;  /* global var */
int main(int main, char *argv[]) {
  long i; pthread_t tid;
  char *msgs[2] = {"Hello from foo",
                   "Hello from bar" };
    ptr = msgs;
    for (i = 0; i < 2; i++)
        Pthread_create(&tid,
            NULL, thread,(void *)i);
    Pthread_exit(NULL);}
```

```
void *thread(void *vargp)
{
  long myid = (long)vargp;
  static int cnt = 0;

  printf("[%ld]:  %s (cnt=%d)\n",
         myid, ptr[myid], ++cnt);
  return NULL;
}
```

Shared Variable Analysis

- Which variables are shared?

Variable instance	*Referenced by main thread?*	*Referenced by peer thread 0?*	*Referenced by peer thread 1?*
`ptr`	yes	yes	yes
`cnt`	no	yes	yes
`i.m`	yes	no	no
`msgs.m`	yes	yes	yes
`myid.p0`	no	yes	no
`myid.p1`	no	no	yes

- Answer: A variable `x` is shared iff multiple threads reference at least one instance of `x`. Thus:
  - `ptr`, `cnt`, and `msgs` are shared
  - `i` and `myid` are *not* shared

Synchronizing Threads

- **Shared variables are handy...**

- **…but introduce the possibility of nasty *synchronization* errors.**

badcnt.c: Improper Synchronization

```
/* Global shared variable */
volatile long cnt = 0; /* Counter */

int main(int argc, char **argv)
{
    long niters;
    pthread_t tid1, tid2;

    niters = atoi(argv[1]);
    Pthread_create(&tid1, NULL,
        thread, &niters);
    Pthread_create(&tid2, NULL,
        thread, &niters);
    Pthread_join(tid1, NULL);
    Pthread_join(tid2, NULL);

    /* Check result */
    if (cnt != (2 * niters))
        printf("BOOM! cnt=%ld\n", cnt);
    else
        printf("OK cnt=%ld\n", cnt);
    exit(0);
}
```

badcnt.c

```
/* Thread routine */
void *thread(void *vargp)
{
    long j, niters =
                *((long *)vargp);

    for (j = 0; j < niters; j++)
        cnt++;

  return NULL;
}
```

```
linux> ./badcnt 10000
OK cnt=20000
linux> ./badcnt 10000
BOOM! cnt=13051
linux>
```

cnt should equal 20,000.

What went wrong?

Assembly Code for Counter Loop

C code for counter loop in thread i

```
for (j = 0; j < niters; j++)
    cnt++;
```

Asm code for thread i

```
    movq   (%rdi), %rcx
    testq  %rcx,%rcx
    jle    .L2
    movl   $0, %eax
.L3:
    movq   cnt(%rip),%rdx
    addq   $1, %rdx
    movq   %rdx, cnt(%rip)
    addq   $1, %rax
    cmpq   %rcx, %rax
    jne    .L3
.L2:
```

H_i : Head

L_i : Load cnt

U_i : Update cnt

S_i : Store cnt

T_i : Tail

Concurrent Execution

- ***Key idea:*** **In general, any sequentially consistent* interleaving is possible, but some give an unexpected result!**
  - I_i denotes that thread i executes instruction I
  - $\%rdx_i$ is the content of %rdx in thread i's context

i (thread)	$instr_i$	$\%rdx_1$	$\%rdx_2$	cnt
1	H_1	-	-	0
1	L_1	0	-	0
1	U_1	1	-	0
1	S_1	1	-	1
2	H_2	-	-	1
2	L_2	-	1	1
2	U_2	-	2	1
2	S_2	-	2	2
2	T_2	-	2	2
1	T_1	1	-	2

Note: One of many possible interleavings

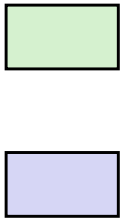
OK

For now. In reality, on x86 even non-sequentially consistent interleavings are possible

Concurrent Execution

- ***Key idea:*** **In general, any sequentially consistent interleaving is possible, but some give an unexpected result!**
  - I_i denotes that thread i executes instruction I
  - $\%rdx_i$ is the content of %rdx in thread i's context

i (thread)	$instr_i$	$\%rdx_1$	$\%rdx_2$	cnt
1	H_1	-	-	0
1	L_1	0	-	0
1	U_1	1	-	0
1	S_1	1	-	1
2	H_2	-	-	1
2	L_2	-	1	1
2	U_2	-	2	1
2	S_2	-	2	2
2	T_2	-	2	2
1	T_1	1	-	2



Thread 1
critical section

Thread 2
critical section

OK

Concurrent Execution (cont)

- Incorrect ordering: two threads increment the counter, but the result is 1 instead of 2

i (thread)	$instr_i$	$\%rdx_1$	$\%rdx_2$	cnt
1	H_1	-	-	0
1	L_1	0	-	0
1	U_1	1	-	0
2	H_2	-	-	0
2	L_2	-	0	0
1	S_1	1	-	1
1	T_1	1	-	1
2	U_2	-	1	1
2	S_2	-	1	1
2	T_2	-	1	1

Oops!

(badcnt will print "BOOM!")

Concurrent Execution (cont)

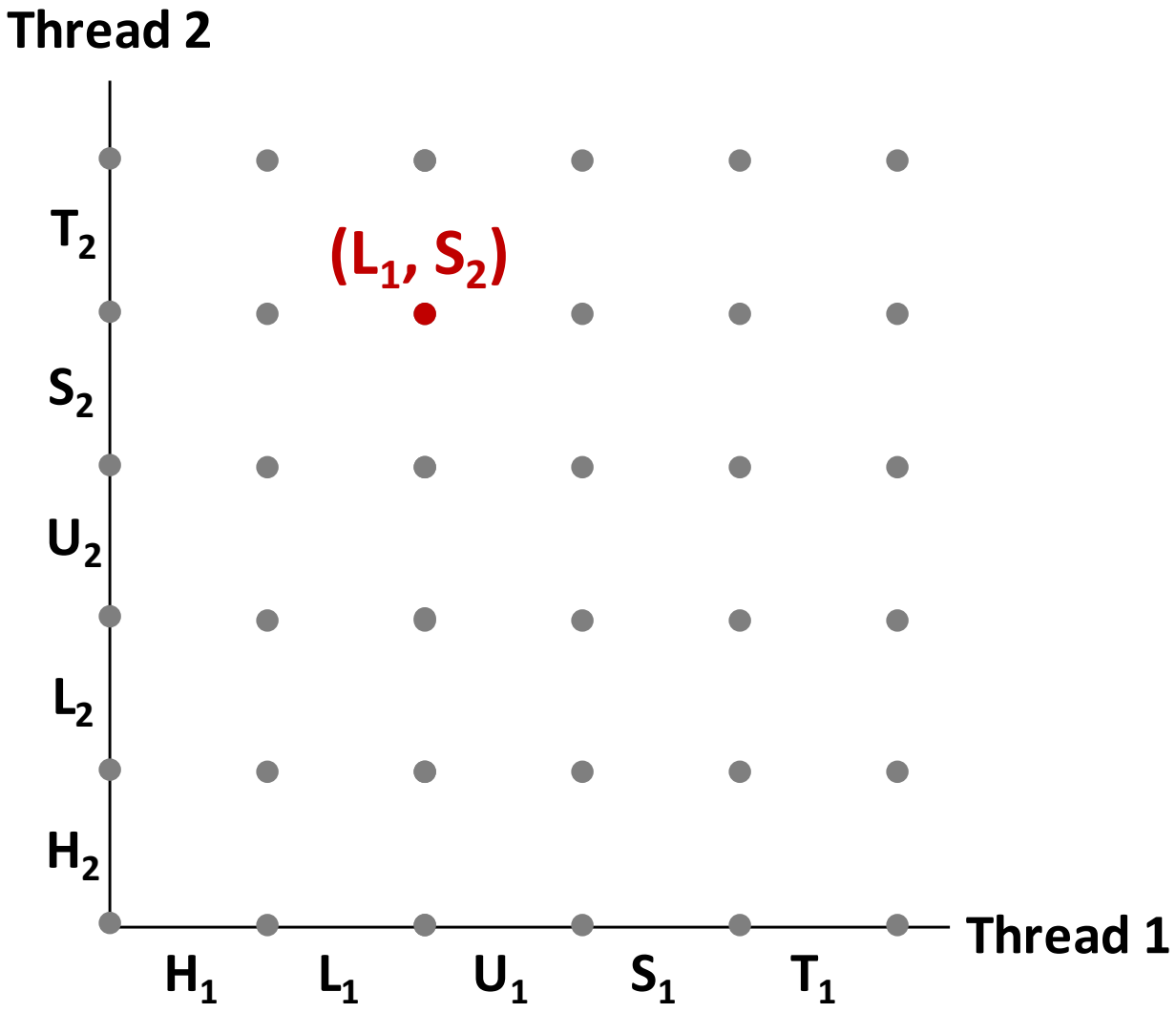
- How about this ordering?

i (thread)	$instr_i$	$\%rdx_1$	$\%rdx_2$	cnt
1	H_1			0
1	L_1	0		
2	H_2			
2	L_2		0	
2	U_2		1	
2	S_2		1	1
1	U_1	1		
1	S_1	1		1
1	T_1			1
2	T_2			1

Oops again!

- We can analyze the behavior using a *progress graph*

Progress Graphs



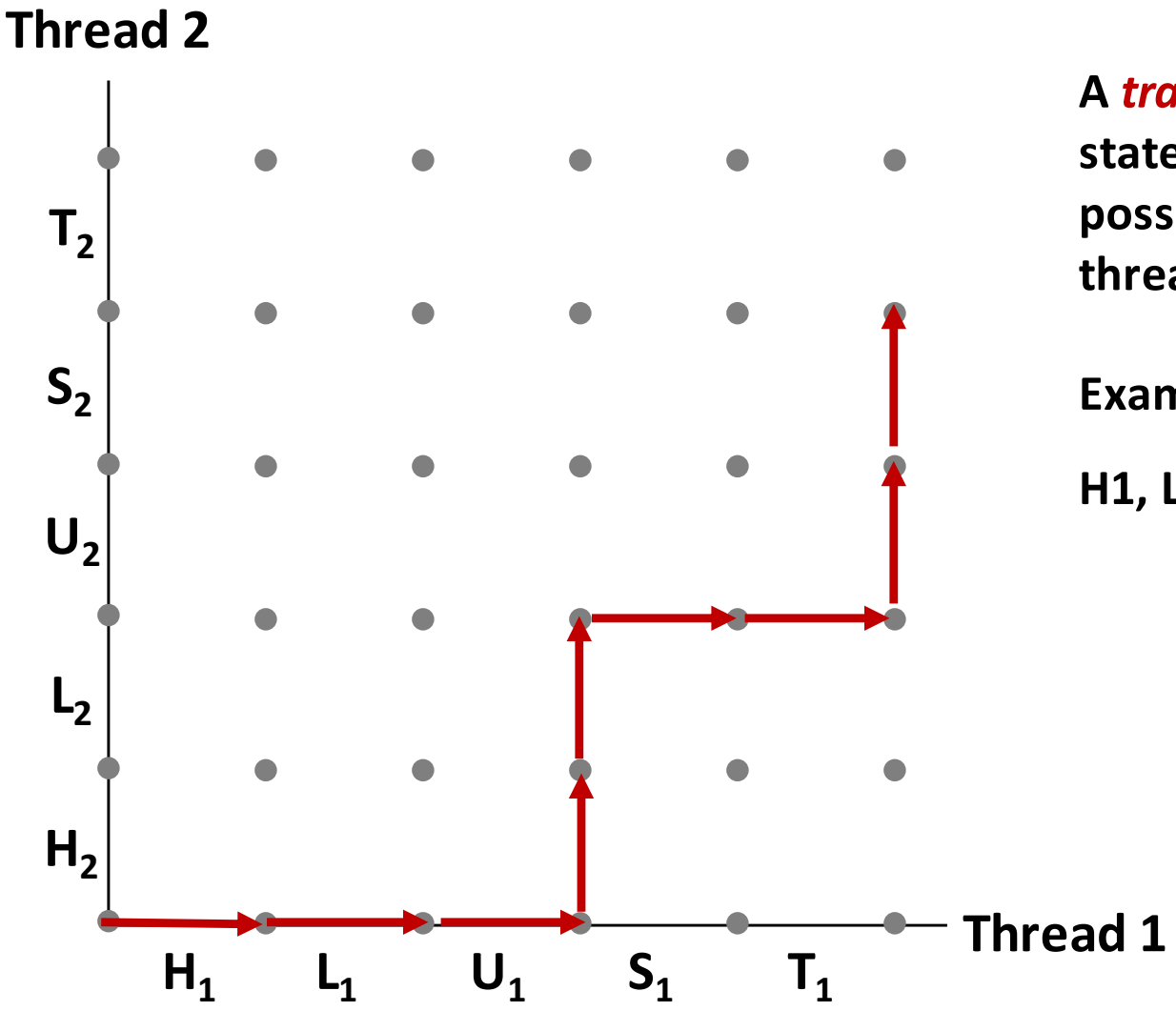
A *progress graph* depicts the discrete *execution state space* of concurrent threads.

Each axis corresponds to the sequential order of instructions in a thread.

Each point corresponds to a possible *execution state* ($Inst_1$, $Inst_2$).

E.g., (L_1, S_2) denotes state where thread 1 has completed L_1 and thread 2 has completed S_2.

Trajectories in Progress Graphs

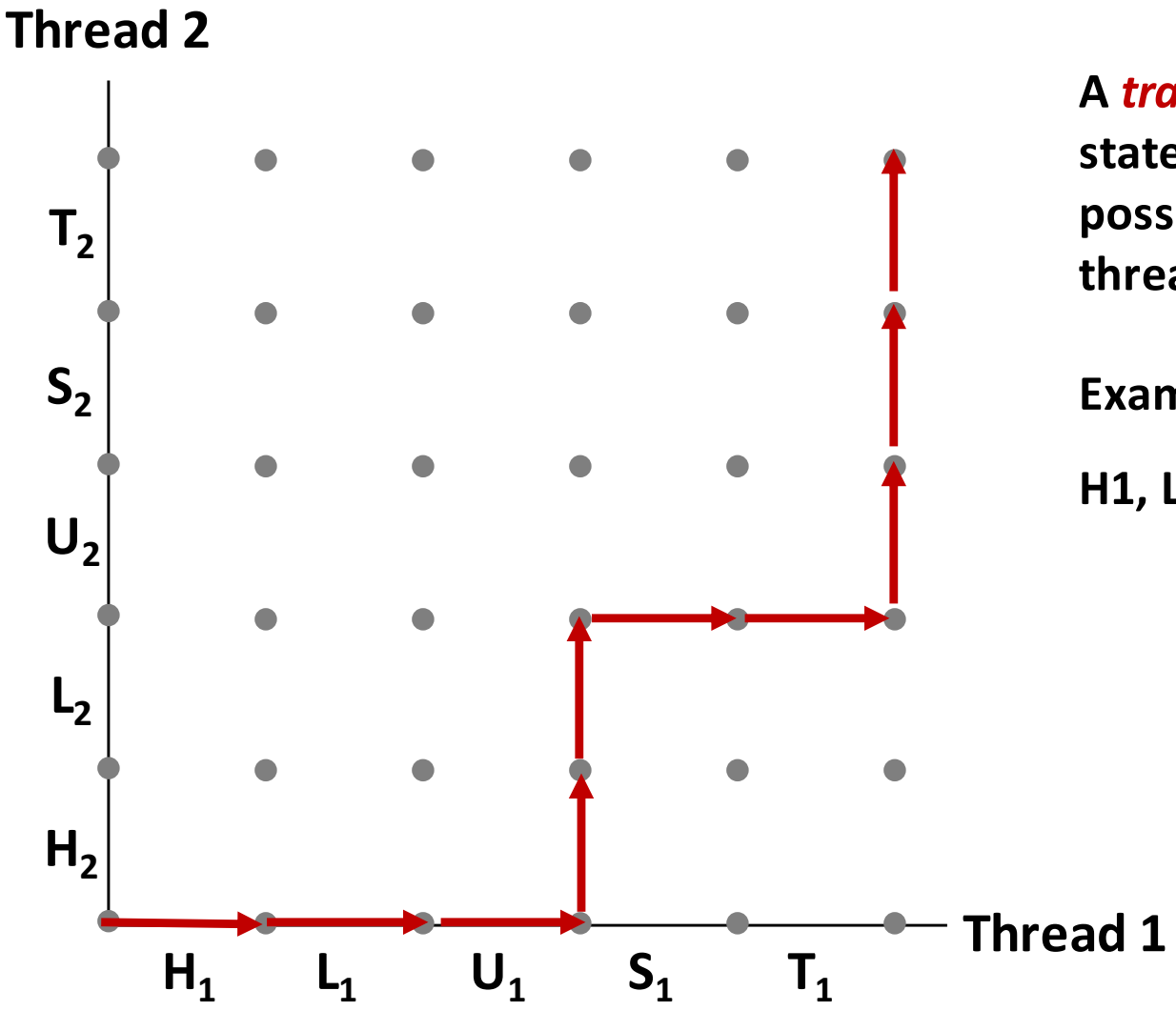


A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Example:

H1, L1, U1, H2, L2, S1, T1, U2, S2, T2

Trajectories in Progress Graphs

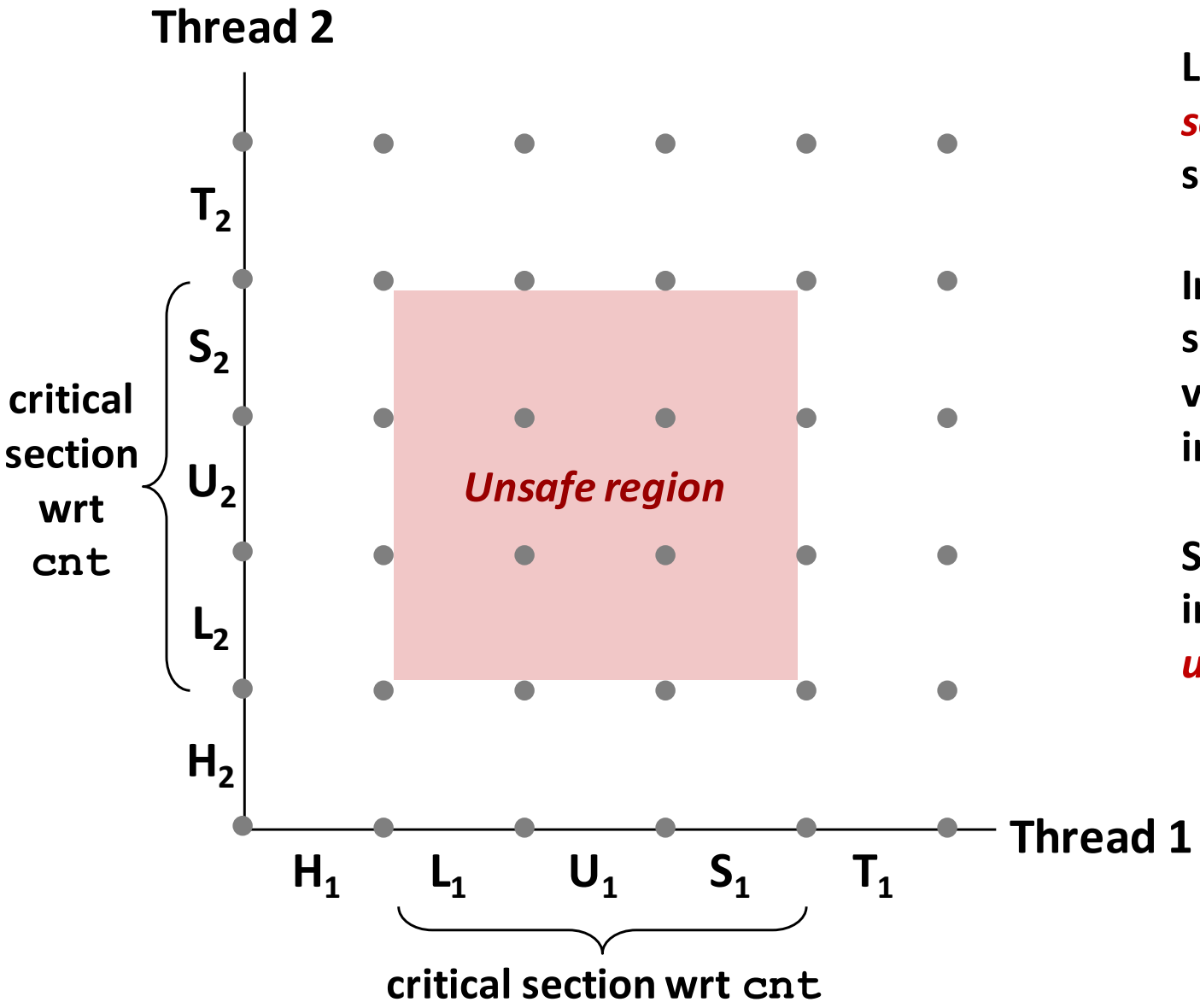


A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Example:

H1, L1, U1, H2, L2, S1, T1, U2, S2, T2

Critical Sections and Unsafe Regions

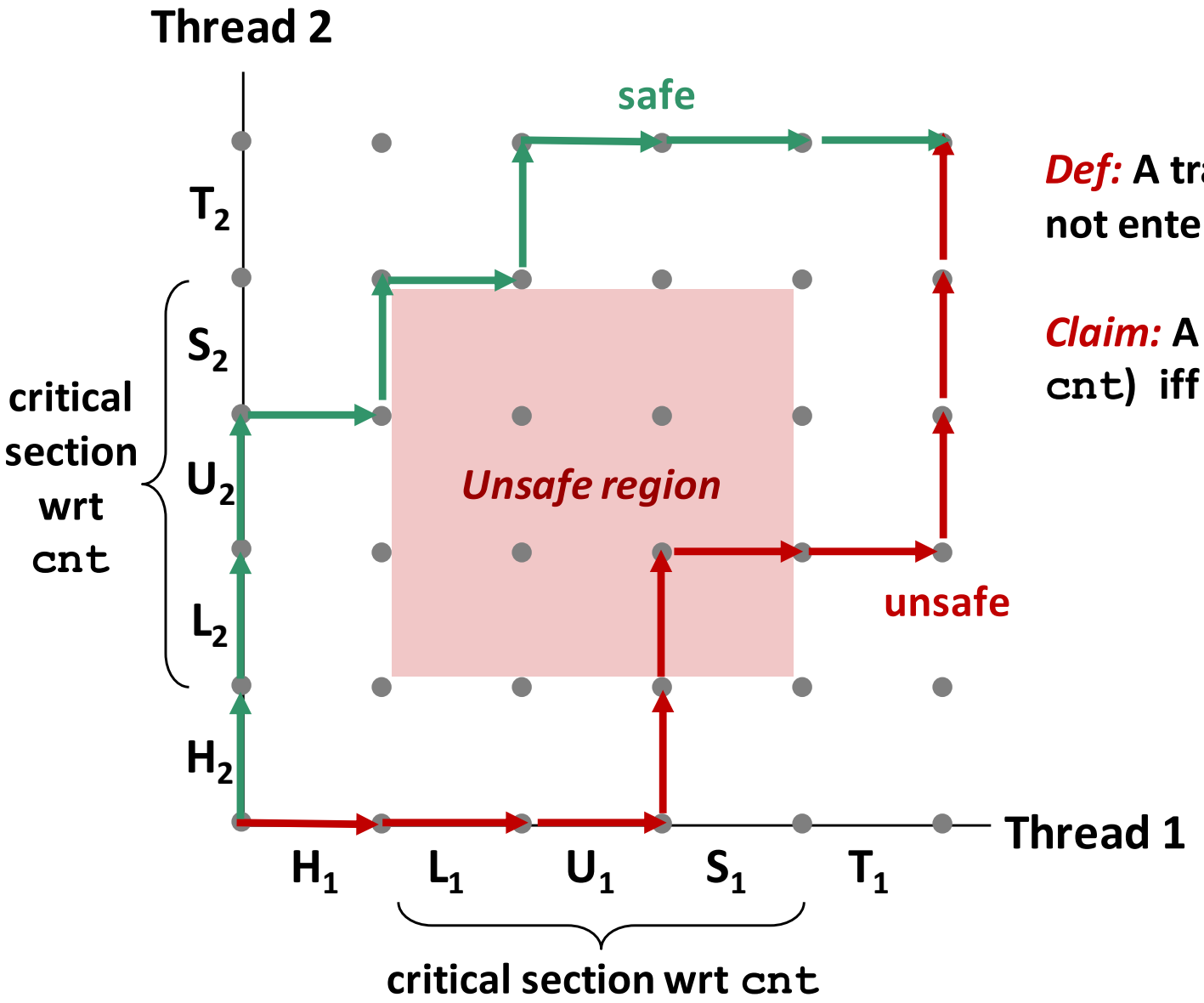


L, U, and S form a *critical section* with respect to the shared variable `cnt`

Instructions in critical sections (wrt some shared variable) should not be interleaved

Sets of states where such interleaving occurs form *unsafe regions*

Critical Sections and Unsafe Regions



Def: **A trajectory is *safe* iff it does not enter any unsafe region**

Claim: **A trajectory is correct (wrt `cnt`) iff it is safe**

badcnt.c: Improper Synchronization

```c
/* Global shared variable */
volatile long cnt = 0; /* Counter */

int main(int argc, char **argv)
{
    long niters;
    pthread_t tid1, tid2;

    niters = atoi(argv[1]);
    Pthread_create(&tid1, NULL,
        thread, &niters);
    Pthread_create(&tid2, NULL,
        thread, &niters);
    Pthread_join(tid1, NULL);
    Pthread_join(tid2, NULL);

    /* Check result */
    if (cnt != (2 * niters))
        printf("BOOM! cnt=%ld\n", cnt);
    else
        printf("OK cnt=%ld\n", cnt);
    exit(0);
}
```

badcnt.c

```c
/* Thread routine */
void *thread(void *vargp)
{
    long j, niters =
                *((long *)vargp);

    for (j = 0; j < niters; j++)
        cnt++;

    return NULL;
}
```

Variable	main	thread1	thread2
cnt	yes*	yes	yes
niters.m	yes	yes	yes
tid1.m	yes	no	no
j.1	no	yes	no
j.2	no	no	yes
niters.1	no	yes	no
niters.2	no	no	yes

Quiz Time!

Check out:

Canvas > Day 23 – Synchronization Basic

Today

- Threads review
- Sharing
- **Mutual exclusion**
- Semaphores
- Producer-Consumer Synchronization

Enforcing Mutual Exclusion

- ***Question:*** **How can we guarantee a safe trajectory?**

- **Answer: We must *synchronize* the execution of the threads so that they can never have an unsafe trajectory.**
  - i.e., need to guarantee ***mutually exclusive access*** for each critical section.

- **Classic solution:**
  - Mutex (pthreads)
  - Semaphores (Edsger Dijkstra)

- **Other approaches (out of our scope)**
  - Condition variables (pthreads)
  - Monitors (Java)

MUTual EXclusion (mutex)

- ***Mutex*: boolean synchronization variable**
- **enum {locked = 0, unlocked = 1}**
- **lock(m)**
  - If the mutex is currently not locked, lock it and return
  - Otherwise, wait (spinning, yielding, etc) and retry
- **unlock(m)**
  - Update the mutex state to unlocked

MUTual EXclusion (mutex)

- ***Mutex*: boolean synchronization variable ***

- **Swap(*a, b)**

  [t = *a; *a = b; return t;]

  // Notation: what's inside the brackets [ ] is indivisible (a.k.a. atomic)

  // by the magic of hardware / OS

- **Lock(m):**

  while (swap(&m->state, locked) == locked) ;

- **Unlock(m):**

  m->state = unlocked;

For now. In reality, many other implementations and design choices (c.f., 15-410, 418, etc).

badcnt.c: Improper Synchronization

```
/* Global shared variable */
volatile long cnt = 0; /* Counter */

int main(int argc, char **argv)
{
    long niters;
    pthread_t tid1, tid2;

    niters = atoi(argv[1]);
    Pthread_create(&tid1, NULL,
        thread, &niters);
    Pthread_create(&tid2, NULL,
        thread, &niters);
    Pthread_join(tid1, NULL);
    Pthread_join(tid2, NULL);

    /* Check result */
    if (cnt != (2 * niters))
        printf("BOOM! cnt=%ld\n", cnt);
    else
        printf("OK cnt=%ld\n", cnt);
    exit(0);
}
```

badcnt.c

```
/* Thread routine */
void *thread(void *vargp)
{
    long j, niters =
                *((long *)vargp);

    for (j = 0; j < niters; j++)
        cnt++;

    return NULL;
}
```

How can we fix this using synchronization?

goodmcnt.c: Mutex Synchronization

- Define and initialize a mutex for the shared variable `cnt`:

```
volatile long cnt = 0;  /* Counter */
pthread_mutex_t mutex;
pthread_mutex_init(&mutex, NULL); // No special attributes
```

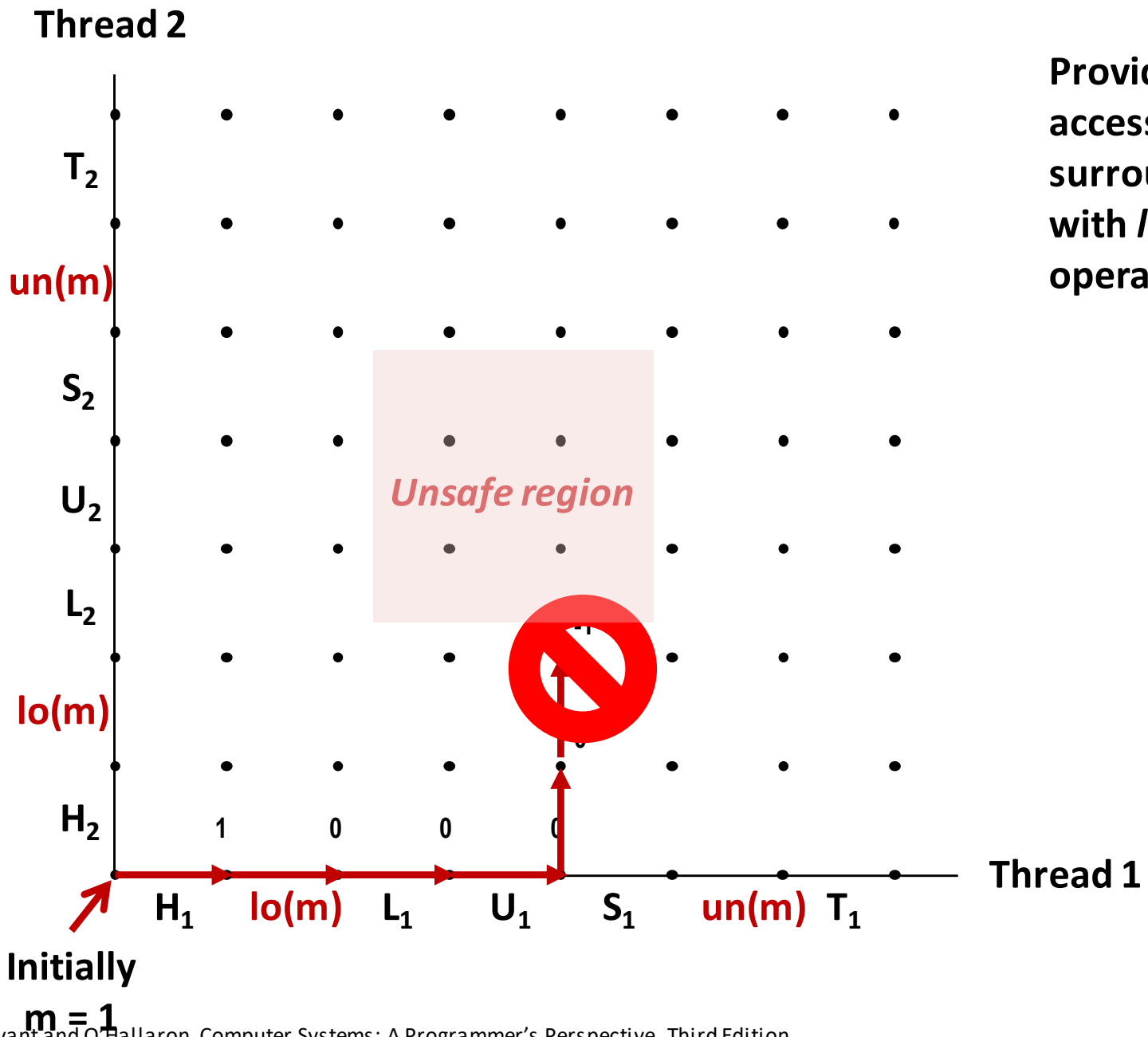
- Surround critical section with *lock* and *unlock*:

```
for (i = 0; i < niters; i++) {
    pthread_mutex_lock(&mutex);
    cnt++;
    pthread_mutex_unlock(&mutex);
 }
```

```
linux> ./goodmcnt 10000
OK cnt=20000
linux> ./goodmcnt 10000
OK cnt=20000
```

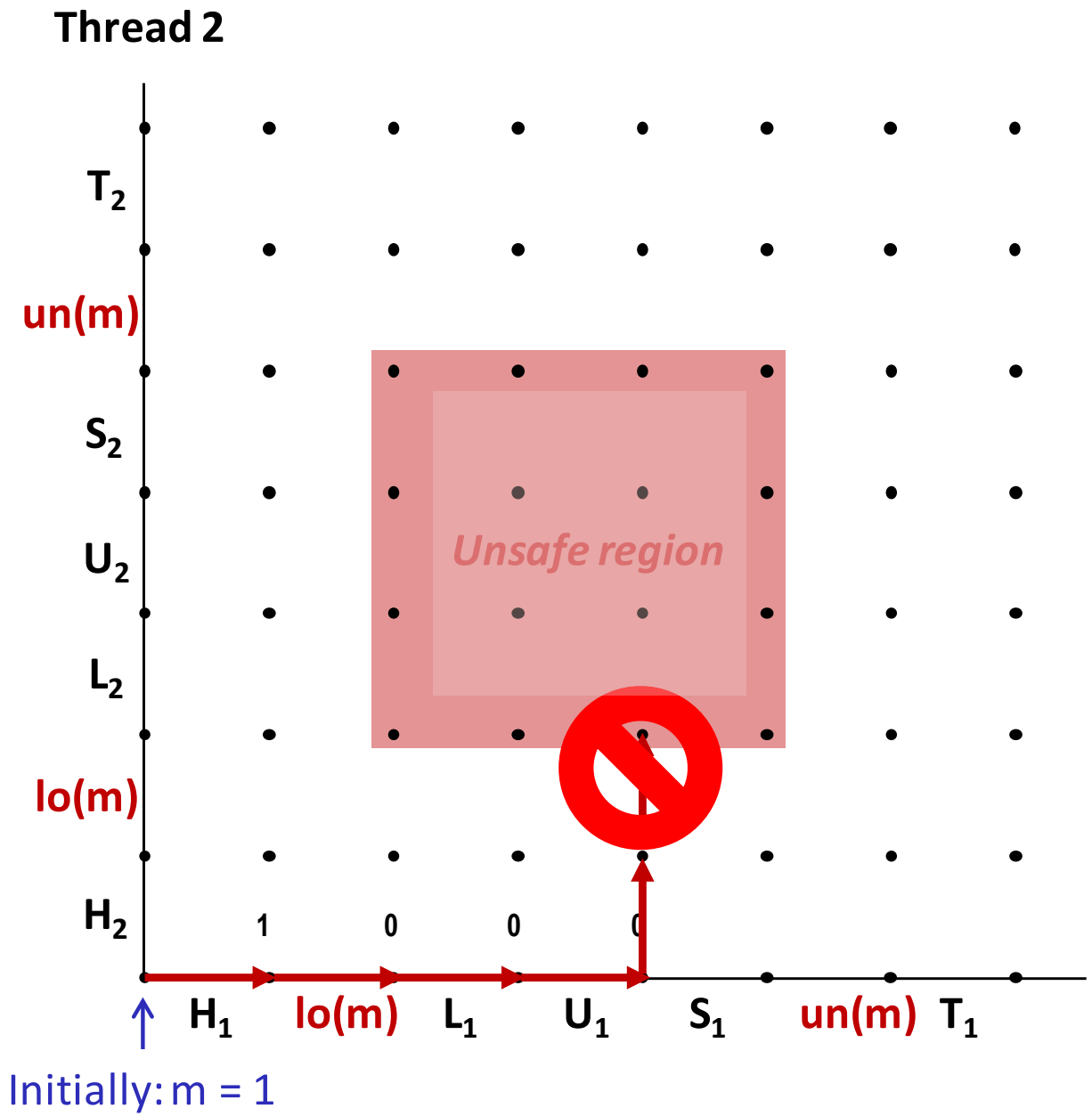
Function	badcnt	goodmcnt
Time (ms) niters = 10^6	12.0	214.0
Slowdown	1.0	17.8

Why Mutexes Work



Provide mutually exclusive access to shared variable by surrounding critical section with *lock* and *unlock* operations

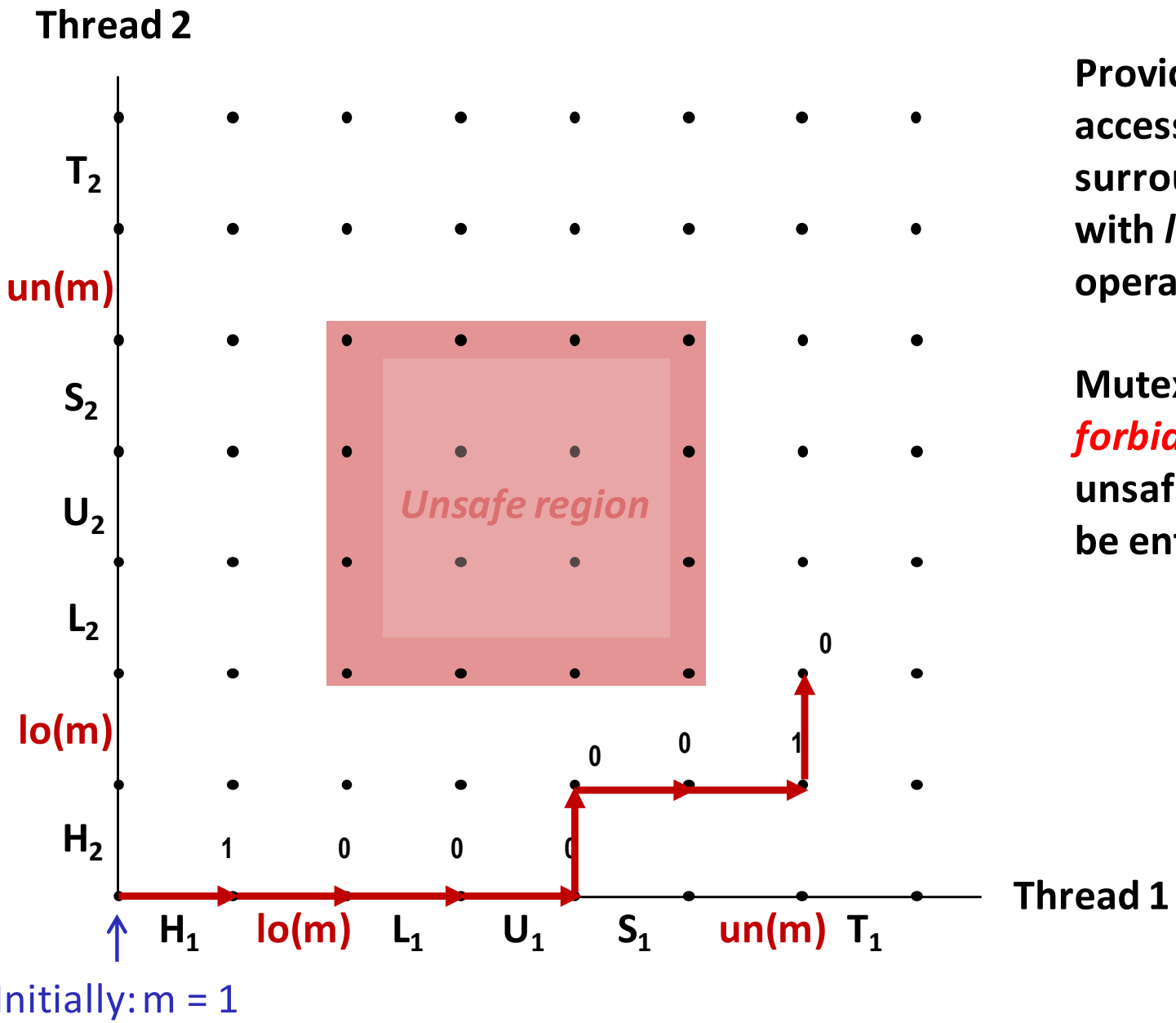
Why Mutexes Work



Provide mutually exclusive access to shared variable by surrounding critical section with *lock* and *unlock* operations

Mutex invariant creates a ***forbidden region*** that encloses unsafe region and that cannot be entered by any trajectory.

Why Mutexes Work



Provide mutually exclusive access to shared variable by surrounding critical section with *lock* and *unlock* operations

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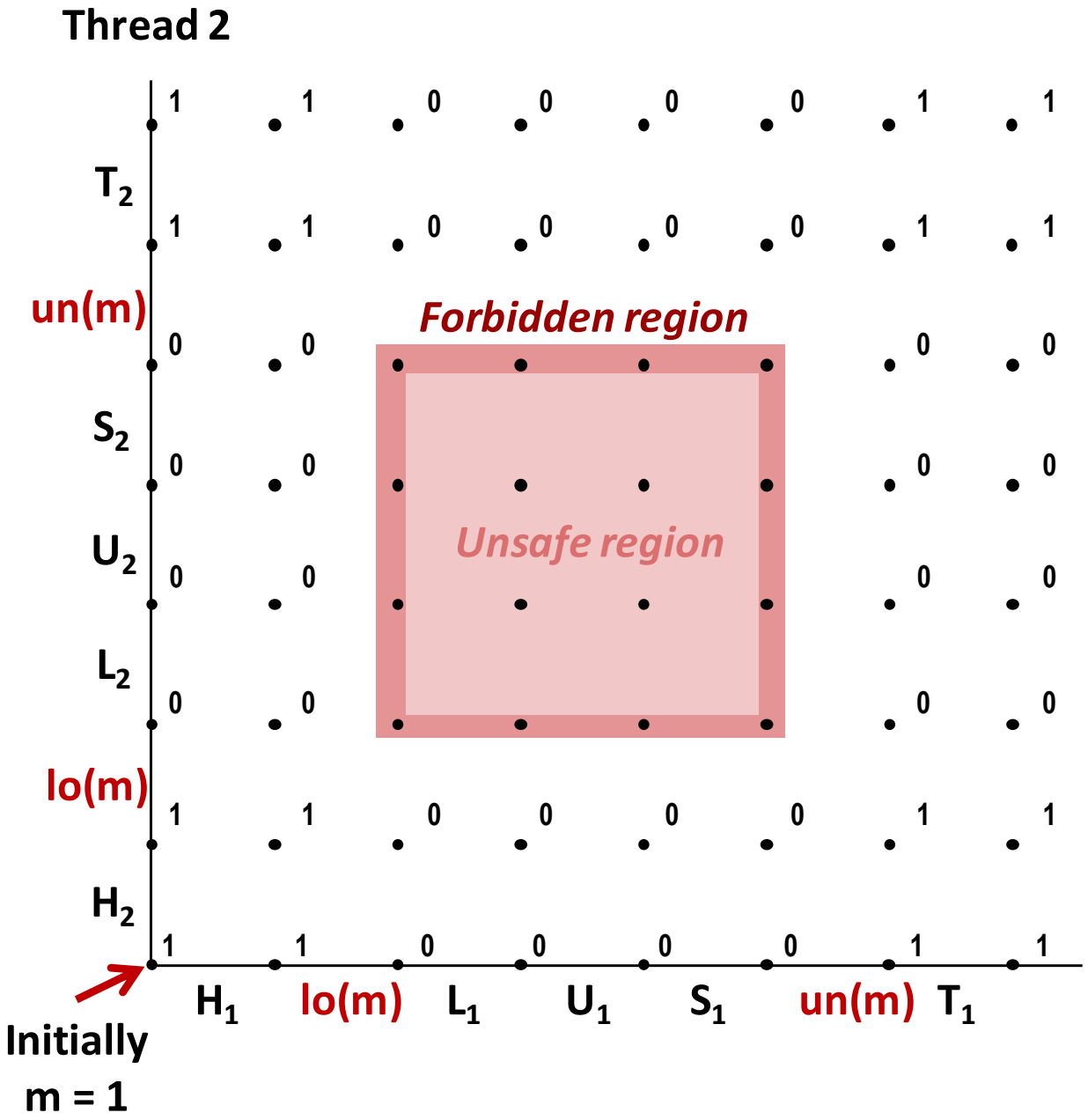
Why Mutexes Work



Provide mutually exclusive access to shared variable by surrounding critical section with *lock* and *unlock* operations

Mutex invariant creates a *forbidden region* that encloses unsafe region and that cannot be entered by any trajectory.

Today

- Threads review
- Sharing
- Mutual exclusion
- **Semaphores**
- **Producer-Consumer Synchronization**

Semaphores

- ***Semaphore:*** **non-negative global integer synchronization variable. Manipulated by *P* and *V* operations.**
- **P(s)**
  - If *s* is nonzero, then decrement *s* by 1 and return immediately.
    - Test and decrement operations occur atomically (indivisibly)
  - If *s* is zero, then suspend thread until *s* becomes nonzero and the thread is restarted by a V operation.
  - After restarting, the P operation decrements *s* and returns control to the caller.
- **V(s):**
  - Increment *s* by 1.
    - Increment operation occurs atomically
  - If there are any threads blocked in a P operation waiting for *s* to become non-zero, then restart exactly one of those threads, which then completes its P operation by decrementing *s*.
- **Semaphore invariant: s ≥ 0**

Semaphores

- ***Semaphore:*** **non-negative global integer synchronization variable**

- **Manipulated by *P* and *V* operations:**
  - *P(s):* [`while (s == 0) wait(); s--;`]
    - Dutch for "Proberen" (test)
  - *V(s):* [`s++;`]
    - Dutch for "Verhogen" (increment)

- **OS kernel guarantees that operations between brackets [ ] are executed indivisibly/atomically**
  - Only one *P* or *V* operation at a time can modify s.
  - When **`while`** loop in *P* terminates, only that *P* can decrement **`s`**

- **Semaphore invariant: s ≥ 0**

C Semaphore Operations

Pthreads functions:

```
#include <semaphore.h>

int sem_init(sem_t *s, 0, unsigned int val);} /* s = val */

int sem_wait(sem_t *s);  /* P(s) */
int sem_post(sem_t *s);  /* V(s) */
```

CS:APP wrapper functions:

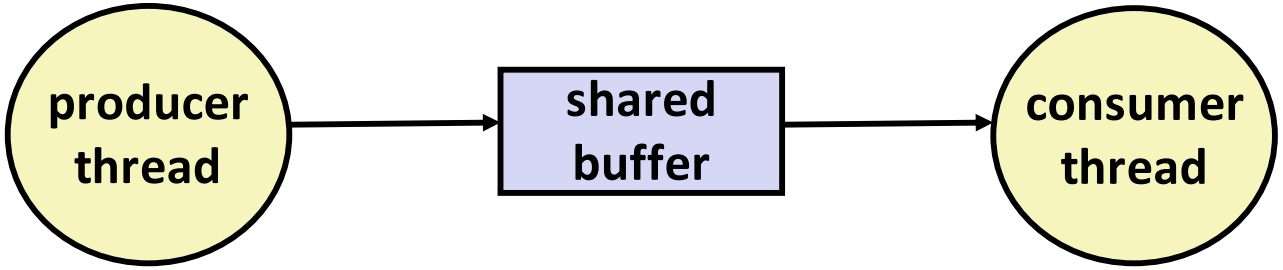
```
#include "csapp.h"

void P(sem_t *s); /* Wrapper function for sem_wait */
void V(sem_t *s); /* Wrapper function for sem_post */
```

Using Semaphores to Coordinate Access to Shared Resources

- **Basic idea: Thread uses a semaphore operation to notify another thread that some condition has become true**
  - Use counting semaphores to keep track of resource state.
  - Use binary semaphores to notify other threads.

- **The Producer-Consumer Problem**
  - Mediating interactions between processes that generate information and that then make use of that information

Producer-Consumer Problem

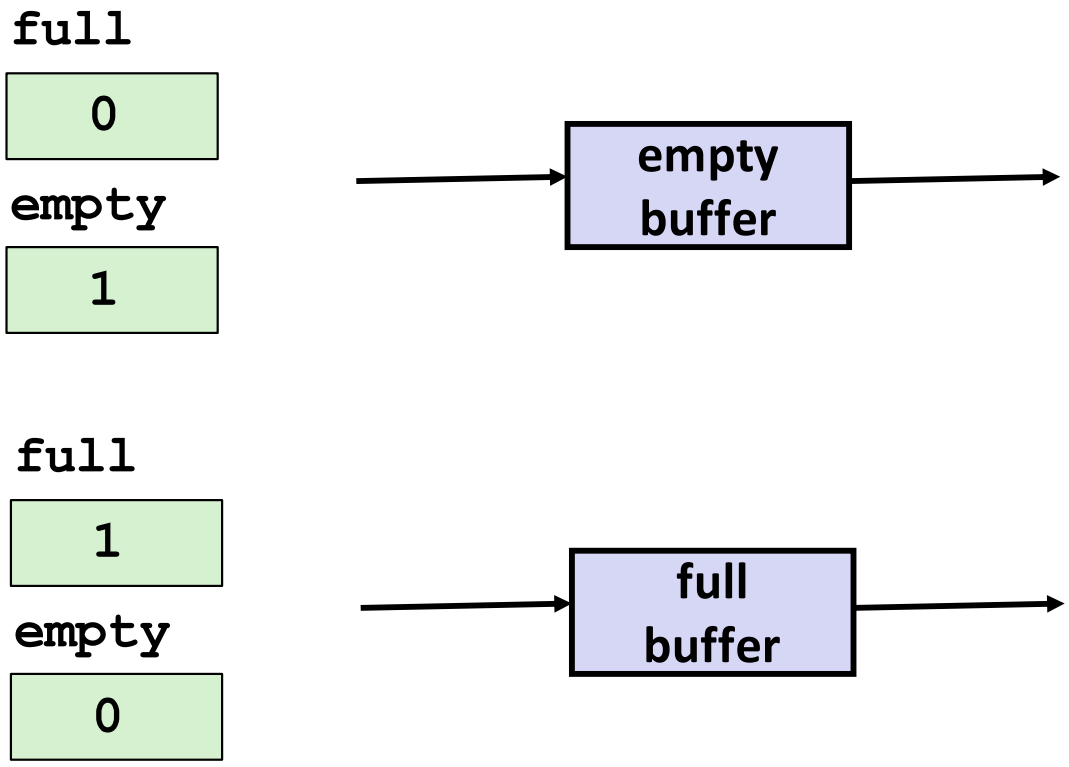


- **Common synchronization pattern:**
  - Producer waits for empty ***slot***, inserts item in buffer, and notifies consumer
  - Consumer waits for ***item***, removes it from buffer, and notifies producer

- **Examples**
  - Multimedia processing:
    - Producer creates video frames, consumer renders them
  - Event-driven graphical user interfaces
    - Producer detects mouse clicks, mouse movements, and keyboard hits and inserts corresponding events in buffer
    - Consumer retrieves events from buffer and paints the display

Producer-Consumer on 1-element Buffer

- Maintain two semaphores: `full` + `empty`



Producer-Consumer on 1-element Buffer

```
#include "csapp.h"

#define NITERS 5

void *producer(void *arg);
void *consumer(void *arg);

struct {
  int buf; /* shared var */
  sem_t full; /* sems */
  sem_t empty;
} shared;
```

```
int main(int argc, char** argv) {
  pthread_t tid_producer;
  pthread_t tid_consumer;

  /* Initialize the semaphores */
  Sem_init(&shared.empty, 0, 1);
  Sem_init(&shared.full,  0, 0);

  /* Create threads and wait */
  Pthread_create(&tid_producer, NULL,
                 producer, NULL);
  Pthread_create(&tid_consumer, NULL,
                 consumer, NULL);
  Pthread_join(tid_producer, NULL);
  Pthread_join(tid_consumer, NULL);

  return 0;
}
```

Initial value

Producer-Consumer on 1-element Buffer

Initially: `empty==1, full==0`

Producer Thread

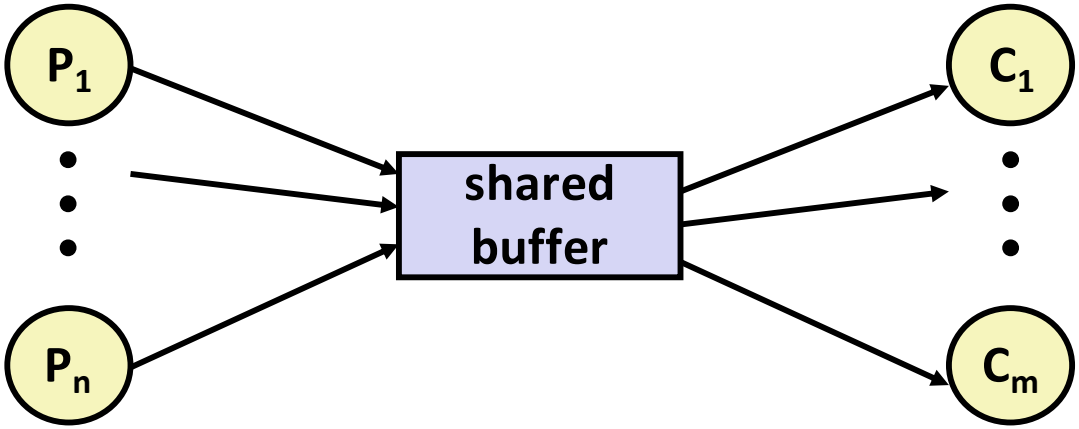
```
void *producer(void *arg) {
  int i, item;

  for (i=0; i<NITERS; i++) {
    /* Produce item */
    item = i;
    printf("produced %d\n",
           item);

    /* Write item to buf */
    P(&shared.empty);
    shared.buf = item;
    V(&shared.full);
  }
  return NULL;
}
```

Consumer Thread

```
void *consumer(void *arg) {
  int i, item;

  for (i=0; i<NITERS; i++) {
    /* Read item from buf */
    P(&shared.full);
    item = shared.buf;
    V(&shared.empty);

    /* Consume item */
    printf("consumed %d\n", item);
  }
  return NULL;
}
```

Why 2 Semaphores for 1-Entry Buffer?

- Consider multiple producers & multiple consumers



- Producers will contend with each to get `empty`
- Consumers will contend with each other to get `full`

Producers

```
P(&shared.empty);
shared.buf = item;
V(&shared.full);
```

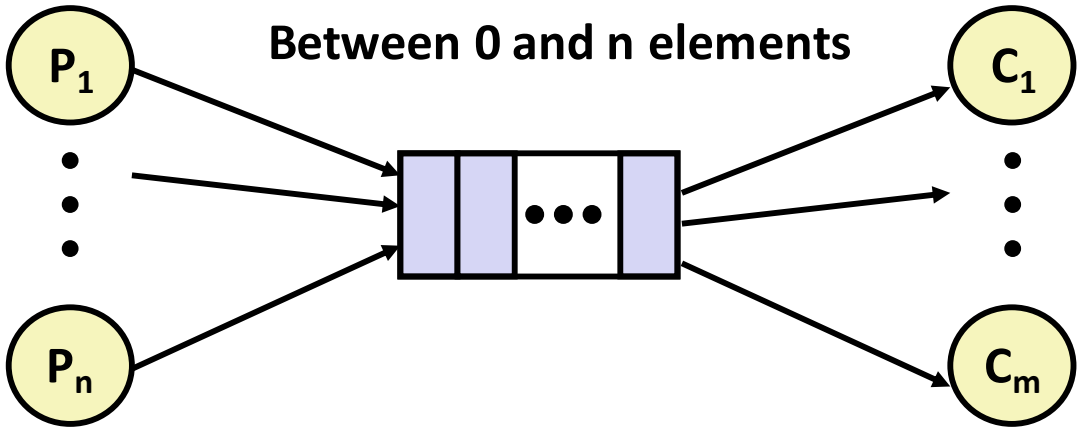
`empty`

`full`

Consumers

```
P(&shared.full);
item = shared.buf;
V(&shared.empty);
```

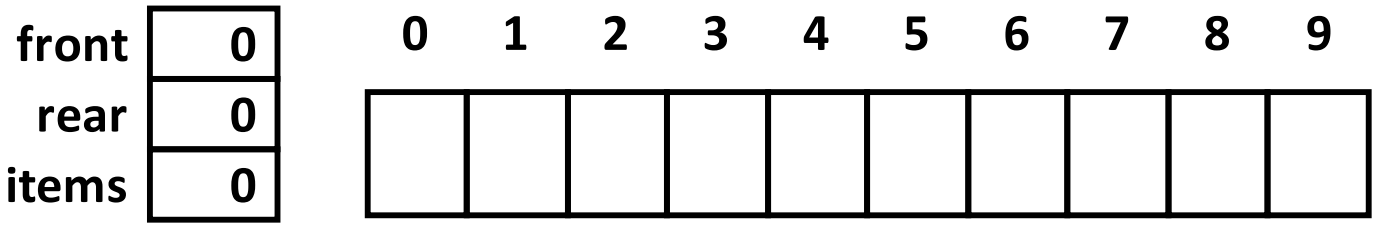
Producer-Consumer on an *n*-element Buffer



- Implemented using a shared buffer package called `sbuf`.

Circular Buffer (n = 10)

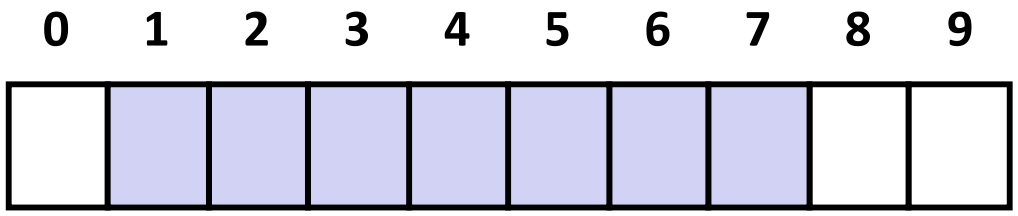
- Store elements in array of size n
- items: number of elements in buffer
- Empty buffer:
  - front = rear
- Nonempty buffer
  - rear: index of most recently inserted element
  - front: (index of next element to remove – 1) mod n
- Initially:



Circular Buffer Operation (n = 10)

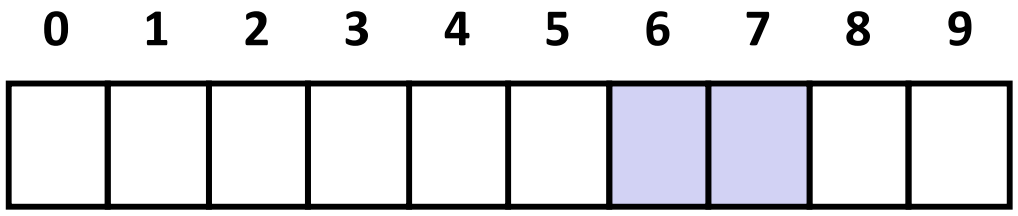
- Insert 7 elements

front	0
rear	7
items	7



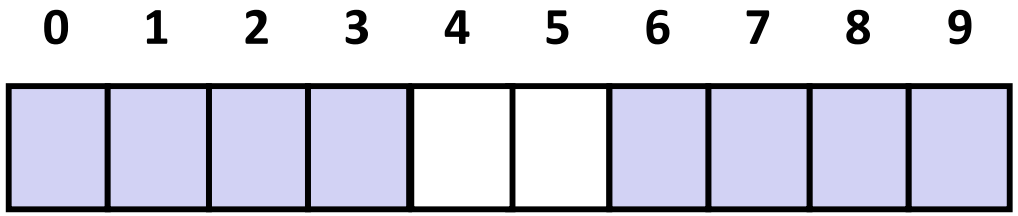
- Remove 5 elements

front	5
rear	7
items	2



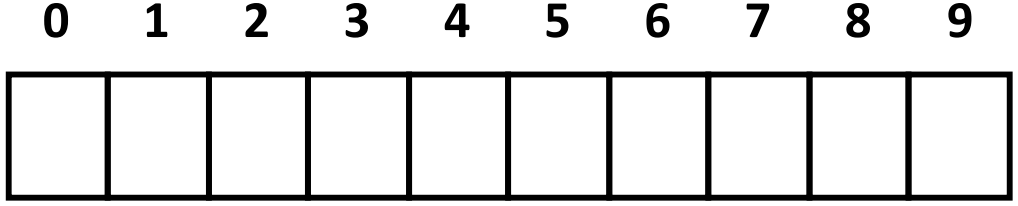
- Insert 6 elements

front	5
rear	3
items	8



- Remove 8 elements

front	3
rear	3
items	0



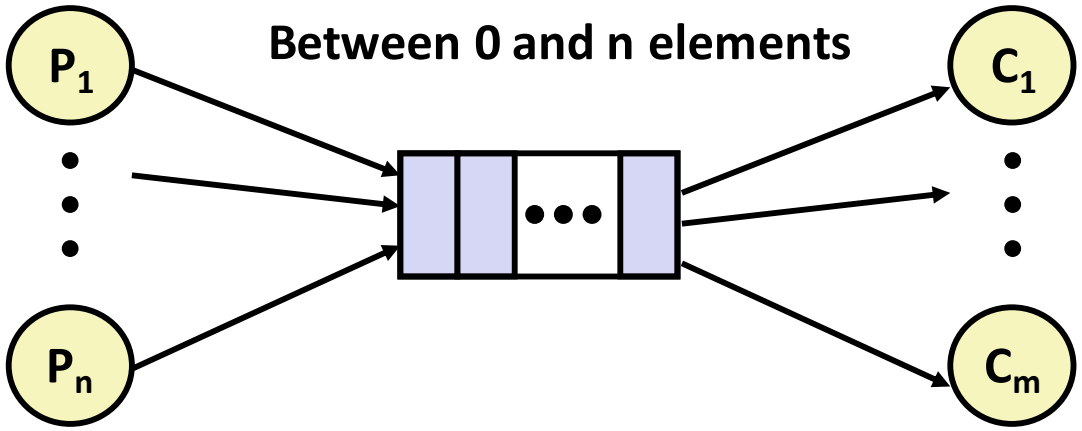
Sequential Circular Buffer Code

```
init(int v)
{
    items = front = rear = 0;
}
```

```
insert(int v)
{
    if (items >= n)
        error();
    if (++rear >= n) rear = 0;
    buf[rear] = v;
    items++;
}
```

```
int remove()
{
    if (items == 0)
        error();
    if (++front >= n) front = 0;
    int v = buf[front];
    items--;
    return v;
}
```

Producer-Consumer on an *n*-element Buffer



- **Requires a mutex and two counting semaphores:**
  - `mutex`: enforces mutually exclusive access to the buffer and counters
  - `slots`: counts the available slots in the buffer
  - `items`: counts the available items in the buffer
- **Makes use of general semaphores**
  - Will range in value from 0 to n

sbuf Package - Declarations

```
#include "csapp.h"

typedef struct {
    int *buf;      /* Buffer array                         */
    int n;         /* Maximum number of slots              */
    int front;     /* buf[front+1 (mod n)] is first item */
    int rear;      /* buf[rear]   is last item             */
    sem_t mutex;   /* Protects accesses to buf             */
    sem_t slots;   /* Counts available slots               */
    sem_t items;   /* Counts available items               */
} sbuf_t;

void sbuf_init(sbuf_t *sp, int n);
void sbuf_deinit(sbuf_t *sp);
void sbuf_insert(sbuf_t *sp, int item);
int sbuf_remove(sbuf_t *sp);
```

sbuf.h

sbuf Package - Implementation

Initializing and deinitializing a shared buffer:

```
/* Create an empty, bounded, shared FIFO buffer with n slots */
void sbuf_init(sbuf_t *sp, int n)
{
    sp->buf = Calloc(n, sizeof(int));
    sp->n = n;                      /* Buffer holds max of n items */
    sp->front = sp->rear = 0;       /* Empty buffer iff front == rear */
    Sem_init(&sp->mutex, 0, 1); /* Binary semaphore for locking */
    Sem_init(&sp->slots, 0, n); /* Initially, buf has n empty slots */
    Sem_init(&sp->items, 0, 0); /* Initially, buf has zero items */
}

/* Clean up buffer sp */
void sbuf_deinit(sbuf_t *sp)
{
    Free(sp->buf);
}
```

sbuf.c

sbuf Package - Implementation

Inserting an item into a shared buffer:

```
/* Insert item onto the rear of shared buffer sp */
void sbuf_insert(sbuf_t *sp, int item)
{
    P(&sp->slots);                  /* Wait for available slot */
    P(&sp->mutex);                  /* Lock the buffer         */
    if (++sp->rear >= sp->n)        /* Increment index (mod n) */
        sp->rear = 0;
    sp->buf[sp->rear] = item;       /* Insert the item         */
    V(&sp->mutex);                  /* Unlock the buffer       */
    V(&sp->items);                  /* Announce available item */
}
```

sbuf.c

sbuf Package - Implementation

Removing an item from a shared buffer:

```
/* Remove and return the first item from buffer sp */
int sbuf_remove(sbuf_t *sp)
{
    int item;
    P(&sp->items);                  /* Wait for available item */
    P(&sp->mutex);                  /* Lock the buffer         */
    if (++sp->front >= sp->n)       /* Increment index (mod n) */
        sp->front = 0;
    item = sp->buf[sp->front];      /* Remove the item         */
    V(&sp->mutex);                  /* Unlock the buffer       */
    V(&sp->slots);                  /* Announce available slot */
    return item;
}
```

sbuf.c

Demonstration

- **See program produce-consume.c in code directory**
- **10-entry shared circular buffer**
- **5 producers**
  - Agent i generates numbers from 20*i to 20*i – 1.
  - Puts them in buffer
- **5 consumers**
  - Each retrieves 20 elements from buffer
- **Main program**
  - Makes sure each value between 0 and 99 retrieved once

Summary

- **Programmers need a clear model of how variables are shared by threads.**
- **Variables shared by multiple threads must be protected to ensure mutually exclusive access**
  - E.g., using mutex lock and unlock, semaphore P and V
- **Semaphores are a fundamental mechanism for enforcing mutual exclusion**
  - And can also support producer-consumer synchronization