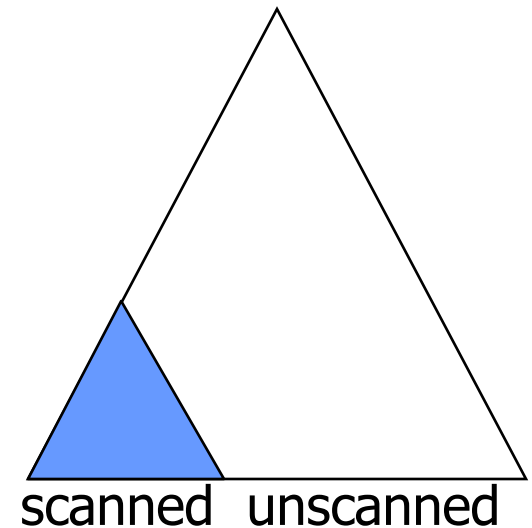


# Bottom-up parsers

- Bottom-up parsers use the entire right-hand side of the production
- LR(k):
  - Left-to-right parse,
  - Rightmost derivation (in reverse),
  - $k$  look ahead tokens



# A Rightmost Derivation

1	$S$	$:=$	$\text{Exp}$		$S$
2	$\text{Exp}$	$:=$	$\text{Exp} + \text{Term}$	by 1 $\Rightarrow$	$\text{Exp}$
3	$\text{Exp}$	$:=$	$\text{Exp} - \text{Term}$	by 2 $\Rightarrow$	$\text{Exp} + \text{Term}$
4	$\text{Exp}$	$:=$	$\text{Term}$	by 5 $\Rightarrow$	$\text{Exp} + \text{Term} * \text{Factor}$
5	$\text{Term}$	$:=$	$\text{Term} * \text{Factor}$	by 8 $\Rightarrow$	$\text{Exp} + \text{Term} * \text{id}_x$
6	$\text{Term}$	$:=$	$\text{Term} / \text{Factor}$	by 7 $\Rightarrow$	$\text{Exp} + \text{Factor} * \text{id}_x$
7	$\text{Term}$	$:=$	$\text{Factor}$	by 9 $\Rightarrow$	$\text{Exp} + \text{int}_3 * \text{id}_x$
8	$\text{Factor}$	$:=$	$\text{id}$	by 4 $\Rightarrow$	$\text{Term} + \text{int}_3 * \text{id}_x$
9	$\text{Factor}$	$:=$	$\text{int}$	by 7 $\Rightarrow$	$\text{Factor} + \text{int}_3 * \text{id}_x$
				by 9 $\Rightarrow$	$\text{int}_2 + \text{int}_3 * \text{id}_x$

input:  $2+3*x$



# A Rightmost Derivation In Reverse

$\text{int}_2 + \text{int}_3 * \text{id}_x$

$\text{Factor} + \text{int}_3 * \text{id}_x$

$\text{Term} + \text{int}_3 * \text{id}_x$

$\text{Exp} + \text{int}_3 * \text{id}_x$

$\text{Exp} + \text{Factor} * \text{id}_x$

$\text{Exp} + \text{Term} * \text{id}_x$

$\text{Exp} + \text{Term} * \text{Factor}$

$\text{Exp} + \text{Term}$

$\text{Exp}$

$S$

$\text{int}_2 \bullet + \text{int}_3 * \text{id}_x$

$\text{Factor} \bullet + \text{int}_3 * \text{id}_x$

$\text{Term} \bullet + \text{int}_3 * \text{id}_x$

$\text{Exp} + \text{int}_3 \bullet * \text{id}_x$

$\text{Exp} + \text{Factor} \bullet * \text{id}_x$

$\text{Exp} + \text{Term} * \text{id}_x \bullet$

$\text{Exp} + \text{Term} * \text{Factor} \bullet$

$\text{Exp} + \text{Term} \bullet$

$\text{Exp} \bullet$

$S \bullet$

# A Rightmost Derivation In Reverse

$\text{int}_2 + \text{int}_3 * \text{id}_x$

$\text{Factor} + \text{int}_3 * \text{id}_x$

$\text{Term} + \text{int}_3 * \text{id}_x$

$\text{Exp} + \text{int}_3 * \text{id}_x$

$\text{Exp} + \text{Factor} * \text{id}_x$

$\text{Exp} + \text{Term} * \text{id}_x$

$\text{Exp} + \text{Term} * \text{id}_x$

$\text{Exp} + \text{Term}$

$\text{Exp}$

$S$

$\text{int}_2 \bullet + \text{int}_3 * \text{id}_x$

$\text{Factor} \bullet + \text{int}_3 * \text{id}_x$

$\text{Term} \bullet + \text{int}_3 * \text{id}_x$

$\text{Exp} + \text{int}_3 \bullet * \text{id}_x$

$\text{Exp} + \text{Factor} \bullet * \text{id}_x$

$\text{Exp} + \text{Term} * \text{id}_x \bullet$

$\text{Factor} \bullet$

$\text{Exp} \bullet$

$S \bullet$

Lets format this differently,  
 <prefix of sentential form> input

# A Rightmost Derivation In Reverse

	<u>int<sub>2</sub> + int<sub>3</sub> * id<sub>x</sub> \$</u>	shift 2
int <sub>2</sub>	+ int <sub>3</sub> * id <sub>x</sub> \$	reduce by F → int
Factor		
Term		
Exp		
Exp +		
Exp + int <sub>3</sub>	* id <sub>x</sub> \$	
Exp + Factor	* id <sub>x</sub> \$	
Exp + Term	* id <sub>x</sub> \$	
Exp + Term *	id <sub>x</sub> \$	
Exp + Term * id <sub>x</sub>	\$	
Exp + Term * Factor	\$	
Exp + Term	\$	
Exp	\$	
S	\$	

When we reduce by a production:  $A \rightarrow \beta$ ,  $\beta$  is on right side of sentential form.

E.g., here  $\beta$  is 'int' and production is  $F \rightarrow \text{int}$

# A Rightmost Derivation In Reverse

*EXP + E*

$\text{int}_2 + \text{int}_3 * \text{id}_x \$$

$\text{int}_2$

$+ \text{int}_3 * \text{id}_x \$$

Factor

$+ \text{int}_3 * \text{id}_x \$$

Term

$+ \text{int}_3 * \text{id}_x \$$

Exp

$+ \text{int}_3 * \text{id}_x \$$

Exp +

$\text{int}_3 * \text{id}_x \$$

Exp +  $\text{int}_3$

$* \text{id}_x \$$

Exp + Factor

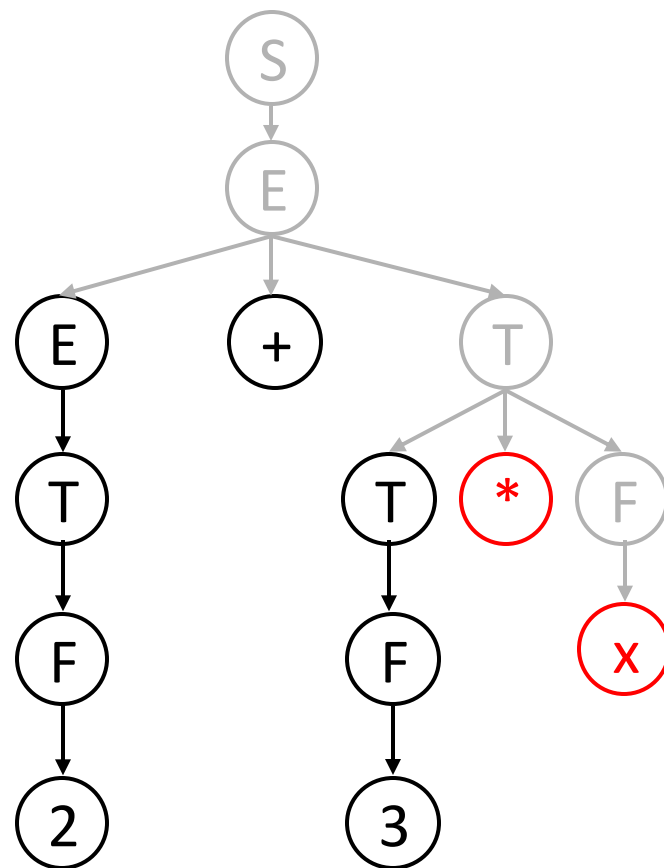
$* \text{id}_x \$$

Exp + Term

$* \text{id}_x \$$

top of stack does not have a handle, so must shift.

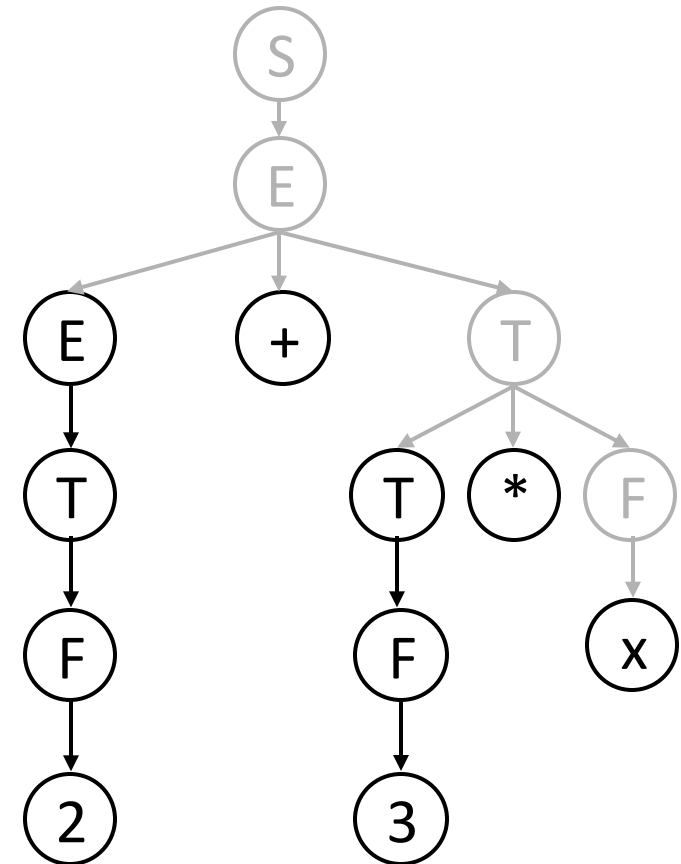
- 1  $S := E$
- 2  $E := E + T$
- 3  $E := E - T$
- 4  $E := T$
- 5  $T := T * F$
- 6  $T := T / F$
- 7  $T := F$
- 8  $F := \text{id}$
- 9  $F := \text{int}$



# A Rightmost Derivation In Reverse

	$\text{int}_2 + \text{int}_3 * \text{id}_x \$$
$\text{int}_2$	$+ \text{int}_3 * \text{id}_x \$$
Factor	$+ \text{int}_3 * \text{id}_x \$$
Term	$+ \text{int}_3 * \text{id}_x \$$
Exp	$+ \text{int}_3 * \text{id}_x \$$
Exp +	$\text{int}_3 * \text{id}_x \$$
Exp + $\text{int}_3$	$* \text{id}_x \$$
Exp + Factor	$* \text{id}_x \$$
Exp + Term	$* \text{id}_x \$$
Exp + Term *	$\text{id}_x \$$
Exp + Term * $\text{id}_x$	$\$$
<hr/>	
Exp + Term * Factor	$\$$
Exp + Term	$\$$
Exp	$\$$
S	$\$$

Now, x is a handle.

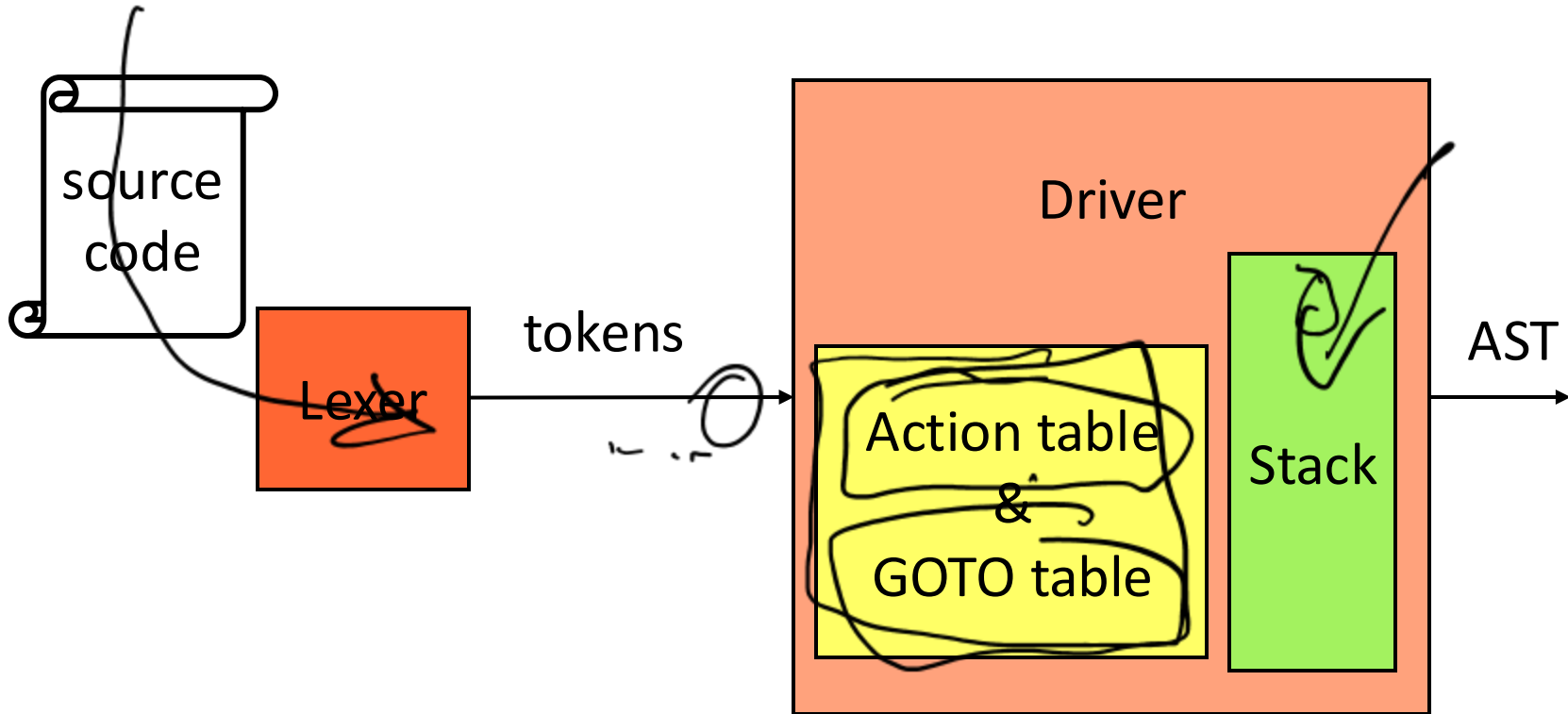


# A Shift-Reduce Parser

- Stack holds the viable prefixes.
- input stream holds remaining source
- Four actions:
  - shift: push token from input stream onto stack
  - reduce: right-end of a handle ( $\beta$  of  $A \rightarrow \beta$ ) is at top of stack, pop handle ( $\beta$ ), push  $A$
  - accept: success
  - error: syntax error discovered

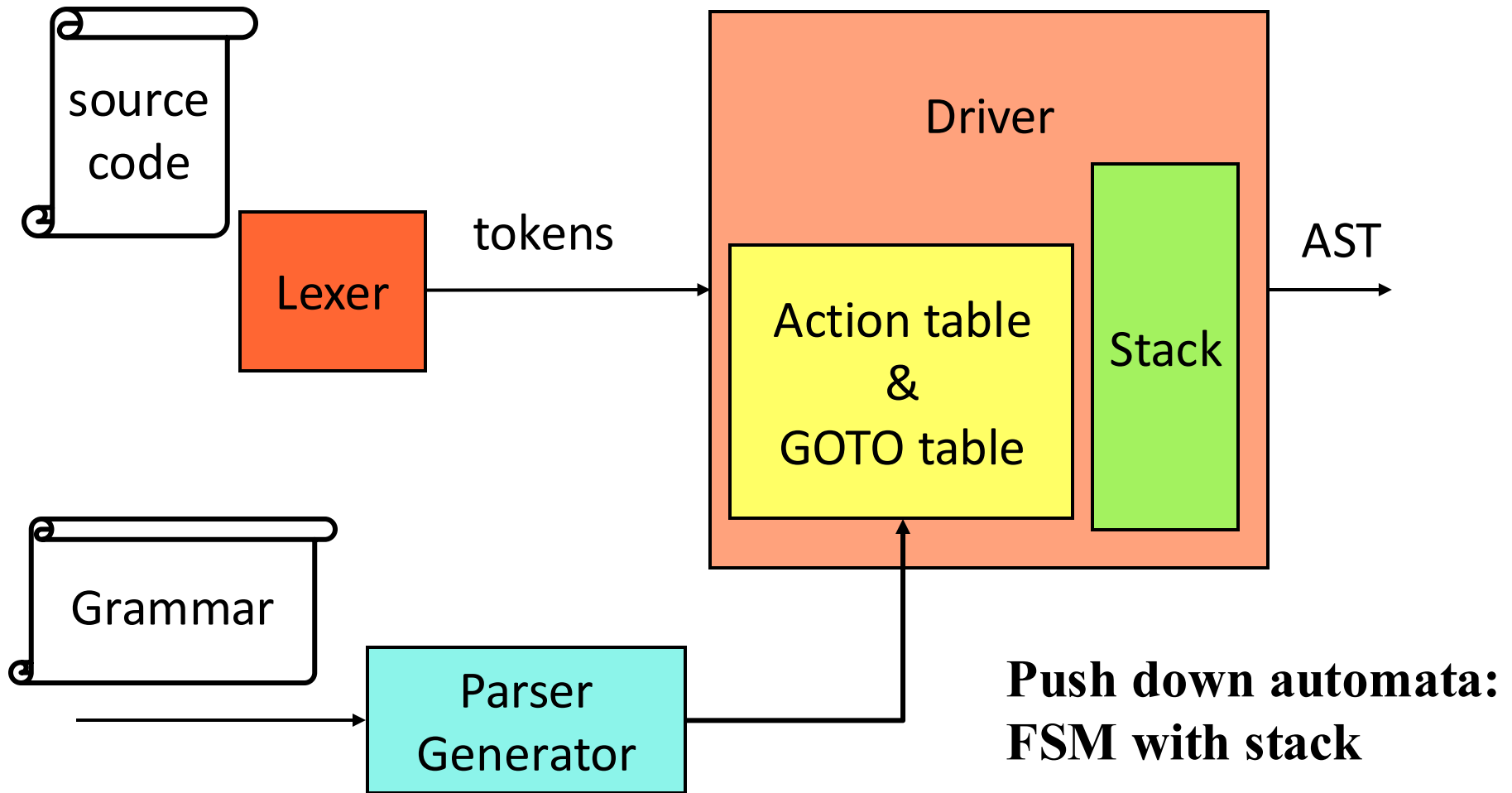
Key is recognizing handles efficiently

# Table-driven LR(k) parsers



**Push down automata:  
FSM with stack**

# Table-driven LR(k) parsers



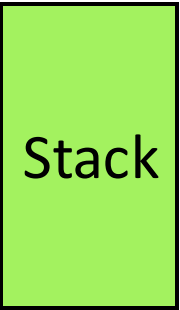
# Parser Loop



Driver

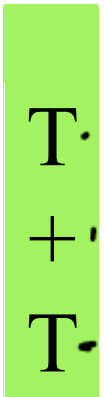
- Same code regardless of grammar
  - only tables change
- (Very) General Algorithm:
  - Based on table contents, top of stack, and current input character either
    - **shift**: pushes onto stack, reads next token
    - **reduce**: manipulate stack to simplify representation of already scanned input
    - **accept**: successfully scanned entire input
    - **error**: input not in language

# Stack



- Represents the scanned input
- Contents?
  - Reduced nonterminals not enough
  - Must store previously seen *states*
    - the context of the current position
  - In fact, nonterminals unnecessary
    - include for readability

$x + y \bullet + z$



# Parser Tables

Action table  
&  
GOTO table

## Action table

- given state  $s$  and **terminal**  $a$  tells parser loop what action (shift, reduce, accept, reject) to perform

## Goto table

- used when performing reduction; given a state  $s$  and **nonterminal**  $X$  says what state to transition to

# Parser Tables

Action table  
&  
GOTO table

**sN** push state  $N$  onto stack

**rR** reduce by rule  $R$

**gN** goto state  $N$

**a** accept

**error**

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

0  $S \rightarrow E\$$

1  $E \rightarrow T + E$

2  $E \rightarrow T$

3  $T \rightarrow identifier$

# Parser Loop Revisited

Driver

```
while (true)
  s = state on top of stack
  a = current input token
  if (action[s][a] == sN)                                shift
    push N
    read next input token
  else if (action[s][a] == rR)                          reduce
    pop rhs of rule R from stack
    X = lhs of rule R
    N = state on top of stack
    push goto[N][X]
  else if (action[s][a] == a)                          accept
    return success
  else                                                  error
    return failure
```

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = **X**  
 State on top of the stack = **0**

X + y\$



Stack

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

Current input token = +  
 State on top of the stack = 3

$x \underset{\uparrow}{+} y\$$

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$



# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = +  
 State on top of the stack = 3

$x + y\$$



(3,x)

(0,S)

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = +  
 State on top of the stack = 3

$x + y\$$



(3,x)

(0,S)

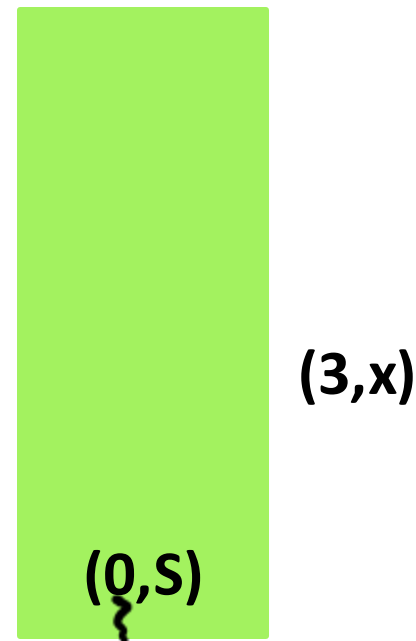
# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = +  
 State on top of the stack = 0

$x + y\$$



# Example



state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = +  
 State on top of the stack = 2

$x + y\$$



(2,T)  
(0,S)

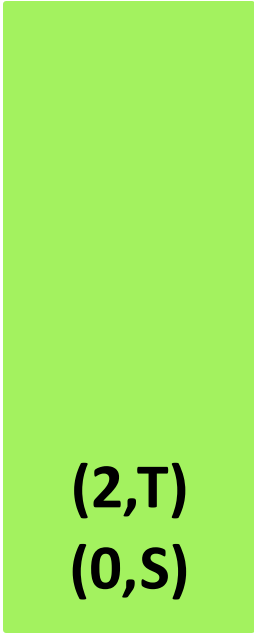
# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

Current input token = +  
 State on top of the stack = 2

$x + y\$$

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$



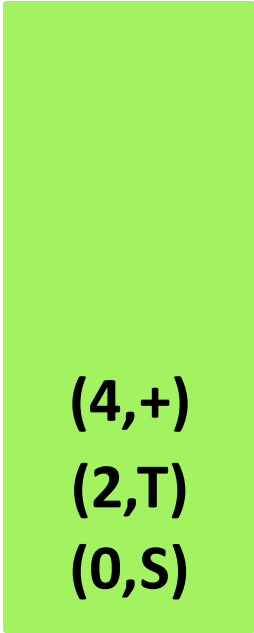
# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

Current input token = **y**  
 State on top of the stack = **4**

**x + y\$**

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$



# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

Current input token = **y**  
 State on top of the stack = **4**

**x** + **y**\$

- 0 S → E\$
- 1 E → T + E
- 2 E → T
- 3 T → *identifier*

(4,+)  
 (2,T)  
 (0,S)

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

Current input token = \$  
 State on top of the stack = 3

$x + y\$$

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow identifier$

~~(3, y)~~  
 (4, +)  
 (2, T)  
 (0, S)

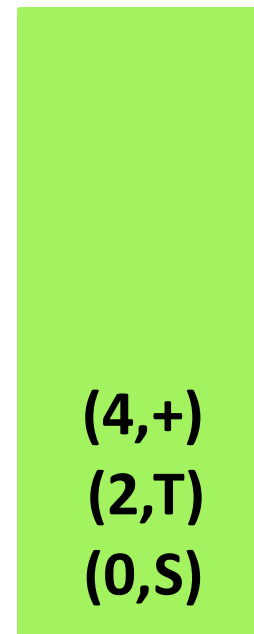
# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = \$  
 State on top of the stack = 3

$x + y\$$



(?,T)

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = \$  
 State on top of the stack = 2

$x + y\$$

(2,T)  
 (4,+)  
 (2,T)  
 (0,S)

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

Current input token = \$  
 State on top of the stack = 2

$x + y\$$

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

(2,T)  
 (4,+)  
 (2,T)  
 (0,S)

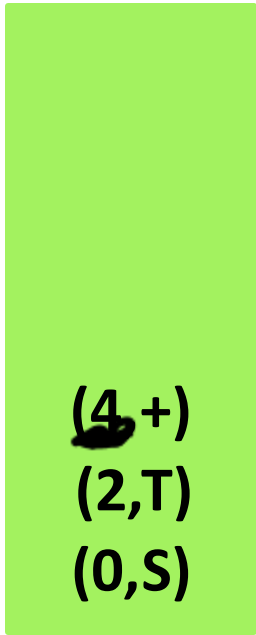
# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

Current input token = \$  
 State on top of the stack = 2

$x + y\$$

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$



(?, E)

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = \$  
 State on top of the stack = 5

$x + y\$$

(5,E)  
 (4,+)  
 (2,T)  
 (0,S)

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

0  $S \rightarrow E\$$

1  $E \rightarrow T + E$

2  $E \rightarrow T$

3  $T \rightarrow \textit{identifier}$

Current input token = \$  
State on top of the stack = 5

$x + y\$$

(5,E)  
(4,+)  
(2,T)  
(0,S)

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			<u>g1</u>	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

Current input token = \$  
 State on top of the stack = 5

$x + y\$$

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$



(5,E)  
 (4,+)  
 (2,T)

(0,S)

# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = \$  
 State on top of the stack = 1

$x + y\$$



# Example

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

**Accept!**

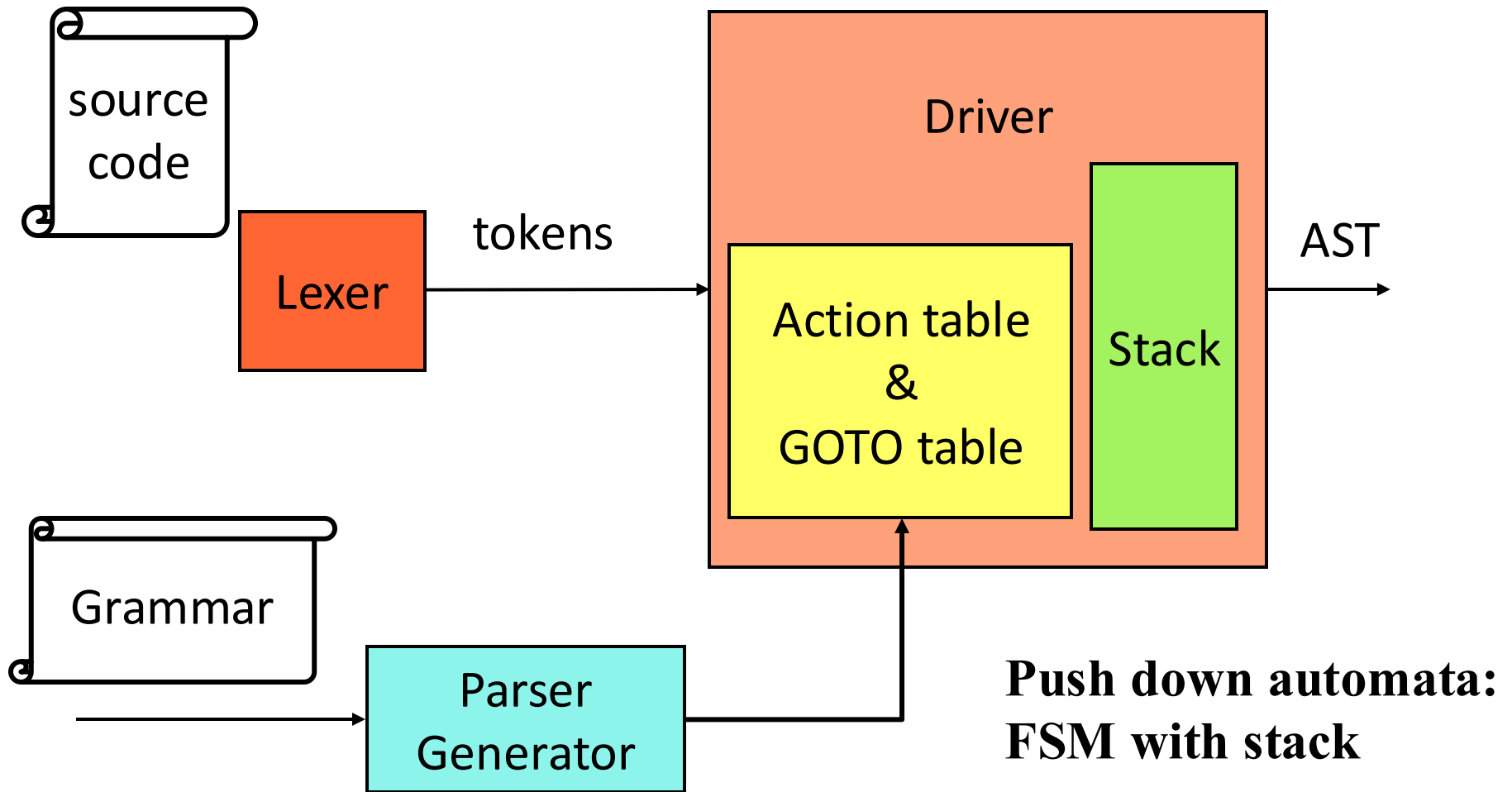
- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

Current input token = \$  
 State on top of the stack = 1

$x + y\$$



# Table-driven LR(k) parsers



# The parser generator

Parser  
Generator

- Finds handles
- Creates the **action** and **GOTO** tables.
- Creates the states
  - Each state indicates how much of a handle we have seen
  - each state is a set of *items*

# Items

- Items are used to identify handles.
- LR(k) items have the form:  
[ production-with-dot, lookahead ]
- For example,  $A \rightarrow a X b$  has 4 LR(0) items

–  $[A \rightarrow \bullet a X b]$

–  $[A \rightarrow a \bullet X b]$

–  $[A \rightarrow a X \bullet b]$

–  $[A \rightarrow a X b \bullet]$

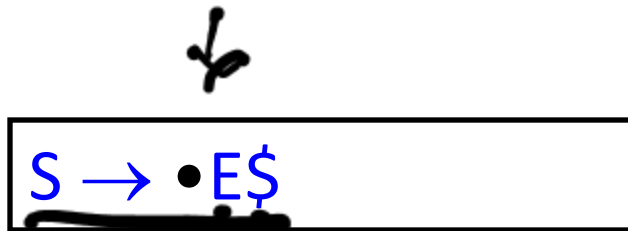
The • indicates how much of the handle we have recognized.

# What LR(0) Items Mean

- $[X \rightarrow \bullet \alpha \beta \gamma]$   
input is consistent with  $X \rightarrow \alpha \beta \gamma$
- $[X \rightarrow \alpha \bullet \beta \gamma]$   
input is consistent with  $X \rightarrow \alpha \beta \gamma$  and we have already recognized  $\alpha$
- $[X \rightarrow \alpha \beta \bullet \gamma]$   
input is consistent with  $X \rightarrow \alpha \beta \gamma$  and we have already recognized  $\alpha \beta$
- $[X \rightarrow \alpha \beta \gamma \bullet]$   
input is consistent with  $X \rightarrow \alpha \beta \gamma$  and we can reduce to  $X$

# Generating the States

- Start with start production.
- In this case, “ $S \rightarrow E\$$ ”



- 0  $S \rightarrow E \$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \textit{identifier}$

- Each state is consistent with what we have already shifted from the input and what is possible to reduce. So, what other items should be in this state?

Handwritten notes showing the derivation of other LR items:

- $E \rightarrow \bullet T + E$
- $E \rightarrow \bullet T$
- $T \rightarrow \bullet \textit{id}$

# Completing a state

- For each item in a state, add in all other consistent items.

$S \rightarrow \bullet E\$$
$E \rightarrow \bullet T + E$
$E \rightarrow \bullet T$
$T \rightarrow \bullet identifier$

0  $S \rightarrow E\$$

1  $E \rightarrow T + E$

2  $E \rightarrow T$

3  $T \rightarrow identifier$

- This is called, taking the closure of the state.

# Closure\*

closure (state)

repeat

foreach item  $A \rightarrow a \cdot Xb$  in state

foreach production  $X \rightarrow w$

state.add( $X \rightarrow \cdot w$ )

until state does not change

return state

*Intuitively:*

*Given a set of items, add all production rules that could produce the nonterminal(s) at the current position in each item*

\*: for LR(0) items

# What about the other states?

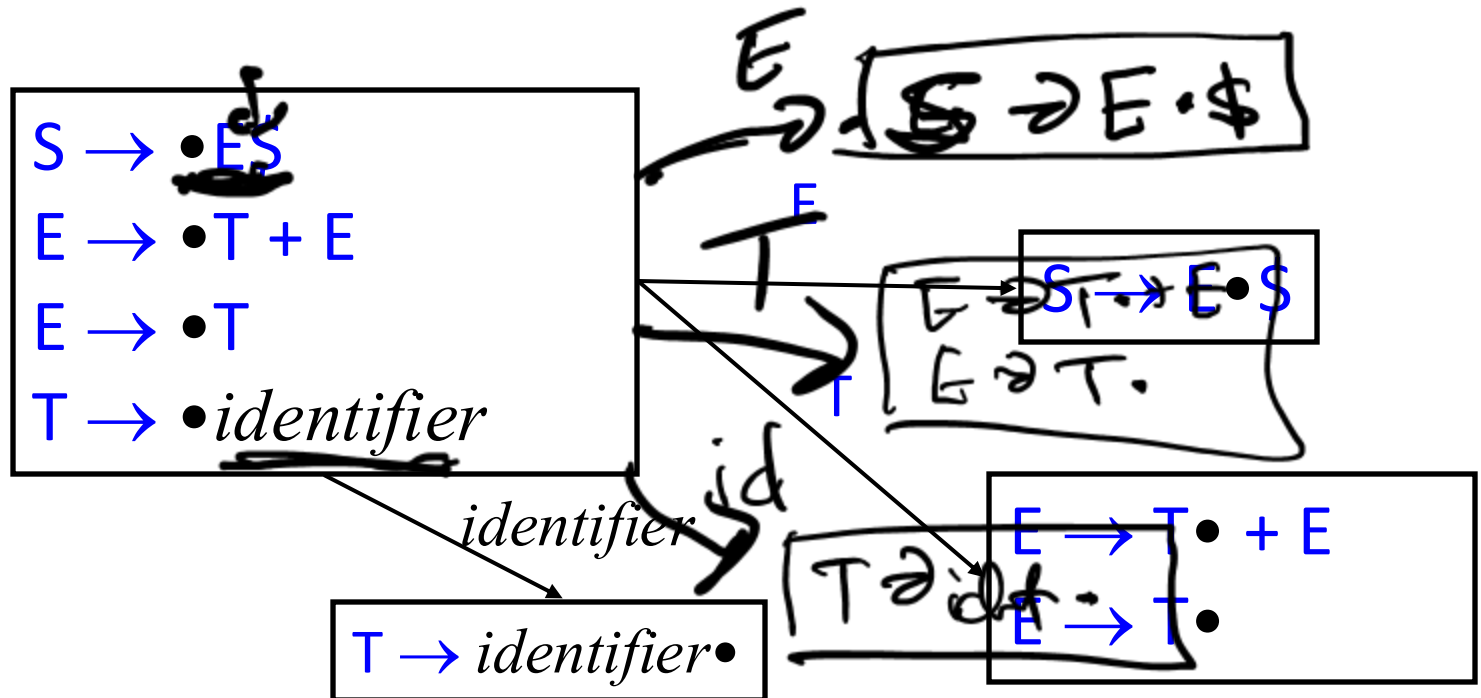
- How do we decide what the other states are?
- How do we decide what the transitions between states are?

0  $S \rightarrow E\$$

1  $E \rightarrow T + E$

2  $E \rightarrow T$

3  $T \rightarrow \textit{identifier}$



# Next(state, sym)

- Next function determines what state to goto based on current state and symbol being recognized.
- For Non-terminal, this is used to determine the GOTO table.
- For terminal, this is used to determine the shift action.

# Constructing states

```
initial_state = closure({start production})  
state_set.add(initial_state)  
state_queue.push(initial_state)
```

```
while(!state_queue.empty())  
  s = state_queue.pop()  
  foreach item  $A \rightarrow a \cdot Xb$  in s  
    n = closure(next(s, X))  
    if(!state_set.contains(n))  
      state_set.add(n)  
      state_queue.push(n)
```

*A state is a set of  
LR(0) items*

*get "next" state*

# Closure\*

$\text{closure}(\{S \rightarrow \bullet E\$\}) =$

$S \rightarrow \bullet E\$\$

0  $S \rightarrow E\$\$

1  $E \rightarrow T + E$

2  $E \rightarrow T$

3  $T \rightarrow \textit{identifier}$

\*: for LR(0) items

# Closure\*

$\text{closure}(\{S \rightarrow \bullet E \$\}) =$

$S \rightarrow \bullet E \$$

$E \rightarrow \bullet T + E$

$E \rightarrow \bullet T$

$T \rightarrow \bullet \textit{identifier}$

0  $S \rightarrow E \$$

1  $E \rightarrow T + E$

2  $E \rightarrow T$

3  $T \rightarrow \textit{identifier}$

\*: for LR(0) items

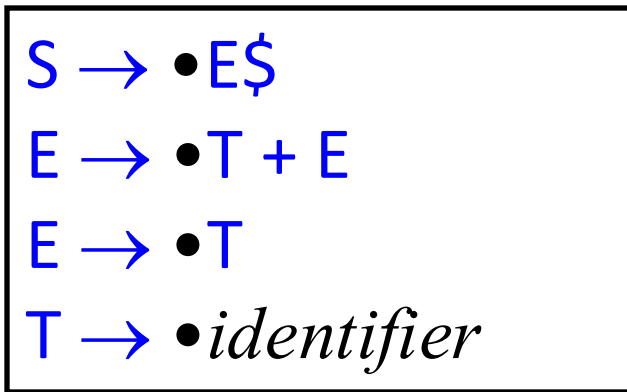
# Next

```

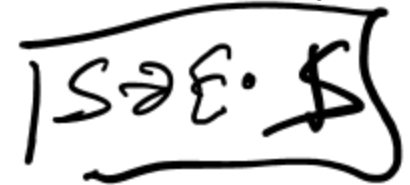
next(state, X)
  ret = empty
  foreach item A → a•Xb in state
    ret.add(A → aX•b)
  return ret
  
```

- 0 S → E\$
- 1 E → T + E ✓
- 2 E → T ✓
- 3 T → identifier

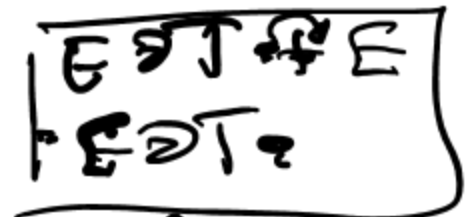
initial:



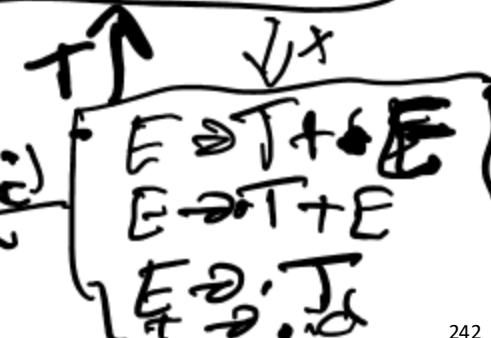
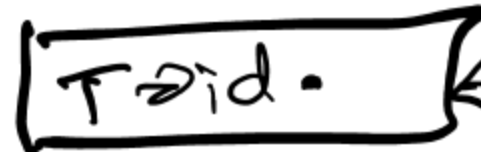
next(initial, E)



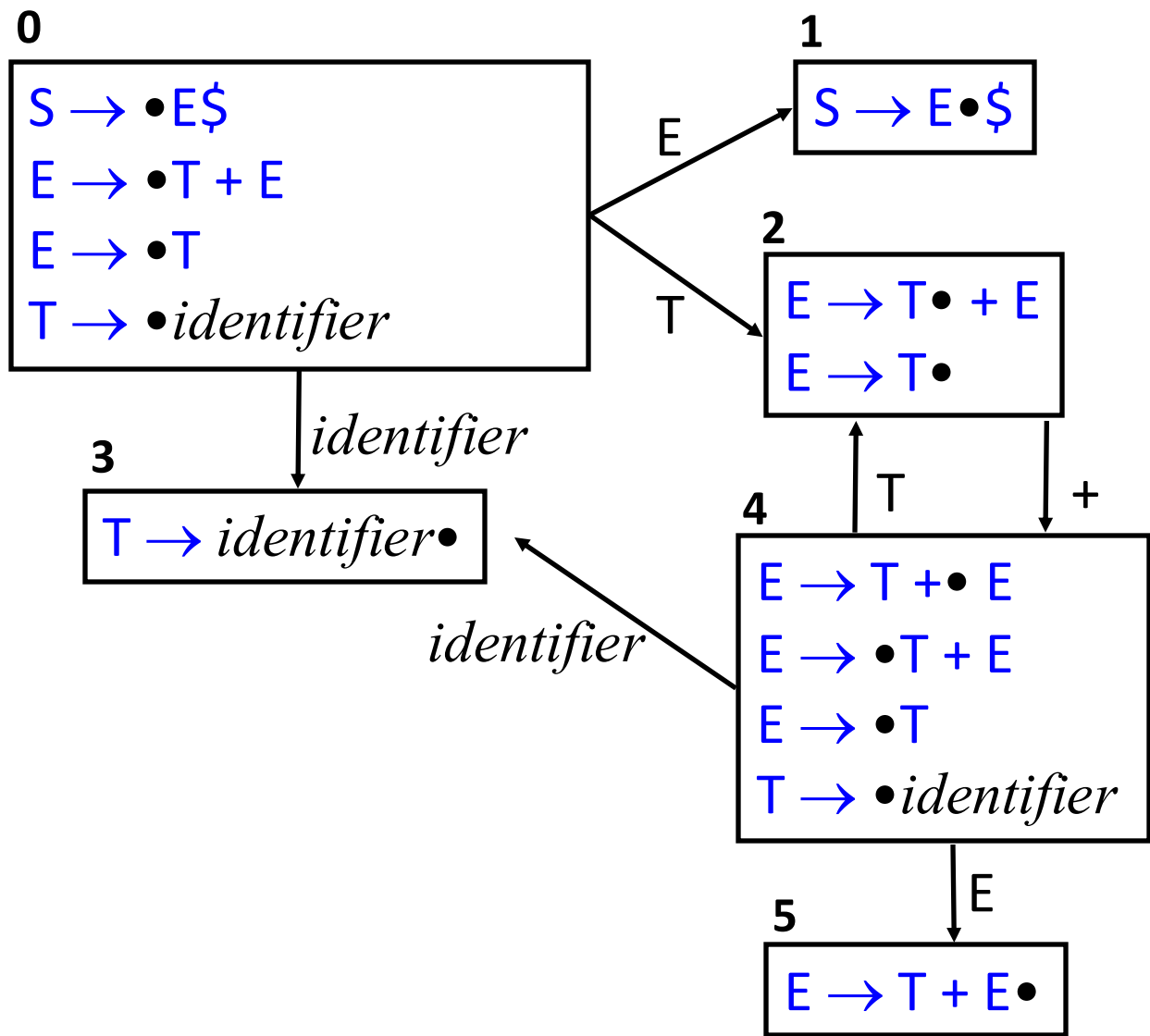
next(initial, T)



next(initial, identifier)



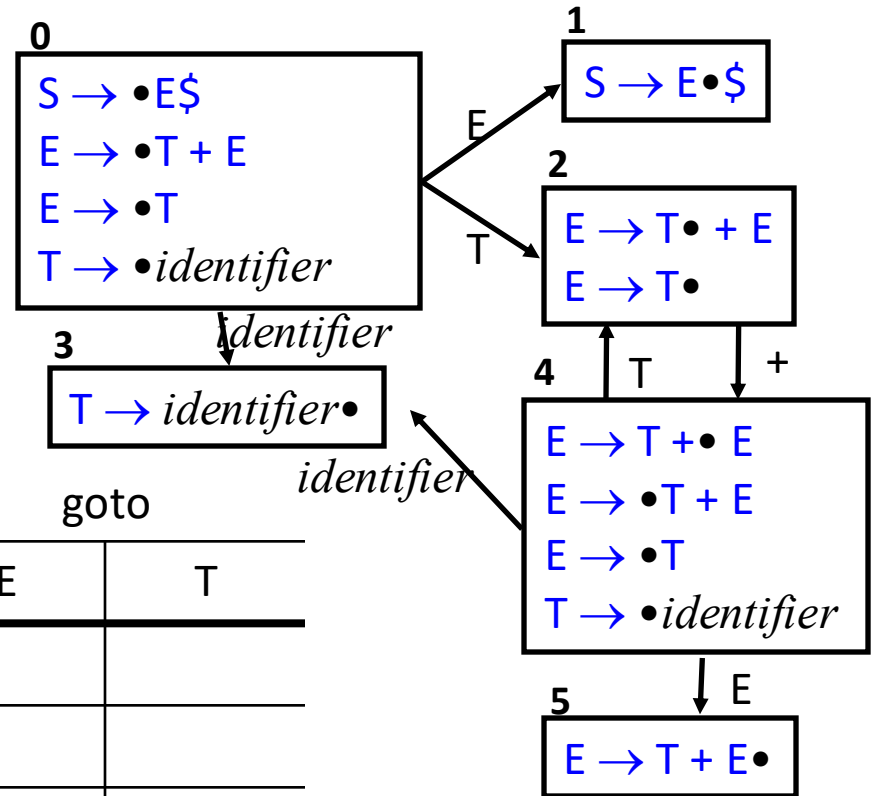
# Example



- 0  $S \rightarrow E \$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow identifier$

# Parse Tables for LR(0) parser

What can we fill out?



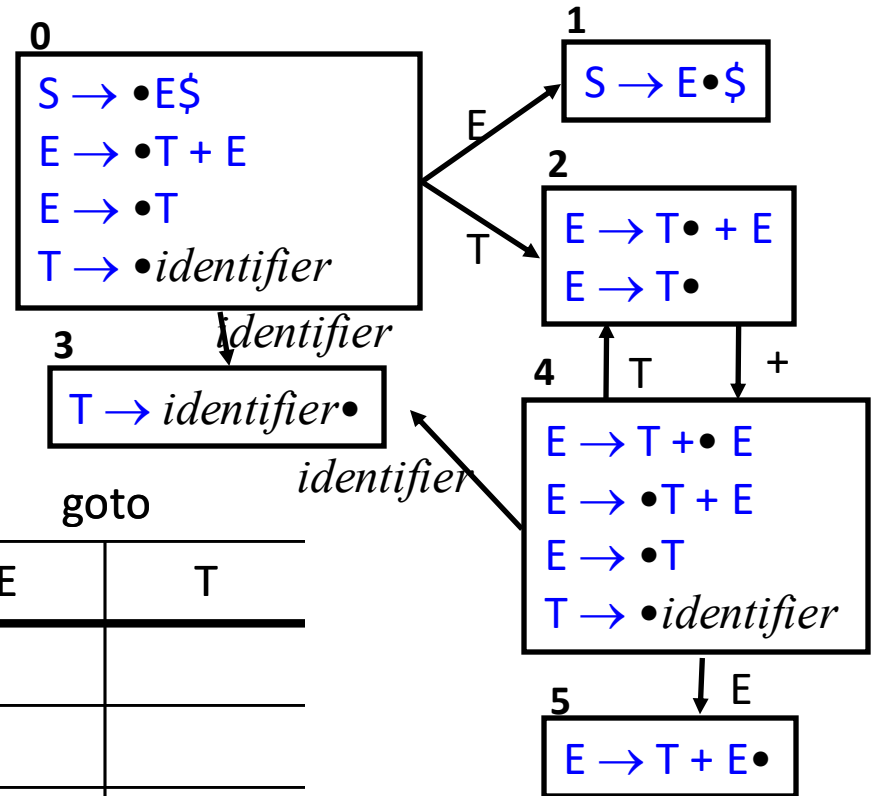
state	action			goto	
	<i>ident</i>	+	\$	E	T
0					
1					
2					
3					
4					
5					

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow identifier$

# Parse Tables for LR(0) parser

shift

transition on terminal



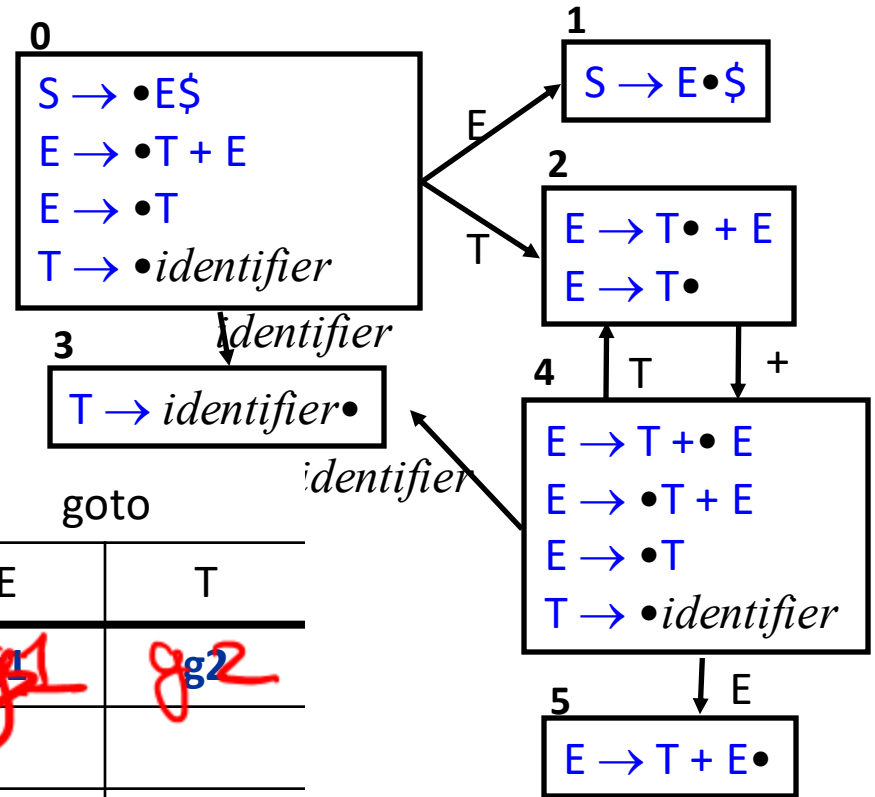
state	action			goto	
	<i>ident</i>	+	\$	E	T
0	<i>SB</i>				
1					
2		<i>SA</i>			
3					
4	<i>SB</i>				
5					

- 0  $S \rightarrow E \$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow identifier$

# Parse Tables for LR(0) parser

goto

transition on nonterminal

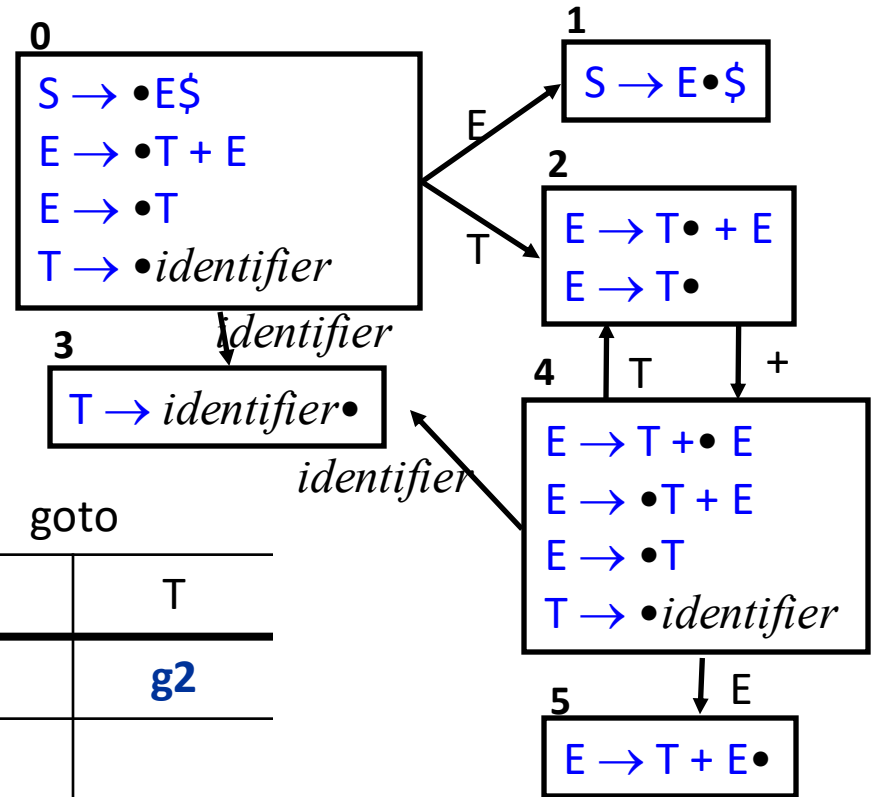


state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1					
2		s4			
3					
4	s3			g2	g5
5					

- 0  $S \rightarrow E \$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow identifier$

# Parse Tables for LR(0) parser

accept  
about to shift \$



- 0  $S \rightarrow E \$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow identifier$

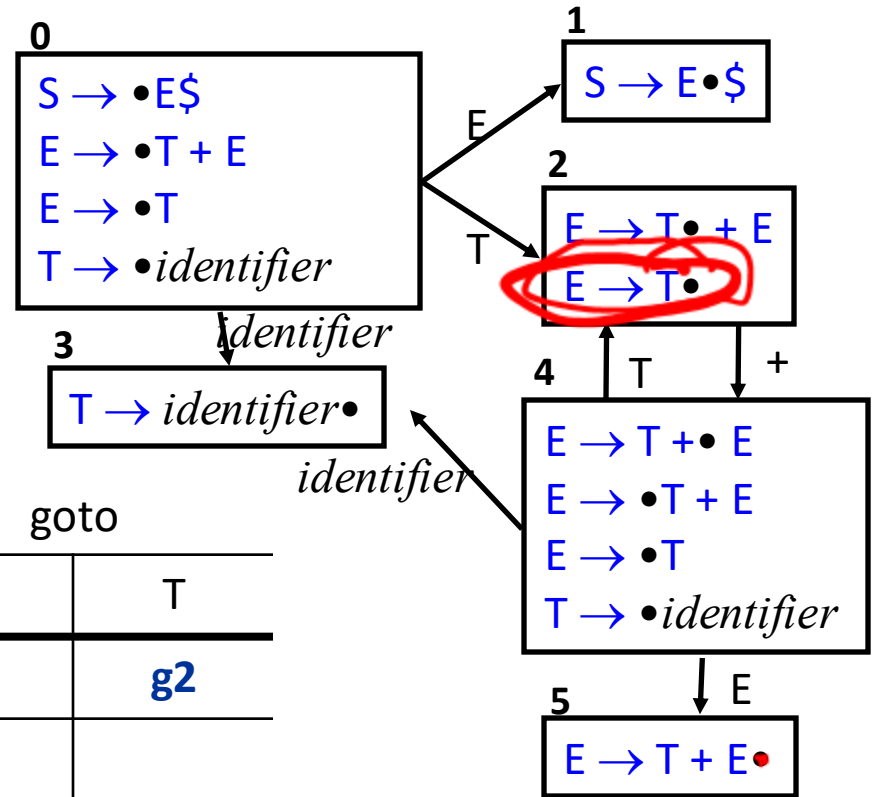
state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			<del>a</del>		
2		s4			
3					
4	s3			g5	g2
5					

# Parse Tables for LR(0) parser

reduce

item has dot at end

$A \rightarrow w \bullet$

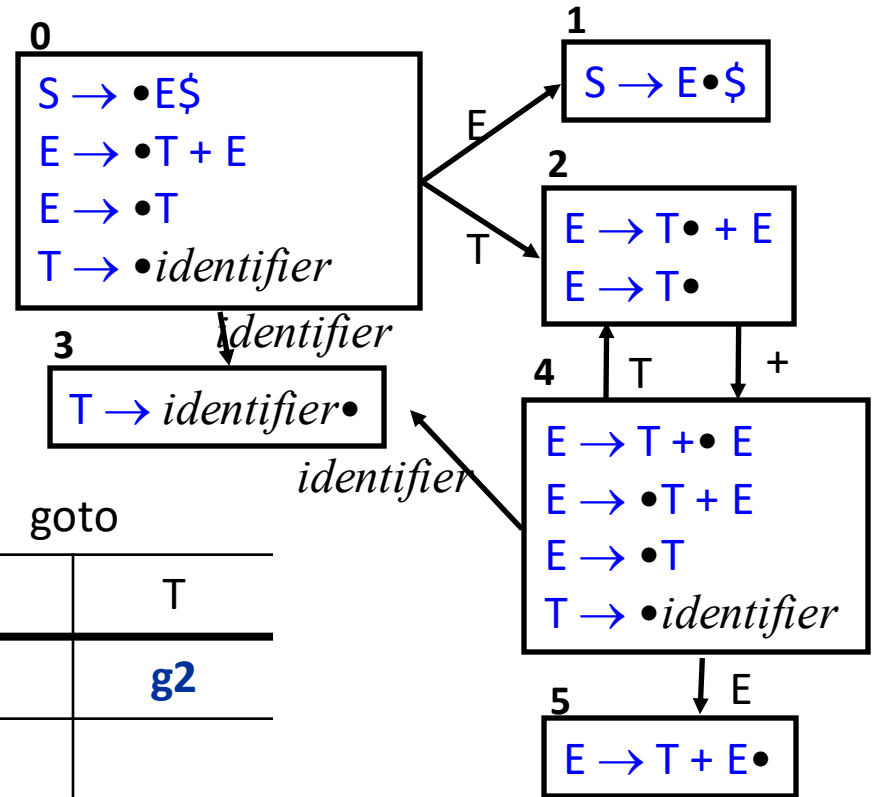


state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2	R2	s4/r2	R2		
3	r3	r3	r3		
4	s3			g5	g2
5	r1	r1	r1		

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow identifier$

# LR(0)

No lookahead  
reduce state for *all*  
nonterminals



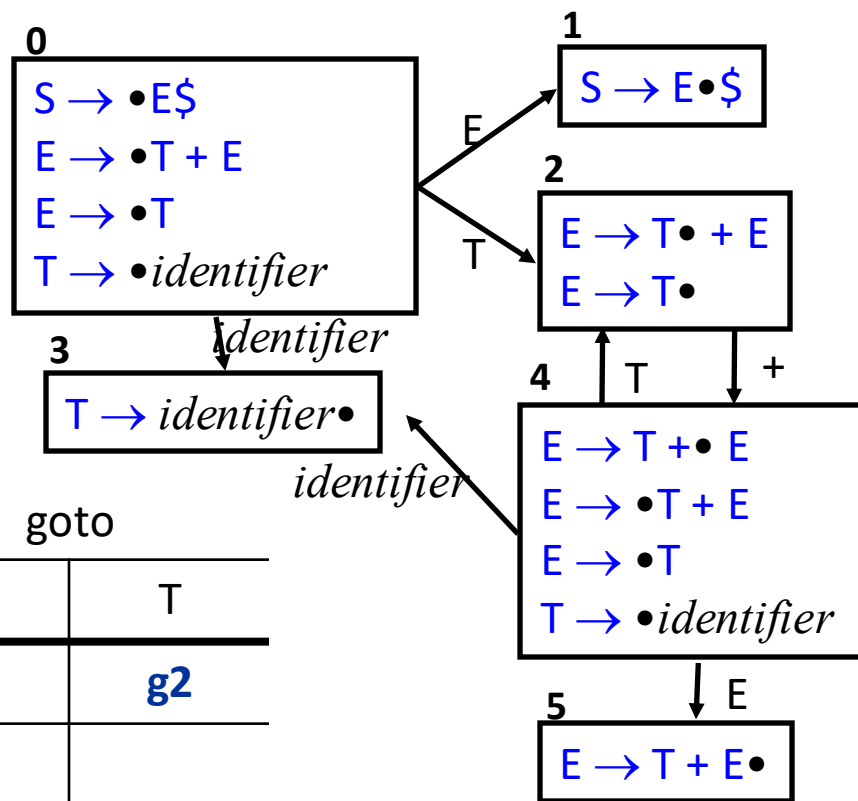
state	action			goto	
	<i>ident</i>	$+$	$\$$	$E$	$T$
0	s3			g1	g2
1			a		
2	r2	r2/s4	r2		
3	r3	r3	r3		
4	s3			g5	g2
5	r1	r1	r1		

- 0  $S \rightarrow E \$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow identifier$

# LR(0)

**shift/reduce conflict**

need to be pickier about when we reduce

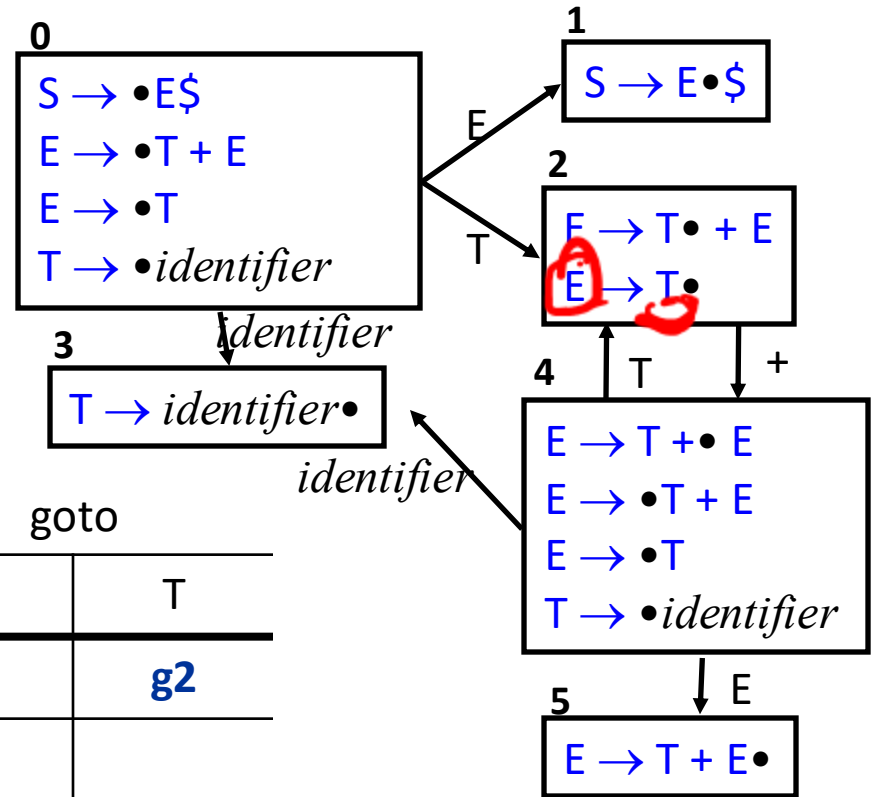


- 0  $S \rightarrow E \$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow identifier$

state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2	r2	r2/s4	r2		
3	r3	r3	r3		
4	s3			g5	g2
5	r1	r1	r1		

# SLR - Simple LR

Only reduce in position (s,a) by rule  $R: A \rightarrow w$  if a is in the follow set of A



state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4			
3					
4	s3			g5	g2
5					

- 0  $S \rightarrow E \$$
- 1  $E \rightarrow T + E$
- 2  $E \rightarrow T$
- 3  $T \rightarrow \text{identifier}$

# Reminder: Follow sets

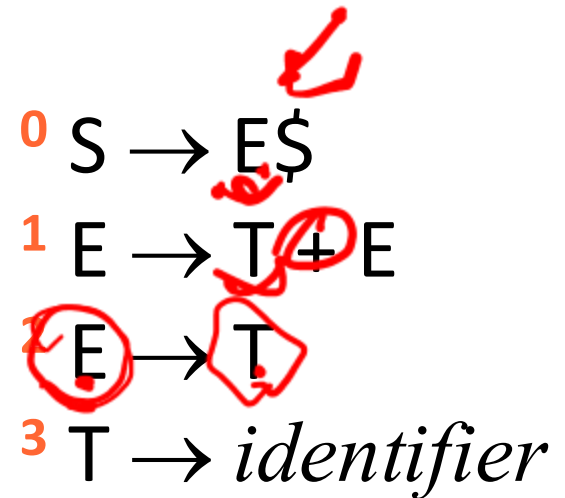
## follow(X)

set of terminals that can appear immediately after the nonterminal X in some sentential form

I.e.,  $t \in \text{FOLLOW}(X)$  iff  $S \Rightarrow^* \alpha X t \beta$  for some  $\alpha$  and  $\beta$

$$\text{follow}(E) = \{\$, \}$$

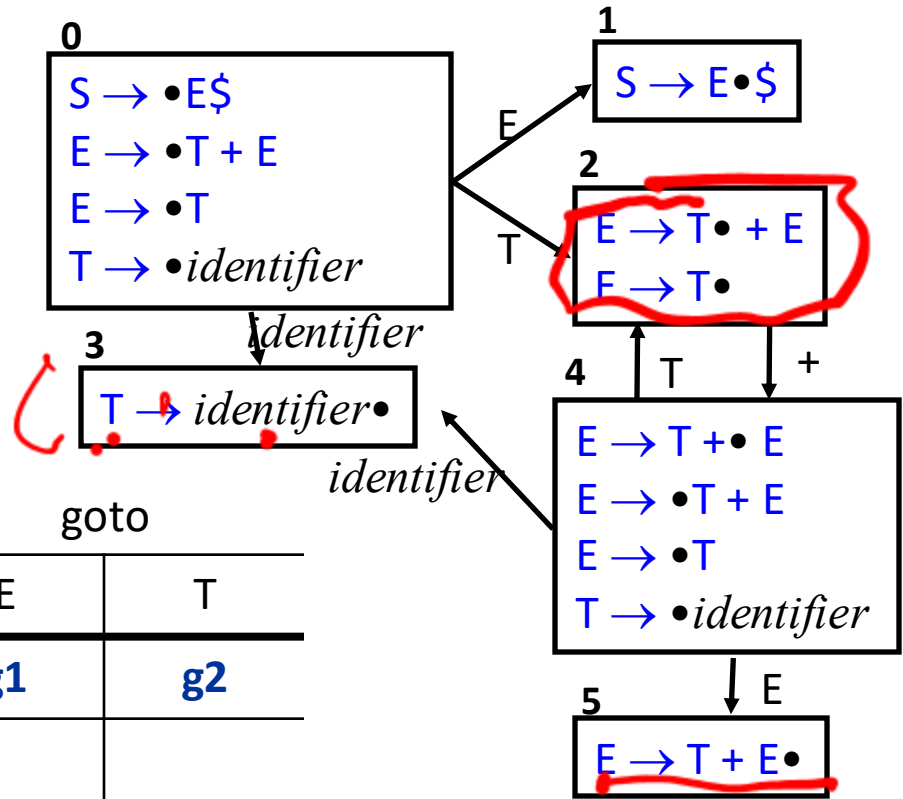
$$\text{follow}(T) = \{+, \$\}$$



# SLR - Reduce using follow sets

**follow(E) = { \$ }**

**follow(T) = { +, \$ }**



state	action			goto	
	<i>ident</i>	+	\$	E	T
0	s3			g1	g2
1			a		
2		s4	r2		
3		r3	r3		
4	s3			g5	g2
5			r1		

- 0 S → E\$
- 1 E → T + E
- 2 E → T
- 3 T → *identifier*

# SLR Limitations

- SLR uses LR(0) item sets
- Can remove some (but not all) shift/reduce conflicts using follow set
- Consider

$$0 \quad S \rightarrow E\$$$

$$1 \quad E \rightarrow L = R$$

$$2 \quad E \rightarrow R$$

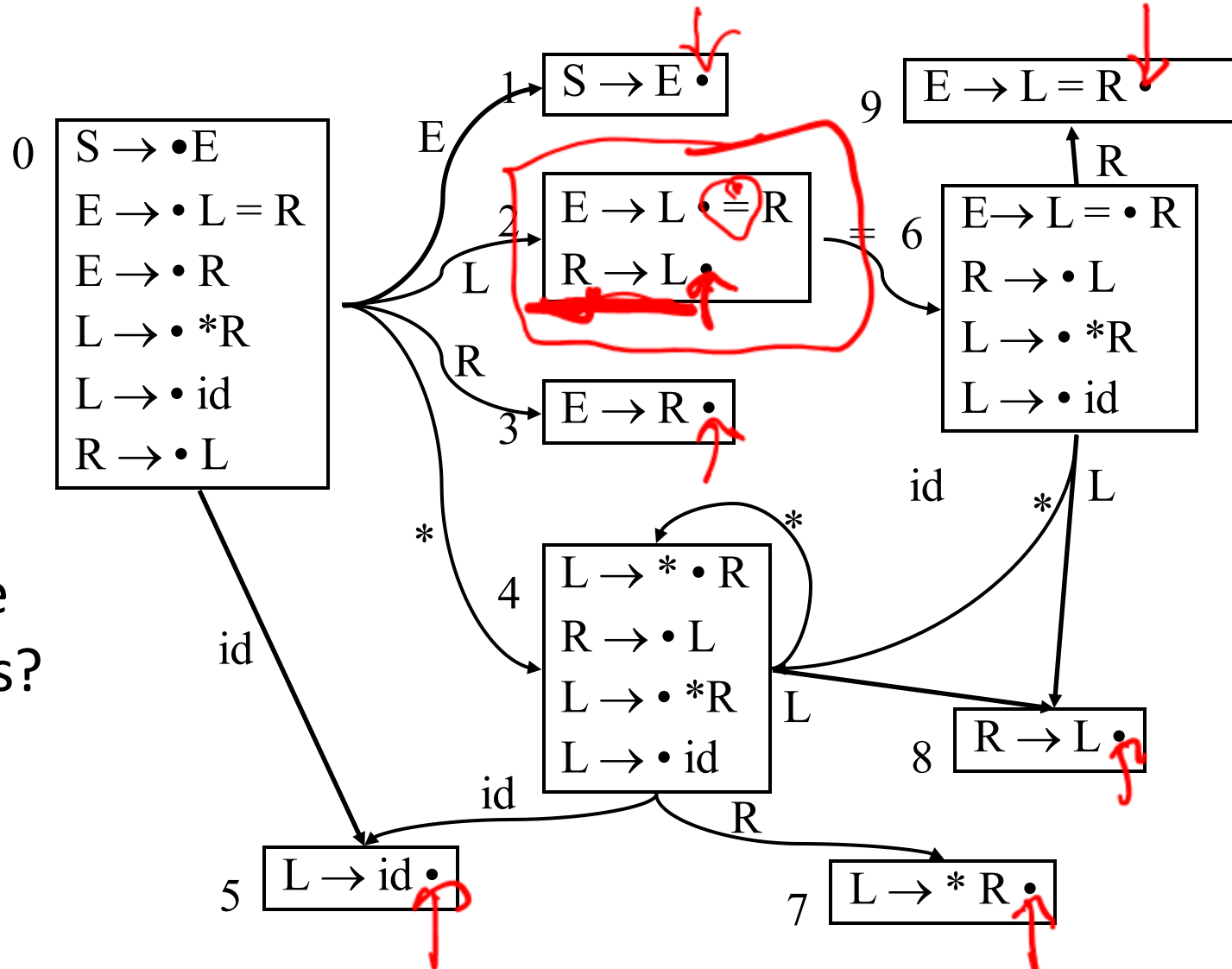
$$3 \quad L \rightarrow id$$

$$4 \quad L \rightarrow *R$$

$$5 \quad R \rightarrow L$$

# Example

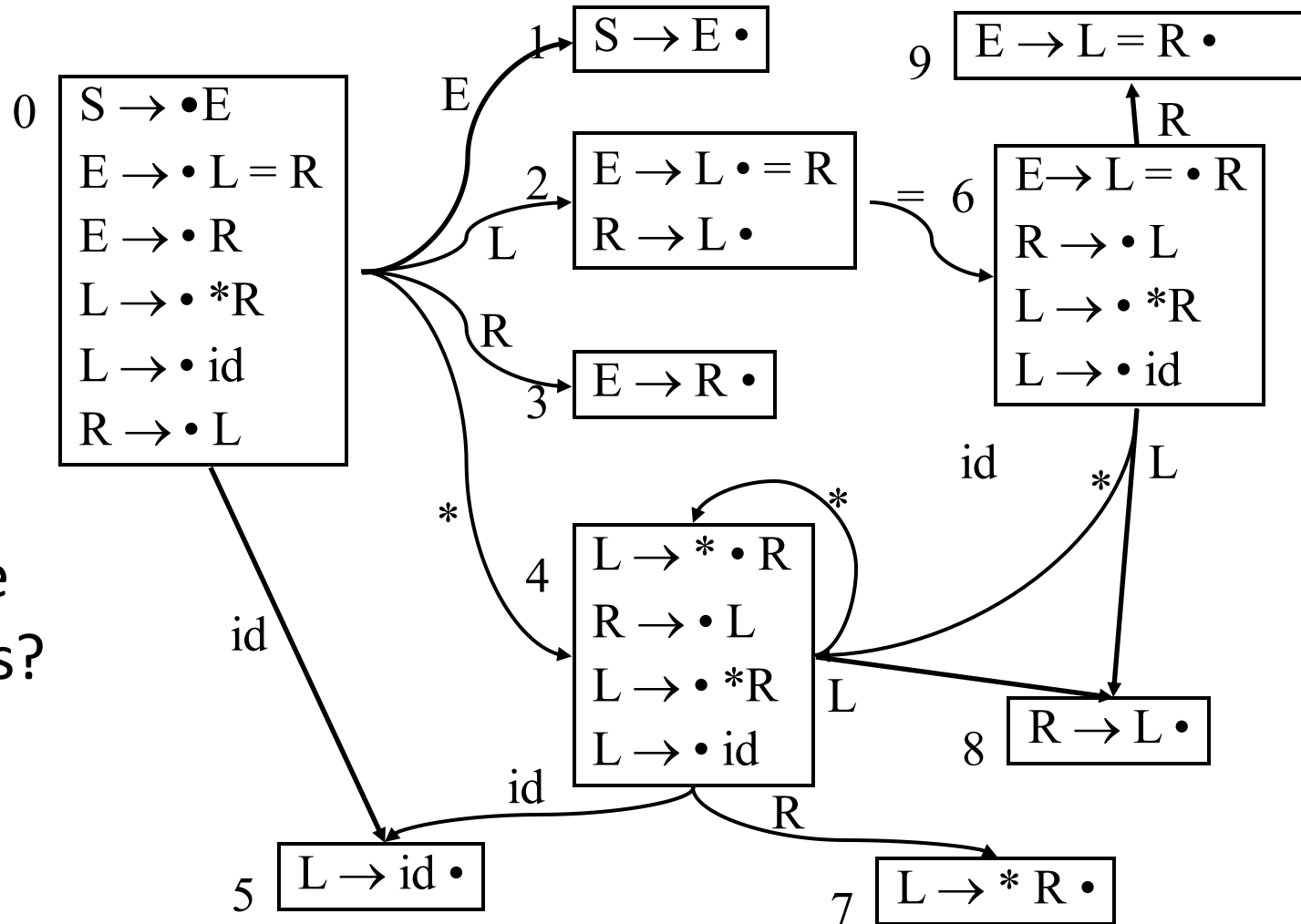
- 0  $S \rightarrow E\$$
- 1  $E \rightarrow L = R$
- 2  $E \rightarrow R \cdot$
- 3  $L \rightarrow id$
- 4  $L \rightarrow *R$
- 5  $R \rightarrow L$



What are the reduce states?

# Example

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow L = R$
- 2  $E \rightarrow R$
- 3  $L \rightarrow id$
- 4  $L \rightarrow *R$
- 5  $R \rightarrow L$

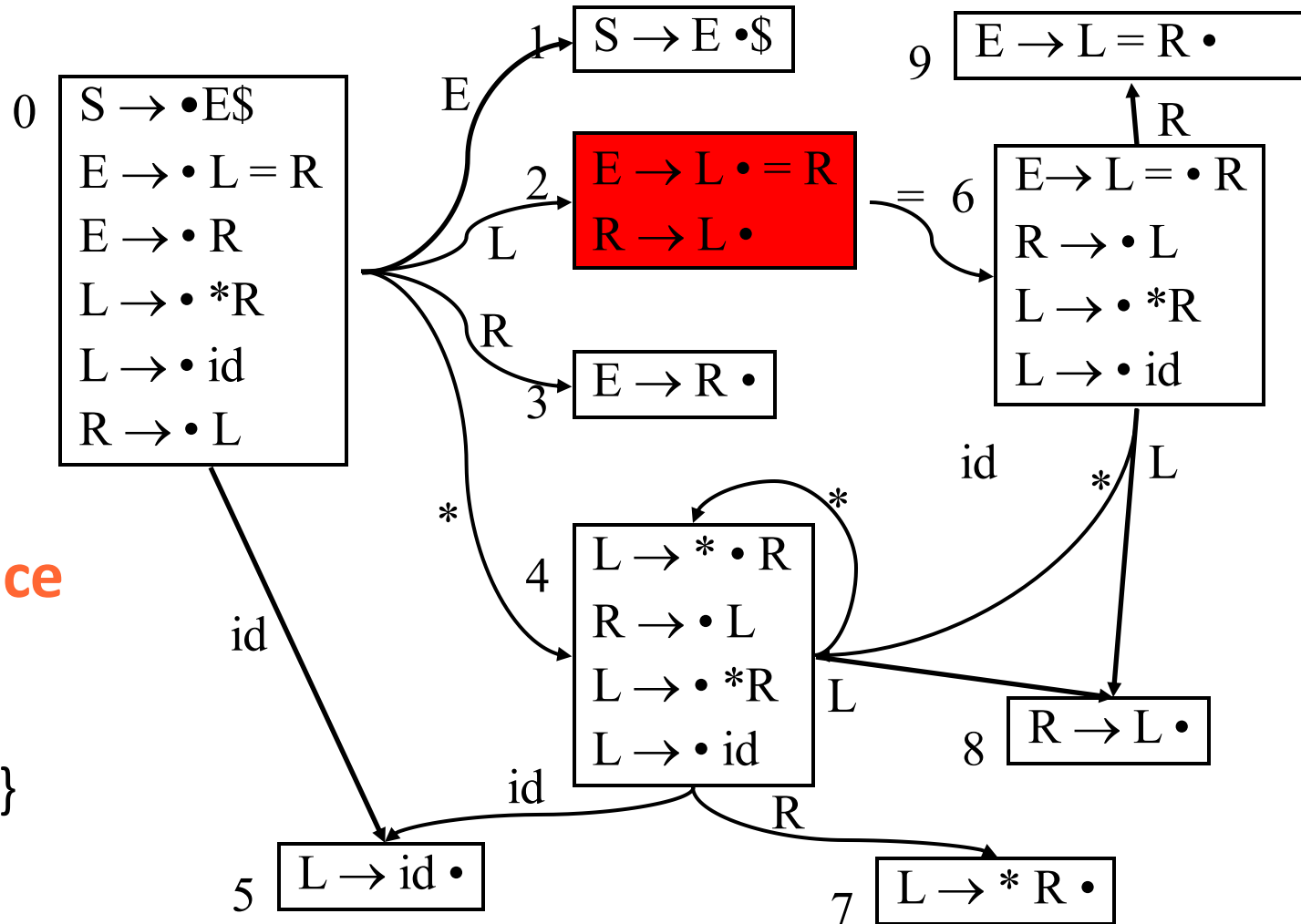


What are the reduce states?

1,2,3,5,7,8,9

# Example

- 0  $S \rightarrow E\$$
- 1  $E \rightarrow L = R$
- 2  $E \rightarrow R$
- 3  $L \rightarrow id$
- 4  $L \rightarrow *R$
- 5  $R \rightarrow L$



**shift/reduce**  
**conflict**

$\text{follow}(R) = \{=, \$\}$

# Problem with SLR

- Reduce on ALL terminals in FOLLOW set

S	→	L = R
		R
L	→	* R
		id
R	→	L

2	S → L • = R
	R → L •

- FOLLOW(R) = FOLLOW(L)
- But, we should never reduce  $R \rightarrow L$  on '='  
I.e.,  $R=...$  is not a viable prefix for a right sentential form
- Thus, there should be no reduction in state 2
- How can we solve this?

# LR(1) Items

- An LR(1) item is an LR(0) item combined with a single terminal (the *lookahead*)
- $[X \rightarrow \alpha \bullet \beta, a]$  Means  $\beta a$ 
  - $\alpha$  is at top of stack
  - Input string is derivable from  $\beta a$
- In other words, when we reduce  $X \rightarrow \alpha\beta$ ,  $a$  had better be the look ahead symbol. ↙
- Or, Only put 'reduce by  $X \rightarrow \alpha\beta$ ' in **action**  $[s, a]$
- Can construct states as before, but have to modify closure

# What LR(1) Items Mean

- $[X \rightarrow \bullet \alpha \beta \gamma, a]$   
input is consistent with  $X \rightarrow \alpha \beta \gamma$
- $[X \rightarrow \alpha \bullet \beta \gamma, a]$   
input is consistent with  $X \rightarrow \alpha \beta \gamma$  and we have already recognized  $\alpha$
- $[X \rightarrow \alpha \beta \bullet \gamma, a]$   
input is consistent with  $X \rightarrow \alpha \beta \gamma$  and we have already recognized  $\alpha \beta$
- $[X \rightarrow \alpha \beta \gamma \bullet, a]$   
input is consistent with  $X \rightarrow \alpha \beta \gamma$  and if lookahead symbol is  $a$ , then we can reduce to  $X$

# LR(1) Closure

$X \rightarrow w$

closure (state)

repeat

foreach item  $A \rightarrow a \cdot Xb$ ,  $t$  in state

foreach production  $X \rightarrow w$

and each terminal  $t'$  in  $\text{FIRST}(bt)$

state.add( $X \rightarrow \cdot w$ ,  $t'$ )

until state does not change

return state

$t$   $t'$

$\text{FIRST}(bt)$

```
foreach item  $A \rightarrow a \cdot Xb$ ,  $t$  in  $state$ 
  foreach production  $X \rightarrow w$ 
    foreach terminal  $t'$  in  $FIRST(bt)$ 
       $state.add(X \rightarrow \cdot w, t')$ 
```

# Closure

closure( $\{S \rightarrow \bullet E \$, ?\}$ ) =

$S \rightarrow \bullet E \$, ?$   
 $E \rightarrow \bullet L R, (\$)$   
 $E \rightarrow \bullet R, (\$)$   
 $L \rightarrow \bullet id, (\$)$   
 $L \rightarrow \bullet * R, (\$)$   
 $R \rightarrow \bullet L, (\$)$   
 $L \rightarrow \bullet id, \$$

- 0  $S \rightarrow E \$$
- 1  $E \rightarrow L = R$
- 2  $E \rightarrow R$
- 3  $L \rightarrow id$
- 4  $L \rightarrow * R$
- 5  $R \rightarrow L$

```

foreach item  $A \rightarrow a \bullet X b$ ,  $t$  in state
  foreach production  $X \rightarrow w$ 
    foreach terminal  $t'$  in FIRST( $bt$ )
      state.add( $X \rightarrow \bullet w$ ,  $t'$ )
    
```

# Closure

$\text{closure}(\{S \rightarrow \bullet E\$, ?\}) =$

$S \rightarrow \bullet E\$, \quad ?$   
 $E \rightarrow \bullet L = R, \quad \$$   
 $E \rightarrow \bullet R, \quad \$$

- 0  $S \rightarrow E\$\$
- 1  $E \rightarrow L = R$
- 2  $E \rightarrow R$
- 3  $L \rightarrow id$
- 4  $L \rightarrow *R$
- 5  $R \rightarrow L$

```
foreach item  $A \rightarrow a \bullet Xb$ ,  $t$  in  $state$ 
  foreach production  $X \rightarrow w$ 
    foreach terminal  $t'$  in  $FIRST(bt)$ 
       $state.add(X \rightarrow \bullet w, t')$ 
```

# Closure

$\text{closure}(\{S \rightarrow \bullet E \$, ?\}) =$

$S \rightarrow \bullet E \$,$	$?$
$E \rightarrow \bullet L = R,$	$\$$
$E \rightarrow \bullet R,$	$\$$
$L \rightarrow \bullet id,$	$=$
$L \rightarrow \bullet *R,$	$=$

- 0  $S \rightarrow E \$$
- 1  $E \rightarrow L = R$
- 2  $E \rightarrow R$
- 3  $L \rightarrow id$
- 4  $L \rightarrow *R$
- 5  $R \rightarrow L$

```
foreach item  $A \rightarrow a \bullet X b,$   $t$  in  $state$ 
  foreach production  $X \rightarrow w$ 
    foreach terminal  $t'$  in  $FIRST(bt)$ 
       $state.add(X \rightarrow \bullet w, t')$ 
```

# Closure

$\text{closure}(\{S \rightarrow \bullet E\$, ?\}) =$

$S \rightarrow \bullet E\$,$	$?$
$E \rightarrow \bullet L = R,$	$\$$
$E \rightarrow \bullet R,$	$\$$
$L \rightarrow \bullet id,$	$=$
$L \rightarrow \bullet *R,$	$=$
$R \rightarrow \bullet L,$	$\$$

- 0  $S \rightarrow E\$\$
- 1  $E \rightarrow L = R$
- 2  $E \rightarrow R$
- 3  $L \rightarrow id$
- 4  $L \rightarrow *R$
- 5  $R \rightarrow L$

```
foreach item  $A \rightarrow a \bullet Xb$ ,  $t$  in state
  foreach production  $X \rightarrow w$ 
    foreach terminal  $t'$  in FIRST( $bt$ )
      state.add( $X \rightarrow \bullet w$ ,  $t'$ )
```

# Closure

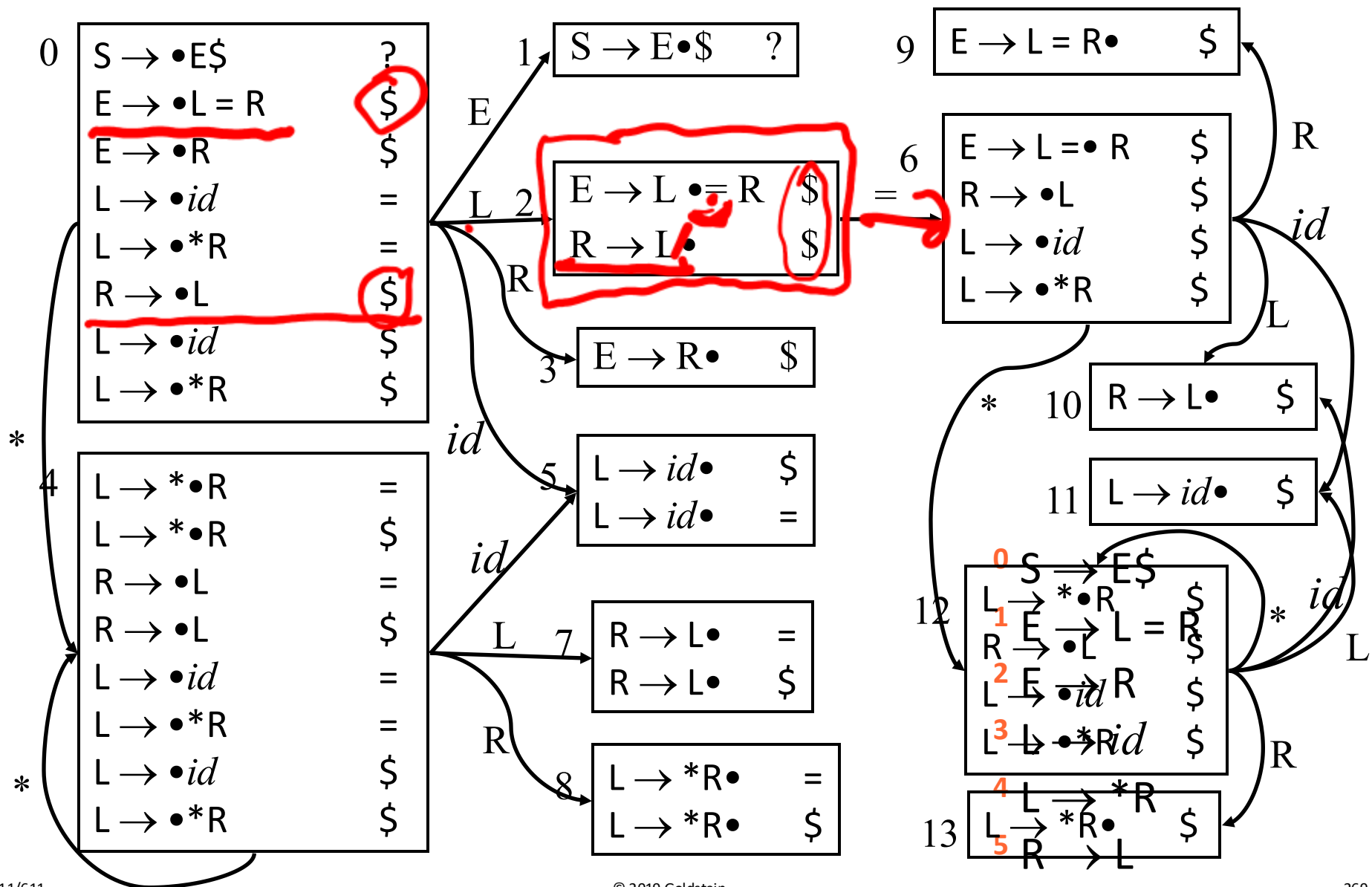
$\text{closure}(\{S \rightarrow \bullet E\$, ?\}) =$

$S \rightarrow \bullet E\$,$	$?$
$E \rightarrow \bullet L = R,$	$\$$
$E \rightarrow \bullet R,$	$\$$
$L \rightarrow \bullet id,$	$=$
$L \rightarrow \bullet *R,$	$=$
$R \rightarrow \bullet L,$	$\$$
$L \rightarrow \bullet id,$	$\$$
$L \rightarrow \bullet *R,$	$\$$

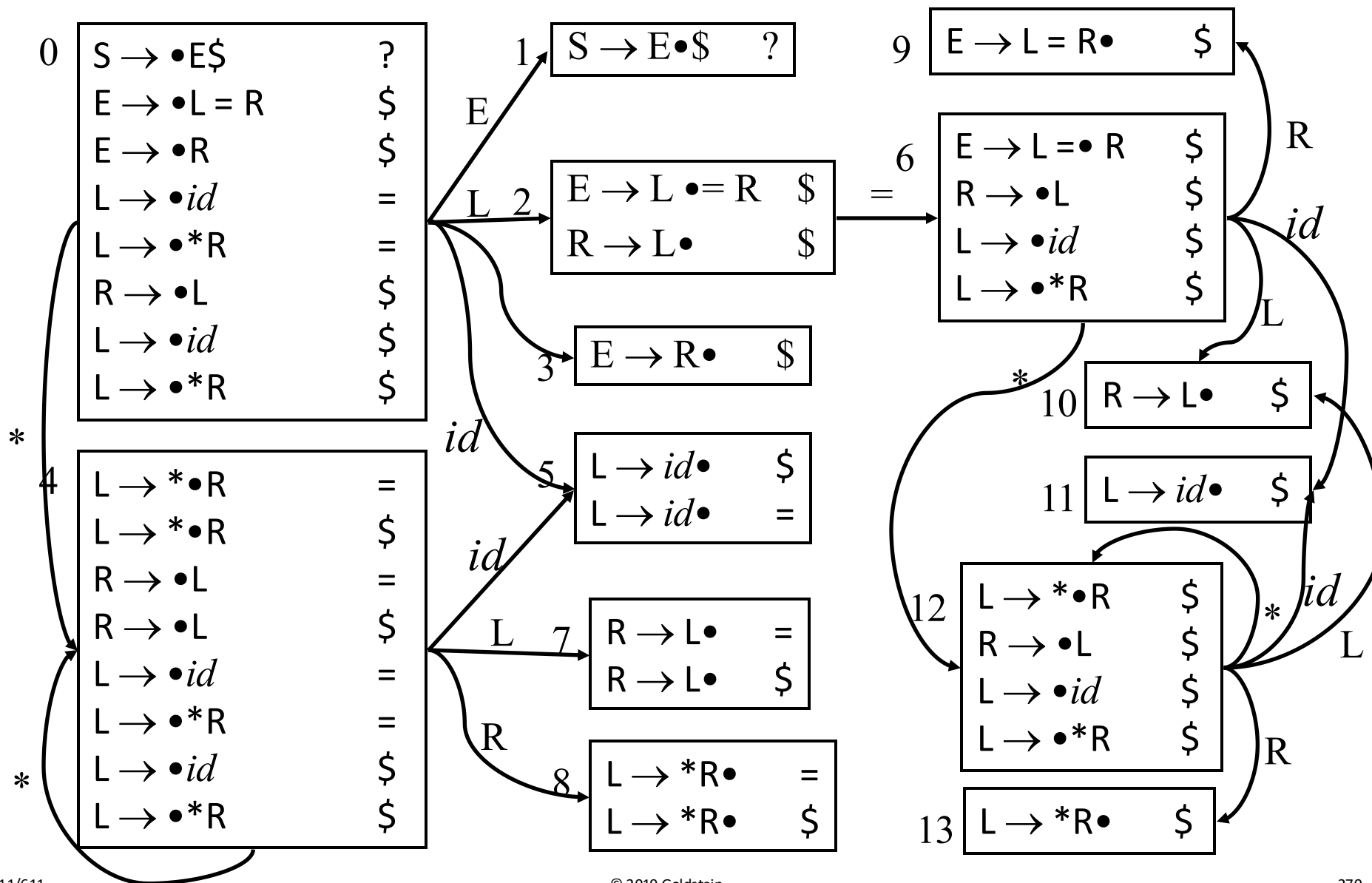
- 0  $S \rightarrow E\$\$
- 1  $E \rightarrow L = R$
- 2  $E \rightarrow R$
- 3  $L \rightarrow id$
- 4  $L \rightarrow *R$
- 5  $R \rightarrow L$

```
foreach item  $A \rightarrow a \bullet Xb,$   $t$  in  $state$ 
  foreach production  $X \rightarrow w$ 
    foreach terminal  $t'$  in  $FIRST(bt)$ 
       $state.add(X \rightarrow \bullet w, t')$ 
```

# LR(1) Example



# LR(1) Example



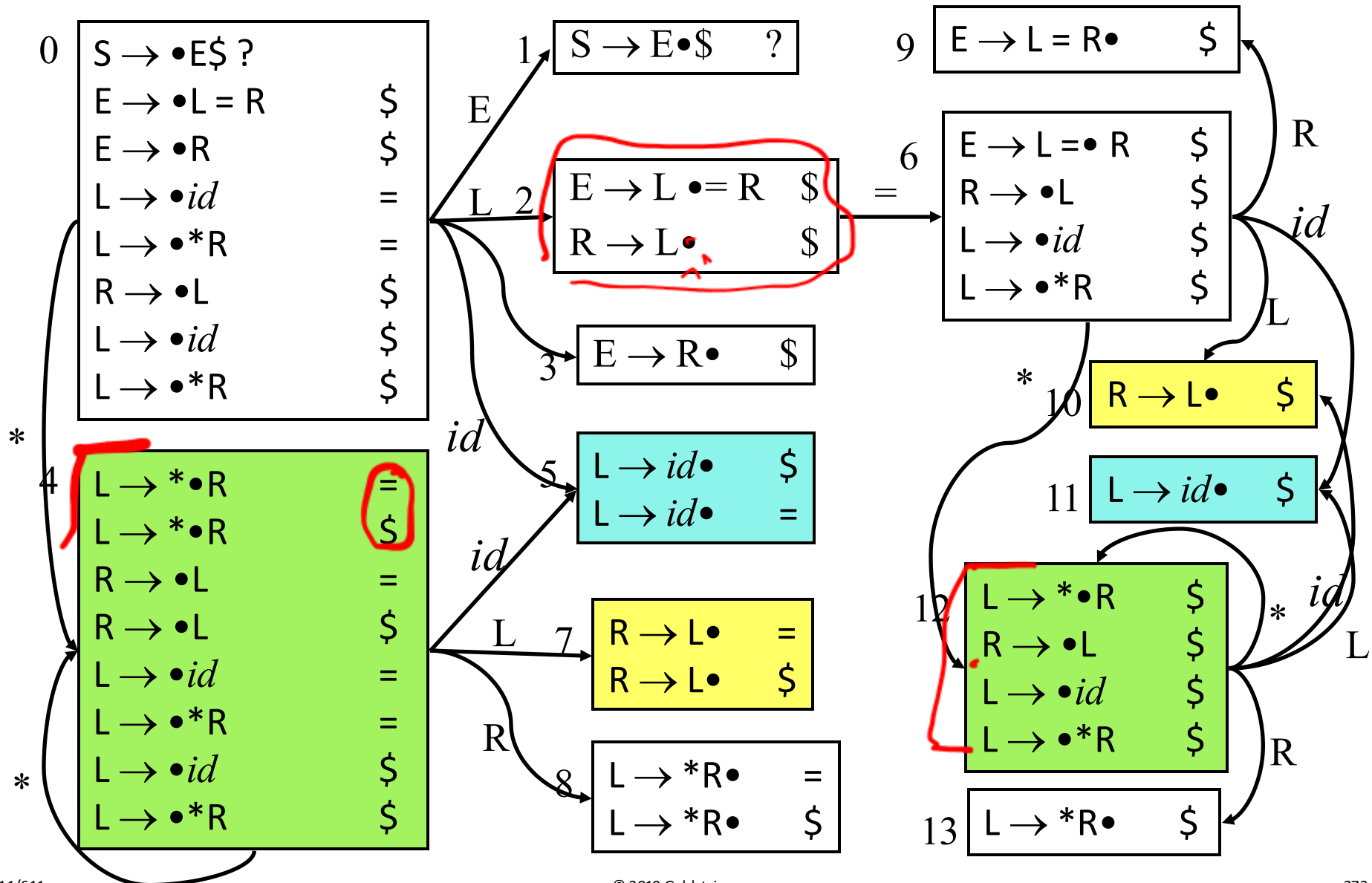
# Parsing Table

- 14 states versus 10 LR(0) states
- In general, the number of states (and therefore size of the parsing table) is much larger with LR(1) items

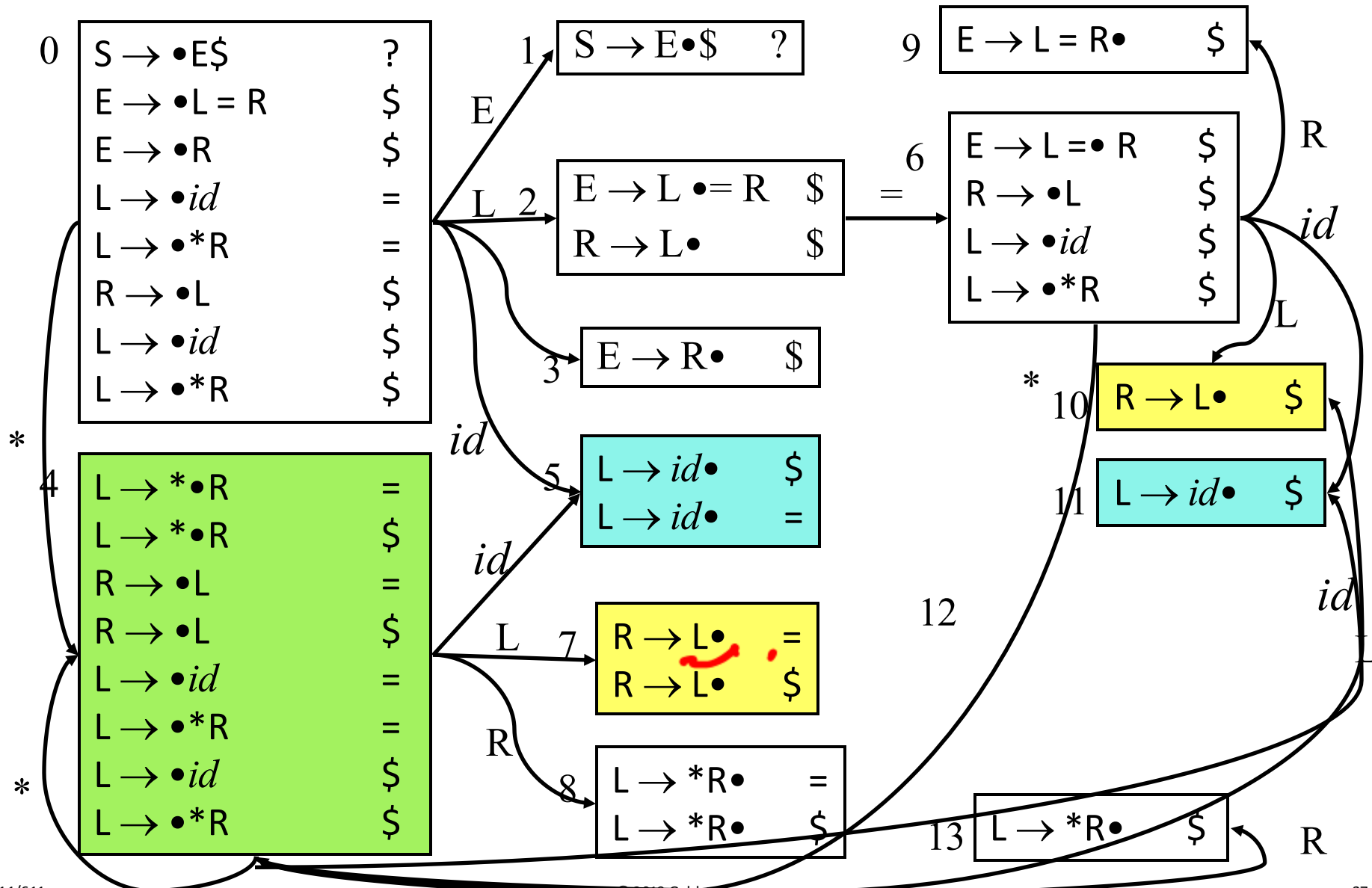
# LALR: Lookahead LR

- More powerful than SLR
- Given LR(1) states, merge states that are identical except for lookaheads
- End up with same size table as SLR
- Can this introduce conflicts?

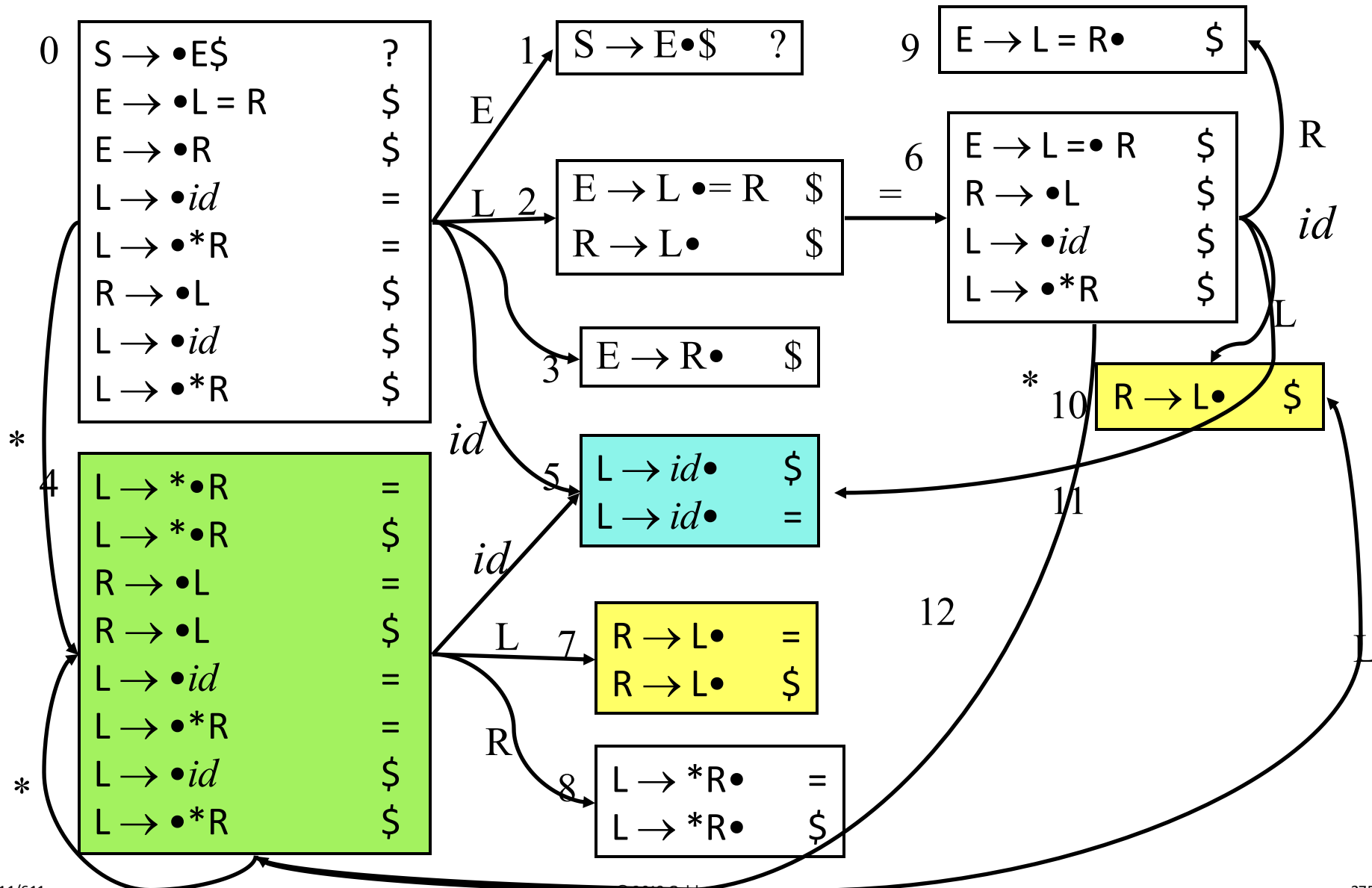
# Merge-able states



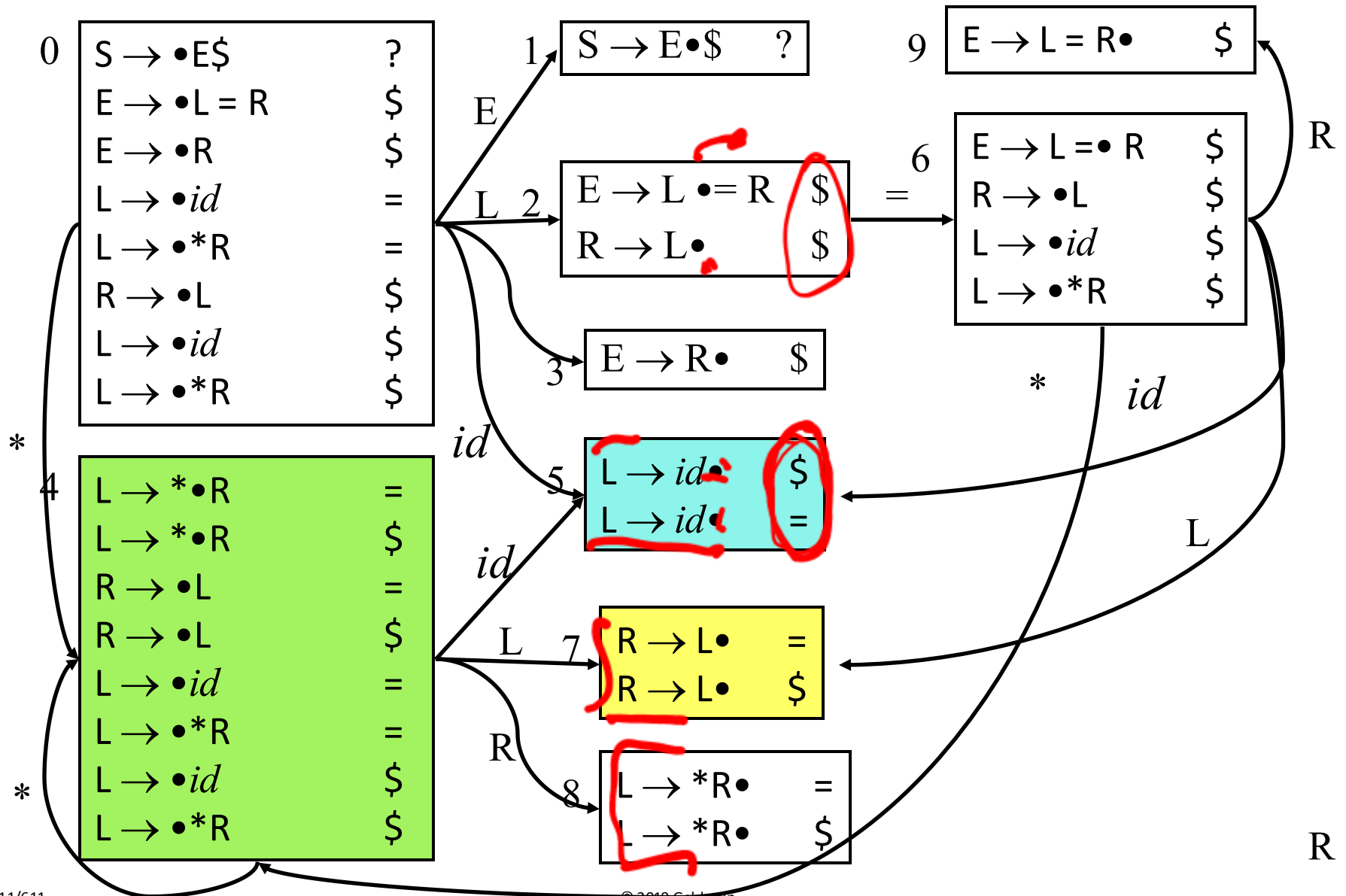
# Merge-able states



# Merge-able states



# Merge-able states



# LALR

- Can generate parse table without constructing LR(1) item sets
  - construct LR(0) item sets
  - compute *lookahead* sets
    - more precise than follow sets
- LALR is used by most parser generators (e.g., bison)

# Recap

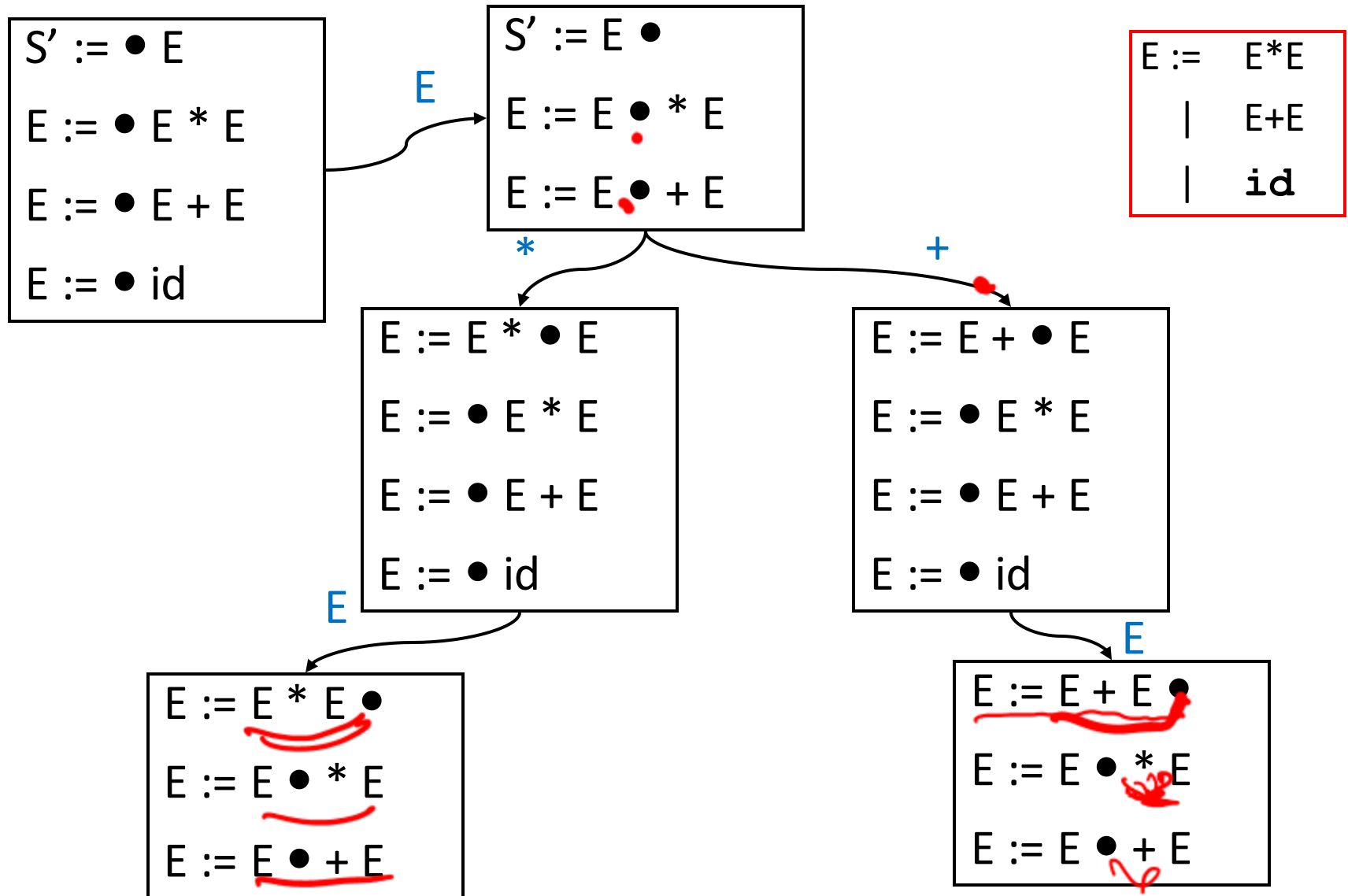
- LR(0) not very useful
- SLR uses follow sets to reduce
- LALR uses lookahead sets
- LR(1) uses full lookahead context

# Power of shift-reduce parsers

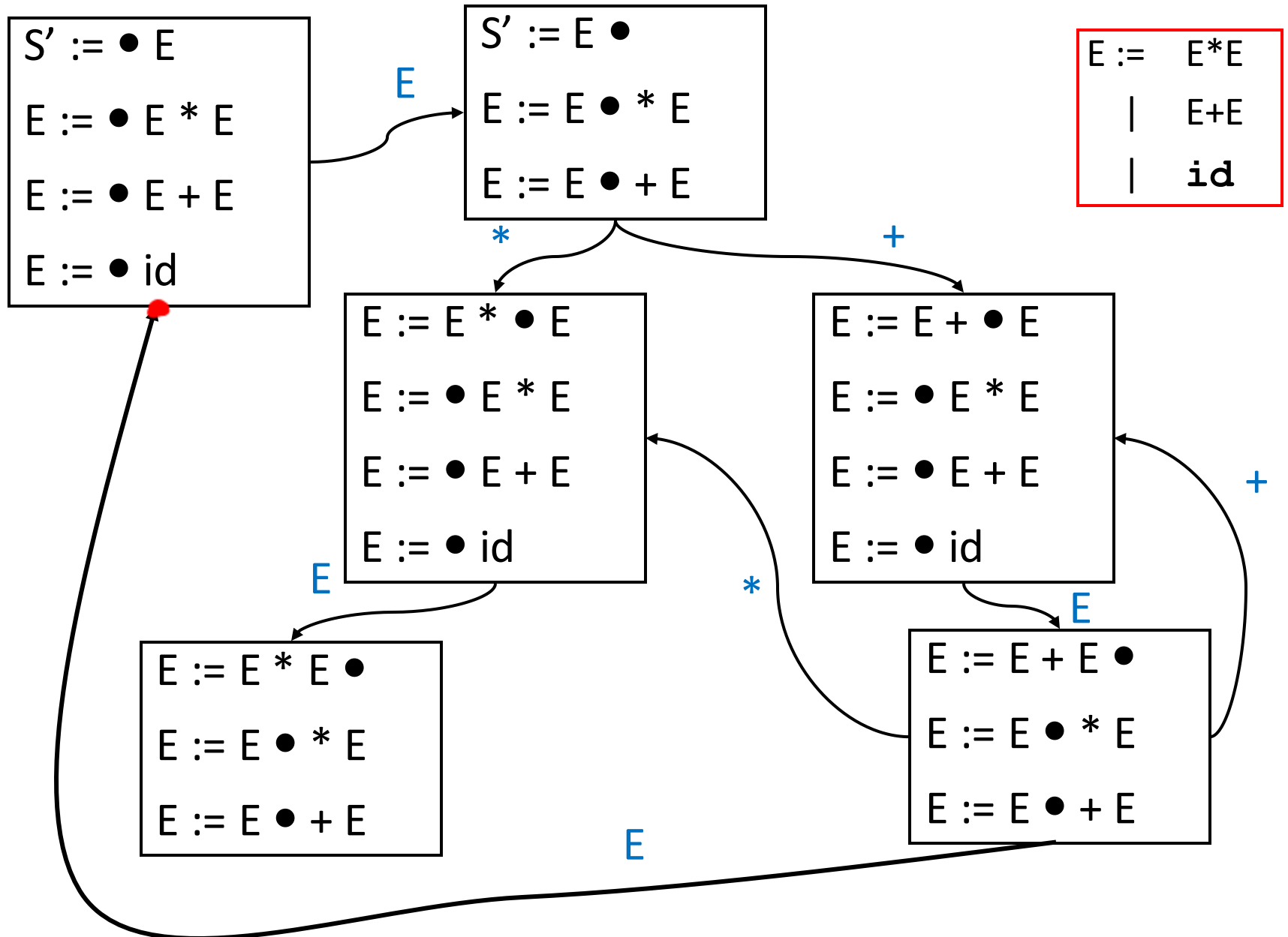
- There are unambiguous grammars which which cannot be parsed with shift-reduce parsers.
- Such grammars can have
  - shift/reduce conflicts
  - reduce/reduce conflicts
- There grammars are not LR(k)
- But, we can often choose shift or reduce to recognize what want.



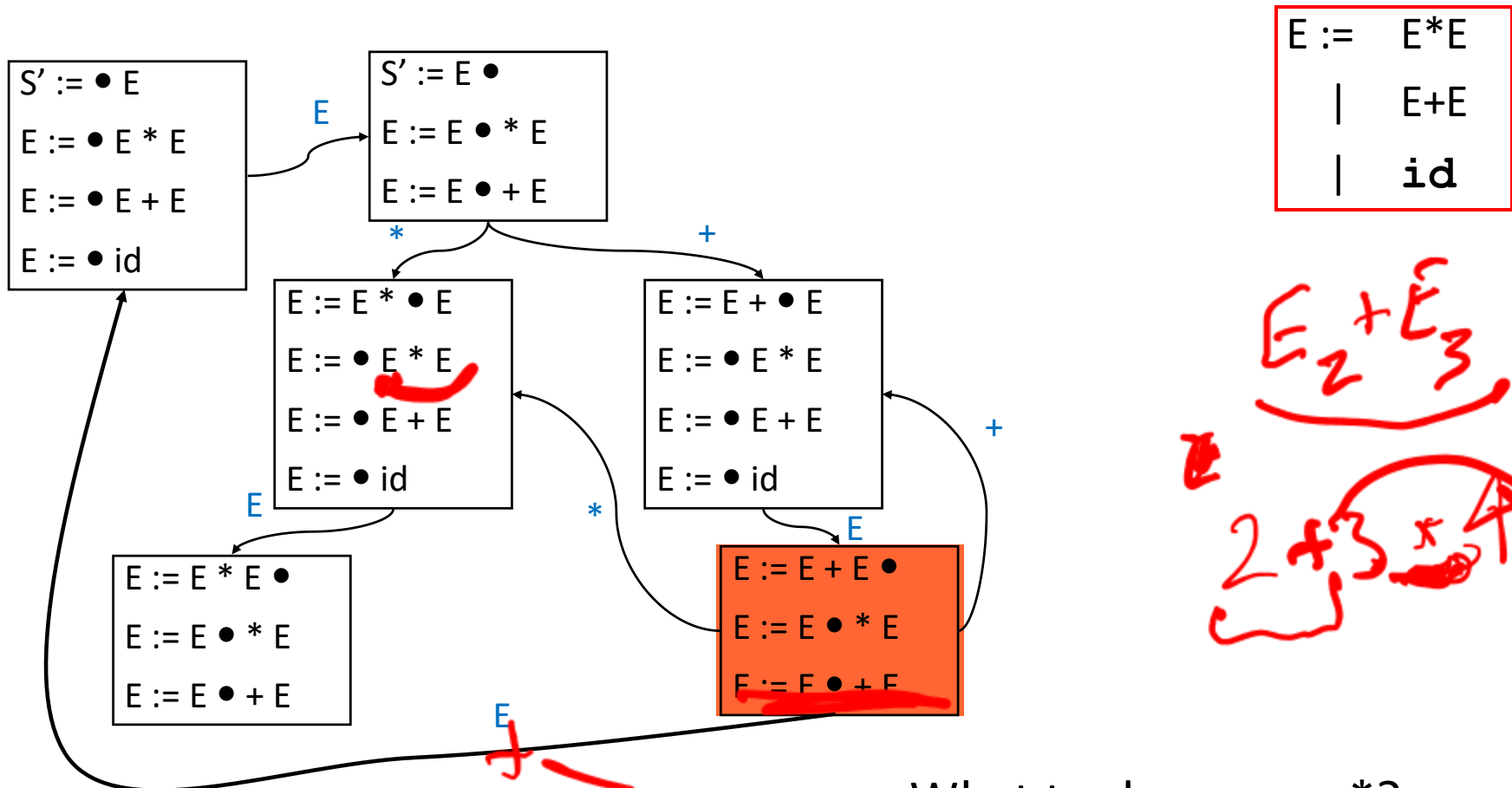
# Expression Grammars & Precedence



# Expression Grammars & Precedence



# Handling Ambiguity



$E := E * E$   
 $| E + E$   
 $| id$

$E_2 + E_3$   
 $2 + 3 * 4$

$2 + 3 * 4$

What to do on + or \*?

- shift
- reduce by  $E \rightarrow E + E$ ?

# Bison

- Precedence and Associativity declarations
- Precedence derived from order of directives: from lowest to highest
- Associativity from %left, %right, %nonassoc
- Can be attached to rules as well (This can solve the dangling if-else problem)

# Dangling Else

$S := \text{if } E \text{ then } S$   
|  $\text{if } E \text{ then } S \text{ else } S$   
|  $\text{other}$

- We can be in the following state:

...  $\text{if } E \text{ then } S$                        $\text{else } \dots \$$

- What do we do?
  - shift the **else** (hoping to reduce by second rule)
  - reduce by first rule