

# Recitation 4

## Scan Reloaded

### 4.1 Announcements

- *BignumLab* has been released, and is due **Friday afternoon**. It's worth 175 points.
- *RandomLab* will be released on Friday.

## 4.2 Implementation

Recall the implementation of `scan` for sequences of power-of-2 length. Note that we typically refer to line 7 as the *contraction* step, line 8 as the *recursive* step, and line 11 as the *expansion* step.

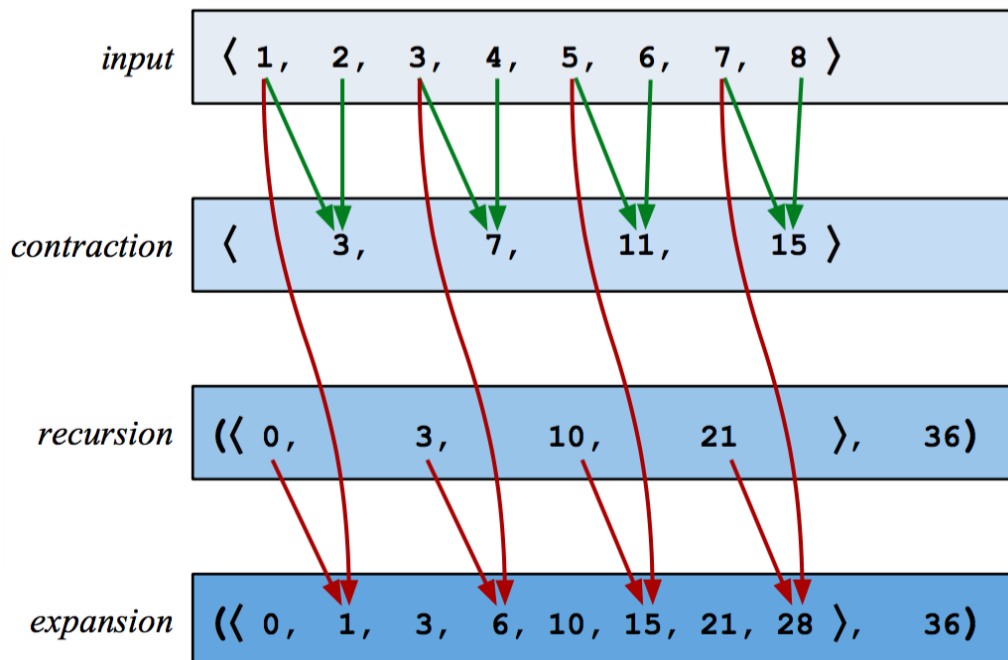
**Algorithm 4.1.** *scan*, assuming  $|S|$  is a power of 2.

```

1 fun scan f b S =
2   case |S| of
3     0 => ((), b)
4   | 1 => (⟨b⟩, S[0])
5   | n =>
6     let
7       val S' = ⟨f(S[2i], S[2i+1]) : 0 ≤ i < n/2⟩
8       val (R, t) = scan f b S'
9       fun P(i) = if even(i) then R[i/2] else f(R[[i/2]], S[i-1])
10    in
11      (⟨P(i) : 0 ≤ i < n⟩, t)
12    end

```

A diagram should help clear up any confusion. Consider  $(\text{scan } + \ 0 \ \langle 1, 2, 3, 4, 5, 6, 7, 8 \rangle)$ .



## 4.3 Cost Analysis

Since we so commonly use `scan` with a constant-time function argument, it is helpful to memorize that it has  $O(n)$  work and  $O(\log n)$  span in this case. But what about more complex functions? Let's try `merge` as an example.

**Task 4.2.** Analyze the work and span of

$$\text{scan } (\text{merge } \text{cmp}) \langle \rangle S$$

assuming that  $|S| = n$ ,  $|x| \leq m$  for every  $x \in S$ , and `cmp` is constant-time. Give your answers as tight Big- $O$  bounds in terms of  $n$  and  $m$ .

Recall that  $(\text{merge } \text{cmp } (A, B))$  requires  $O(|A| + |B|)$  work and  $O(\log |A| + \log |B|)$  span, and it produces a sequence of length  $|A| + |B|$ .

Our goal is to establish two recurrences  $W(n, m)$  and  $S(n, m)$ . Let's walk through the steps:

- *Contraction.* We perform  $n/2$  applications of `merge`, each of which requires  $O(m)$  work and  $O(\log m)$  span. Therefore this step requires  $O(nm)$  work and  $O(\log m)$  span.
- *Recursion.* We recurse on a sequence of half the length. Each element in this sequence is now twice as large. Therefore this step requires  $W(n/2, 2m)$  work and  $S(n/2, 2m)$  span.
- *Expansion.* Consider the even and odd positions of the output separately.
  - The even positions remain unchanged from the recursive result; copying them over to the output incurs a cost of  $O(n)$  work and  $O(1)$  span.
  - The odd positions are determined by  $n/2$  applications of `merge`. The inputs to these calls, however, are of varying size. Specifically, the `merge` which generates the  $(2i + 1)^{\text{th}}$  position has inputs of size  $2im$  and  $m$ , and therefore requires  $O((i + 1)m)$  work and  $O(\log((i + 1)m))$  span. We add these up for  $0 \leq i < n/2$ :

\* Work:

$$\sum_{i=0}^{n/2-1} O((i + 1)m) = O\left(m \sum_{j=1}^{n/2} j\right) = O(n^2m)$$

\* Span:

$$\text{MAX}_{i=0}^{n/2-1} O(\log((i + 1)m)) = O(\log(nm))$$

Therefore this step requires a total of  $O(n^2m)$  work and  $O(\log(nm))$  span.

We now have two recurrences to solve.

- *Work*:  $W(n, m) = W(n/2, 2m) + O(n^2m)$ .

Counting from  $i = 0$  at the top, the  $i^{\text{th}}$  level of this recurrence has a cost of

$$O\left(\left(\frac{n}{2^i}\right)^2 2^i m\right) = O\left(\frac{n^2 m}{2^i}\right)$$

and therefore this recurrence is root dominated, giving us that

$$W(n, m) \in O(n^2 m).$$

- *Span*:  $S(n, m) = S(n/2, 2m) + O(\log(nm))$ .

The  $i^{\text{th}}$  level of this recurrence has a cost of

$$O\left(\log\left(\frac{n}{2^i} 2^i m\right)\right) = O(\log(nm))$$

and therefore this recurrence is balanced. There are  $\log_2 n$  levels, giving us that

$$S(n, m) \in O(\log n \cdot \log(nm)) = O(\log^2 n + \log n \cdot \log m).$$

## 4.4 Bonus Exercise: Factorials with Bignums

In this section, we write `**` for bignum multiplication and  $\bar{x}$  for the bignum representation of  $x$ . We'll be using the same conventions here as in *BignumLab*.

Factorials quickly become too large to represent in a single 32-bit or 64-bit unsigned integer.<sup>1</sup> This makes them the perfect candidate for bignums, which can be arbitrarily large. Consider the following code, which computes the first  $n$  factorials (excluding  $0!$ ):

**Algorithm 4.3.** *Bignum Factorials.*

```
fun factorials n = Seq.scanIncl **  $\bar{1}$   $\langle \bar{i} : 1 \leq i \leq n \rangle$ 
```

**Exercise 4.4.** *Analyze the work of `(factorials n)`. Note that you'll first need to determine*

1. *The work of  $\bar{x} ** \bar{y}$ , and*
2. *The bit width of  $\bar{x} ** \bar{y}$ .*

*The former is given by solving the recurrence given in BignumLab for multiplication, namely*

$$W(n) = 3W\left(\frac{n}{2}\right) + O(n).$$

*The latter can be determined via a little bit of algebra. Note that the bit width of a number  $\bar{x}$  is  $1 + \lfloor \log_2 x \rfloor$ , assuming  $x \geq 1$ .*

*Warning: this is pretty hard.*

<sup>1</sup>With 32-bit unsigned integers, the largest factorial we can compute before encountering overflow is  $11!$ . For 64-bits, it's  $19!$ .

