

TRACE SEMANTICS:
TOWARDS A UNIFICATION OF
PARALLEL PARADIGMS

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PARALLEL PARADIGMS

State-based

- **Shared-memory**
 - global state
 - concurrent read and write
- **Concurrent constraint**
 - global state
 - concurrent ask and tell

Focus on state change

PARALLEL PARADIGMS

Communication-based

- **Asynchronous**
 - output always enabled
 - input waits until data is available
 - channels behave like queues
- **Synchronous**
 - output waits until matching input
 - input waits until matching output
 - synchronized handshake

Focus on communications

SEMANTIC MODELS

- **State-based**

- sequences of state changes

$$(s_0, s'_0)(s_1, s'_1) \dots (s_n, s'_n) \dots$$

- “transition traces”

- **Communication-based**

- communication traces + book-keeping

$$(\lambda_1 \lambda_2 \dots \lambda_n \dots, X)$$

- “failures”

PROGRAM BEHAVIOR

- **Partial correctness**

$$\{pre\} P \{post\}$$

- **Total correctness**

$$[pre] P [post]$$

- **Safety properties**

$$pre \Rightarrow \Box \neg bad$$

- **Liveness properties**

$$pre \Rightarrow \Diamond good$$

Need to assume fair execution

FAIRNESS

For shared-memory
or asynchronous i/o

$$P \parallel Q \xrightarrow{\lambda} \quad \text{if} \quad P \xrightarrow{\lambda} \quad \text{or} \quad Q \xrightarrow{\lambda}$$

- Reasonable to assume that

no process is ignored

- Weak (process) fairness
- Ensures that

stop := true || **while** $\neg stop$ **do** *go*

always terminates

- Satisfied by round-robin scheduler
- Can be modelled using [transition traces](#)

FAIRNESS

For synchronous i/o

$$P \parallel Q \xrightarrow{\lambda} \quad \text{if } P \xrightarrow{\lambda} \text{ or } Q \xrightarrow{\lambda}$$
$$P \parallel Q \xrightarrow{\delta} \quad \text{if } P \xrightarrow{h!v} \ \& \ Q \xrightarrow{h?v}$$

- Reasonable to assume that
 - **no process is ignored**
 - **no synchronization is ignored**
- Weak (synchronizing) fairness
 - local h in $(h!0; P) \parallel (h?x; Q)$**
 $=$ local h in $x:=0; (P \parallel Q)$
- Satisfied by variant of round-robin
- Not modelled by **failures**...

PROBLEMS

- Different models for different paradigms
 - no cross-platform analysis
 - hides underlying similarities
 - replication of effort
- Lack of uniformity
 - some models fair, some not
 - some models use state, some don't
- Lack of robustness
 - Failures aren't fair
 - Communication traces ignore state

Need a unifying framework

THIS TALK

Action traces

- **A fair semantics for CSP**
 - synchronous communication
 - avoids complex book-keeping
 - *state* handled implicitly
 - generalization of failures
 - fully abstract
- **Adaptability**
 - asynchronous communication
 - shared memory
- **A unifying framework**
 - state-based
 - communication-based

CSP

- **Processes**

$$P ::= \mathbf{skip} \mid x := e \mid P_1; P_2 \mid \\ h?x \mid h!e \mid \\ P_1 \parallel P_2 \mid \\ \mathbf{if} \ G \ \mathbf{fi} \mid \mathbf{do} \ G \ \mathbf{od} \mid \\ \mathbf{local} \ x, h \ \mathbf{in} \ P$$

- **Guarded commands**

$$G ::= (g \rightarrow P) \mid G_1 \square G_2$$

- **Guards**

$$g ::= b \mid b \wedge h?x \mid b \wedge h!e$$

ACTIONS

$\lambda ::=$	$x=v$	read
	$x:=v$	write
	$h?v$	input
	$h!v$	output
	δ_X	wait

where $X \subseteq \{h?, h! \mid h \in \mathbf{Chan}\}$

TRACES

Finite or infinite sequences of actions

$$\alpha \in \Lambda^\infty = \Lambda^+ \cup \Lambda^\omega$$

$$\delta\lambda = \lambda\delta = \lambda$$

STATES

Characterized implicitly by [enabling relation](#)

$$s \xrightarrow{\lambda} s'$$

NOTATION

- Λ is the set of actions
- **Dir** is the set of directions

$$\mathbf{Dir} = \{h?, h! \mid h \in \mathbf{Chan}\}$$

- Δ is the set of waiting actions

$$\Delta = \{\delta_X \mid X \subseteq_{\text{fin}} \mathbf{Dir}\}$$

- δ abbreviates $\delta_{\{\}}$
- δ_λ abbreviates $\delta_{\{\lambda\}}$
- $match(\lambda_1, \lambda_2)$ iff $\{\lambda_1, \lambda_2\} = \{h?v, h!v\}$

ENABLING

$$s \xrightarrow{x=v} s \quad \text{iff} \quad s(x) = v$$

$$s \xrightarrow{x:=v} s' \quad \text{iff} \quad s' = [s \mid x : v]$$

$$s \xrightarrow{h!v} s' \quad \text{iff} \quad s(h) = \epsilon \ \& \ s' = [s \mid h : v]$$

$$s \xrightarrow{h?v} s' \quad \text{iff} \quad s(h) = v \ \& \ s' = [s \mid h : \epsilon]$$

$$s \xrightarrow{\delta_X} s \quad \text{iff} \quad \begin{aligned} &\forall h? \in X. s(h) = \epsilon \ \& \\ &\forall h! \in X. s(h) \neq \epsilon \end{aligned}$$

OPERATIONAL SEMANTICS

State is implicit

- **Transitions**

$$P \xrightarrow{\lambda} P'$$

$$G \xrightarrow{\lambda} G'$$

- **Termination**

P term

- **Fair execution**

$$P \xrightarrow{\alpha}$$

TRANSITION RULES FOR GUARDED COMMANDS

$$\frac{}{(h?x \rightarrow P) \xrightarrow{h?v} x:=v; P}$$

$$\frac{}{(h?x \rightarrow P) \xrightarrow{\delta h?} (h?x \rightarrow P)}$$

$$\frac{G_1 \xrightarrow{\lambda} P_1}{G_1 \square G_2 \xrightarrow{\lambda} P_1} \quad \lambda \notin \Delta$$

$$\frac{G_2 \xrightarrow{\lambda} P_2}{G_1 \square G_2 \xrightarrow{\lambda} P_2} \quad \lambda \notin \Delta$$

$$\frac{G_1 \xrightarrow{\delta X} G_1 \quad G_2 \xrightarrow{\delta Y} G_2}{G_1 \square G_2 \xrightarrow{\delta X \cup Y} G_1 \square G_2}$$

TRANSITION RULES FOR PROCESSES

$$\frac{P_1 \xrightarrow{\lambda} P'_1}{P_1 \parallel P_2 \xrightarrow{\lambda} P'_1 \parallel P_2} \qquad \frac{P_2 \xrightarrow{\lambda} P'_2}{P_1 \parallel P_2 \xrightarrow{\lambda} P_1 \parallel P'_2}$$

$$\frac{P_1 \xrightarrow{\lambda_1} P'_1 \quad P_2 \xrightarrow{\lambda_2} P'_2}{P_1 \parallel P_2 \xrightarrow{\delta} P'_1 \parallel P'_2}$$

if $match(\lambda_1, \lambda_2)$

TERMINATION

$$\frac{}{\text{skip term}} \qquad \frac{P_1 \text{ term} \quad P_2 \text{ term}}{P_1 \parallel P_2 \text{ term}}$$

FAIR EXECUTIONS

Parallel composition

$$P \parallel Q \xrightarrow{\gamma} \text{ iff } \begin{aligned} & P \xrightarrow{\alpha} \ \& \ Q \xrightarrow{\beta} \ \& \\ & \gamma \in \text{merges}(\alpha, \beta) \ \& \\ & \neg \text{match}(\text{blocks}(\alpha), \text{blocks}(\beta)) \end{aligned}$$

- $\text{merges}(\alpha, \beta)$ allows synchronization
- $\text{blocks}(\alpha)$ is set of directions occurring infinitely often in Δ steps of α

Local channels

$$\text{local } h \text{ in } P \xrightarrow{\alpha} \text{ iff } P \xrightarrow{\alpha} \ \& \ h \notin \text{chans}(\alpha)$$

- forces synchronization on h

DENOTATIONAL SEMANTICS

- Define trace sets

$$\mathcal{T}(P) \subseteq \Lambda^\infty$$

with

$$\begin{aligned}\mathcal{T}(e) &\subseteq \Lambda^* \times V_{int} \\ \mathcal{T}(g) &\subseteq \Lambda^* \times V_{bool} \\ \mathcal{T}(G) &\subseteq \Lambda^\infty\end{aligned}$$

by structural induction

- Designed to match operational semantics
- $\mathcal{T}(P)$ only includes fair traces

SEMANTIC DEFINITIONS

$$\mathcal{T}(\mathbf{skip}) = \{\delta\}$$

$$\mathcal{T}(h?x) = \delta_{h?}^* \{h?v \ x:=v \mid v \in V\} \cup \{\delta_{h?}^\omega\}$$

$$\mathcal{T}(h!e) = \{\alpha \delta_{h!}^* h!v, \alpha \delta_{h!}^\omega \mid (\alpha, v) \in \mathcal{T}(e)\}$$

$$\begin{aligned} \mathcal{T}(P_1 \parallel P_2) = \{ & \alpha \in \mathit{merges}(\alpha_1, \alpha_2) \mid \\ & \alpha_1 \in \mathcal{T}(P_1) \ \& \ \alpha_2 \in \mathcal{T}(P_2) \ \& \\ & \neg \mathit{match}(\mathit{blocks}(\alpha_1), \mathit{blocks}(\alpha_2))\} \end{aligned}$$

$$\begin{aligned} \mathcal{T}(\mathbf{local} \ h \ \mathbf{in} \ P) = \\ \{ \alpha \setminus h \mid \alpha \in \mathcal{T}(P) \ \& \ h \notin \mathit{chans}(\alpha) \} \end{aligned}$$

$$\begin{aligned} \mathcal{T}(G_1 \square G_2) = \\ \{ \alpha \in \mathcal{T}(G_1) \cup \mathcal{T}(G_2) \mid \alpha \notin \Delta^\omega \} \cup \\ \{ \delta_{X \cup Y}^\omega \mid \delta_X^\omega \in \mathcal{T}(G_1) \ \& \ \delta_Y^\omega \in \mathcal{T}(G_2) \} \end{aligned}$$

RESULTS

- **Denotational matches operational**

$$\mathcal{T}(P) = \{\alpha \mid P \xrightarrow{\alpha}\}$$

- **Traces are sensitive to deadlock**

if $(a?x \rightarrow P) \square (b?y \rightarrow Q)$ **fi**

has $\delta_{\{a?,b?\}}^\omega$

if $(\mathbf{true} \rightarrow a?x; P) \square (\mathbf{true} \rightarrow b?y; Q)$ **fi**

has $\delta_{a?}^\omega$ and $\delta_{b?}^\omega$

- **Full abstraction**

$$\mathcal{T}(P) = \mathcal{T}(Q) \Leftrightarrow \forall C. \mathcal{B}(C[P]) = \mathcal{B}(C[Q])$$

where \mathcal{B} observes sequence of states

SEMANTIC LAWS

synchronous

Fairness properties

$$\begin{aligned} \text{local } h \text{ in } (h?x; P) \parallel (h!v; Q) \parallel R \\ = \text{local } h \text{ in } (x:=v; (P \parallel Q)) \parallel R \\ \text{if } h \notin \text{chans}(R) \end{aligned}$$

$$\begin{aligned} \text{local } h \text{ in } (h?x; P) \parallel (Q_1; Q_2) \\ = Q_1; \text{local } h \text{ in } (h?x; P) \parallel Q_2 \\ \text{if } h \notin \text{chans}(Q_1) \end{aligned}$$

$$\begin{aligned} \text{local } h \text{ in } (h!v; P) \parallel (Q_1; Q_2) \\ = Q_1; \text{local } h \text{ in } (h!v; P) \parallel Q_2 \\ \text{if } h \notin \text{chans}(Q_1) \end{aligned}$$

Not valid in unfair semantics

RELATED WORK

- **Traditional CSP models**
 - used finite traces and prefix-closure
 - cannot model fairness
 - treat divergence as catastrophic
- **Traces subsume (stable) failures**
 - $(\alpha, R) \in \mathcal{F}(P) \Leftrightarrow \alpha(\delta_X)^\omega \in \mathcal{T}(P)$
 - for some X such that $\neg \text{match}(X, R)$
- **Older's models**
 - traces + book-keeping
 - different fairness notions
 - introduced *fairness mod X*
 - α is fair *mod X* if $\text{blocks}(\alpha) \subseteq X$

ADAPTABILITY

Can handle other parallel paradigms by making **minor** changes

- Choose appropriate set of actions Λ
- Adjust relevant semantic definitions
 - parallel composition
 - input/output
 - local channels

In each case:

- Processes denote trace sets
- Full abstraction for safety and liveness

ASYNCHRONOUS COMMUNICATION

$$\lambda ::= x=v \mid x:=v \mid h?v \mid h!v \mid \delta_X$$

where $X \subseteq \{h? \mid h \in \mathbf{Chan}\}$

$$\mathcal{T}(h!e) = \{\alpha h!v \mid (\alpha, v) \in \mathcal{T}(e)\}$$

$$\mathcal{T}(P_1 \parallel P_2) = \{\alpha \in \mathit{merges}(\alpha_1, \alpha_2) \mid$$
$$\alpha_1 \in \mathcal{T}(P_1) \ \& \ \alpha_2 \in \mathcal{T}(P_2)\}$$

$$\mathcal{T}(\mathbf{local} \ h \ \mathbf{in} \ P) =$$
$$\{\alpha \setminus h \mid \alpha \in \mathcal{T}(P) \ \& \ \alpha \upharpoonright h \text{ is FIFO}\}$$

- $\mathit{merges}(\alpha, \beta)$ without synchronization
- $\alpha \upharpoonright h$ is FIFO if every input is *justified* by earlier output

SEMANTIC LAWS

asynchronous

Fairness properties

$$\begin{aligned} \text{local } h \text{ in } (h?x; P) \parallel (h!v; Q) \parallel R \\ = \text{local } h \text{ in } (x:=v; P) \parallel Q \parallel R \\ \text{if } h \notin \text{chans}(R) \end{aligned}$$

$$\begin{aligned} \text{local } h \text{ in } (h?x; P) \parallel (Q_1; Q_2) \\ = Q_1; \text{local } h \text{ in } (h?x; P) \parallel Q_2 \\ \text{if } h \notin \text{chans}(Q_1) \end{aligned}$$

Not valid in unfair semantics

SHARED MEMORY

$\lambda ::= x=v \mid x:=v \mid \langle \alpha \rangle \quad (\alpha \text{ finite, sequential})$

$\mathcal{T}(P_1 \parallel P_2) = \{ \alpha \in \text{merges}(\alpha_1, \alpha_2) \mid$
 $\alpha_1 \in \mathcal{T}(P_1) \ \& \ \alpha_2 \in \mathcal{T}(P_2) \}$

$\mathcal{T}(\text{local } x \text{ in } P) =$
 $\{ \alpha \setminus x \mid \alpha \in \mathcal{T}(P) \ \& \ \alpha \upharpoonright x \text{ sequential} \}$

$\mathcal{T}(\text{await } b \text{ then } a) = \text{wait}^* \text{go} \cup \text{wait}^\omega$
 $\text{wait} = \{ \langle \alpha \rangle \mid (\alpha, \mathbf{false}) \in \mathcal{A}(b) \}$
 $\text{go} = \{ \langle \alpha\beta \rangle \mid (\alpha, \mathbf{true}) \in \mathcal{A}(b) \ \& \ \beta \in \mathcal{A}(a) \}$

- $\alpha \upharpoonright x$ sequential iff every (non-initial) read is *justified* by previous writes

COMMON THEME

- Programs denote sets of traces
 - built from action set Λ
- Fully abstract for safety and liveness
- Can extract traditional semantics
- Trace sets form complete lattice
- Program constructs denote monotone functions on trace sets

$$T_1 \subseteq T_2 \Rightarrow F(T_1) \subseteq F(T_2)$$

- Recursive constructs denote fixed points
 - least fixed point = finite traces
 - greatest fixed point = countable traces

UNIFICATION

Action traces can be used to model

- shared-memory
- asynchronous communication
- synchronous communication

Can extract traditional semantics

- transition traces
- failures

FUTURE RESEARCH

- **Other fairness notions**
 - strong, weak / process, channel
- **Partial order semantics**
 - “truly fair” concurrency
- **Low-level traces**
 - pointers, stores, heaps
- **Procedures**
 - possible worlds, parametricity
- **Intensional traces**
 - abstract runtime
- **Probabilistic traces**
 - “fairly true” correctness

REFERENCES

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- *Communicating Sequential Processes*, C. A. R. Hoare, CACM (1978)
- *A Framework for Fair Communicating Processes*, S. Older, MFPS 13 (1997)
- *On the semantics of fair parallelism*, D. Park, Springer LNCS 86 (1979)
- *The Theory and Practice of Concurrency*, A. W. Roscoe, Prentice-Hall (1998)

PARTIAL ORDER SEMANTICS

- Process denotes set $\mathcal{P}(P)$ of pomsets
- Pomset $(T, <)$
 - multiset T of actions
 - partial order $<$ on T
- A pomset determines a trace set $\mathcal{L}(T, <)$
 - traces built from T consistent with $<$
- Recovering traces:

$$\mathcal{T}(P) = \bigcup \{ \mathcal{L}(T, <) \mid (T, <) \in \mathcal{P}(P) \}$$

- Transfer Principle:

$$\mathcal{P}(P_1) = \mathcal{P}(P_2) \Rightarrow \mathcal{T}(P_1) = \mathcal{T}(P_2)$$

BUFFER PROCESSES

- $buff_1(in, out) =_{\text{def}}$
 while true do ($in?x; out!x$)
- $buff_*(in, out) =_{\text{def}}$
 local mid in
 $buff_1(l, mid) \parallel buff_1(mid, r)$
- $buff_2(in, out) =_{\text{def}}$
 local mid, ack in
 while true do ($in?x; mid!x; ack?_$)
 || while true do ($mid?y; ack!_; out!y$)

BUFFER BEHAVIOR

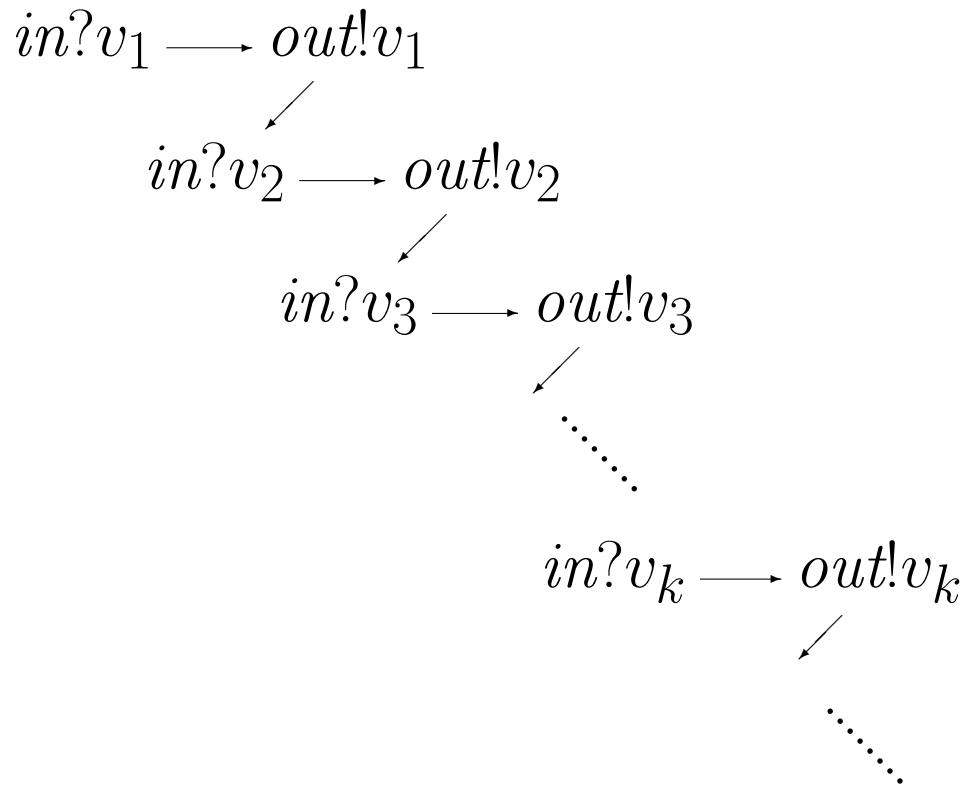
- Safety: FIFO order
- Liveness: Every input is output

CAPACITY

- $buff_1$
 - synchronous: 1-place
 - asynchronous: 1-place
- $buff_*$
 - synchronous: 2-place
 - asynchronous: unbounded
- $buff_2$
 - synchronous: 2-place
 - asynchronous: 2-place

Asynchronous traces of $buff_1$

Typical unblocked case:

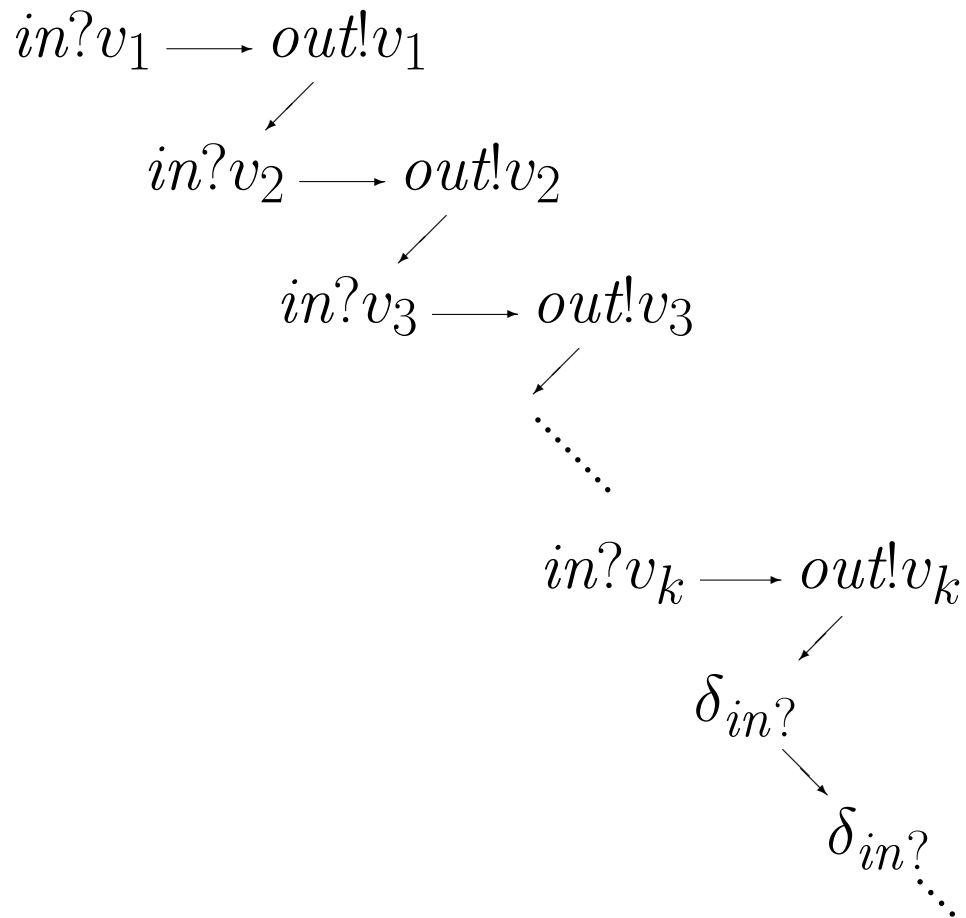


where each $v_i \in V$

$$(in?v \ out!v \mid v \in V)^\omega$$

Asynchronous traces of $buff_1$

Typical blocked case:

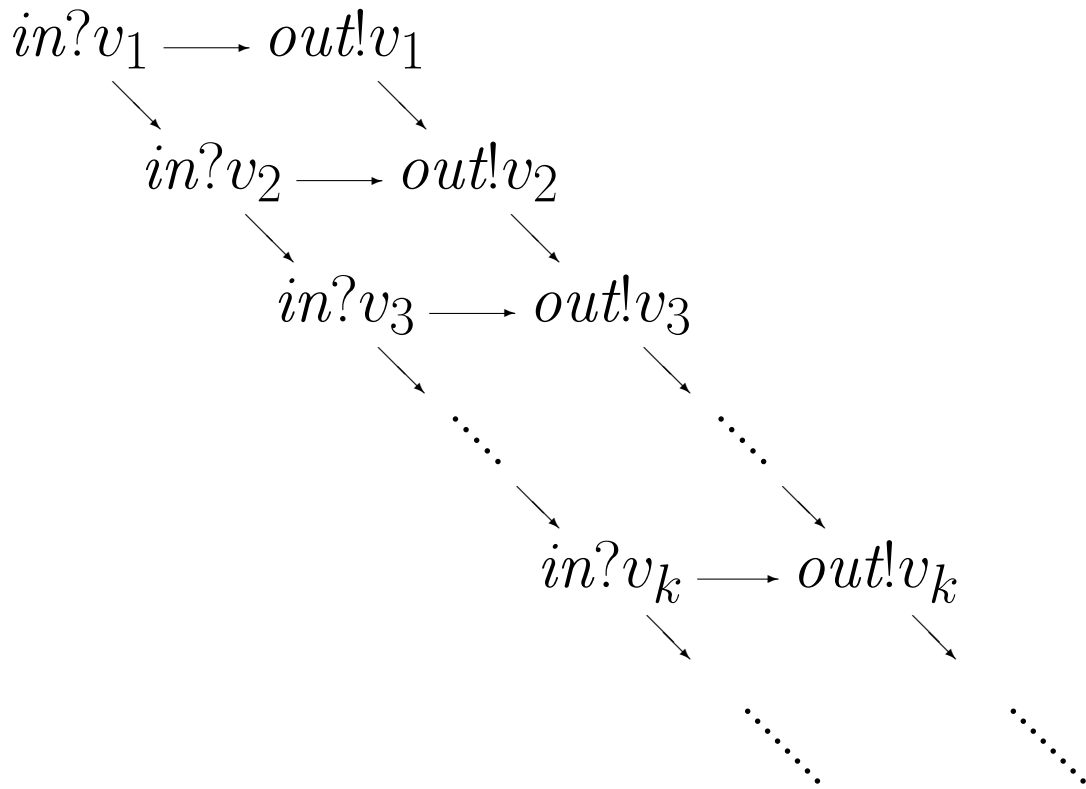


where $k \geq 0$ and each $v_i \in V$

$$(in?v \ out?v \mid v \in V)^* \delta_{in?}^\omega$$

Asynchronous traces of $buff_*$

Typical unblocked case:

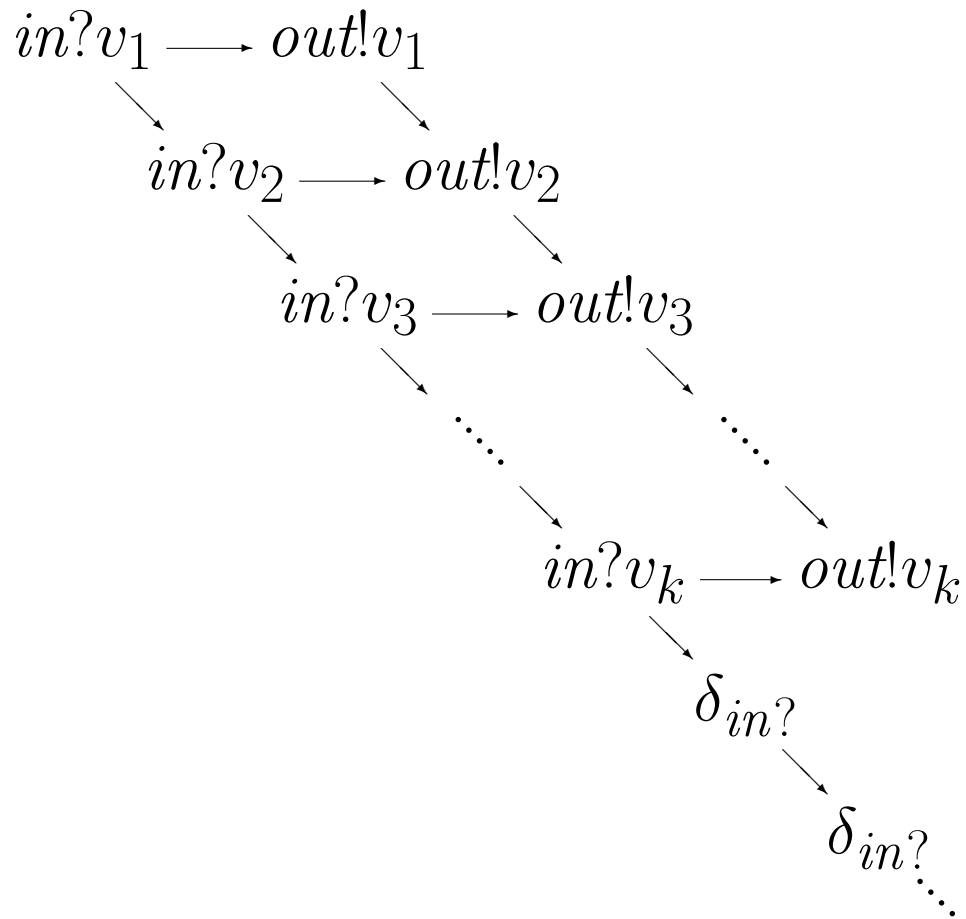


where each $v_i \in V$

any trace consistent with this

Asynchronous traces of $buff_*$

Typical blocked case:

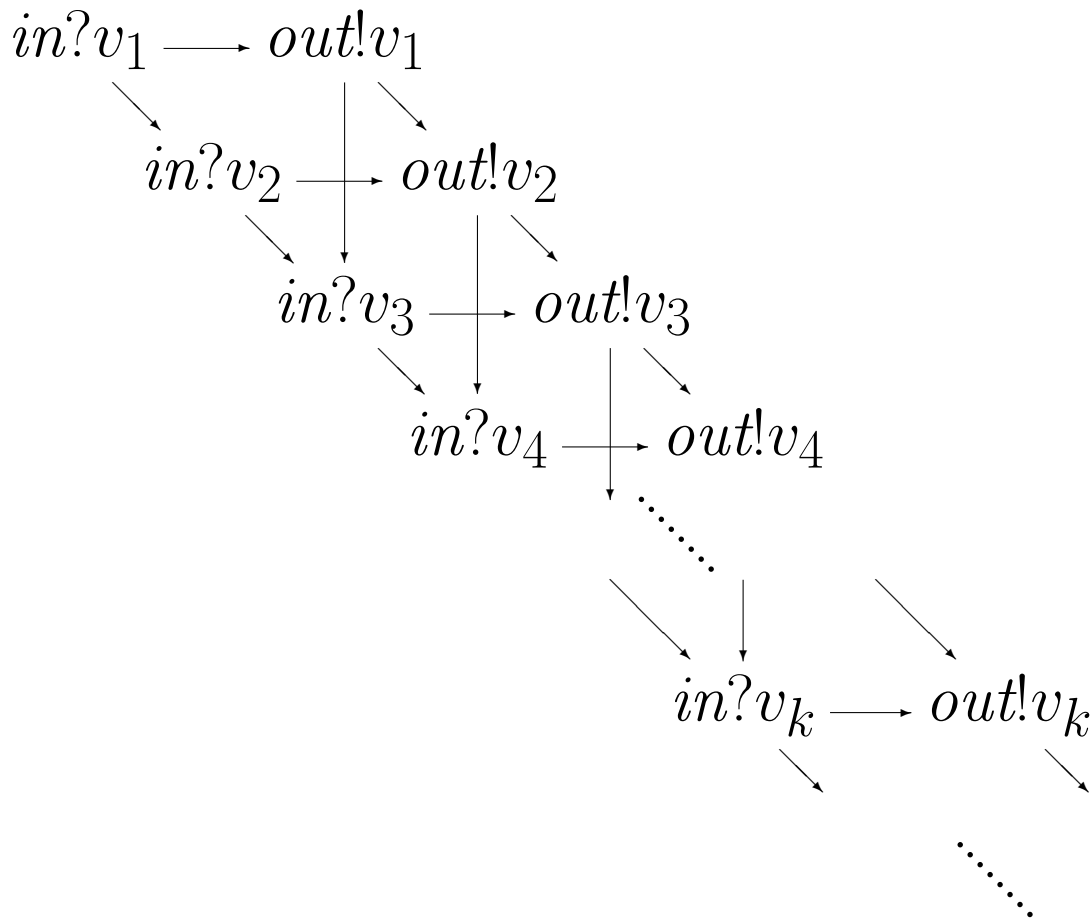


where $k \geq 0$ and each $v_i \in V$

any interleaving consistent with this

Asynchronous traces of $buff_2$

Typical unblocked case:

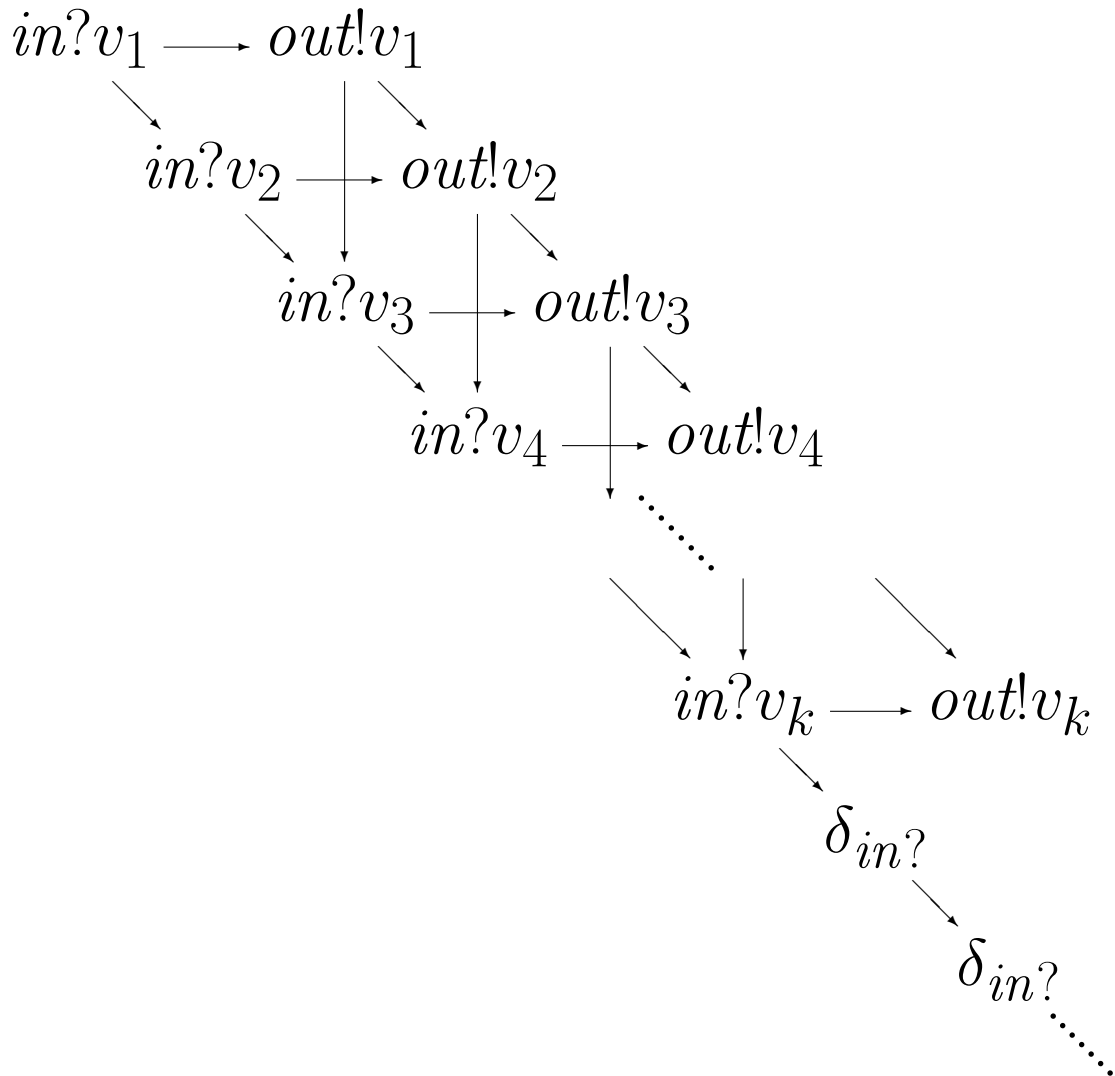


where each $v_i \in V$

any trace consistent with this

Asynchronous traces of $buff_2$

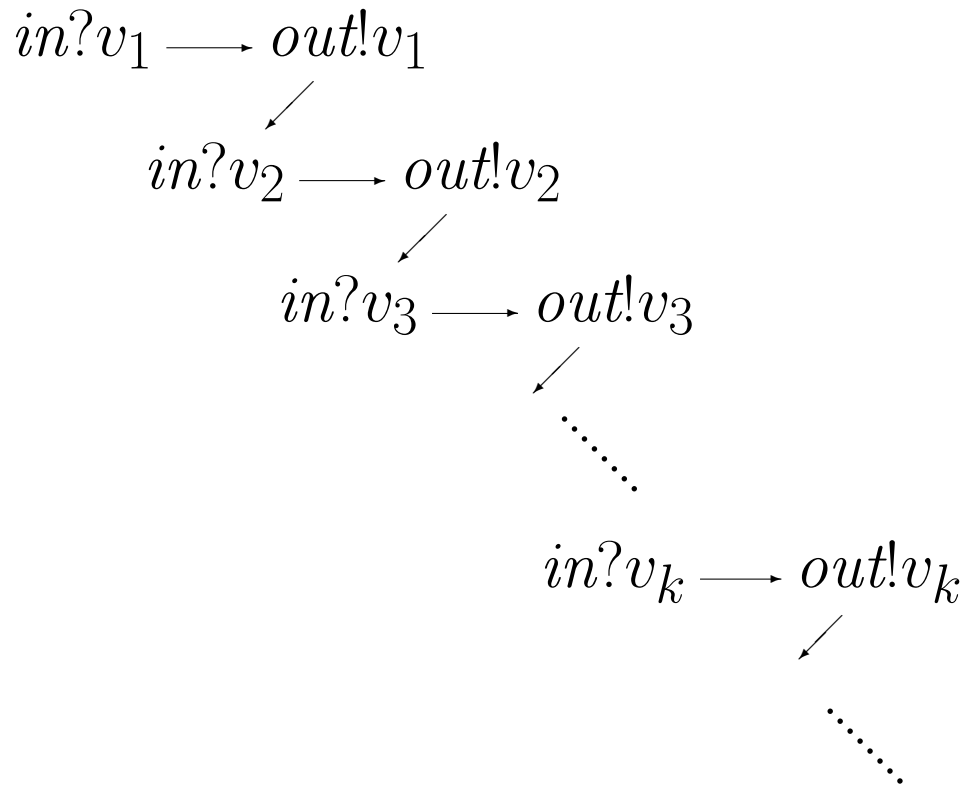
Typical blocked case:



where $k \geq 0$ and each $v_i \in V$

Synchronous traces of $buff_1$

Typical unblocked case:

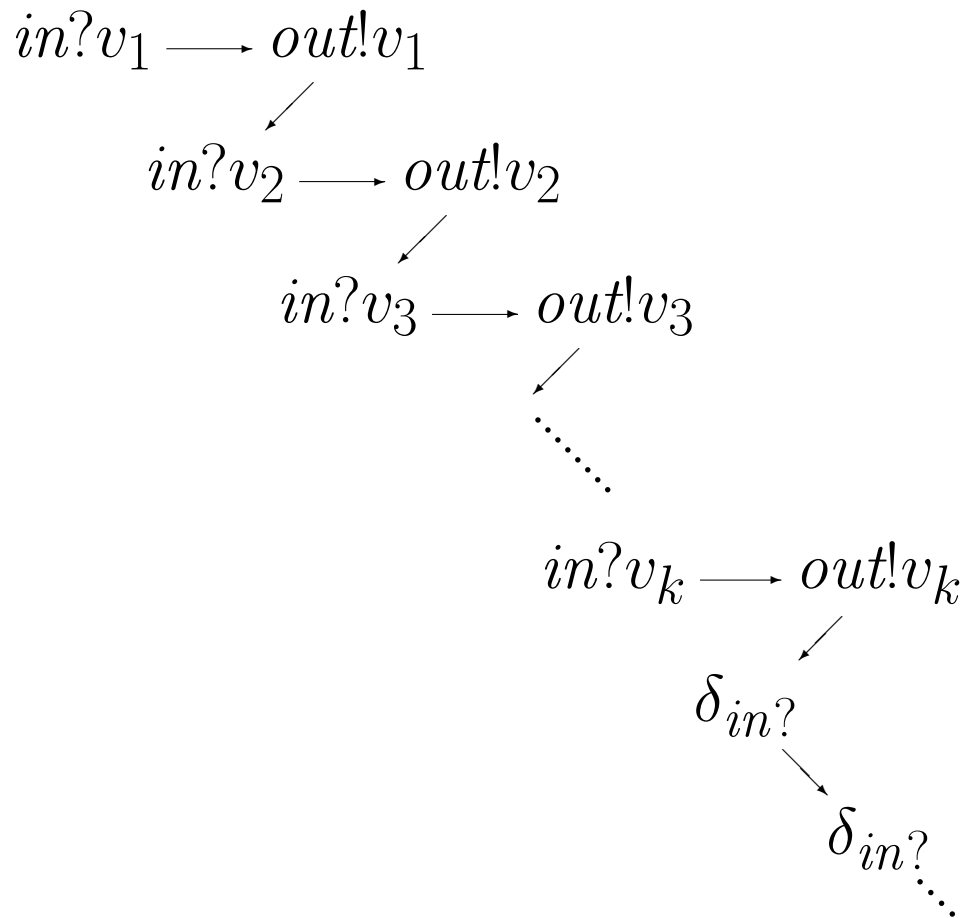


where each $v_i \in V$

$$(in?v \ out!v \mid v \in V)^\omega$$

Synchronous traces of $buff_1$

Blocked on input:

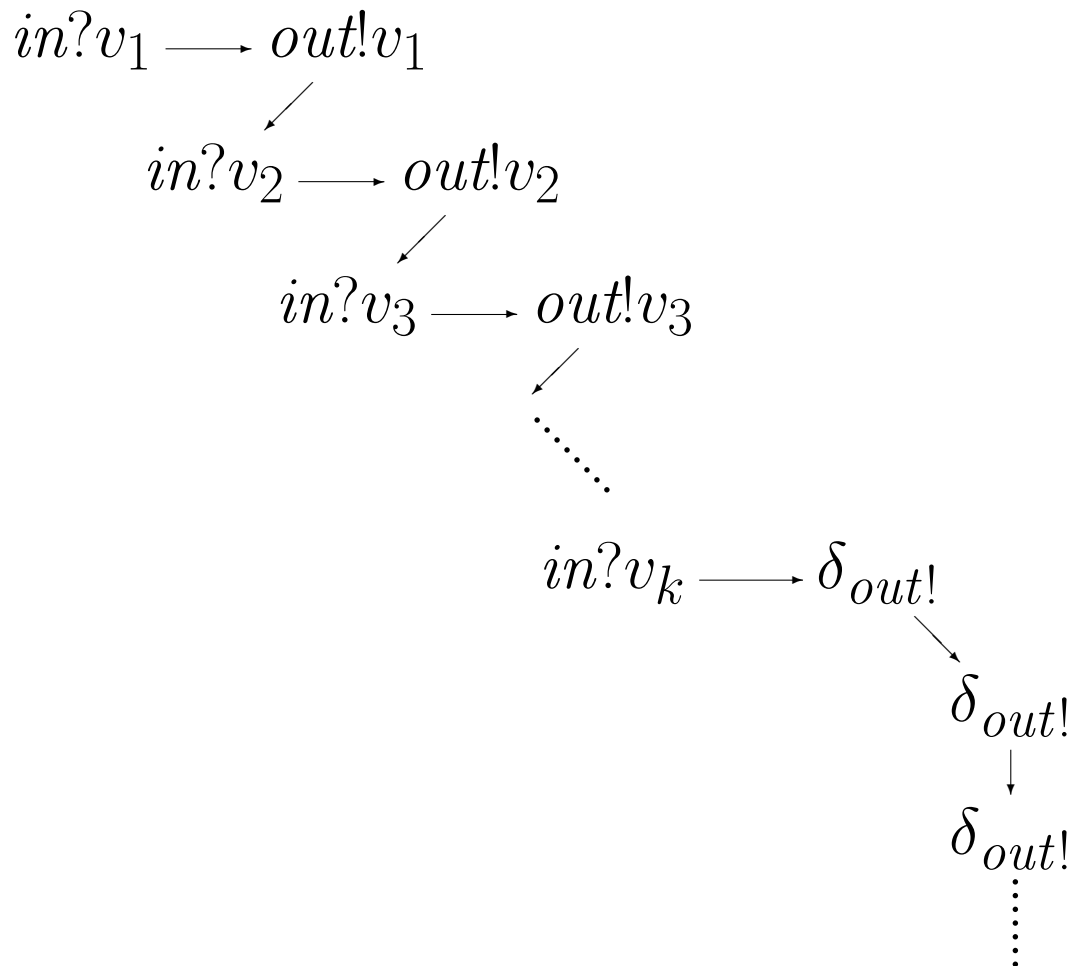


where $k \geq 0$ and each $v_i \in V$

$$(in?v \ out?v \mid v \in V)^* \delta_{in?}^\omega$$

Synchronous traces of $buff_1$

Blocked on output:

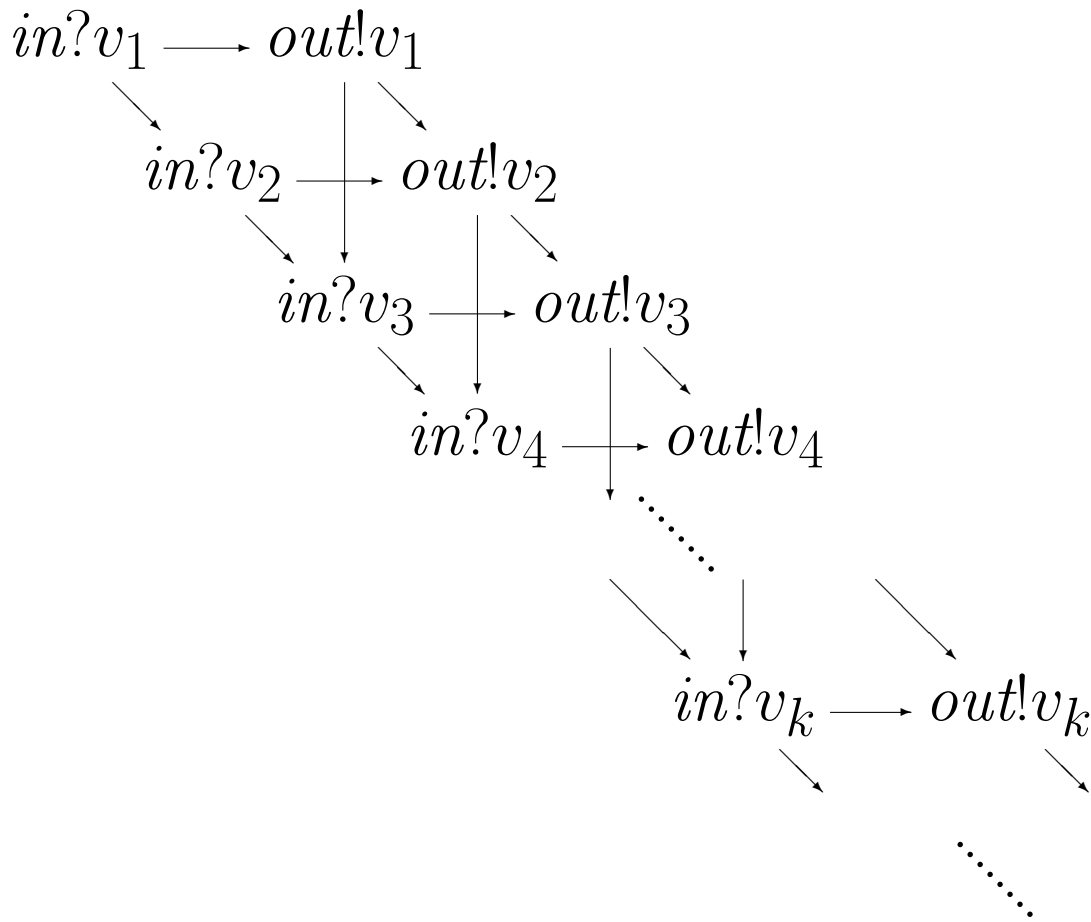


where $k \geq 0$ and each $v_i \in V$

$$(in?v \ out?v \mid v \in V)^* (in?v \mid v \in V) \delta_{out!}^\omega$$

Synchronous traces of $buff_*$

Typical unblocked case:



where each $v_i \in V$

behaves like a 2-place buffer