

You may discuss all problems on this HW with others, but groups of size at most 3, please. Submission details (and corrections) will appear on Piazza, please check it regularly.

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## Exercises

Exercises are for fun and edification, please do not submit. **But do solve them, we may need ideas from there later in the course, or even in this HW!**

1. Suppose you are given two sorted lists  $A$  and  $B$  of  $n$  numbers each. Given a number  $c$ , you want to find  $a \in A, b \in B$ , such that  $a + b = c$  (or report none exist). Give an algorithm for this problem that takes time  $O(n \log n)$ . Improve this to an algorithm that takes time  $O(n)$ . Show that you cannot do better than  $\Theta(n)$  time.
  2. Suppose you are given as input an assignment  $a$  which is promised to be within Hamming distance  $d$  from a satisfying assignment to a  $k$ -SAT formula  $\phi$  with  $n$  variables. Extend the argument from lecture to give a deterministic algorithm that finds a satisfying assignment to  $\phi$  in  $k^d \text{poly}(n)$  time.
  3. In the *Longest Non-Decreasing Subsequence* problem, we are given a sequence  $A = a_1 a_2 \dots a_n$  of integers, and the goal is to delete the fewest integers  $a_i$  such that the remaining subsequence is non-decreasing. E.g., you can convert 005311231525 into 00 - -1123 - 5 - 5 by deleting four elements. **Solve this problem in polynomial time.**
  4. You perform an unbiased random walk on the integers, starting at zero and stopping when you get to  $+n$  or  $-n$  (the boundaries). If  $T(i)$  is the time to reach the boundaries starting at  $i$ , write down a recurrence for expected time to reach the boundaries, and show that  $T(0) = n^2$ .  
Another approach that gives a loose lower bound: if  $X_i \in \{-1, +1\}$  u.a.r. (uniformly at random) and  $X_i, X_j$  are independent for  $i \neq j$ , and  $S_T = \sum_{i=1}^T X_i$ , then show that  $\mathbf{E}[S_T] = 0$  and  $\mathbf{Var}(S_T) = T$ . Use Chebyshev's inequality to show that  $\mathbf{Pr}[|S_T| \geq c\sqrt{T}] \leq \frac{1}{c^2}$ . Hence infer that the expected time to reach the boundaries is at least  $n^2/4$ .
  5. Let  $\phi$  be a 3SAT instance with  $n$  variables, and suppose you are given a maximal collection of  $t$  clauses that are disjoint (they do not share any variables, and you cannot add any other clause that is also disjoint with these  $t$  clauses). Find a satisfying assignment of  $\phi$  in  $O^*(7^t)$  time.
  6. It is known that FVS has a 2-approximation algorithm in polynomial time. Suppose we are given a FVS of size  $2k$ . Show that Disjoint FVS can be solved in time  $25^k(m + n)$ . (This algorithm has the benefit of being linear in  $m$  and  $n$ , provided that the 2-approximation algorithm is also linear.)
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## Problems

The Cygan et al book provides hints to its problems. Please try to solve these problems yourself before looking at these hints! Recall the notation  $O^*(c^n)$  to denote  $O(c^n \text{poly}(n))$ ; this helps avoid repeating polynomial factors in many places below. **Do #5 and three out of the other four?**

1. (5.8)
2. In the induced subgraph isomorphism, given  $G$  and  $H$ , we wanted to find a subset  $S \subseteq V$  of vertices that induce a copy of  $H$ , i.e., such that  $G[S]$  is isomorphic to  $H$ . We consider the case where  $G$  has bounded degree  $d$ . We saw in lecture (and in a piazza post) that when  $H$  is connected, we can delete each edge of  $G$  with probability  $1/2$ , and then find a copy of  $H$  among the resulting components (if one exists). This succeeds with probability  $2^{-dk}$ , where  $k = |V(H)|$ .

Suppose  $H$  is not connected, this does not work. (Why?) Give a color-coding algorithm to solve induced subgraph isomorphism in time  $O^*(2^{(d+1)k}k!)$  time.

3. (10.8)
4. In the EXACT-ONE-SAT problem, we are given as input a CNF formula  $\phi$ , and the goal is to determine if there is a Boolean assignment to the variables which sets *exactly one literal* true in each clause. Give an algorithm that runs in time  $O^*(2^{n/2})$  time to solve the EXACT-ONE-SAT problem, where  $n$  is the number of variables. (Hint: solve exercise #1.)
5. In this problem, we will consider the following simple randomized algorithm for  $k$ -SAT.

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**Algorithm:** On input a  $k$ -CNF formula  $\phi$  on  $n$  variables:

- (a) Pick a random ordering  $\sigma_1, \sigma_2, \dots, \sigma_n$  of the  $n$  variables of the formula  $\phi$
  - (b) For  $i = 1$  to  $n$ :
    - i. If  $\sigma_i$  (or its negation) is present in a unit clause (i.e., that has a single literal), then set  $\sigma_i$  to satisfy that clause.
    - ii. Else set  $\sigma_i$  to true or false uniformly at random.
    - iii. Simplify the formula based on the assignment to  $\sigma_i$
  - (c) If all clauses are satisfied by the assignment, then output the assignment, else output fail.
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Our goal is to prove that the above algorithm finds a satisfying assignment (if one exists) with probability at least  $\approx 2^{-n+n/k}$ . Then, of course, by repeating the trial  $O^*(2^{n-n/k})$  times, we get an algorithm that succeeds with high probability.

- (a) Suppose that  $x$  is a satisfying assignment to  $\phi$ , and consider the  $n$  assignments  $x^{(j)}$  formed by flipping (only) the  $j$ 'th variable in  $x$  (in some canonical order). Let  $b(x)$  be the number of these assignments that also satisfy  $\phi$  (so  $b(x)$  is an integer in the range  $[0, n]$ ). Then prove that the above algorithm outputs  $x$  with probability at least  $2^{-n+(n-b(x))/k} n^{-O(1)}$ .
- (b) The following fact is true for all satisfiable  $\phi$ :

$$\sum_{x: x \text{ satisfies } \phi} 2^{-b(x)} \geq 1 .$$

(You don't have to prove this fact, but it is a nice exercise; induction is one approach.) Using the above and Part (5a), prove that the algorithm outputs a satisfying assignment of  $\phi$  with probability at least  $2^{-n+n/k} \cdot n^{-O(1)}$ .