

---

---

---

---

---



## Spectral Algorithm for Max-Cut

Recall:

Given  $G = (V, E)$ ,  $\text{max-cut}(G) = \max_{S \subseteq V} \frac{|E(S, \bar{S})|}{m}$ .

Notation:  $E(S) = \text{edges with both end points in } S$ .

Goemans-Williamson: There's an algorithm that takes input a graph  $G$  and outputs a cut  $S \subseteq V$  such that  $\text{cut}(S) = \frac{|E(S, \bar{S})|}{m}$  satisfies:

1) If  $\text{max-cut}(G) \geq 1 - \varepsilon$   
then  $\text{cut}(S) \geq 1 - O(\sqrt{\varepsilon})$ .

2)  $\text{cut}(S) \geq d_{GW} \cdot \text{max-cut}(G)$

for  $d_{GW} \approx 0.878\dots$

This algorithm is cool and uses semidefinite programming. We also discussed why linear

programs are not useful for approximating max-cut better than  $\frac{1}{2}$ .

Last week, new technique: Spectral Methods

Today: Spectral methods qualitatively match the guarantees of Goemans-Williamson and provide a  $\cancel{0.61}$  factor approx.  
 $\sim 0.52 > 0.5$

Last time: Sparsest cut via smallest eigenvalue of Laplacian.

Today: Max-Cut via largest eigenvalue of Laplacian

We will focus only on regular graphs to keep exposition simple.

$$\text{Then, } m = n \cdot d/2$$

Recall:  $L_G \in \mathbb{R}^{n \times n}$  (Laplacian)

$$\text{If } x \in \mathbb{R}^n, \quad x^T L_G \cdot x = \sum_{\{i,j\} \in E} (x_i - x_j)^2$$

If  $x \in \{-1, 1\}^n$   
s.t.  $x_i = 1 \Leftrightarrow i \in S$ , then,  $x^T L_G \cdot x = 4|E(S, \bar{S})|$   
(i.e.  $x$  indicates  $S$ )

Thus, max-cut( $G$ )

$$= \max_{x \in \{-1, 1\}^n} \frac{1}{2d} x^T L_G \cdot x.$$

$$= \max_{x \in \{-1, 1\}^n} \frac{1}{2d} \cdot \frac{x^T L_G \cdot x}{\|x\|_2^2}$$

Definition ( $\lambda_n$ ):

$$\lambda_n = \max_{\substack{x: \|x\|_2 \neq 0 \\ x \in \mathbb{R}^n}} \frac{x^T L_G \cdot x}{\|x\|_2^2}$$

the largest eigenvalue of  $L_G$ .

Notice that up to a scaling by  $\frac{1}{2d}$ ,

$\lambda_n$  differs from max-cut only via dropping the "Booleanity" ( $x \in \{\pm 1\}^n$ ) constraints. As  $\lambda_2$  & Sparsest cut, we'd like to relate  $\lambda_n$  & max-cut.

In particular, would like  $\lambda_n$  large  $\Rightarrow$  max-cut is large.

Subtlety: Unlike  $\lambda_2$ ,  $\lambda_n$  is less "robust" in that it may fail to notice all but a very small part of the graph.

Obs<sup>n</sup>:  $\lambda_n \leq 2d$  if d-regular  $G$ .

Proof:  $\lambda_n \leq \max_i \underbrace{\|L_i\|_1}_{i^{\text{th row of } L}} \leq 2d$

Prop: If  $G$  is d-reg and has a connected component that is bipartite then

$$\lambda_n = 2d.$$

Proof:  $S \subseteq V$ .  
 Let  $S = L \cup R$  

$\curvearrowleft$  right vertex set  
 $\curvearrowleft$  left vertex set

Choose  $x_i = \begin{cases} 1 & \text{if } i \in L \\ -1 & \text{if } i \in R \\ 0 & \text{if } i \notin S \end{cases}$  

Then,

$$\begin{aligned} x^T L_G \cdot x &= \sum_{\{i,j\} \in E} (x_i - x_j)^2 \\ &= \sum_{\{i,j\} \in E(S)} (x_i - x_j)^2 \\ &= 4 \cdot \frac{|S| \cdot d}{2} = 2d|S| \end{aligned}$$

Thus,  $\frac{x^T L_G \cdot x}{\|x\|_2^2} = \frac{2|S|d}{|S|} = 2d$ .

□

Since  $\lambda_n$  can be influenced by a small "almost-bipartite" components, cannot hope to directly relate max-cut( $G$ ) to  $\lambda_n$ .

But can still hope to prove a "stable" converse of above proposition.

"If  $\lambda_n \geq 2d(1-\varepsilon)$ , there should be a subset  $S$  of vertices such that the induced graph on  $S$  is almost bip, almost disc almost bipartite =  $\exists L, R : L \cup R = S$   
 s.t.  $\frac{|E(L, R)|}{|E(S)|} \geq 1 - O(\sqrt{\varepsilon})$ . "

Def (bipartiteness gap)

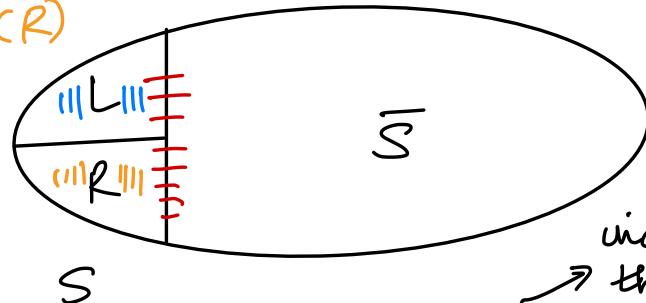
$$\beta(G) = \min_{y \in \{\pm 1, 0\}^n} \frac{\sum_{\{i, j\} \in E} |y_i + y_j|}{d \cdot \sum_i |y_i|}$$

$$S = \{i \mid y_i = \pm 1\}, \quad L = \{i \mid y_i = +1\}\\ R = \{i \mid y_i = -1\}$$

red =  $E(S, \bar{S})$

orange =  $E(R)$

blue =  $E(L)$



$$\beta(G) = \min_{S \subseteq V} \frac{(2|E(L)| + 2|E(R)| + |E(S, \bar{S})|)}{|S| \cdot d}$$

$\parallel \beta(S)$

If  $G$  has a bipartite component, then bipartiteness gap  $\beta(G) = 0$ .

Given prop, we may just hope to relate  $\beta(G)$  with  $\gamma_n$ .

Def:  $\beta_n = 2 - (\gamma_n/d)$ .

Theorem [Trevisan'09, ..., Soto'14]

$$\frac{1}{2}\beta_n \leq \rho(\epsilon) \leq \sqrt{2\beta_n}$$

Proof: Let  $L'_G$  be such that  
 $x^T L'_G x = \sum_{\{i,j\} \in E} (x_i + x_j)^2$

For any  $x$ ,

$$\begin{aligned} & \sum_{\{i,j\} \in E} [(x_i + x_j)^2 + (x_i - x_j)^2] \\ &= \sum_{\{i,j\} \in E} 2(x_i^2 + x_j^2) \\ &= 2d \cdot \|x\|_2^2 \end{aligned}$$

$$\text{So } x^T L_G x + x^T L'_G x = 2d \cdot \|x\|_2^2$$

Thus, since  $\lambda_n = \max_{\mathbf{x}: \|\mathbf{x}\|_2 \neq 0} \frac{\mathbf{x}^T L \mathbf{x}}{\|\mathbf{x}\|_2^2}$

$$\min_{\mathbf{x}: \|\mathbf{x}\|_2 \neq 0} \frac{\mathbf{x}^T L' \mathbf{x}}{\|\mathbf{x}\|_2^2} \geq 2d - \lambda_n.$$

Let  $S_* = LUR$  minimize  $f(S)$

$$\text{Let } y_i = \begin{cases} +1 & \text{if } i \in L \\ -1 & \text{if } i \in R \\ 0 & \text{o.w.} \end{cases}$$

$$2d - \lambda_n \leq \frac{\sum_{\{(i,j) \in E\}} (y_i + y_j)^2}{\|y\|_2^2} \leq \frac{\sum_{\{(i,j) \in E\}} 2|y_i + y_j|}{\sum |y_i|}$$

=

$$\leq 2\beta(S_*) d$$

$$= 2\beta(S) d.$$

Divide by  $d$  throughout to get  
the first inequality

Let's now look at the 2<sup>nd</sup> inequality

Let  $y \in \mathbb{R}^n$  be such that

$$y^T L'_G y = (2d - 2\lambda_n) \|y\|_2^2$$

by scaling, we can assume that

$$\max_i y_i^2 = 1.$$

Rounding: 1) Choose  $t \in [0,1]$

uniformly at random

2) Set  $x_i = \begin{cases} +1 & \text{if } y_i > \sqrt{t} \\ -1 & \text{if } y_i \leq -\sqrt{t} \\ 0 & \text{otherwise} \end{cases}$

Notice the contrast with Cheeger  
rounding.

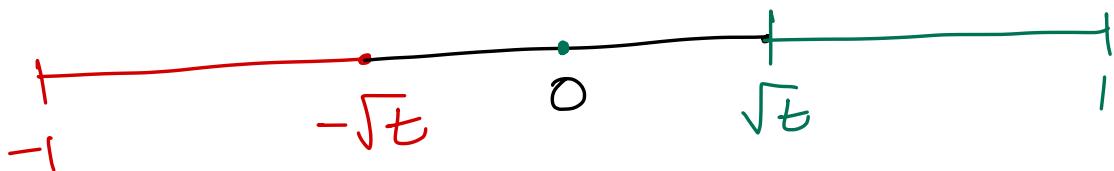
## Analysis of Rounding:

Claim: For every  $\{i, j\} \in E$ ,

$$\mathbb{E} |x_i + x_j| \leq |y_i + y_j| \quad (|y_i| + |y_j|)$$

Proof: Assume wlog  $y_i^2 \geq y_j^2$

Case 1:  $y_i, y_j$  have same sign.



If  $y_i \geq y_j \geq 0$ : possible roundings  $(1, 0)$  or  $(1, 1)$ .

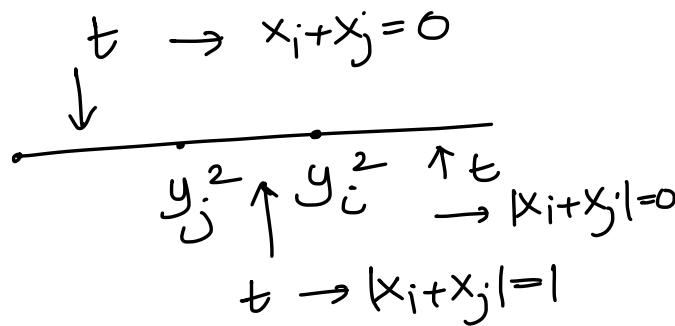
If  $y_i \leq y_j \leq 0$ : possible roundings:  $(-1, 0)$  or  $(-1, -1)$

Either way :

$$\mathbb{E} |x_i + x_j| = 1 \cdot \Pr[y_i^2 \geq t \geq y_j^2]$$

$$\begin{aligned}
 & + 2 \cdot \Pr [t \leq y_j^2] \\
 = & (y_i^2 - y_j^2) + 2 \cdot y_j^2 = y_i^2 + y_j^2 \\
 \leq & |y_i + y_j| (|y_i| + |y_j|).
 \end{aligned}$$

Case 2:  $y_i, y_j$  have opposite signs



$$\begin{aligned}
 \mathbb{E}(|x_i + x_j|) &= 1 \cdot \Pr [y_i^2 \geq t \geq y_j^2] \\
 &= y_i^2 - y_j^2 \\
 &= (y_i + y_j)(y_i - y_j) \\
 &\lesssim |y_i + y_j|(|y_i| + |y_j|).
 \end{aligned}$$

Summing up the above upper bound over all edges:

$$\begin{aligned}
 & \sum_{\{i,j\} \in E} \mathbb{E} |x_i + x_j| \\
 & \leq \sum_{\{i,j\} \in E} |y_i + y_j| (|y_i| + |y_j|) \\
 & \leq \sqrt{\sum_{\{i,j\} \in E} (y_i + y_j)^2} \cdot \sqrt{\sum_{\{i,j\} \in E} (|y_i| + |y_j|)^2} \\
 & \leq \sqrt{(2d - \lambda_n) \|y\|_2^2} \cdot \sqrt{\sum_{\{i,j\} \in E} 2(y_i^2 + y_j^2)} \\
 & = \sqrt{2d - \lambda_n} \sqrt{\|y\|_2^2} \cdot \sqrt{2d \|y\|_2^2} \\
 & = \sqrt{2} \sqrt{2 - \frac{\lambda_n}{d}} \cdot d \|y\|_2^2. \quad \text{--- } \textcircled{*}
 \end{aligned}$$

And,

$$\begin{aligned} \mathbb{E} \sum_i |x_i| &= \sum_i \Pr[t \leq y_i^2] \\ &= \sum_i y_i^2 = \|y\|_2^2. - \text{⊗⊗} \end{aligned}$$

$\hookrightarrow$ ,  $\oplus \& \otimes \oplus \Rightarrow$

$$\sum_{\{i,j\} \subseteq E} \mathbb{E} |x_i + x_j| \leq \sqrt{2 - \frac{\lambda n}{d}} \sqrt{2d} \mathbb{E} \sum_i |x_i|. \quad \text{---}$$

$\{i,j\} \subseteq E$

$\Rightarrow \exists x \in \{\pm 1, 0\}^n$  such that  
*(via prop. below)*

$$\sum_{\{i,j\} \subseteq E} |x_i + x_j| \leq \sqrt{2 - \frac{\lambda n}{d}} \sqrt{2d} \sum_i |x_i|$$

Rounded dist<sup>n</sup> is supported on at most  $n$  possible explicit sets associated to  $y$ . So can find  $x$  just like Cheeger...

Prop: Suppose  $\{u_1, u_2, \dots, u_k\}$   
 $\{v_1, \dots, v_k\}$   
 $\{w_1, \dots, w_k\}$   
 are non-neg,  $\sum w_i = 1$ .

then  $\min_i \frac{u_i}{v_i} \leq \frac{\sum w_i \cdot u_i}{\sum w_i \cdot v_i} = \text{avg}$

Proof: Suppose not. then,

$$u_i > \text{avg} \cdot v_i \quad \forall i$$

$$\Rightarrow \sum w_i u_i > \text{avg} \cdot \sum w_i v_i$$

$$\text{or } \frac{\sum w_i u_i}{\sum w_i v_i} > \text{avg} - \text{contradiction}$$

Generalization to irregular graphs

$$L_n = D^{-\frac{1}{2}} \cdot L_G \cdot D^{-\frac{1}{2}}$$

L normalized Laplacian

$$D^{-\frac{1}{2}} = \begin{pmatrix} \frac{1}{\sqrt{d_1}} & & 0 \\ & \frac{1}{\sqrt{d_2}} & \\ 0 & & \ddots & \ddots & \frac{1}{\sqrt{d_n}} \end{pmatrix}$$

$d_i$  = degree of vertex  $i$ .

Def ( $\lambda_n$ ):

$$\lambda_n L_n := \max_{\substack{x \in \mathbb{R}^n \\ x \neq 0}} \frac{x^T L_n x}{\|x\|_2^2}$$

$$= \max_{\substack{x : x \neq 0}} \frac{x^T D^{-\frac{1}{2}} \cdot L_G \cdot D^{-\frac{1}{2}} \cdot x}{\|x\|_2^2}$$

# Generalization to Irregular graphs

Key change: measure sizes of sets differently, length of vectors diff, use a normalized Laplacian

Let  $D^{\frac{1}{2}} = \begin{pmatrix} \frac{1}{\sqrt{d_1}} & & \\ & \ddots & \\ & & \frac{1}{\sqrt{d_n}} \end{pmatrix}$  nxn matrix  
 $d_i = \deg$   
of vertex  $i$

Def (Normalized Laplacian)

$$L_n = D^{\frac{1}{2}} \cdot L_G \cdot D^{\frac{1}{2}}$$

Def  $\lambda_n = \max_{x: x \neq 0} \frac{x^T L_n x}{\|x\|_2^2} \stackrel{\text{def}}{=} \gamma_n$

Then,  $\lambda_n = \frac{x^T D^{\frac{1}{2}} \cdot L_G \cdot D^{\frac{1}{2}} \cdot x}{\|x\|_2^2}$

$$\text{Setting } [y = D^{-\frac{1}{2}} \cdot x] = \max_{y: y \neq 0} \frac{y^T L_G \cdot y}{\sum_i d_i \cdot y_i^2} \stackrel{\text{def}}{=} \frac{y^T D y}{\sum_i d_i \cdot y_i^2} = \|y\|_D^2$$

So moving to normalized Laplacian  
 simply changes the " $\ell_2$ " length of  $x$   
 to a "weighted  $\ell_2$ " length.

### Def (Bipartiteness Gap)

$$\beta(G) = \min_{\substack{S \subseteq V \\ L \cup R = S \\ L \cap R = \emptyset}} \frac{2|E(L)| + 2|E(R)| + |E(S, \bar{S})|}{\left(\sum_{i \in S} d_i\right) \|\mathbf{1}_S\|_D}$$

$$= \min_{y \in \{\pm 1, 0\}^n} \frac{\sum_{\{i, j\} \in E} |y_i + y_j|}{\sum_{i \in V} d(i) |y_i|}$$

Observation:

If  $G$  has a bip component, then

$$\lambda_n(L_n) = 2.$$

Proof: Let  $S = LUR$  be the bip-connected component.

Let  $y_i = \begin{cases} +1 & \text{if } i \in L \\ -1 & \text{if } i \in R \\ 0 & \text{otherwise} \end{cases}$

Then,  $\frac{y^T L_G y}{y^T D y} = \frac{\sum_{\{i,j\} \subseteq E} (y_i - y_j)^2}{\sum_i d_i \cdot y_i^2}$

$$= \frac{4 \cdot |E(L, R)|}{|S|_D} = 2$$

□

Since  $S$  is bip conn component  $|S|_D$

$$= 2|E(L, R)|$$

Def ( $\beta_n$ )

$$\beta_n = 2 - \lambda_n.$$

Prop:

$$\beta_n = \min_{y \in \mathbb{R}^n} \frac{\sum_{\{i,j\} \subseteq E} (y_i + y_j)^2}{\sum_i d_i \cdot y_i^2}$$

Proof:

$$\begin{aligned} & \sum_{\{i,j\} \subseteq E} [(y_i + y_j)^2 + (y_i - y_j)^2] \\ &= 2 \sum_{\{i,j\} \subseteq E} (y_i^2 + y_j^2) \\ &= 2 \cdot \sum_i d_i \cdot y_i^2 \quad - \textcircled{1} \end{aligned}$$

$$\& \lambda_n \cdot (\sum_i d_i y_i^2) = \sum_{\{i,j\} \subseteq E} (y_i - y_j)^2 \quad \textcircled{2}$$

Subtract ② from ① to get Prop.

Thm [Trevisan]

$$\frac{1}{2} \beta_n \leq \beta(G) \leq \sqrt{2\beta_n}$$

①                          ②

Proof:

For ①

$$\beta_n = \min_{\substack{y \in \mathbb{R}^n \\ q \neq 0}} \frac{\sum_{\{i,j\} \in E} (y_i + y_j)^2}{\sum_i d_i \cdot y_i^2}$$

$$\leq \min_{\substack{y \in \{-1, 0\}^n}} \frac{\sum_{\{i,j\} \in E} (y_i + y_j)^2}{\sum_i d_i \cdot y_i^2}$$

$$\leq \min_{\substack{y \in \{-1, 0\}^n}} \frac{\sum_{\{i,j\} \in E} 2|y_i + y_j|}{\sum_i d_i \cdot y_i^2} = 2 \cdot \beta(G)$$

For ②,

let  $y \in \mathbb{R}^n$  be such that

$$x_n = \frac{y^T \ln y}{\|y\|_2^2}.$$

rescale if needed to assure that

$$\max_i y_i^2 = 1.$$

choose  $t \in [0, 1]$  uniformly at random.

Set  $x_i = \begin{cases} 1 & \text{if } x_i > \sqrt{t} \\ -1 & \text{if } x_i \leq -\sqrt{t} \\ 0 & \text{o/w} \end{cases}$

Prop:  $\mathbb{E} |x_i + x_j| \leq |y_i + y_j| \cdot (|y_i| + |y_j|)$   
 $\forall \{i, j\}.$

Proof: exact same as in regular case.

Summing up over edges.

$$\begin{aligned} & \mathbb{E} \sum_{\{i,j\} \in E} |x_i + x_j| \\ &= \sum_{\{i,j\} \in E} |y_i + y_j| (|y_i| + |y_j|) \\ &\leq \sqrt{\sum_{\{i,j\} \in E} (y_i + y_j)^2} \sqrt{\sum_{\{i,j\} \in E} (|y_i| + |y_j|)^2} \\ &\leq \sqrt{\beta_n \sum_i d_i y_i^2} \sqrt{\sum_{\{i,j\} \in E} 2(y_i^2 + y_j^2)} \\ &\leq \sqrt{2\beta_n \cdot \sum_i d_i y_i^2} \end{aligned}$$

$$\mathbb{E} \sum_i |x_i| d_i = \sum_i \Pr[t \leq y_i^2] d_i \\ = \sum_i d_i y_i^2$$

So

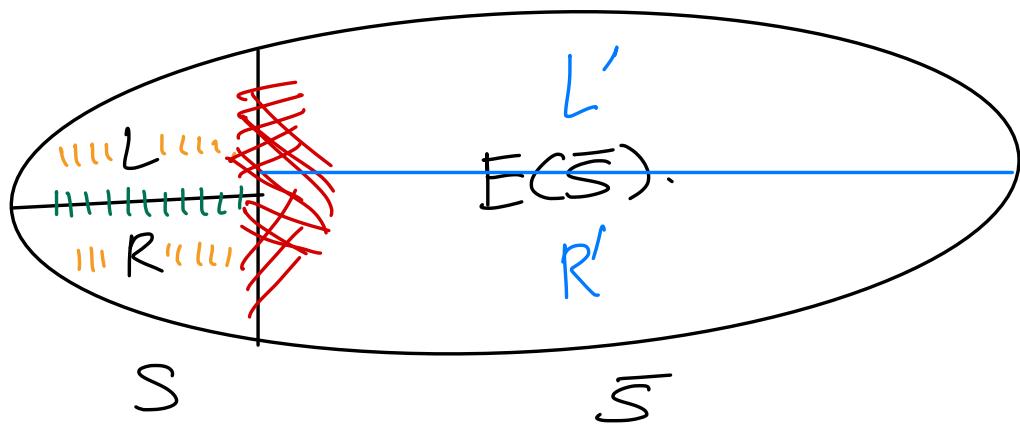
$$\mathbb{E} \sum_{\{i,j\} \in E} |x_i + x_j| \leq \sqrt{2\beta_n} \cdot \mathbb{E} \sum_i |x_i| \cdot d_i$$

$\Rightarrow$  ∃ an  $x$  in the support where  
the above inequality holds.

[Can find by searching over the  
 $n$  diff threshold cat5]  $\square$

Algorithm from . Trevisan's inequality

- Idea:
- 1) Use Trevisan's inequality to get a "partial rounding"
  - 2) recurse.



Algorithm:

- 1) Apply Trevisan rounding to  $G$  to get  $S = L \cup R$
- 2) Apply Trevisan Rounding to  $E(\bar{S})$

to get L'UR'.

- 3) return best of  $(LUL', RUR')$   
&  $(LUR', RUL')$  -

Theorem: For any  $G$ ,

Let  $\text{Alg}(G)$  = size of cut  
output by Algo above.

Then if  $\text{max-cut}(G) = 1 - \varepsilon$   
then  $\text{Alg}(G) \geq 1 - 4\sqrt{\varepsilon}$ .

+ Best of greedy & Trevisan cut yields a  
 $\frac{32}{63}$  approx. to max-cut.

## Analysis.

Claim 1: If  $\text{max-cut}(G) = 1 - \varepsilon$   
then  $\beta(G) \leq 2\varepsilon$

Proof: Let  $S_*$  be an optimal max-cut in  $G$

Choose  $S = V$

$L = S_*$

$R = \bar{S}_*$

$$\begin{aligned} \text{Then, } \beta(S) &\leq 2|E(S_*)| + 2|E(\bar{S}_*)| \\ &\quad + |E(S, \bar{S})| \\ &= \frac{d \cdot |S|}{\underbrace{\quad}_{\geq 0}} \end{aligned}$$

Note:  $|E(S_*)| + |E(\bar{S}_*)| + |E(S_*, \bar{S}_*)| = m$

So.  $|E(S_*)| + |E(\bar{S}_*)| < m \cdot \varepsilon$ .

$$\leq \beta(S_*) \leq \frac{2 \cdot \varepsilon \cdot m}{d \cdot n} = \varepsilon.$$

From Trevisan's inequality,

$$\frac{1}{2} \beta_n \leq \beta(G) \leq \beta(S_*)$$

$$\text{Thus, } \beta_n \leq 2\varepsilon$$

Thus,  
applying Trevisan's Rounding to G  
a set S, partitioned into LUR

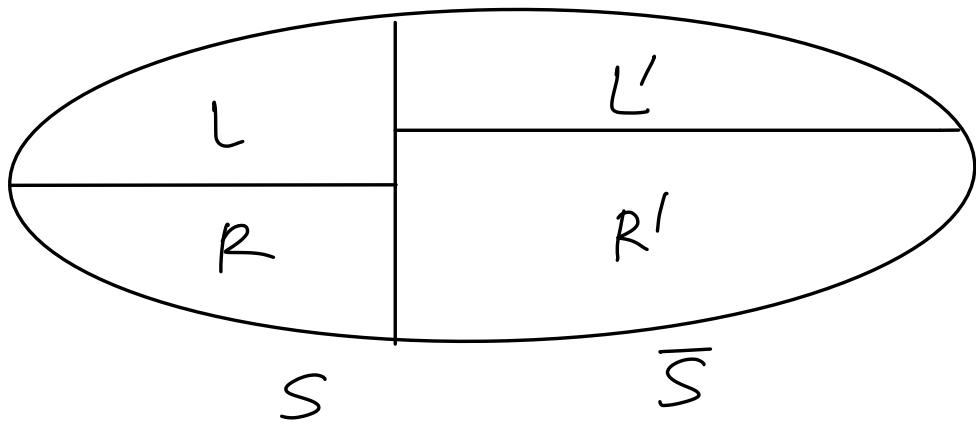
s.t.

$$\beta(S) = \frac{2|E(L)| + 2|E(R)| + |E(S, \bar{S})|}{d \cdot |S|}$$

$$\leq \sqrt{2 \cdot 2\varepsilon} = 2\sqrt{\varepsilon}.$$

Idea: beat  $\frac{1}{2}$  in the  $E(L, R)$  cut  
&  $E(L', R')$  cut  
do "OK" on  $E(S, \bar{S})$  (i.e. cut  $\frac{1}{2}$  edges)  
recurse on  $E(\bar{S})$

6



$$\begin{aligned} \text{max-cut}(G) &\leq \text{max-cut}(E(\bar{S})) \\ &+ |E(L)| + |E(R)| + |E(L, R)| \\ &+ |E(S, \bar{S})| \end{aligned}$$

How does the better of  
 $(LUL', RUR')$

&  $(LUR', RUL')$  do?

Observation (merging is easy)

$$|E(S, \bar{S})| = |E(L, L')| + |E(L, R')| + |E(R, L')| + |E(R, R')|$$

So,

$$\max \left\{ |E(L, L')| + |E(R, R')|, |E(L, R')| + |E(R, L')| \right\}$$

$$\geq \frac{1}{2} |E(S, \bar{S})|$$

Thus, better of  $(LUL', RUR')$   
&  $(LUR', RUL')$  cuts  $\geq \frac{1}{2} |E(S, \bar{S})|$

edges from the cut  $E(S, \bar{S})$ .

Thus,

$$\begin{aligned} \text{Alg}(G) &\geq |E(L, R)| \\ &\quad + \frac{1}{2}|E(S, \bar{S})| \\ &\quad + \text{Alg}(E(\bar{S})). \end{aligned}$$

Lemma: If  $\beta(S) \leq 2\sqrt{\epsilon}$ , then

$$\frac{|E(L, R)|}{|E(L)| + |E(R)|} \geq 1 - 2\sqrt{\epsilon}.$$

$$\begin{aligned} &|E(L)| + |E(R)| \\ &+ |E(L, R)| + |E(S, \bar{S})| \end{aligned}$$

$$\Rightarrow 2\sqrt{\epsilon} \geq \beta(S) = \frac{2|E(L)| + 2|E(R)| + |E(S, \bar{S})|}{d \cdot |S|}$$

$$\begin{aligned}
 d|S| &= 2|E(L)| + 2|E(R)| + 2|E(L, R)| \\
 &\quad + |E(S, \bar{S})|
 \end{aligned}$$

$f(S) \leq 2\sqrt{\varepsilon}$  means that

$$\frac{2|E(L)| + 2|E(R)| + |E(S, \bar{S})|}{2|E(L)| + 2|E(R)| + 2|E(L, R)| + |E(S, \bar{S})|} \leq 2\sqrt{\varepsilon}$$

$$\Rightarrow 1 - \frac{2|E(L, R)|}{2|E(L)| + 2|E(R)| + 2|E(L, R)| + |E(S, \bar{S})|} \leq 2\sqrt{\varepsilon}.$$

$$\frac{\text{or}}{|E(L,R)|}{\frac{|E(L,R)|}{|E(L)| + |E(R)| + |E(L,R)|}} \geq 1 - 2\sqrt{\epsilon} \\ + \frac{1}{2}|E(S, \bar{S})|$$

add  $\frac{1}{2}|E(S, \bar{S})|$  to both  
 numerator & denominator only  
 increases the ratio

$$\frac{\text{So}}{|E(L)| + |E(R)| + |E(L,R)| + |E(S, \bar{S})|}{|E(L,R)| + \frac{1}{2}|E(S, \bar{S})|} \geq 1 - 2\sqrt{\epsilon}$$



So,

$\text{Alg}(G)$

$$\geq (1 - 2\sqrt{\varepsilon}) \left( \frac{|E(L, R)| + \frac{1}{2}|E(S, \bar{S})|}{|E(S)| + |E(S, \bar{S})|} \right) + \underline{\text{Alg}(E(\bar{S}))}. \quad ***$$

How much can  $\text{max-cut}(E(\bar{S}))$  be?

Prop:  $\text{max-cut}(E(\bar{S})) \geq 1 - \varepsilon \frac{m}{E(\bar{S})}$

Proof:  $(1 - \varepsilon)m = (\text{max-cut}(G)) \cdot m$   
 $\leq |E(S)| + |E(S, \bar{S})| + (\text{max-cut}(E(\bar{S})))|E(\bar{S})|$

So:  $\text{max-cut}(E(\bar{S}))$

$$\geq \frac{1}{|E(\bar{S})|} \left[ (1 - \varepsilon)[|E(S)| + |E(S, \bar{S})| + |E(\bar{S})|] - |E(S)| - |E(S, \bar{S})| \right]$$

$$\begin{aligned}
&\geq \frac{1}{|E(\bar{S})|} \cdot \left[ (1-\varepsilon) \cdot |E(S)| \right. \\
&\quad \left. - \varepsilon \cdot [|E(S)| + |E(S, \bar{S})|] \right] \\
&= 1 - \varepsilon - \varepsilon \cdot \left[ \frac{|E(S)| + |E(S, \bar{S})|}{|E(\bar{S})|} \right] \\
&= 1 - \varepsilon \cdot \frac{m}{|E(\bar{S})|}
\end{aligned}$$

Proof of Theorem :

$$\begin{aligned}
\text{Let } V(\varepsilon) &= \min_{\substack{G: \text{max-cut}(G) \\ G \text{ on } n \text{ vertices}}} 1 - \text{Alg}(G) \\
&= 1 - \varepsilon
\end{aligned}$$

Suppose  $\frac{|E(\bar{S})|}{m} = \delta$ . Then,

$$V(\varepsilon) \leq 2\sqrt{\varepsilon(1-\delta)} + \delta \cdot V\left(\frac{\varepsilon}{\delta}\right).$$

Inductively assume  $V(\varepsilon) \leq 4\sqrt{\varepsilon}$  for graphs  $n < n$  vertices.

$$V(\varepsilon) \leq 2\sqrt{\varepsilon}(1-\delta) + 84 \cdot \sqrt{\frac{\varepsilon}{\delta}}$$

$$= 2\sqrt{\varepsilon}(1-\delta) + \sqrt{5} \cdot 4\sqrt{\varepsilon}.$$

Now  $2\sqrt{\varepsilon}(1-\delta)$

$$= 2\sqrt{\varepsilon} \underbrace{(1+\sqrt{\delta})}_{\leq 2} \cdot (1-\sqrt{\delta}).$$

$$\leq 4\sqrt{\varepsilon} (1-\sqrt{\delta}).$$

Thus  $V(\varepsilon) \leq 4\sqrt{\varepsilon}$  as desired.

---

Approx. Ratio:

$$\max \left\{ \frac{1}{2(1-\varepsilon)}, \frac{1-4\sqrt{\varepsilon}}{1-\varepsilon} \right\}$$

equal at  $\varepsilon = \frac{1}{64}$  at which each is  $\frac{32}{63}$