



Spring 2004

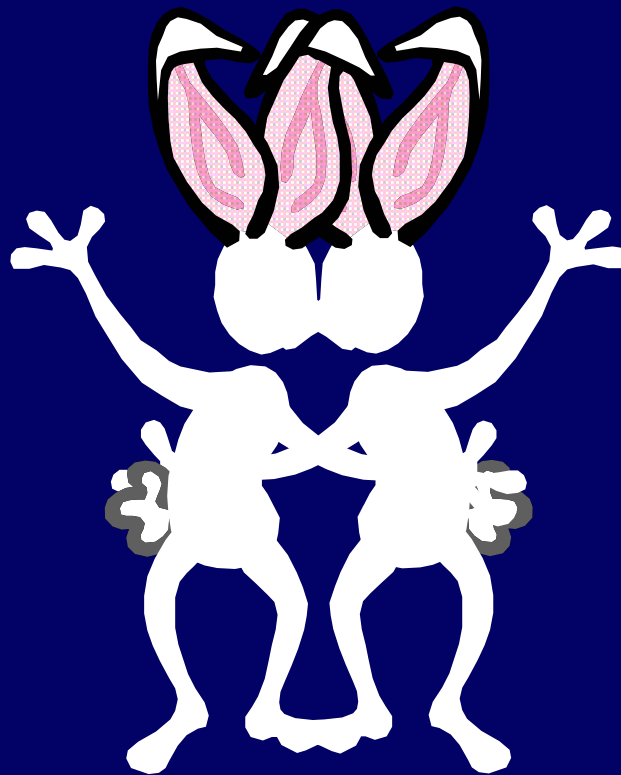
Carnegie Mellon University

Rabbits, Continued Fractions, The Golden Ratio, and Euclid's GCD

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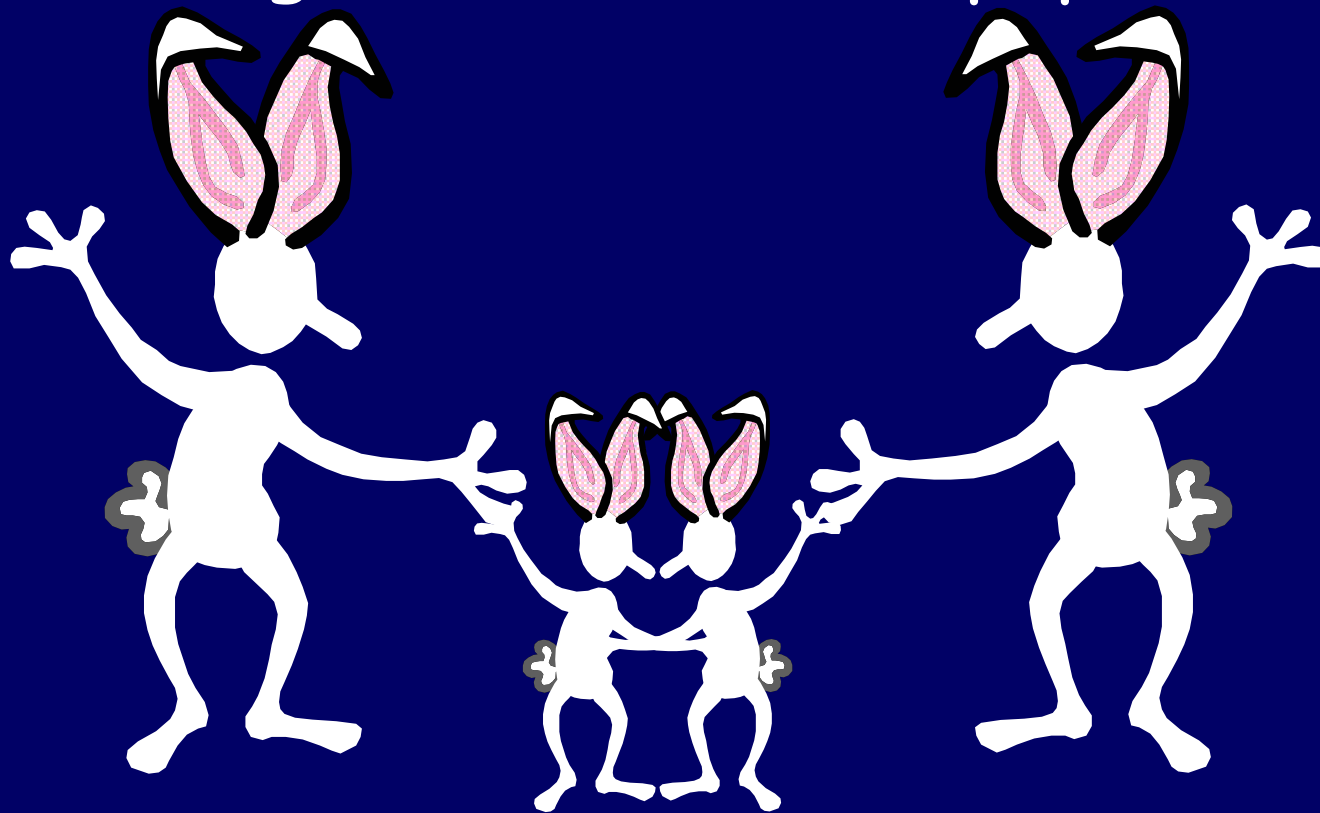
Leonardo Fibonacci

In 1202, Fibonacci proposed a problem about the growth of rabbit populations.



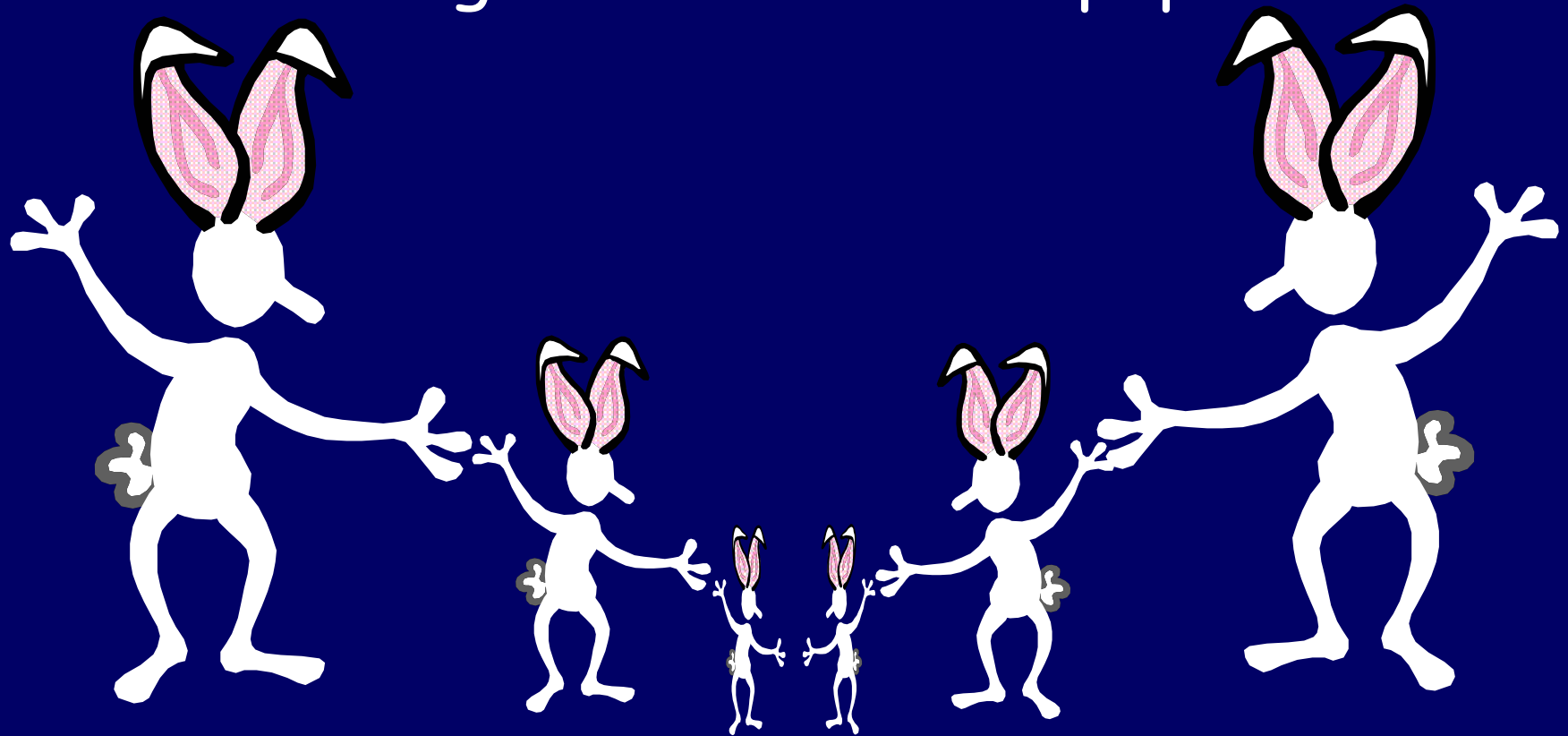
Leonardo Fibonacci

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Leonardo Fibonacci

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The rabbit reproduction model

- A rabbit lives forever
- The population starts as a single newborn pair
- Every month, each productive pair begets a new pair which will become productive after 2 months old

$F_n =$ # of rabbit pairs at the beginning of the n^{th} month

month	1	2	3	4	5	6	7
rabbits							

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month	1	2	3	4	5	6	7
rabbits	1	1	2				

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$F_n =$ # of rabbit pairs at the beginning of the n^{th} month

month	1	2	3	4	5	6	7
rabbits	1	1	2	3			

The rabbit reproduction model

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month	1	2	3	4	5	6	7
rabbits	1	1	2	3	5		

The rabbit reproduction model

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$F_n =$ # of rabbit pairs at the beginning of the n^{th} month

month	1	2	3	4	5	6	7
rabbits	1	1	2	3	5	8	13



Inductive Definition or Recurrence Relation for the Fibonacci Numbers

Stage 0, Initial Condition, or Base Case:
 $\text{Fib}(1) = 1; \text{Fib}(2) = 1$

Inductive Rule

For $n > 3$, $\text{Fib}(n) = \text{Fib}(n-1) + \text{Fib}(n-2)$

n	0	1	2	3	4	5	6	7
Fib(n)	%	1	1	2	3	5	8	13



Inductive Definition or Recurrence Relation for the Fibonacci Numbers

Stage 0, Initial Condition, or Base Case:
 $\text{Fib}(0) = 0$; $\text{Fib}(1) = 1$

Inductive Rule

For $n > 1$, $\text{Fib}(n) = \text{Fib}(n-1) + \text{Fib}(n-2)$

n	0	1	2	3	4	5	6	7
Fib(n)	0	1	1	2	3	5	8	13

A (Simple) Continued Fraction Is Any Expression Of The Form:

$$\begin{array}{c}
 a + \frac{1}{\phantom{b + \frac{1}{\phantom{c + \frac{1}{\phantom{d + \frac{1}{\phantom{e + \frac{1}{\phantom{f + \frac{1}{\phantom{g + \frac{1}{\phantom{h + \frac{1}{\phantom{i + \frac{1}{}}}}}}}}}}}}}}}}} \\
 b + \frac{1}{\phantom{c + \frac{1}{\phantom{d + \frac{1}{\phantom{e + \frac{1}{\phantom{f + \frac{1}{\phantom{g + \frac{1}{\phantom{h + \frac{1}{\phantom{i + \frac{1}{}}}}}}}}}}}}}}} \\
 c + \frac{1}{\phantom{d + \frac{1}{\phantom{e + \frac{1}{\phantom{f + \frac{1}{\phantom{g + \frac{1}{\phantom{h + \frac{1}{\phantom{i + \frac{1}{}}}}}}}}}}}}} \\
 d + \frac{1}{\phantom{e + \frac{1}{\phantom{f + \frac{1}{\phantom{g + \frac{1}{\phantom{h + \frac{1}{\phantom{i + \frac{1}{}}}}}}}}}}} \\
 e + \frac{1}{\phantom{f + \frac{1}{\phantom{g + \frac{1}{\phantom{h + \frac{1}{\phantom{i + \frac{1}{}}}}}}}}} \\
 f + \frac{1}{\phantom{g + \frac{1}{\phantom{h + \frac{1}{\phantom{i + \frac{1}{}}}}}}} \\
 g + \frac{1}{\phantom{h + \frac{1}{\phantom{i + \frac{1}{}}}}} \\
 h + \frac{1}{\phantom{i + \frac{1}{}}} \\
 i + \frac{1}{} \\
 j + \dots
 \end{array}$$

where $a, b, c, \dots$ are whole numbers.

A Continued Fraction can have a finite or infinite number of terms.

$$\begin{array}{c}
 a + \cfrac{1}{b + \cfrac{1}{c + \cfrac{1}{d + \cfrac{1}{e + \cfrac{1}{f + \cfrac{1}{g + \cfrac{1}{h + \cfrac{1}{i + \cfrac{1}{j + \dots}}}}}}}}}
 \end{array}$$

We also denote this fraction by $[a,b,c,d,e,f..]$

A Finite Continued Fraction

$$2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}$$

Also denoted $[2.3.4.2.0,0,0,0,0,\dots]$

A Infinite Continued Fraction

$$1 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \dots}}}}}}}$$

Also denoted $[1, 2, 2, 2, \dots]$

Recursively Defined Form For CF

CF = whole number, or

$$= \text{whole number} + \frac{1}{\text{CF}}$$

Ancient Greek Representation: Continued Fraction Representation

$$\frac{67}{29} = 2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}$$

Ancient Greek Representation: Continued Fraction Representation

$$\frac{5}{3} = 1 + \frac{1}{1 + \frac{1}{2}}$$

Ancient Greek Representation: Continued Fraction Representation

$$\frac{5}{3} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}}$$

$$= [1, 1, 1, 1, 0, 0, 0, \dots]$$

Ancient Greek Representation: Continued Fraction Representation

$$? = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}}}$$

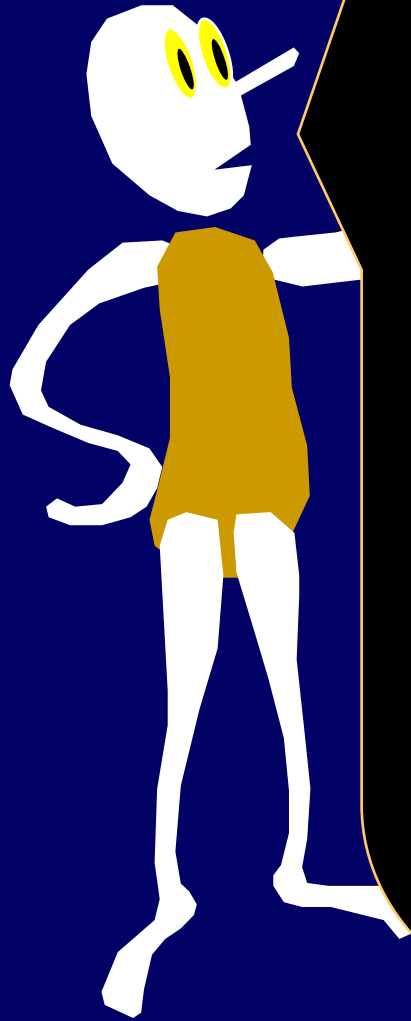


Ancient Greek Representation: Continued Fraction Representation

$$\frac{8}{5} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}}}$$

Ancient Greek Representation: Continued Fraction Representation

$$\frac{13}{8} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}}}}$$



Let $r_1 = [1, 0, 0, 0, \dots]$
 $r_2 = [1, 1, 0, 0, 0, \dots]$
 $r_3 = [1, 1, 1, 0, 0, \dots]$
and so on.

Theorem:
$$r_n = \text{Fib}(n+1) / \text{Fib}(n)$$



Proposition: Any finite continued fraction evaluates to a rational.

Theorem (proof later):
Any rational has a finite continued fraction.

Quadratic Equations

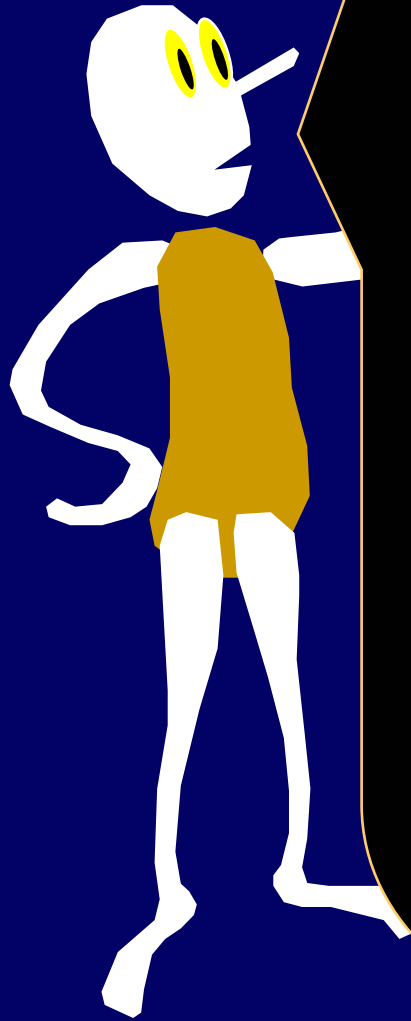
$$X^2 - 3X - 1 = 0$$

$$X = \frac{3 + \sqrt{13}}{2}$$

$$X^2 = 3X + 1$$

$$X = 3 + 1/X$$

$$X = 3 + 1/X = 3 + 1/[3 + 1/X] = \dots$$



Conclusion: Any quadratic solution has a periodic continued fraction.

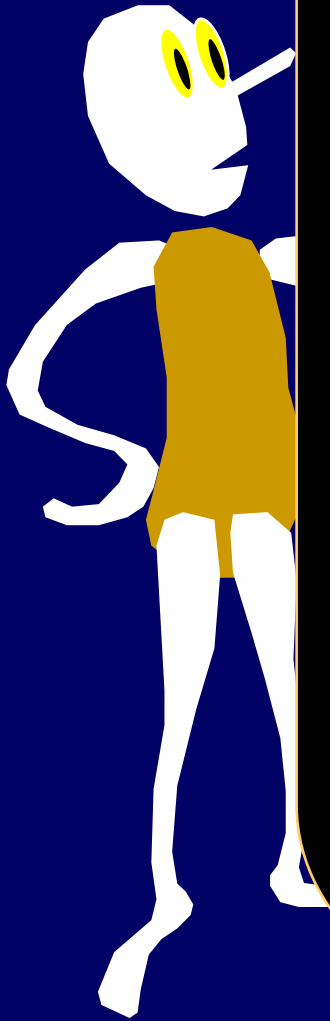
Converse (homework): Any periodic continued fraction is the solution of a quadratic equation.

Continued Fraction Representation

$$e-1=1+\frac{1}{1+\frac{1}{2+\frac{1}{1+\frac{1}{1+\frac{1}{4+\frac{1}{1+\frac{1}{1+\frac{1}{6+\frac{1}{1+\dots}}}}}}}}}$$

Continued Fraction Representation

$$\pi = 3 + \frac{1}{7 + \frac{1}{15 + \frac{1}{1 + \frac{1}{292 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1 + \dots}}}}}}}}}$$



What a cool representation!

Finite CF = Rationals
Periodic CF = Quadratic Roots
And some numbers reveal
hidden regularity.

And there is more!

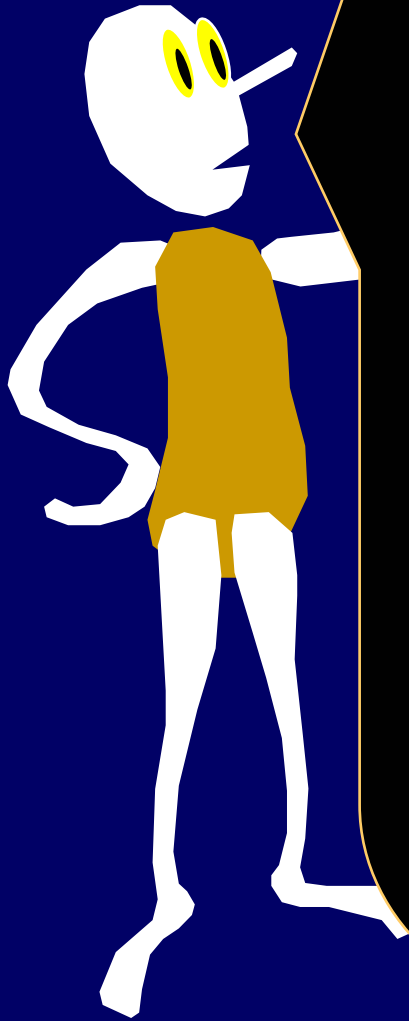
Let $\alpha =$
 $[a_1, a_2, a_3, \dots]$ be a CF.

Define $C_1 = [a_1, 0, 0, 0, 0, \dots]$

Define $C_2 = [a_1, a_2, 0, 0, 0, \dots]$

Define $C_3 = [a_1, a_2, a_3, 0, \dots]$

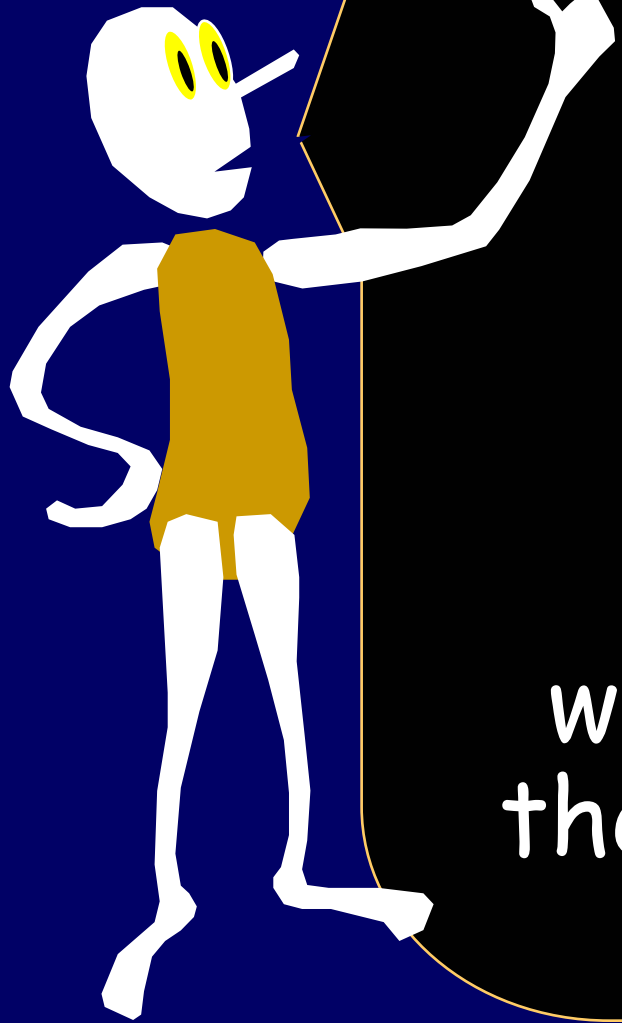
and so on.

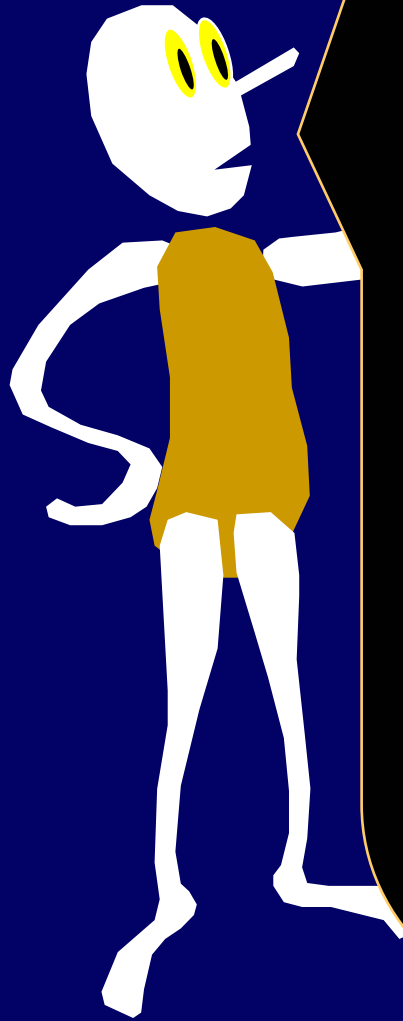


Let $\alpha = [a_1, a_2, a_3, \dots]$ be a
CF.

C_k is called the k^{th}
convergent of α

where α is the limit of
the sequence $C_1, C_2, C_3 \dots$





Define a rational p/q to be a "best approximator" to a real α , if no rational number of smaller denominator comes closer.



For any CF
representation of α ,
each convergent of the
CF is a "best
approximator" for α !

Continued Fraction Representation

$$C_1 = 3$$

$$C_2 = 22/7$$

$$C_3 = 333/106$$

$$C_4 = 355/113$$

$$C_5 = 103993/33102$$

$$C_6 = 104348/33215$$

$$\pi = 3 + \frac{1}{7 + \frac{1}{15 + \frac{1}{1 + \frac{1}{292 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1 + \dots}}}}}}}}}}$$

1.6180339887498948482045

Is there
life after
 π and e ?





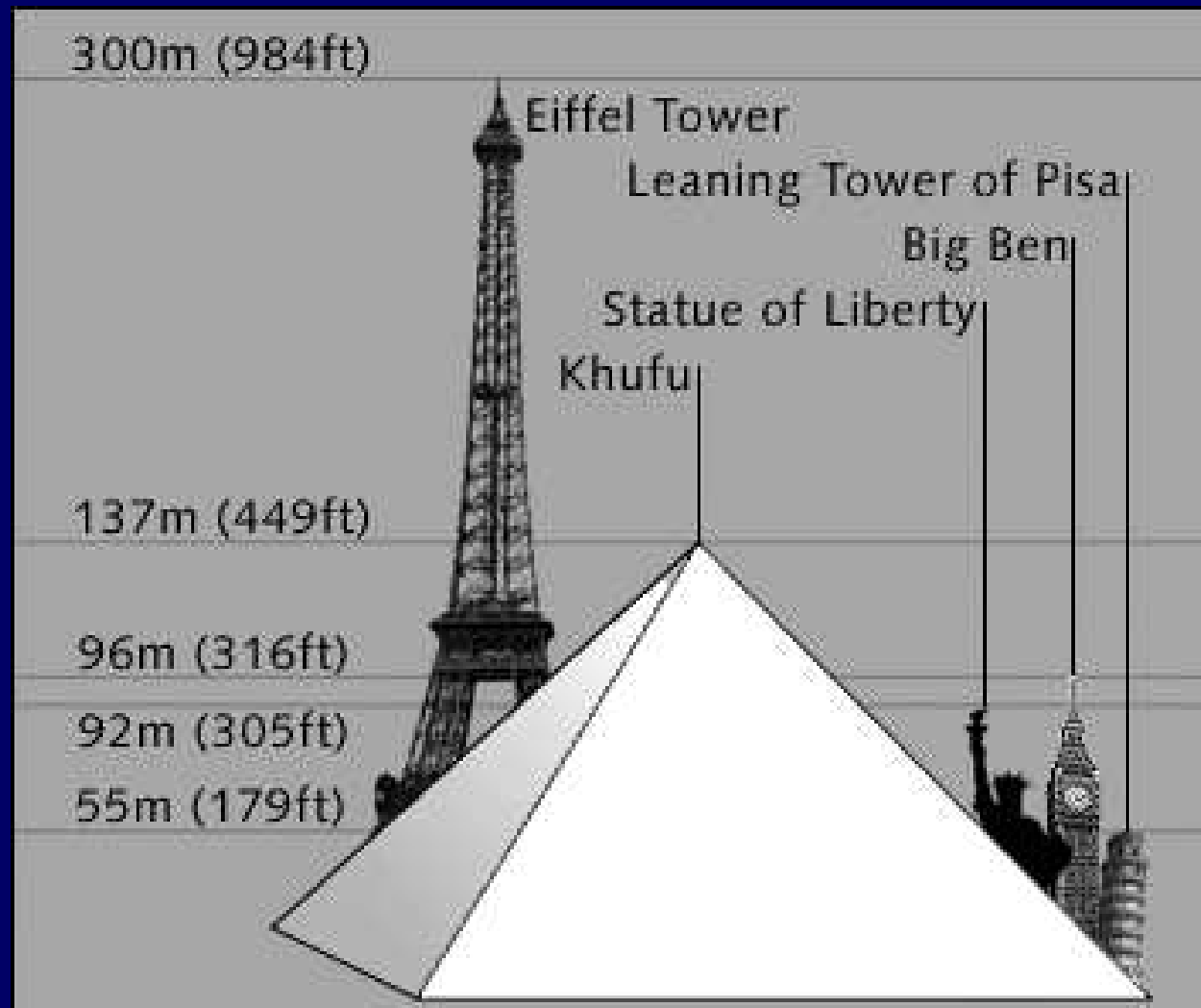
Khufu

- 2589-2566 B.C.
- 2,300,000 blocks averaging 2.5 tons each

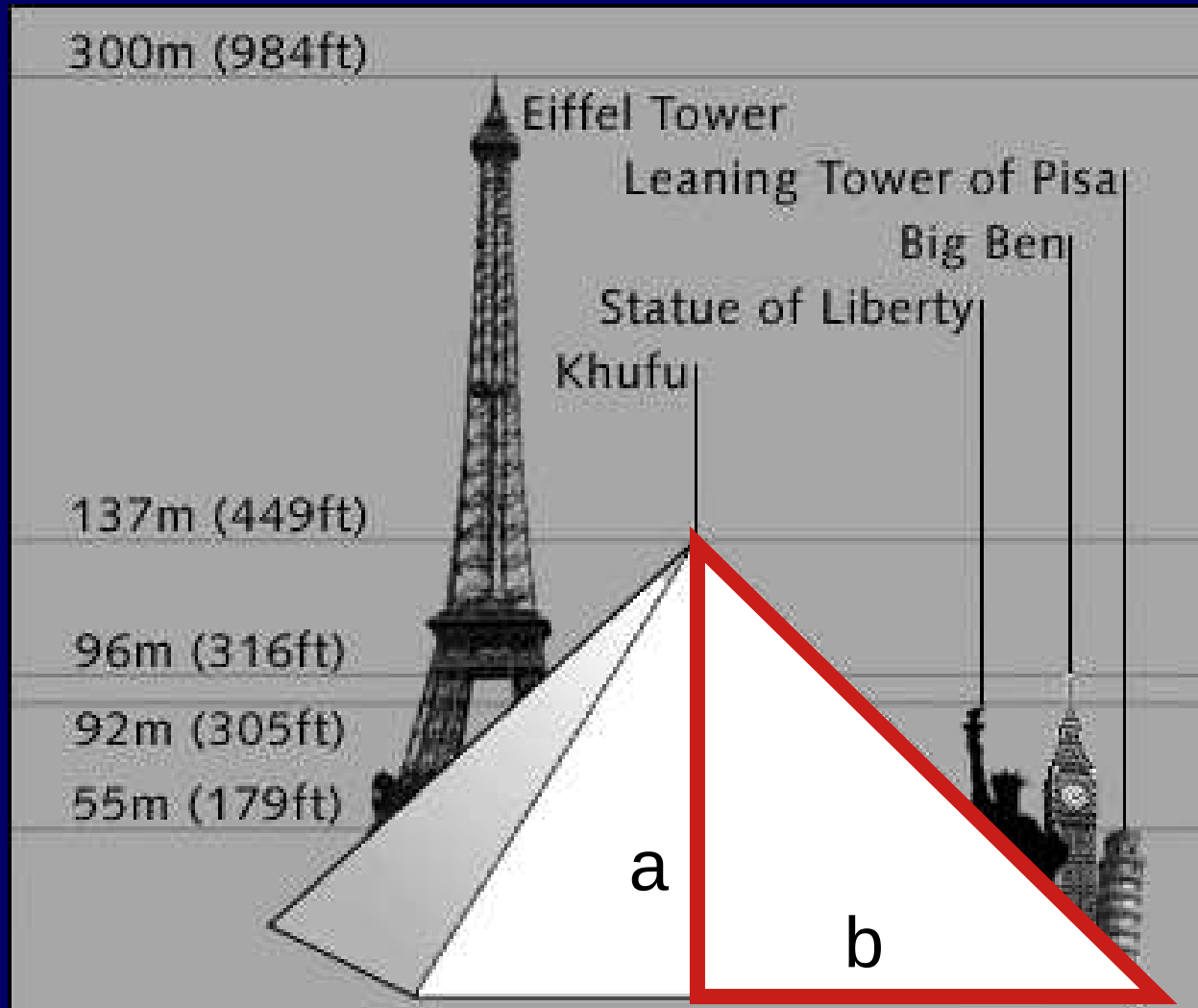


Package

Great Pyramid at Gizeh



$$\frac{a}{b} = 1.618$$



The ratio of the altitude of a face to half the base

Golden Ratio Divine Proportion

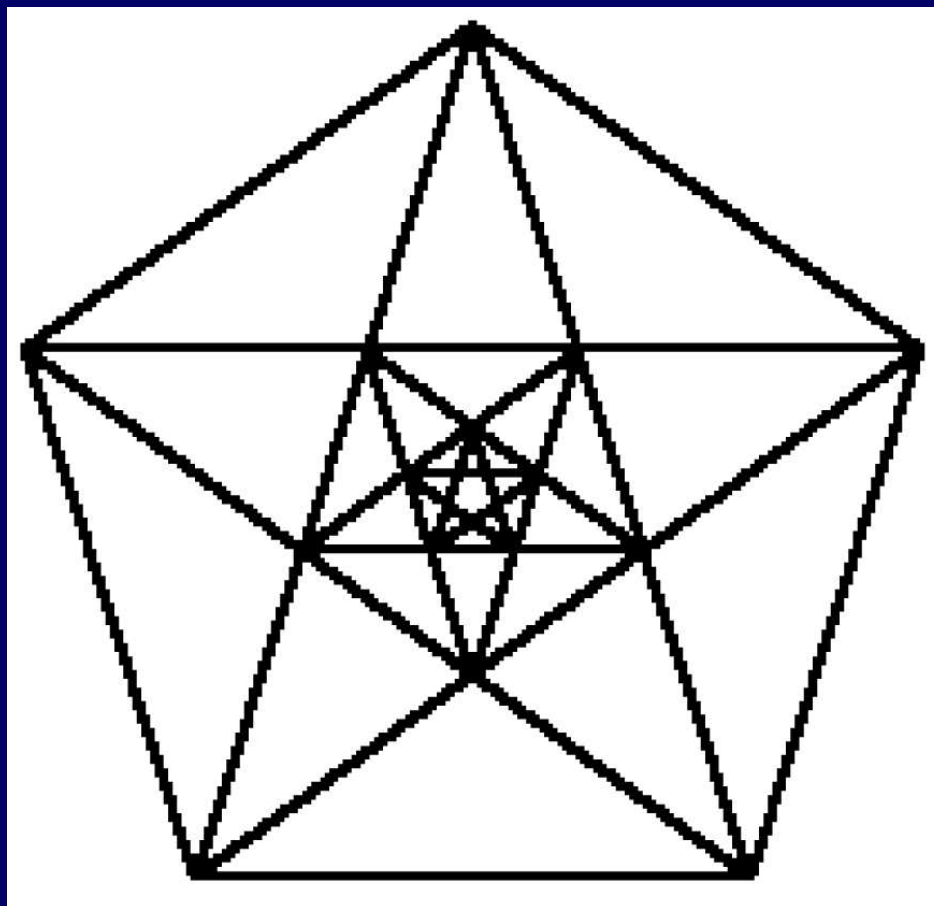
$$\phi = 1.6180339887498948482045\dots$$

"Phi" is named after the Greek sculptor
Phidias

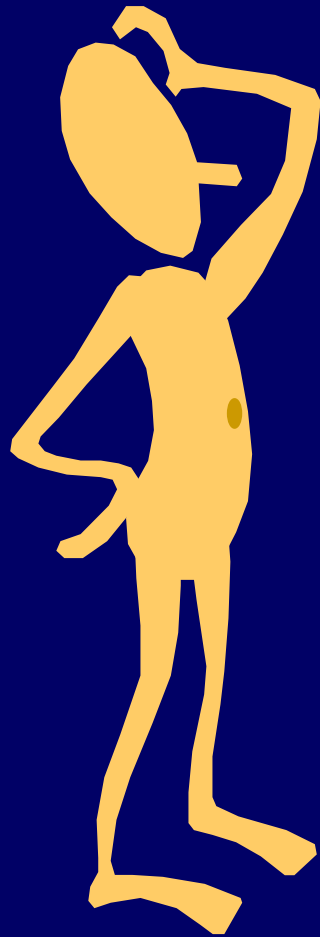
Parthenon, Athens (400 B.C.)



Pentagon



Ratio of height of the person to
the height of a person's navel



Definition of ϕ (Euclid)

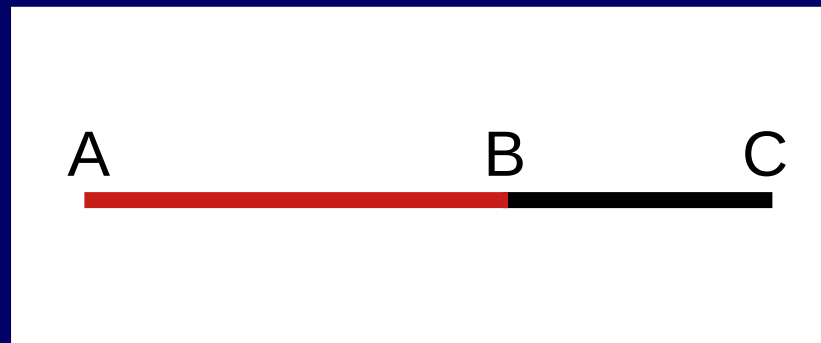
Ratio obtained when you divide a line segment into two unequal parts such that the ratio of the whole to the larger part is the same as the ratio of the larger to the smaller.

$$\phi = \frac{AC}{AB} = \frac{AB}{BC}$$

$$\phi^2 = \frac{AC}{BC}$$

$$\phi^2 - \phi = \frac{AC}{BC} - \frac{AB}{BC} = \frac{BC}{BC} = 1$$

$$\phi^2 - \phi - 1 = 0$$



Definition of ϕ (Euclid)

Ratio obtained when you divide a line segment into two unequal parts such that the ratio of the whole to the larger part is the same as the ratio of the larger to the smaller.

$$\phi^2 - \phi - 1 = 0$$

$$\phi = \frac{\sqrt{5} + 1}{2}$$

The Divine Quadratic

$$\phi^2 - \phi - 1 = 0$$

$$\phi = \frac{\sqrt{5} + 1}{2}$$

$$\phi = 1 + 1/\phi$$

Expanding Recursively

$$\phi = 1 + \frac{1}{\phi}$$

Expanding Recursively

$$\phi = 1 + \frac{1}{\phi}$$

$$= 1 + \frac{1}{1 + \frac{1}{\phi}}$$

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$$\phi = 1 + \frac{1}{\phi}$$

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$$= 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{\phi}}}$$

Continued Fraction Representation

$$\frac{1+\sqrt{5}}{2} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \dots}}}}}}}$$

$$\lim_{n \rightarrow \infty} \frac{F_n}{F_{n-1}} = \phi = \frac{1+\sqrt{5}}{2}$$

1,1,2,3,5,8,13,21,34,55,....

$$2/1 = 2$$

$$3/2 = 1.5$$

$$5/3 = 1.666\dots$$

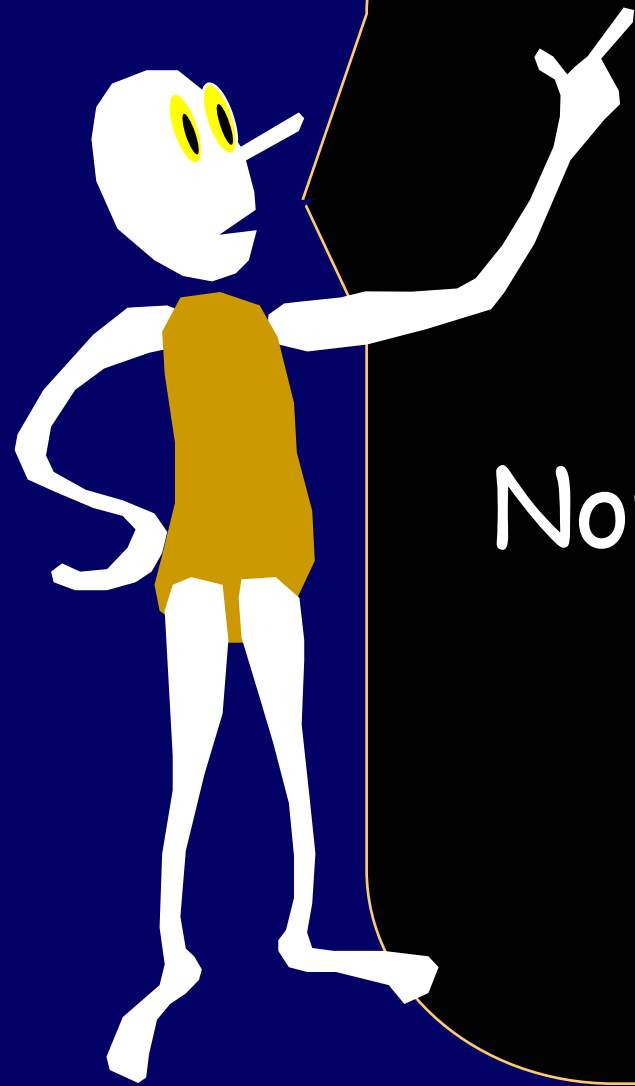
$$8/5 = 1.6$$

$$13/8 = 1.625$$

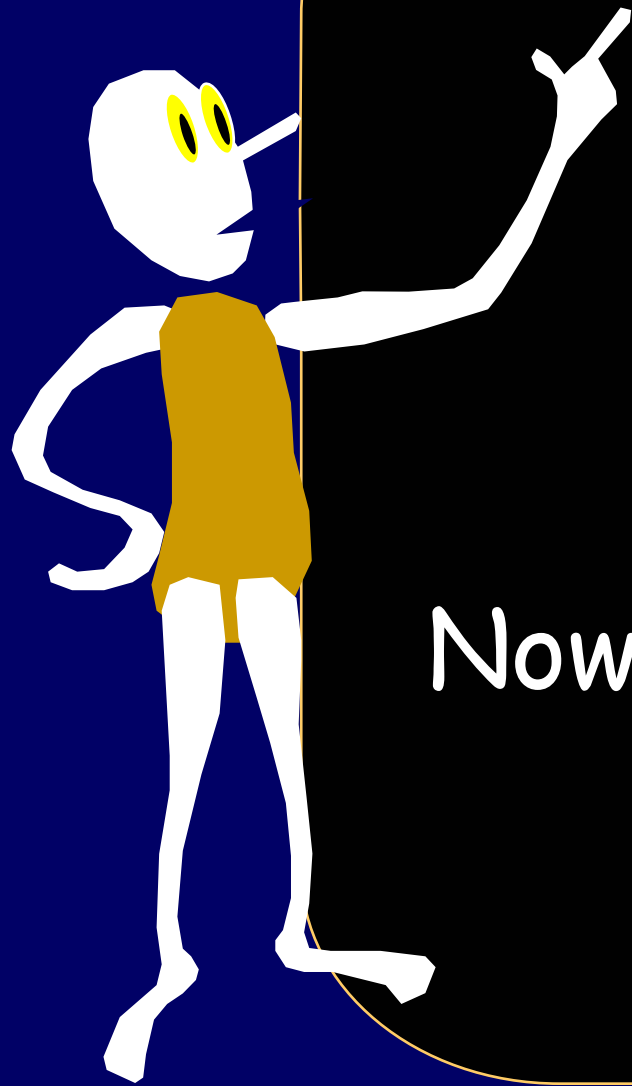
$$21/13 = 1.6153846\dots$$

$$34/21 = 1.61904\dots$$

$$\phi = 1.6180339887498948482045$$



Now back to grad school!



I mean...

Now back to grade school.

Grade School GCD algorithm

Definition: $\text{GCD}(A,B)$ is the greatest common divisor. I.e., the largest number that goes evenly into both A and B .

What is the GCD of 12 and 18?

$$12 = 2^2 * 3 \qquad 18 = 2 * 3^2$$

Common factors: 2^1 and 3^1

Answer: 6

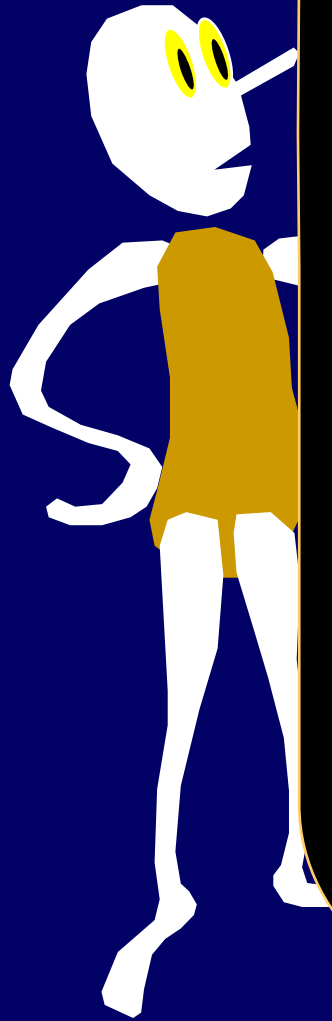
Grade School GCD algorithm

Definition: $\text{GCD}(A,B)$ is the greatest common divisor. I.e., the largest number that goes evenly into both A and B .

Factor A into prime powers.

Factor B into prime powers.

Create GCD by multiplying together each common prime raised to the highest power that goes into both A and B .



The problem with the grade school method is that it requires factoring A and B . No one knows a particularly fast way to factor a number into parts.



EUCLID had a much
better way to compute
GCD!

Ancient Recursion Euclid's GCD algorithm

```
EUCLID(A,B)      // requires  $A \geq B \geq 0$   
If B=0 then      Return A  
    else         Return Euclid(B, A mod B)
```

$$\text{GCD}(67, 29) = 1$$

```
EUCLID(A,B)      // requires  $A \geq B \geq 0$   
If  $B=0$  then    Return A  
                else   Return Euclid(B,  $A \bmod B$ )
```

Euclid(67,29)	$67 \bmod 29 = 9$
Euclid(29,9)	$29 \bmod 9 = 2$
Euclid(9,2)	$9 \bmod 2 = 1$
Euclid(2,1)	$2 \bmod 1 = 0$
Euclid(1,0)	outputs 1

Euclid's GCD Correctness

```
EUCLID(A,B)      // requires  $A \geq B \geq 0$   
If  $B=0$  then    Return  $A$   
    else        Return Euclid( $B, A \bmod B$ )
```

$$\text{GCD}(A,B) = \text{GCD}(B, A \bmod B)$$

$d|A$ and
admin/: Permission denied.
 $\iff d|B$ and $d|(A - kB)$

The set of common divisors of A, B equals

Euclid's GCD Termination

```
EUCLID(A,B)      // requires  $A \geq B \geq 0$   
If  $B=0$  then    Return A  
                else   Return Euclid(B,  $A \bmod B$ )
```

$$A \bmod B < \frac{1}{2} A$$

Proof:

$$\text{If } B > \frac{1}{2} A \text{ then } A \bmod B = A - B < \frac{1}{2} A$$

$$\text{If } B < \frac{1}{2} A \text{ then ANY } X \bmod B < \frac{1}{2} A$$

$$\text{If } B = \frac{1}{2} A \text{ then } A \bmod B = 0$$

Euclid's GCD Termination

```
EUCLID(A,B)    // requires  $A \geq B \geq 0$   
If  $B=0$  then  Return  $A$   
    else      Return Euclid( $B, A \bmod B$ )
```

$GCD(A,B)$ calls $GCD(B, A \bmod B)$

Less than $\frac{1}{2}$ of A



Euclid's GCD Termination

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EUCLID(A,B)      // requires  $A \geq B \geq 0$   
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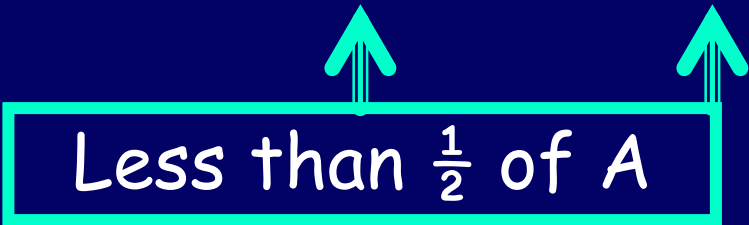
$GCD(A,B)$ calls $GCD(B, < \frac{1}{2}A)$

Euclid's GCD Termination

```
EUCLID(A,B)      // requires  $A \geq B \geq 0$   
If  $B=0$  then    Return A  
    else        Return Euclid(B,  $A \bmod B$ )
```

$GCD(A,B)$ calls $GCD(B, <\frac{1}{2}A)$

which calls $GCD(<\frac{1}{2}A, B \bmod <\frac{1}{2}A)$



Less than $\frac{1}{2}$ of A

Euclid's GCD Termination

```
EUCLID(A,B)      // requires  $A \geq B \geq 0$   
If  $B=0$  then    Return A  
                else   Return Euclid(B, A mod B)
```

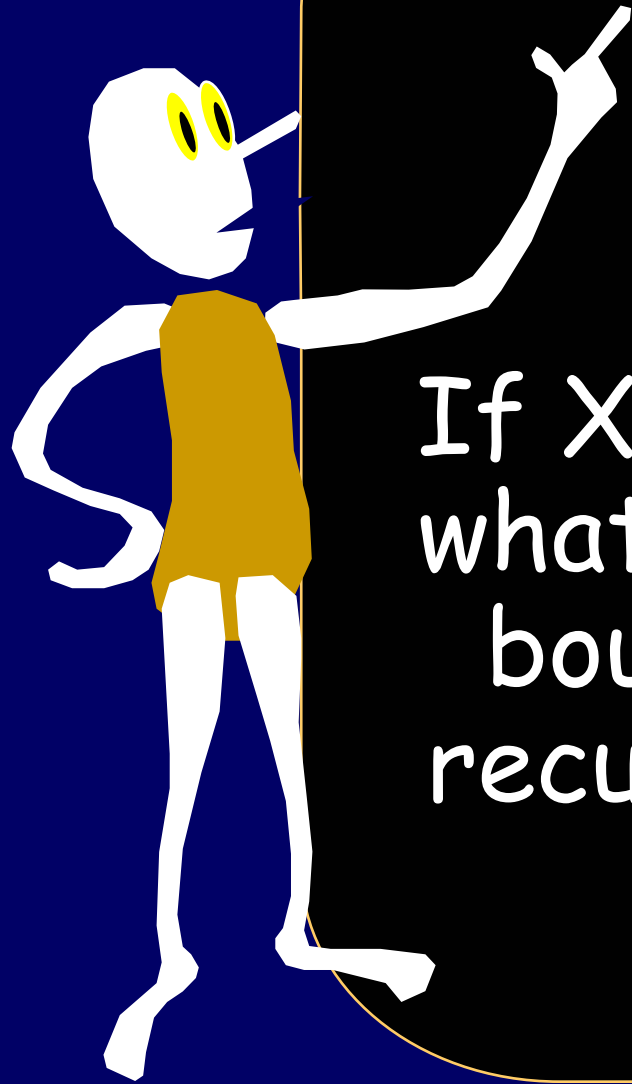
Every two recursive calls,
the input numbers drop by
half.

Euclid's GCD Termination

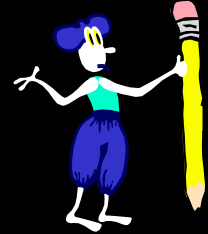
```
EUCLID(A,B)      // requires  $A \geq B \geq 0$   
If  $B=0$  then    Return A  
                else   Return Euclid(B, A mod B)
```

Theorem:

If two input numbers have an n bit binary representation,
Euclid Algorithm will not take
more than $2n$ calls to terminate.



Trick Question:



If X and Y are less than n ,
what is a reasonable upper
bound on the number of
recursive calls $\text{Euclid}(X, Y)$
will make?



Answer:

If X and Y are less than n ,
 $\text{Euclid}(X, Y)$ will make no
more than $2\log_2 n$ calls.

```
EUCLID(A,B)    // requires  $A \geq B \geq 0$  If  
B=0 then Return A  
               else Return Euclid(B, A mod B)
```

Euclid(67,29) $67 - 2 \cdot 29 = 67 \bmod 29 = 9$

Euclid(29,9) $29 - 3 \cdot 9 = 29 \bmod 9 = 2$

Euclid(9,2) $9 - 4 \cdot 2 = 9 \bmod 2 = 1$

Euclid(2,1) $2 - 2 \cdot 1 = 2 \bmod 1 = 0$

Euclid(1,0) outputs 1

Let $\langle r, s \rangle$ denote the number
 $r*67 + s*29$. Calculate all
intermediate values in this
representation.

$$67 = \langle 1, 0 \rangle \quad 29 = \langle 0, 1 \rangle$$

$$\text{Euclid}(67, 29) \quad 9 = \langle 1, 0 \rangle - 2 * \langle 0, 1 \rangle \quad 9 = \langle 1, -2 \rangle$$

$$\text{Euclid}(29, 9) \quad 2 = \langle 0, 1 \rangle - 3 * \langle 1, -2 \rangle \quad 2 = \langle -3, 7 \rangle$$

$$\text{Euclid}(9, 2) \quad 1 = \langle 1, -2 \rangle - 4 * \langle -3, 7 \rangle \quad 1 = \langle 13, -30 \rangle$$

$$\text{Euclid}(2, 1) \quad 0 = 2 - 2 * 1 \quad 2 = \langle -3, 7 \rangle$$

$$\text{Euclid}(1, 0) \text{ outputs} \quad 1 = 13 * 67 - 30 * 29$$

Euclid's Extended GCD algorithm

Input: X, Y

Output: r, s, d such that $rX + sY = d = \text{GCD}(X, Y)$

		$67 = \langle 1, 0 \rangle$	$29 = \langle 0, 1 \rangle$
Euclid(67, 29)	$9 = 67 - 2 * 29$	$9 = \langle 1, -2 \rangle$	
Euclid(29, 9)	$2 = 29 - 3 * 9$	$2 = \langle -3, 7 \rangle$	
Euclid(9, 2)	$1 = 9 - 4 * 2$	$1 = \langle 13, -30 \rangle$	
Euclid(2, 1)	$0 = 2 - 2 * 1$	$2 = \langle -3, 7 \rangle$	

Euclid(1, 0) outputs $1 = 13 * 67 - 30 * 29$

Euclid's GCD algorithm

EUCLID(A,B) // requires $A \geq B \geq 0$

If $B=0$ then Return A

 else Return Euclid(B, $A \bmod B$)

$T(m)$ = the largest number of recursive calls that Euclid makes on any input pair with $B=m$

Euclid's GCD algorithm

EUCLID(A,B) // requires $A \geq B \geq 0$

If $B=0$ then Return A

 else Return Euclid(B, $A \bmod B$)

We already know that $T(m) \leq 2\log_2 m$

Lame: $T(F_k) = k$ [1845]

EUCLID(A,B) // requires $A \geq B \geq 0$

If $B=0$ then Return A

else Return Euclid(B, A mod B)

First we show that $T(F_k) \geq k$

Lemma:

$\text{Euclid}(F_{k+1}, F_k)$ makes k recursive calls

$\text{Euclid}(F_{k+1}, F_k)$ will call ...

$\text{Euclid}(F_k, F_{k-1})$ will call ...

$\text{Euclid}(F_{k-1}, F_{k-2})$ will call ...

•

$\text{Euclid}(F_2, F_1)$ will call ...

$\text{Euclid}(F_1, F_0)$

Hence $T(F_k) \geq k$

Lemma: $\text{Euclid}(F_{k+1}, F_k)$ makes k
recursive calls

Corollary: $T(F_k) \geq k$

Lemma: $\text{Euclid}(F_{k+1}, F_k)$ makes k recursive calls

We have: $T(F_k) \geq k$

We now want to show: $T(F_k) \leq k$

We prove $T(F_k) \leq k$ it by proving that:
 $\text{Euclid}(A, B)$ makes k calls $\Rightarrow$

$$A \geq F_{k+1} \text{ and } B \geq F_k$$

Lemma: $\text{Euclid}(F_{k+1}, F_k)$ makes k recursive calls

We proceed by induction on k , starting at $k=2$.

$\text{Euclid}(A, B)$ makes k calls $\Rightarrow$

$$A \geq F_{k+1} \text{ and } B \geq F_k$$

$\forall k \geq 2, \text{Euclid}(A,B) \text{ makes } k \text{ calls}$
 $\Rightarrow A \geq F_{k+1} \text{ and } B \geq F_k$

Base: $k=2$

$B > 0$ since EUCLID doesn't halt right away.

$B \geq 1 \text{ and } A \geq 2$

$B \geq F_2 \quad A \geq F_3$

$\forall k \geq 2$, Euclid(A,B) makes k calls
 $\Rightarrow A \geq F_{k+1}$ and $B \geq F_k$

Assume we have proved the hypothesis up to k-1

$k > 2$ means EUCLID(A, B) will call

EUCLID(B, A mod B) which will make
k-1 recursive calls

By induction: $B \geq F_k$ and $A \bmod B \geq F_{k-1}$

$\forall k \geq 2$, Euclid(A,B) makes k calls
 $\Rightarrow A \geq F_{k+1}$ and $B \geq F_k$

By induction: $B \geq F_k$ and $A \bmod B \geq F_{k-1}$

$$B + (A \bmod B) \geq F_k + F_{k-1} \geq F_{k+1}$$

$$A \geq B + (A \bmod B)$$

Thus: $A \geq F_{k+1}$

$\forall k \geq 2$, Euclid(A,B) makes k calls
 $\Rightarrow A \geq F_{k+1}$ and $B \geq F_k$

Corollary:

If $T(m) \geq k$ then $m \geq F_k$

Hence, $T(F_k) = k$ for all k .

And a worst case input for requiring k steps
in the pair F_{k+1} and F_k .

Continued fraction representation of a standard fraction

$$\frac{67}{29} = 2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}$$

$$\begin{aligned}
 67/29 &= 2 \text{ with remainder } 9/29 \\
 &= 2 + 1/(29/9)
 \end{aligned}$$

$$\frac{67}{29} = 2 + \frac{1}{\frac{29}{9}} = 2 + \frac{1}{3 + \frac{2}{9}} = + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}$$

A Representational Correspondence

$$\frac{67}{29} = 2 + \frac{1}{\frac{29}{9}} = 2 + \frac{1}{3 + \frac{2}{9}} = 2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}$$

Euclid(67,29)

Euclid(29,9)

Euclid(9,2)

Euclid(2,1)

Euclid(1,0)

67 div 29 = 2

29 div 9 = 3

9 div 2 = 4

2 div 1 = 2

Euclid's GCD = Continued Fractions

$$\frac{A}{B} = \left\lfloor \frac{A}{B} \right\rfloor + \frac{1}{\frac{A \bmod B}{B}}$$

$\text{Euclid}(A, B) = \text{Euclid}(B, A \bmod B)$

Stop when $B=0$

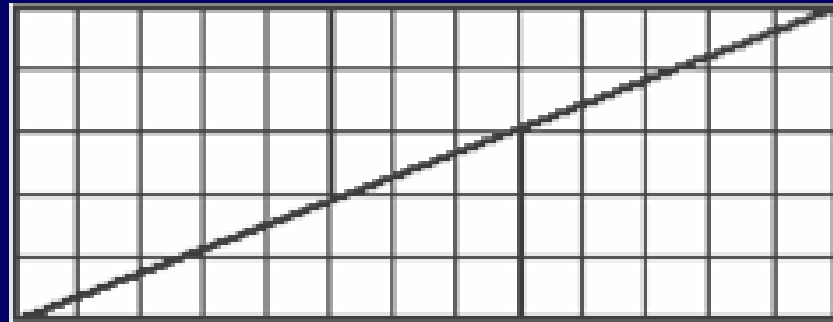
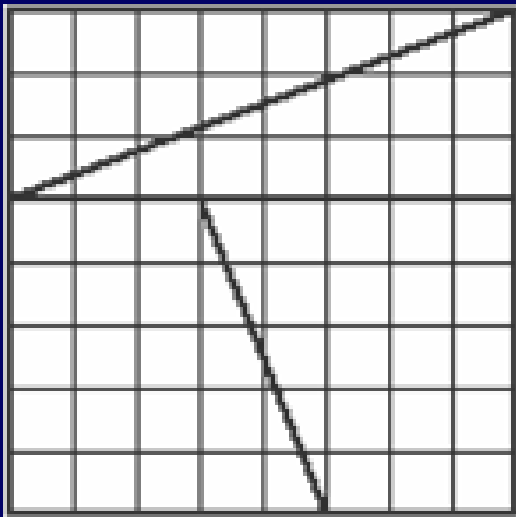
Theorem: All fractions have finite
continuous fraction expansions

$$\frac{A}{B} = \left\lfloor \frac{A}{B} \right\rfloor + \frac{1}{\frac{A \bmod B}{B}}$$

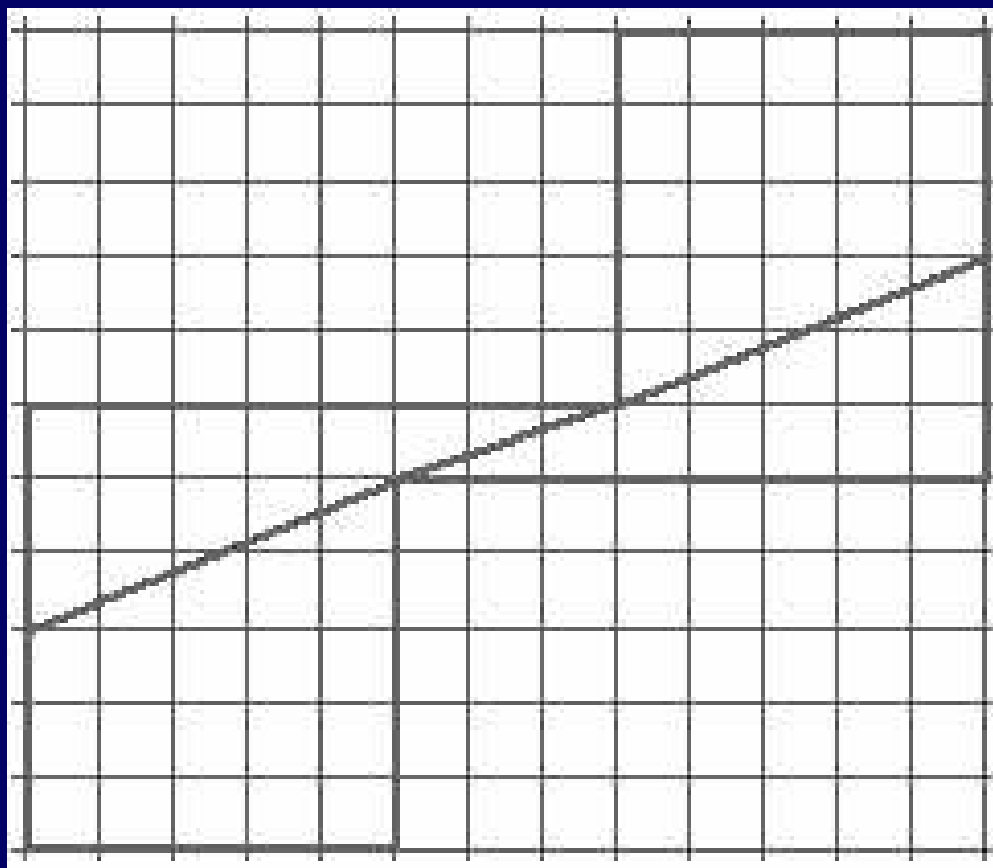
Euclid(A,B) = Euclid(B, A mod B)

Stop when B=0

Fibonacci Magic Trick



Another Trick!



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