

# 15-251

Great Theoretical Ideas  
in Computer Science

## A quick recap on reductions and NP-hardness

Lecture 29 (December 3, 2009)

In the previous lecture,  
we saw two problem classes:  
**P and NP**

### The Class P

We say a set  $L \subseteq \Sigma^*$  is in P if there is  
a program A and  
a polynomial  $p(\cdot)$

such that for any  $x$  in  $\Sigma^*$ ,

A(x) runs for at most  $p(|x|)$  time  
and answers question “is  $x$  in L?” correctly.

### The Class P

The class of all sets L that can be  
recognized in polynomial time.

The class of all decision problems that  
can be decided in polynomial time.

### P

contains many useful problems:

- graph connectivity
- minimum spanning tree
- matchings in graphs
- shortest paths
- solving linear systems  $Ax = b$
- linear programming
- maximum flows

Many of this we will (re)visit in 15-451.

## NP

A set  $L \in \text{NP}$

if there exists an algorithm  $A$  and a polynomial  $p(\cdot)$  such that

For all  $x \in L$

there exists  $y$  with  
 $|y| \leq p(|x|)$

such that  $A(x, y) = \text{YES}$

in  $p(|x|)$  time

"exists a quickly-verifiable proof"

For all  $x' \notin L$

For all  $y'$  with  
 $|y'| \leq p(|x'|)$

such that  $A(x', y') = \text{NO}$

in  $p(|x'|)$  time

"all non-proofs rejected"

## The Class NP

The class of sets  $L$  for which there exist "short" proofs of membership  
(of polynomial length)  
that can be "quickly" verified  
(in polynomial time).

Recall:  $A$  doesn't have to find these proofs  $y$ ; it just needs to be able to verify that  $y$  is a "correct" proof.

## $P \subseteq \text{NP}$

For any  $L$  in  $P$ , we can just take  $y$  to be the empty string and satisfy the requirements.

Hence, every language in  $P$  is also in  $\text{NP}$ .

## Summary: $P$ versus $\text{NP}$

Set  $L$  is in  $P$  if membership in  $L$  can be decided in poly-time.

Set  $L$  is in  $\text{NP}$  if each  $x$  in  $L$  has a short "proof of membership" that can be verified in poly-time.

Fact:  $P \subseteq \text{NP}$

Million (Billion) \$ question: Does  $\text{NP} \subseteq P$ ?

## NP Contains Lots of Problems We Don't Know to be in $P$

Classroom Scheduling  
Packing objects into bins  
Scheduling jobs on machines  
Finding cheap tours visiting a subset of cities  
Allocating variables to registers  
Finding good packet routings in networks  
Decryption  
...

## E.g. Scheduling Jobs on Machines

Input:

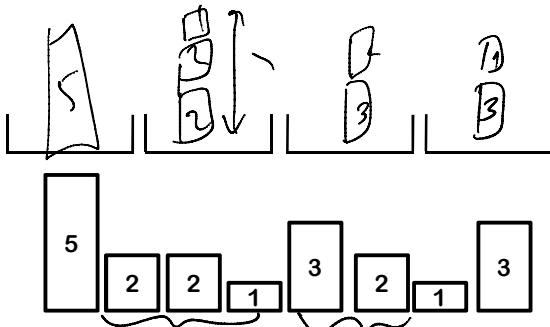
A set of  $n$  jobs, each job  $j$  has processing time  $p_j$

A set of  $m$  identical machines

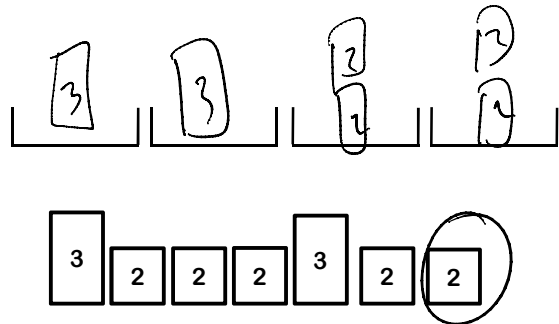
A value  $T$

Can you allocate these  $n$  jobs to these  $m$  machines such that the latest ending time over all jobs  $\leq T$ ?

E.g.:  $m=4$  machines,  $n=8$  jobs,  $T = 5$



E.g.:  $m=4$  machines,  $n=7$  jobs,  $T = 4$



### E.g. Scheduling Jobs on Machines

Input:

A set of  $n$  jobs, each job  $j$  has processing time  $p_j$

A set of  $m$  identical machines

A value  $T$

Can you allocate these  $n$  jobs to these  $m$  machines such that the latest ending time over all jobs  $\leq T$ ?

(This latest ending time is called the “makespan”)

we think it is NP hard...

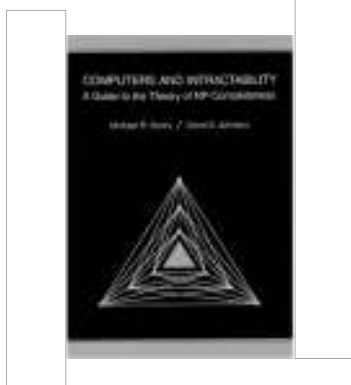
### NP hardness proof

To prove NP hardness, find a problem such that

- that problem is itself NP hard
- show that if you can solve Makespan minimization quickly, you can solve that problem quickly

“reduce a hard problem to Makespan-minimization”

Can you suggest such a problem?



### The (NP hard) Partition Problem

Given a set  $A = \{a_1, a_2, \dots, a_n\}$  of  $n$  naturals which sum up to  $2B$  ( $B$  is a natural), find a subset of these that sums to exactly  $B$ .

so we've found a problem such that:

- the problem is itself NP hard

*Garey & Johnson  
prove that  
Partition is  
NP-hard*

b) show that if you can solve Makespan minimization quickly, you can solve Partition quickly

Take any instance  $(A = \{a_1, \dots, a_n\}, B)$  of Partition

Each natural number in  $A$  corresponds to a job.  
The processing time  $p_j = a_j$

We have  $m = 2$  machines.

Easy Theorem: there is a solution with makespan  $= B$   
iff there is a partition of  $A$  into two equal parts.

$\Rightarrow$  if you solve Makespan fast, you solve Partition fast.

$\Rightarrow$  if Partition is hard, Makespan is hard.

### E.g. Scheduling Jobs on Machines

Input:

A set of  $n$  jobs, each job  $j$  has processing time  $p_j$

A set of  $m$  identical machines

Allocate these  $n$  jobs to these  $m$  machines to minimize the ending time of the last job to finish.

(We call this objective function the “makespan”)

**NP hard!**

I now know that  
Makespan Minimization  
is NP-hard.

How do I show that  
Makespan Minimization  
is NP-complete?

Show that the problem is itself in NP.

### Being in NP

If someone claims the answer is YES,  
can they convince you in polynomial  
time that the answer is YES?

I.e., is there a poly-time checkable “proof” that  
truly YES instances should pass  
and  
none of the NO instances should pass?

Makespan  $\in$  NP  
Makespan is NP-hard  

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 $\Rightarrow$  Makespan is NP-complete