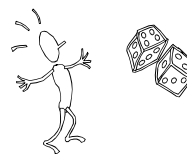


15-251

Great Theoretical Ideas in Computer Science

Randomness and Computation

Lecture 18 (October 25, 2007)



Checking Our Work

Suppose we want to check $p(x) q(x) = r(x)$, where p , q and r are three polynomials.

$$(x-1)(x^3+x^2+x+1) = x^4-1$$

If the polynomials are long, this requires n^2 mults by elementary school algorithms

-- or can do faster with fancy techniques like the Fast Fourier transform.

Can we check if $p(x) q(x) = r(x)$ more efficiently?

Great Idea: Evaluating on Random Inputs

Let $f(x) = p(x) q(x) - r(x)$. Is f zero everywhere?

Idea: Evaluate f on a *random* input z .

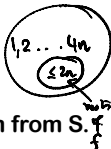
If we get nonzero $f(z)$, clearly f is not zero.

If we get $f(z) = 0$, this is (weak) evidence that f is zero everywhere.

In fact: If $f(x)$ is a degree $2n$ polynomial, it can only have $2n$ roots. We're unlikely to guess one of these by chance!

Equality checking by random evaluation

1. Fix a sample space $S = \{z_1, z_2, \dots, z_m\}$ with arbitrary points z_i , for $m=4n$.



2. Select random z uniformly at random from S .
3. Evaluate $f(z) = p(z) q(z) - r(z)$
4. If $f(z) = 0$, output "possibly equal" otherwise output "not equal"

Equality checking by random evaluation

What is the probability the algorithm outputs "not equal" when in fact $f = 0$?

Zero!

$$f(z) = 0$$

If $p(x)q(x) = r(x)$, always correct!



Equality checking by random evaluation

What is the probability the algorithm outputs “maybe equal” when in fact $f \neq 0$?

Let $A = \{z \mid z \text{ is a root of } f\}$.

Recall that $|A| \leq \text{degree of } f \leq 2n$.

Therefore: $P(A) \leq 2n/m = 2n/4n = 1/2$

Equality checking by random evaluation

By repeating this procedure k times, we are “fooled” by the event



$f(z_1) = f(z_2) = \dots = f(z_k) = 0$
when actually $f(x) \neq 0$

with probability no bigger than

$$P(A) \leq (2n/m)^k = 2^{-k}$$

Wow! That idea could be used for testing equality of lots of different types of “functions”!



“Random Fingerprinting”

Find a small random “fingerprint” of a large object: e.g., the value $f(z)$ of a polynomial at a point z .

This fingerprint captures the essential information about the larger object: if two large objects are different, their fingerprints are usually different!

Earth has huge file X that she transferred to Moon. Moon gets Y .



Earth: X

Did you get that file ok? Was the transmission accurate?

Uh, yeah....
I guess....



Moon: Y

How do we quickly check for accuracy? More soon...



Legendre



Gauss

Let $\pi(n)$ be the number of primes between 1 and n .

I wonder how fast $\pi(n)$ grows?

Conjecture [1790s]:

$$\lim_{n \rightarrow \infty} \frac{\pi(n)}{n/\ln n} = 1$$

Their estimates

| x | $\pi(x)$ | Gauss' Li | Legendre | $x/(\log x - 1)$ |
|-------------|-----------|-----------|-----------|------------------|
| 1000 | 168 | 178 | 172 | 169 |
| 10000 | 1229 | 1246 | 1231 | 1218 |
| 100000 | 9592 | 9630 | 9588 | 9512 |
| 1000000 | 78498 | 78628 | 78534 | 78030 |
| 10000000 | 664579 | 664918 | 665138 | 661459 |
| 100000000 | 5761455 | 5762209 | 5769341 | 5740304 |
| 1000000000 | 50847534 | 50849235 | 50917519 | 50701542 |
| 10000000000 | 455052511 | 455055614 | 455743004 | 454011971 |



De la Vallée Poussin



J-S Hadamard

Two independent proofs of the Prime Density Theorem [1896]:

$$\lim_{n \rightarrow \infty} \frac{\pi(n)}{n / \ln n} = 1$$

The Prime Density Theorem

This theorem remains one of the celebrated achievements of number theory.

In fact, an even sharper conjecture remains one of the great open problems of mathematics!

The Riemann Hypothesis [1859]:

$$\lim_{n \rightarrow \infty} \frac{\pi(n) - n / \ln n}{\sqrt{n}} = 0$$

still unproven!



The Prime Density Theorem

$$\lim_{n \rightarrow \infty} \frac{\pi(n)}{n / \ln n} = 1$$




Slightly easier to show
 $\pi(n)/n \geq 1/(2 \log n)$.

$$\text{Harder! } \frac{\pi(n)}{n} \geq \frac{\log 2}{4} \cdot \frac{1}{\log n}$$

Random log n bit number is a random number from 1..n



$\pi(n) / n \geq 1/2 \log n$
means that a random logn-bit number has at least a $1/(2 \log n)$ chance of being prime.



Random k bit number is a random number from $1..2^k$

$$\pi(2^k) / 2^k \geq 1/(2k)$$

means that a random k -bit number has at least a $1/(2k)$ chance of being prime.

Really useful fact

A random k -bit number has at least a $1/2k$ chance of being prime.

So if we pick $2k$ random k -bit numbers the expected number of primes on the list is at least 1

Picking A Random Prime

Many modern cryptosystems (e.g., RSA) include the instructions:

“Pick a random n -bit prime.”

How can this be done efficiently?

Picking A Random Prime

“Pick a random n -bit prime.”

Strategy:

- 1) Generate random n -bit numbers
- 2) Test each one for primality
[more on this later in the lecture]
- 3) Repeat until you find a prime.

Picking A Random Prime

“Pick a random n -bit prime.”

- 1) Generate kn random n -bit numbers
Each trial has a $\geq 1/2n$ chance of being prime.

$\Pr[\text{all } kn \text{ trials yield composites}]$

$$\leq (1-1/2n)^{kn} = (1-1/2n)^{2n \cdot k/2} \leq 1/e^{k/2}$$

Picking A Random Prime

“Pick a random n -bit prime.”

Strategy:

- 1) Generate random n -bit numbers
- 2) Test each one for primality

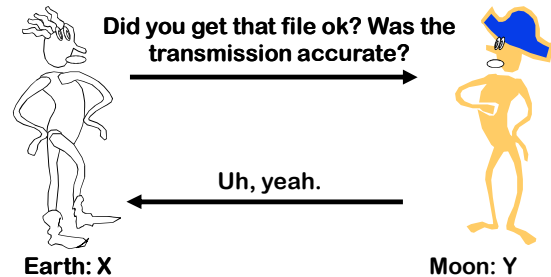
If we try out 10000 random 1000-bit numbers, chance of not getting any 1000-bit primes $\leq e^{-5}$

Moral of the story

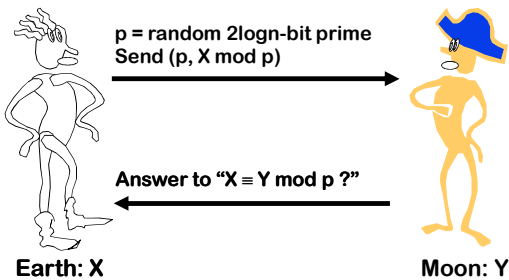
Picking a random prime is
“almost as easy as”
picking a random number.

(Provided we can check for primality.
More on this later.)

Earth has huge file X that she
transferred to Moon. Moon gets Y.



Are X and Y the same n-bit
numbers?



Why is this any good?

Easy case:

If $X = Y$, then $X \equiv Y \pmod{p}$

Why is this any good?

Harder case:

What if $X \neq Y$? We mess up if $p \mid (X-Y)$.

Define $Z = (X-Y)$. To mess up, p must divide Z .

Z is an n-bit number.

$\Rightarrow Z$ is at most 2^n .

But each prime ≥ 2 .

Hence Z has at most n prime divisors.

Almost there...


Z has at most n prime divisors.

How many $2^{\log n}$ -bit primes? $\# \text{ primes} \geq \frac{n^2}{\log(n^2)}$

A random k-bit number has at least a $\frac{1}{2k}$ chance of being prime.

at least $2^{2\log n} / (2 \cdot 2\log n) = n^2 / (4\log n) \gg 2n$ primes.

Only (at most) half of them divide Z .

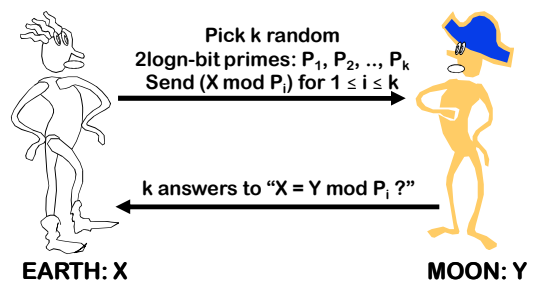


Theorem:
Let X and Y be distinct n -bit numbers. Let p be a random $2\log n$ -bit prime.

Then
 $\text{Prob}[X = Y \bmod p] < 1/2$

Earth-Moon protocol makes mistake with probability at most $1/2$!

Boosting the success probability



Pick k random $2\log n$ -bit primes: P_1, P_2, \dots, P_k
Send $(X \bmod P_i)$ for $1 \leq i \leq k$

k answers to " $X = Y \bmod P_i$?"

EARTH: X **MOON: Y**

Exponentially smaller error probability

If $X=Y$, always accept.

If $X \neq Y$,
 $\text{Prob}[X = Y \bmod P_i \text{ for all } i] \leq (1/2)^k$

Picking A Random Prime

"Pick a random n -bit prime."

Strategy:

- 1) Generate random n -bit numbers
- 2) Test each one for primality


How do we test for primality?

Primality Testing: Trial Division On Input n

Trial division up to \sqrt{n}

for $k = 2$ to \sqrt{n} do
if $k | n$ then
return " n is not prime"
otherwise return " n is prime"

about \sqrt{n} divisions



Trial division performs \sqrt{n} divisions on input n .

Is that efficient?

For a 1000-bit number, this will take about 2^{500} operations.
That's not very efficient at all!!!

More on efficiency and run-times in a future lecture...

But so many cryptosystems, like RSA and PGP, use fast primality testing as part of their subroutine to generate a random n -bit prime!

What is the fast primality testing algorithm that they use?



There are fast *randomized* algorithms to do primality testing.



Miller-Rabin test



Solovay-Strassen test

If n is composite, how would you show it?

Give a non-trivial factor of n .

But, we don't know how to factor numbers fast.

We will use a *different* certificate of compositeness that does not require factoring.



Recall that for prime p , $a \not\equiv 0 \pmod{p}$:
Fermat Little Thm: $a^{p-1} \equiv 1 \pmod{p}$.

Hence, $a^{(p-1)/2} \equiv \pm 1 \pmod{p}$

So if we could find some $a \not\equiv 0 \pmod{p}$ such that $a^{(p-1)/2} \not\equiv \pm 1$

$\Rightarrow p$ must not be prime.



Is n prime?

want to find
 $a \in \text{Good}_n$

$\text{Good}_n = \{ a \in \mathbb{Z}_n^* \mid a^{(n-1)/2} \not\equiv \pm 1 \}$
(these prove that n is not prime)

$\text{Useless}_n = \{ a \in \mathbb{Z}_n^* \mid a^{(n-1)/2} \equiv \pm 1 \}$
(these don't prove anything)

Theorem:
if Good_n is not empty, then Good_n contains at least half of \mathbb{Z}_n^* .



Proof

$\text{Good}_n = \{ a \in \mathbb{Z}_n^* \mid a^{(n-1)/2} \not\equiv \pm 1 \}$

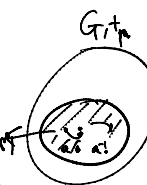
$\text{Useless}_n = \{ a \in \mathbb{Z}_n^* \mid a^{(n-1)/2} \equiv \pm 1 \}$

Fact 1: Useless_n is a subgroup of \mathbb{Z}_n^*

Fact 2: If H is a subgroup of G then $|H|$ divides $|G|$.

\Rightarrow If Good is not empty, then $|\text{Useless}| \leq |\mathbb{Z}_n^*|/2$
Lagrange's Thm

$\Rightarrow |\text{Good}| \geq |\mathbb{Z}_n^*|/2$



Randomized Primality Test

Let's suppose that $\text{Good}_n = \{ a \in \mathbb{Z}_n^* \mid a^{(n-1)/2} \neq \pm 1 \}$ contains at least half the elements of \mathbb{Z}_n^* .

Randomized Test:

For $i = 1$ to k :

Pick random $a_i \in [2 \dots n-1]$;

If $\text{GCD}(a_i, n) \neq 1$, Halt with "Composite";

If $a_i^{(n-1)/2} \neq \pm 1$, Halt with "Composite";

Halt with "I think n is prime. I am only wrong $(\frac{1}{2})^k$ fraction of times I think that n is prime."

Is Good_n non-empty for all primes n ?

Recall: $\text{Good}_n = \{ a \in \mathbb{Z}_n^* \mid a^{(n-1)/2} \neq \pm 1 \}$

Good_n may be empty even if n is not a prime.

A Carmichael number is a number n such that $a^{(n-1)/2} \equiv 1 \pmod{n}$ for all numbers a with $\text{gcd}(a, n) = 1$.

Example: $n = 561 = 3 \cdot 11 \cdot 17$ (the smallest Carmichael number)

$$1105 = 5 \cdot 13 \cdot 17$$

$$1729 = 7 \cdot 13 \cdot 19$$

And there are many of them. For sufficiently large m , there are at least $m^{2/7}$ Carmichael numbers between 1 and m .

The saving grace

The randomized test fails only for Carmichael numbers.

But, there is an efficient way to test for Carmichael numbers.

Which gives an efficient algorithm for primality.

Randomized Primality Test

Let's suppose that Good_n contains at least half the elements of \mathbb{Z}_n^* .

Randomized Test:

For $i = 1$ to k :


Pick random $a_i \in [2 \dots n-1]$;

If $\text{GCD}(a_i, n) \neq 1$, Halt with "Composite";

If $a_i^{(n-1)/2} \neq \pm 1$, Halt with "Composite";

If n is Carmichael, Halt with "Composite"

Halt with "I think n is prime. I am only wrong $(\frac{1}{2})^k$ fraction of times I think that n is prime."



$\sqrt{x} = 2^k = 2^{300}$
 $2^{n^k} = 2^n$

Primality Versus Factoring

Primality has a fast randomized algorithm.

Factoring is not known to have a fast algorithm. The fastest randomized algorithm currently known takes $\exp(O(n \log n \log n)^{1/3})$ operations on n -bit numbers.

| number | digits | prize | factored |
|----------|--------|-----------|---------------|
| RSA-100 | 100 | | Apr. 1991 |
| RSA-110 | 110 | | Apr. 1992 |
| RSA-120 | 120 | | Jun. 1993 |
| RSA-129 | 129 | \$100 | Apr. 1994 |
| RSA-130 | 130 | | Apr. 10, 1996 |
| RSA-140 | 140 | | Feb. 2, 1999 |
| RSA-150 | 150 | | Apr. 16, 2004 |
| RSA-155 | 155 | | Aug. 22, 1999 |
| RSA-160 | 160 | | Apr. 1, 2003 |
| RSA-200 | 200 | | May 9, 2005 |
| RSA-576 | 174 | \$10,000 | Dec. 3, 2003 |
| RSA-640 | 193 | \$20,000 | Nov 2, 2005 |
| RSA-704 | 212 | \$30,000 | open |
| RSA-768 | 232 | \$50,000 | open |
| RSA-896 | 270 | \$75,000 | open |
| RSA-1024 | 309 | \$100,000 | open |
| RSA-1536 | 463 | \$150,000 | open |
| RSA-2048 | 617 | \$200,000 | open |

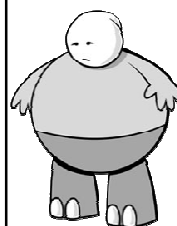
Google: RSA Challenge Numbers

The techniques we've been discussing today are sometimes called "fingerprinting."

The idea is that a large object such as a string (or document, or function, or data structure...) is represented by a much smaller "fingerprint" using randomness.



If two objects have identical sets of fingerprints, they're likely the same object.



Here's What You Need to Know...

Primes

Prime number theorem
How to pick random primes

Fingerprinting

How to check if a polynomial of degree d is zero
How to check if two n -bit strings are identical

Primality

Fermat's Little Theorem
Algorithm for testing primality