

# Time and synchronization

(“There’s never enough time...”)

## Today’s outline

- Global Time
- Time in distributed systems
  - A baseball example
- Synchronizing real clocks
  - Cristian’s algorithm
  - The Berkeley Algorithm
  - Network Time Protocol (NTP)
- Logical time
- Lamport logical clocks
- Vector Clocks

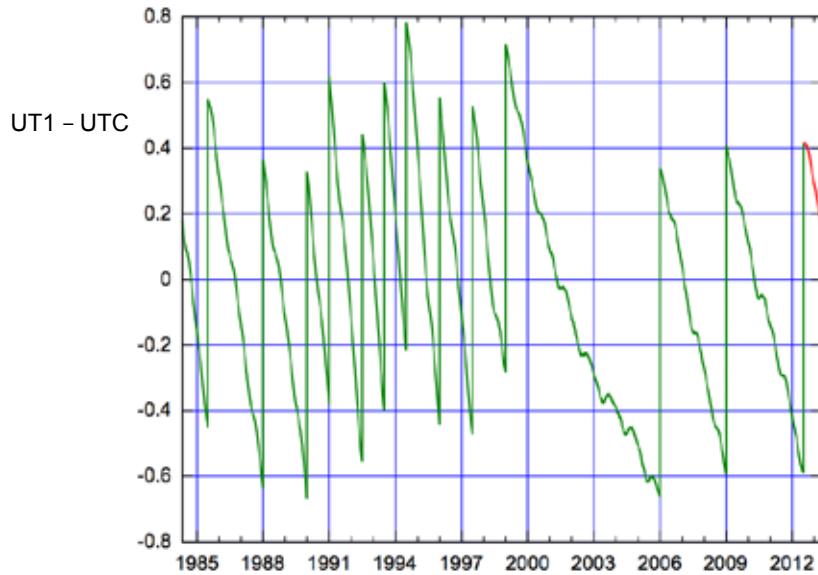
## Why Global Timing?

- Suppose there were a globally consistent time standard
- Would be handy
  - Who got last seat on airplane?
  - Who submitted final auction bid before deadline?
  - Did defense move before snap?

## Time Standards

- UT1
  - Based on astronomical observations
  - “Greenwich Mean Time”
- TAI
  - Started Jan 1, 1958
  - Each second is 9,192,631,770 cycles of radiation emitted by Cesium atom
  - Has diverged from UT1 due to slowing of earth’s rotation
- UTC
  - TAI + leap seconds to be within 800ms of UT1
  - Currently 35
  - Most recent: June 30, 2012

## Comparing Time Standards



## Distributed time

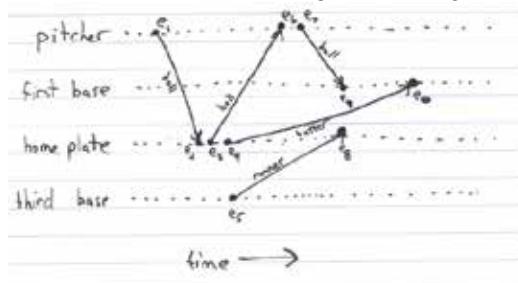
- Premise
  - The notion of time is well-defined (and measurable) at each single location
  - But the relationship between time at different locations is unclear
    - Can minimize discrepancies, but never eliminate them
- Reality
  - Stationary GPS receivers can get global time with  $< 1\mu\text{s}$  error
  - Few systems designed to use this

## A baseball example

- Four locations: pitcher's mound, first base, home plate, and third base
- Ten events:
  - $e_1$ : pitcher throws ball to home
  - $e_2$ : ball arrives at home
  - $e_3$ : batter hits ball to pitcher
  - $e_4$ : batter runs to first base
  - $e_5$ : runner runs to home
  - $e_6$ : ball arrives at pitcher
  - $e_7$ : pitcher throws ball to first base
  - $e_8$ : runner arrives at home
  - $e_9$ : ball arrives at first base
  - $e_{10}$ : batter arrives at first base

## A baseball example

- Pitcher knows  $e_1$  happens before  $e_6$ , which happens before  $e_7$
- Home plate umpire knows  $e_2$  is before  $e_3$ , which is before  $e_4$ , which is before  $e_8$ , ...
- Relationship between  $e_8$  and  $e_9$  is unclear

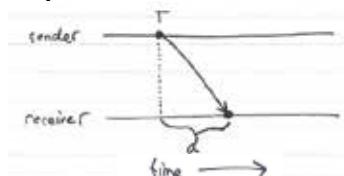


## Ways to synchronize

- Send message from first base to home?
  - Or to a central timekeeper
  - How long does this message take to arrive?
- Synchronize clocks before the game?
  - Clocks drift
    - million to one => 1 second in 11 days
- Synchronize continuously during the game?
  - GPS, pulsars, etc

## Perfect networks

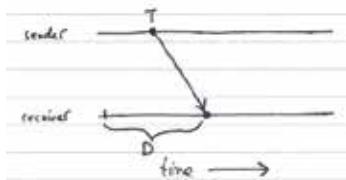
- Messages always arrive, with propagation delay exactly  $d$



- Sender sends time  $T$  in a message
- Receiver sets clock to  $T+d$ 
  - Synchronization is exact

## Synchronous networks

- Messages always arrive, with propagation delay *at most*  $D$



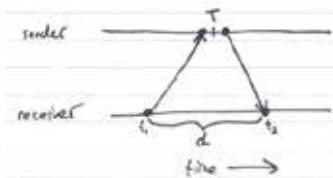
- Sender sends time  $T$  in a message
- Receiver sets clock to  $T + D/2$ 
  - Synchronization error is at most  $D/2$

## Synchronization in the real world

- Real networks are asynchronous
  - Propagation delays are arbitrary
- Real networks are unreliable
  - Messages don't always arrive

## Cristian's algorithm

- Request time, get reply
  - Measure actual round-trip time  $d$



- Sender's time was  $T$  between  $t_1$  and  $t_2$
- Receiver sets time to  $T + d/2$ 
  - Synchronization error is at most  $d/2$
- Can retry until we get a relatively small  $d$

## The Berkeley algorithm

- Master uses Cristian's algorithm to get time from many clients
  - Computes average time
  - Can discard outliers
- Sends time adjustments back to all clients

## The Network Time Protocol (NTP)

- Uses a hierarchy of time servers
  - Class 1 servers have highly-accurate clocks
    - connected directly to atomic clocks, etc.
  - Class 2 servers get time from only Class 1 and Class 2 servers
  - Class 3 servers get time from any server
- Synchronization similar to Cristian's alg.
  - Modified to use multiple one-way messages instead of immediate round-trip
- Accuracy: Local ~1ms, Global ~10ms

## Real synchronization is imperfect

- Clocks never exactly synchronized
- Often inadequate for distributed systems
  - might need totally-ordered events
  - might need millionth-of-a-second precision

## Logical time

- Capture just the “happens before” relationship between events
  - Discard the infinitesimal granularity of time
  - Corresponds roughly to causality
- Time at each process is well-defined
  - Definition ( $\rightarrow_i$ ): We say  $e \rightarrow_i e'$  if  $e$  happens before  $e'$  at process  $i$

## Global logical time

- Definition ( $\rightarrow$ ): We define  $e \rightarrow e'$  using the following rules:
  - Local ordering:  $e \rightarrow e'$  if  $e \rightarrow_i e'$  for any process  $i$
  - Messages:  $\text{send}(m) \rightarrow \text{receive}(m)$  for any message  $m$
  - Transitivity:  $e \rightarrow e''$  if  $e \rightarrow e'$  and  $e' \rightarrow e''$
- We say  $e$  “happens before”  $e'$  if  $e \rightarrow e'$

# Concurrency

- $\rightarrow$  is only a partial-order
  - Some events are unrelated
- Definition (concurrency): We say  $e$  is concurrent with  $e'$  (written  $e \parallel e'$ ) if neither  $e \rightarrow e'$  nor  $e' \rightarrow e$

## The baseball example revisited

- $e_1 \rightarrow e_2$ 
  - by the message rule
- $e_1 \rightarrow e_{10}$ , because
  - $e_1 \rightarrow e_2$ , by the message rule
  - $e_2 \rightarrow e_4$ , by local ordering at home plate
  - $e_4 \rightarrow e_{10}$ , by the message rule
  - Repeated transitivity of the above relations
- $e_8 \parallel e_9$ , because
  - No application of the  $\rightarrow$  rules yields either  $e_8 \rightarrow e_9$  or  $e_9 \rightarrow e_8$

## Lamport logical clocks

- Lamport clock  $L$  orders events consistent with logical “happens before” ordering
  - If  $e \rightarrow e'$ , then  $L(e) < L(e')$
- But not the converse
  - $L(e) < L(e')$  does not imply  $e \rightarrow e'$
- Similar rules for concurrency
  - $L(e) = L(e')$  implies  $e \parallel e'$  (for distinct  $e, e'$ )
  - $e \parallel e'$  does not imply  $L(e) = L(e')$
- i.e., Lamport clocks arbitrarily order some concurrent events

## Lamport's algorithm

- Each process  $i$  keeps a local clock,  $L_i$
- Three rules:
  1. At process  $i$ , increment  $L_i$  before each event
  2. To send a message  $m$  at process  $i$ , apply rule 1 and then include the current local time in the message: i.e.,  $send(m, L_i)$
  3. To receive a message  $(m, t)$  at process  $j$ , set  $L_j = \max(L_j, t)$  and then apply rule 1 before time-stamping the receive event
- The global time  $L(e)$  of an event  $e$  is just its local time
  - For an event  $e$  at process  $i$ ,  $L(e) = L_i(e)$

## Lamport on the baseball example

- Initializing each local clock to 0, we get

$L(e_1) = 1$	(pitcher throws ball to home)
$L(e_2) = 2$	(ball arrives at home)
$L(e_3) = 3$	(batter hits ball to pitcher)
$L(e_4) = 4$	(batter runs to first base)
$L(e_5) = 1$	(runner runs to home)
$L(e_6) = 4$	(ball arrives at pitcher)
$L(e_7) = 5$	(pitcher throws ball to first base)
$L(e_8) = 5$	(runner arrives at home)
$L(e_9) = 6$	(ball arrives at first base)
$L(e_{10}) = 7$	(batter arrives at first base)

- For our example, Lamport's algorithm says that the run scores!

## Total-order Lamport clocks

- Many systems require a total-ordering of events, not a partial-ordering
- Use Lamport's algorithm, but break ties using the process ID
  - $L(e) = M * L_i(e) + i$
  - $M$  = maximum number of processes

## Vector Clocks

- Goal
  - Want ordering that matches causality
  - $V(e) < V(e')$  if and only if  $e \rightarrow e'$
- Method
  - Label each event by vector  $V(e) [c_1, c_2 \dots, c_n]$ 
    - $c_i = \#$  events in process  $i$  that causally precede  $e$

## Vector Clock Algorithm

- Initially, all vectors  $[0,0,\dots,0]$
- For event on process  $i$ , increment own  $c_i$
- Label message sent with local vector
- When process  $j$  receives message with vector  $[d_1, d_2, \dots, d_n]$ :
  - Set local each local entry  $k$  to  $\max(c_k, d_k)$
  - Increment value of  $c_j$

## Vector clocks on the baseball example

Event	Vector	Action
$e_1$	[1,0,0,0]	pitcher throws ball to home
$e_2$	[1,0,1,0]	ball arrives at home
$e_3$	[1,0,2,0]	batter hits ball to pitcher
$e_4$	[1,0,3,0]	batter runs to first base)
$e_5$	[0,0,0,1]	runner runs to home
$e_6$	[2,0,2,0]	ball arrives at pitcher
$e_7$	[3,0,2,0]	pitcher throws ball to 1 <sup>st</sup> base
$e_8$	[1,0,4,1]	runner arrives at home
$e_9$	[3,1,2,0]	ball arrives at first base
$e_{10}$	[3,2,3,0]	batter arrives at first base

- Vector: [p,f,h,t]

## Important Points

- Physical Clocks
  - Can keep closely synchronized, but never perfect
- Logical Clocks
  - Encode causality relationship
  - Lamport clocks provide only one-way encoding
  - Vector clocks provide exact causality information