The general case

- What limitations do the pinnae measurements have?
  - Do not take into account the effect of the head and body.

- How to characterize the filtering?
  - Measure the transfer function: ratio of pressure at sound source to pressure of (ideally) sound reaching eardrum.
  - This is called the *head-related transfer function (HRTF)*.
Measuring HRTFs

- Different types of HRTFs
  - monaural: pressure at source vs ear drum
  - binaural: pressure difference for two corresponding points in the ear canal

Kemar the sound dummy

Subject with probe mics from Blauert, 1997
Measured monaural HRTF

from Blauert, 1997
Measured binaural HRTF

from Blauert, 1997
Problems in using HRTFs

- HRTFs vary across subjects
- can’t easily get an “average”
- but can do “structural averaging”

from Blauert, 1997
More than just direction: cues for sound distance

- Frequency independent $1/r$
- Pressure attenuation – works if you know some properties of sound source
- HRTF depends on distance
- Frequency dependent attenuation (long distances)
- Head movements (short distances)

Curves have $1/r$ attenuation factored out

from Blauert, 1997
Measured monaural HRTFs

from Blauert, 1997
ILD Map: azimuth and elevation

from King et al, 2001

700 Hz

11,000 Hz
Pinna gain as a function of frequency
Ear goggles: Effect of molds on pinna acoustic transfer

from Hofman et al, 1998

(a) Original

(b) Modified

from review by King et al, 2001

Pre control

Post control

Day 0

Day 5

Day 19
What spectral details are necessary?
Role of spectral detail (Kulkarni and Colburn, 1998)
Spectral smoothing of HRTFs (Kulkarni and Colburn, 1998)
What about head movements?
How do we make front-back discriminations?

- pinna are asymmetric
- head movements

• What cues do head movements provide?
  - changes in ITD and IID
  - changes in sound spectrum

(from Blauert, 1997)
Cone of confusion

All points on cone are same relative distance to each ear.

from Blauert, 1997
Evaluating the Role of Head Movements

- Usually heads are immobilized in localization experts.
- Thurlow, Mangles, and Runge, 1967: test subjects ability to freely localize
  - 10 sound sources in anechoic chamber
  - subjects blind-folded
  - free to move head, but kept torso still
  - 500-700 Hz or 7.5-8 kHz

- Their observations:
  - Monaural can be as good as binaural if head movements are allowed.
  - First movement is to orient to (perceived) sound direction.
  - Most move their head back and forth more than once.
  - Most movements were rotations.

- What do head movements with headphone sounds say about the perception that the sound is in head?
  - *Inside is the only consistent location.*
Can head movements give you externalization?

- A Test by Wallach (1938; 1939)
  - sound moves with head
  - (almost) transient free switch
  - ratio between head movement and switch variable
- Speakers are behind subject’s head, sound moves with the head.

Where do subjects think the sound is?
Above (usually)
Another test of externalization

- Now speakers are in front, but sound moves at 2x head angle

Now where do subjects think the sound is?

Behind the head, in all 15 subjects.
The computational problem

Three general approaches:

1. Approximate analytic solutions:
   Simplify physics and solve for variables of interest

2. Empirical solutions:
   Measure sound field transformations and solve inverse problem

3. Adaptive solutions:
   Make minimal assumptions and estimate inverse function