15–210: Parallel and Sequential Data Structures and Algorithms

Practice Midterm II (Solutions)

November 2012

- There are 10 pages in this examination, comprising 6 questions worth a total of 100 points.
- You have 80 minutes to complete this examination.
- Please answer all questions in the space provided with the question. Clearly indicate your answers.
- You may refer to your one double-sided 8\(\frac{1}{2}\) × 11in sheet of paper with notes, but to no other person or source, during the examination.
- Your answers for this exam must be written in blue or black ink.

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Andrew ID: ___________________________ Section: ___________________________

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Question 1: Minimum Spanning Trees  (0 points)

(a) Suppose that you are given a graph $G = (V, E)$ and a its minimum spanning tree $T$. Suppose that we delete from $G$ one of the edges $(u, v) \in T$ and let $G'$ denote this new graph.

(b) Is $G'$ guaranteed to have a minimum spanning tree?

**Solution:** No, the graph can become disconnected.

(c) Assuming that $G'$ has a minimum spanning $T'$, is it true that the number of edges in the $T'$ no greater than $T$? Answer yes or no and your answer explain in one sentence.

**Solution:** Yes, a spanning tree always has the $|V| - 1$ edges.

(d) Assuming that $G'$ has a minimum spanning tree $T'$, by how many edges can $T'$ differ from $T$.

**Solution:** Yes, a spanning tree always has the $|V| - 1$ edges.

(e) Assuming that $G'$ has a minimum spanning tree $T'$, describe an algorithm for finding $T'$. What is the work of your algorithm?

**Solution:** Find the minimum weight edge that connects the two components.
Question 2: Graphs  (15 points)

(a) (6 points) Consider the graph shown below, where the edge weights appear next to the
edges and the heuristic distances to vertex $G$ are in parenthesis next to the vertices.

i. Show the order in which vertices are visited by Dijkstra when the source vertex is $A$.
Remember that Dijkstra doesn’t take into account the heuristic distances.

Solution: A C B E F D G

ii. Show an order in which vertices are visited by $A^*$ when the source vertex is $A$ and
the goal vertex is $G$.

Solution: A C F G

(b) (4 points) What is the key reason you would choose to use $A^*$ instead of Dijkstra’s algo-

Solution: You can use $A^*$ if you want the shortest path to only a single goal vertex,
and not all shortest paths. $A^*$ can be much more efficient, as it tries to move toward
the goal more directly skipping many more vertices than Dijkstra’s algorithm.

(c) (5 points) Show a 3-vertex example of a graph on which Dijkstra’s algorithm always fails.
Please clearly identify which vertex is the source.

Solution:

```
A
/ \  x=4 / \ y=-2  x+y < z < x guarantees failure
/  \  /  \ x+y < z <= x may fail depending on the input order
S -----> B
z=3
```
Question 3: Short Answers   (20 points)
Please answer the following questions each with a few sentences, or a short snippet of code (either pseudocode or SML code). It has to fit in the given space. You will be graded on clarity as well as correctness.

(a) (6 points) Consider an undirected graph $G$ with unique positive weights. Suppose it has a minimum spanning tree (MST) $T$. If we square all the edge weights and compute the MST again, do we still get the same tree structure? Explain briefly.

Solution: Yes we get the same tree. The minimum spanning tree only depends on the ordering among the edges. This is because the only thing we do with edges is compare them.

(b) (6 points) A new startup FastRoute wants to route information along a path in a communication network, represented as a graph. Each vertex represents a router and each edge a wire between routers. The wires are weighted by the maximum bandwidth they can support. FastRoute comes to you and asks you to develop an algorithm to find the path with maximum bandwidth from any source $s$ to any destination $t$. As you would expect, the bandwidth of a path is the minimum of the bandwidth of the edges on that path—the minimum edge is the bottleneck.

Explain how to modify Dijkstra to do this. In particular, how would you change the priority queue and the following relax step?

1 \hspace{1em} function \text{relax}(Q,(u,v,w)) = PQ.insert \ (d(u) + w, v) \ Q

Justify your answer.

Solution: We’ll use a max priority queue instead of a min priority queue used in Dijkstra’s. We will also modify the relax step to insert into the priority queue $\min(d(u), w)$ because the quality of a path is the minimum of the edge weights. These changes don’t affect the structure of Dijkstra’s proof of correctness, so we could explore the vertices like in Dijkstra’s.
(c) (8 points) **Guessing Games** I am thinking of a random non-negative integer, $X$. Of course, I can’t mean *uniformly* random, as that would mean that at least half the time I’m thinking of an infinite integer! As it turns out, the expected value of positive integers I think of is 1000.

i. For some reason, I like to choose 15210 a lot. What’s the maximum probability which which I can choose $X = 15210$ (while still obeying the condition $E[X] = 100$)?

**Solution:** From Markov’s inequality,

$$\Pr [X \geq 15210] \leq \frac{E[X]}{15210}$$

So, $\Pr [X = 15210] \leq 1000/15210$. Equivalently, you could assume that I only think of the numbers 0 and 15210, and solve from there.

ii. I’ve modified my preferences in random numbers such that I generally choose numbers close to 1000; the variance of $X$ is 20. So, it’s still true that $E[X] = 1000$, but now also $\text{Var}(X) = E[(X - E[X])^2] = 20$. What’s the maximum probability which which I can choose $X = 15210$ now?

**Solution:** From Chebyshev’s inequality,

$$\Pr [\lvert X - E(X) \rvert \geq 14210] \leq \frac{\text{Var}(X)}{14210^2}$$

So, $\Pr [X = 15210] \leq \Pr [\lvert 15210 - 1000 \rvert \geq 14210] \leq 20/14210^2$. 
Question 4: Set Operations  (20 points)

We can represent ordered sets of integers using binary search trees by the type

datatype bst = Leaf | Node of (bst * bst * int)

paired with the functions

split : (bst * int) -> bst * bool * bst
join : (bst * int option * bst) -> bst

where split(T,k) returns a pair of trees from T (less than and greater than k) and a flag indicating if k is in T.

Joe Twoten noticed the course staff kept writing almost the same code to implement set union, set intersection and set difference. He decided a more elegant solution would be to use higher order functions and just write the bulk of the code once. Typical of Joe, he only typed in part of the solution and left the rest up to you.

(a) (8 points) Consider a function

combine : (bool * (int * bool -> int option)) -> (bst * bst) -> bst

where

- combine (true,f) (Leaf,T2) evaluates to T2
- combine (false,f) (Leaf,T2) evaluates to Leaf
- combine (b,f) (T1,T2) evaluates to a bst where every key k that appears in T1 is replaced by the result of applying f to k and a boolean indicating whether k appears in T2. Every key that appears only in T2 is handled as specified by b in the base case.

Most of the implementation of combine is provided below. Finish it by filling in the blanks.

fun combine (keep : bool, f : int * bool -> int option) (T1: bst, T2: bst) : bst =
case (T1, keep) of
  (Leaf, true) => T2
| (Leaf, false) => ____________________________
| (Node(L1,R1,k1), _) =>
  let
    val (L2, exists, R2) = split (T2, k1)
in
    join ( combine (keep, f) (L1, L2),
          f(k1,exists),
          combine (keep, f) (R1, R2)
    )
end
(b) We can use `combine` to implement the various set operations by making one call with carefully chosen arguments. For example,

```haskell
val union = combine (true,(fn (k,) => SOME k)
```

You may assume that `combine` works correctly, even if you did not implement it.

i. (3 points) Implement set intersection with exactly one call to `combine`.

```haskell
val inter = combine (false,(fn (k, true) => SOME k | (k, false) => NONE)
```

ii. (3 points) Implement set difference with exactly one call to `combine`.

```haskell
val diff = combine (false,(fn (k, true) => NONE | (k, false) => SOME(k))
```

iii. (3 points) Implement symmetric set difference with exactly one call to `combine`. Recall that the symmetric difference of sets $A$ and $B$ is

$$A \Delta B := \{ x : x \in A \oplus x \in B \}$$

where $\oplus$ is exclusive or.

```haskell
val symdiff = combine (true,(fn (k, true) => NONE | (k, false) => SOME(k))
```

(c) (3 points) We could also implement `symdiff` as

```haskell
fun symdiff (A,B) = diff(union(A,B), inter(A,B))
```

Give one reason other than code reuse that it would be preferable to use the implementation written with `combine`.

**Solution:** Using combine would require passing over the trees just once instead of three times. It would therefore presumably be cheaper by an constant amount.
Question 5: MST and Tree Contraction  (25 points)

In class we covered Boruvka’s basic algorithm for the Minimum Spanning Tree problem briefly but then described an optimized version that interleaved a star contract and finding minimum weight edges. In this questions you will analyze Boruvka’s algorithm more carefully. Some parts are answered in the notes, but you should try the whole thing without looking at the notes.

We’ll assume throughout this problem that the edges are undirected, and each is labeled with a unique identifier (ℓ). The weights of the edges do not need to be unique. Consider the following code:

```plaintext
% returns the set of edges in the minimum spanning tree of G
function MST(G = (V, E)) =
    if |E| = 0 then {}
    else let
        F = {min weight edge incident on v : v ∈ V}
        (V′, P) = contract each tree in the forest (V, F) to a single vertex
        V′ = remaining vertices
        P = mapping from each v ∈ V to its representative in V′
        E′ = {(P_u, P_v, ℓ) : (u, v, ℓ) ∈ E | P_u ≠ P_v}
    in
    MST(G′ = (V′, E′)) ∪ {ℓ : (u, v, ℓ) ∈ F}
end
```

(a) (4 points) Show an example graph with four vertices in which F will not include all the edges of the MST.

**Solution:**

```
  3
 o --- o
 1 |   | 2
 o   o
```

(b) (4 points) Prove that the set of edges F must be a forest (i.e., F has no cycle).

**Solution:** Answer 1: The MST does not have a cycle (it is a tree) and F is a subset of F so it can’t have a cycle.
Answer 2: AFSOC that there is a cycle. Consider the maximum weight edge on the cycle. Neither of its endpoints will choose it since they both have lighter edges. Contradiction.
(c) (4 points) What technique would you suggest to efficiently contract the forest in parallel. What is a tight asymptotic bound of the work and span of your contract in terms of $n = |V|$ (explain briefly)? Are these bounds worst case or expected case?

**Solution:** Use star contraction as described in class. Since in contraction a tree will always stay a tree, the number of edges must go down with the number of vertices. Therefore total work will be $O(n)$ and span will be $O(\log^2 n)$ in expectation.

(d) (4 points) Argue that each recursive call to $\text{MST}$ removes, in the worst case, at least $1/2$ the vertices; that is, $|V'| \leq |V|/2$.

**Solution:** Every vertex will join at least one other vertex. Since edges have two directions at least $n/2$ of them must be selected, which will remove at least $n/2$ vertices ($n = |V|$).

(e) (4 points) What is the maximum number of edges that could remain after one step (i.e., the size of $|E'|$ in terms of $m = |E|$ and $n = |V|$)? Explain briefly.

**Solution:** $m - n/2$ since at least $n/2$ edges are removed, as described in previous answer.

(f) (5 points) What is the expected work and span of the overall algorithm in terms of $m = |E|$ and $n = |V|$? Explain briefly. You can assume that calculating $F$ takes $O(m)$ work and $O(\log n)$ span.

**Solution:** Since vertices go down by at least a factor of $1/2$ on each round, there will be at most $\log n$ rounds. The cost of each round is dominated by calculating $F$, $O(m)$ work and $O(\log n)$ span and the contraction of forests $O(n)$ work and $O(\log^2 n)$ span. Multiplying the max of each of these by $\log n$ gives $O(m \log n)$ work and $O(\log^3 n)$ span.
Question 6: Treaps (20 points)

(a) (10 points) Assume that priorities are generated using a random hash function \( h : \text{keys} \to [0,1] \). For keys 1, 2, 3, 4, 5, assume the corresponding hash values are as follows.

<table>
<thead>
<tr>
<th>key</th>
<th>( h(\text{key}) )</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0.4</td>
</tr>
<tr>
<td>2</td>
<td>0.1</td>
</tr>
<tr>
<td>3</td>
<td>0.5</td>
</tr>
<tr>
<td>4</td>
<td>0.2</td>
</tr>
<tr>
<td>5</td>
<td>0.6</td>
</tr>
</tbody>
</table>

What would the Treap look like if we insert the keys 1, 4, 2, 5, 3 in this order?

Solution: Assuming a max heap (maximum priority at root):

```
    5
   / \
  3   4
  /   \
1     2
```

(b) (10 points) In our analysis of the expected depth of a key in a Treap, we made use of the following indicator random variable

\[
A_i^j = \begin{cases} 
1 & \text{if } j \text{ is an ancestor of } i \\
0 & \text{otherwise}
\end{cases}
\]

Write an expression for \( S_i \)—the size of a subtree rooted at key \( i \)—in terms of \( A_i^j \).

Solution: \( S_i = \sum_{j=1}^{n} A_i^j \)

Derive a closed-form expression for \( E[S_i] \) (you’re allowed to use \( \ln n, H_n, n! \) and the like in your expression).

Solution:

\[
E[S_i] = \sum_{j=1}^{n} 1/(|j - i| + 1) = H_i + H_{n-i+1} - 1 = O(\log n)
\]