

Thesis Oral

On the Communication Complexity of Classical Correlation Distillation and Quantum Entanglement Distillation

Ke Yang

Thesis Committee

Steven Rudich (chair)

Avrim Blum

Robert Griffiths

Andris Ambainis (IAS)

On Repairing Corrupted Correlation

Recurring Theme in Information Theory

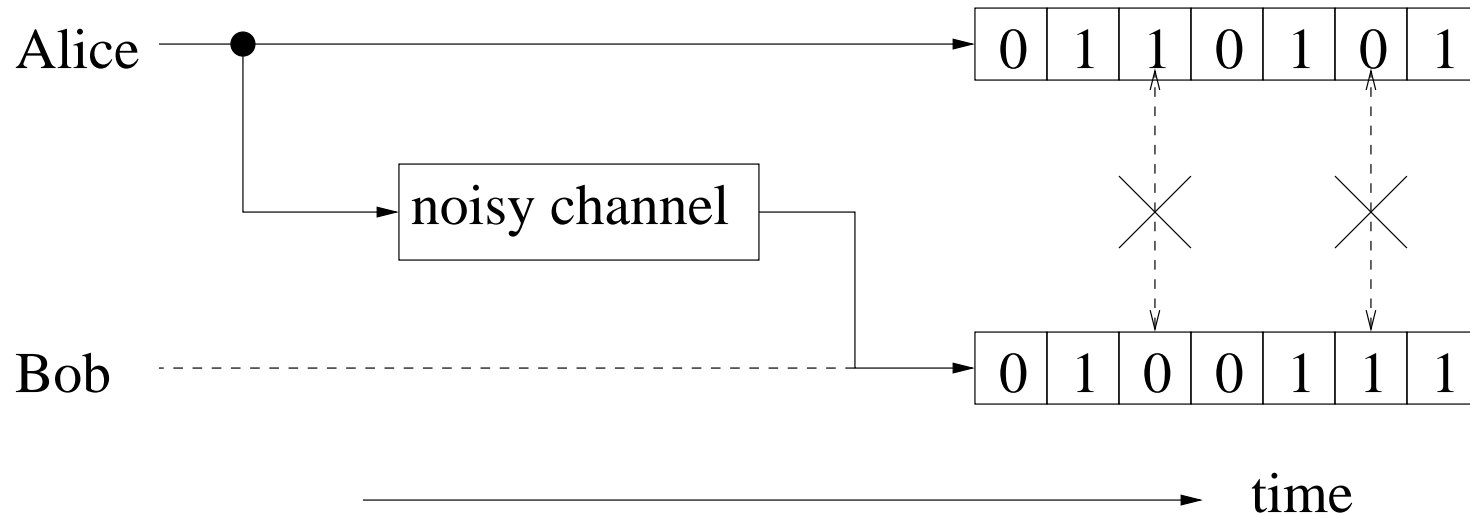
- Correlation Corruption

Alice and Bob share imperfectly correlated information

- Correlation Recovery

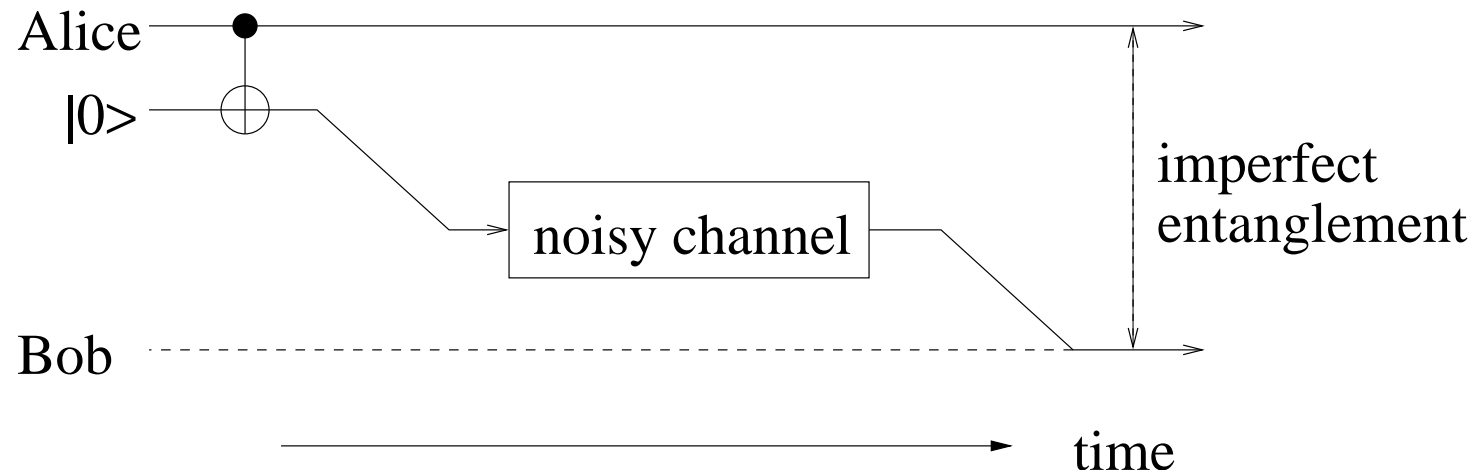
Alice and Bob take action to recover perfect correlation

Classical Noisy Channel



- Alice sends bits to Bob
- Correlation corruption by the noisy channel

Quantum Noisy Channel



- Alice sends **qubits** to Bob
- **Entanglement corruption** by the noisy channel

“Correlation” Overloading

- `classical::correlation` = correlation
- `quantum::correlation` = entanglement

Strategies for Correlation Recovery

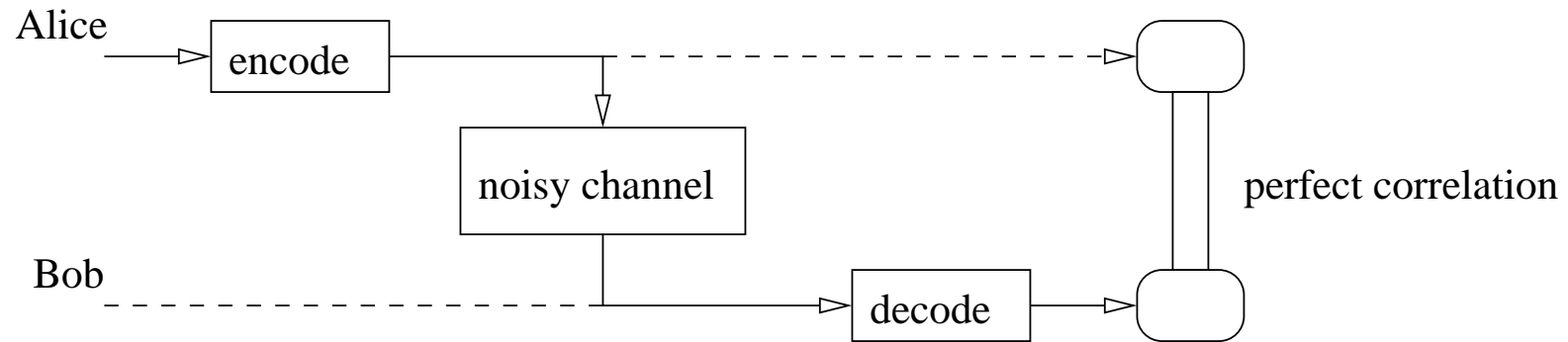
- **Preventive Strategy**

Adding redundancy **before** the corruption

- **Reparative Strategy**

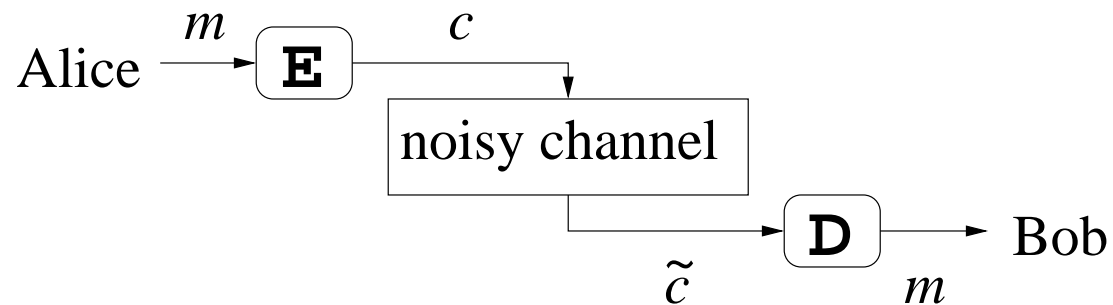
Recovering correlation only **after** corruption

Preventive Strategy



- Information encoded **before** the corruption
- **Error Correcting Codes (ECCs)**
- **Quantum Error Correcting Codes (QECCs)**

Error Correcting Codes



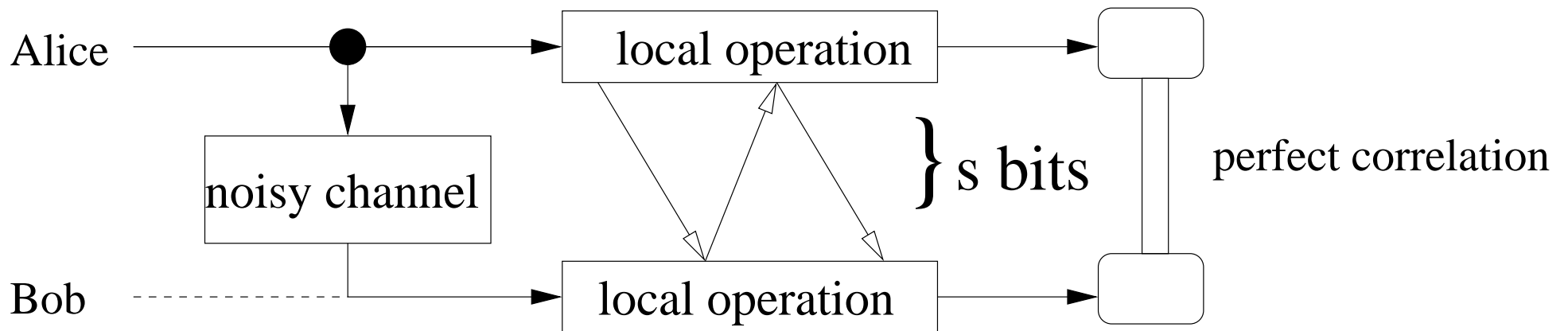
- (n, k, d) -ECC: $\{0, 1\}^k \mapsto \{0, 1\}^n$, such that

$$\text{DIST}(E(m_1), E(m_2)) \geq d$$

- Code Overhead: $(n - k)$ bits
- Noise Tolerance: $\leq (d - 1)/2$ bit flips

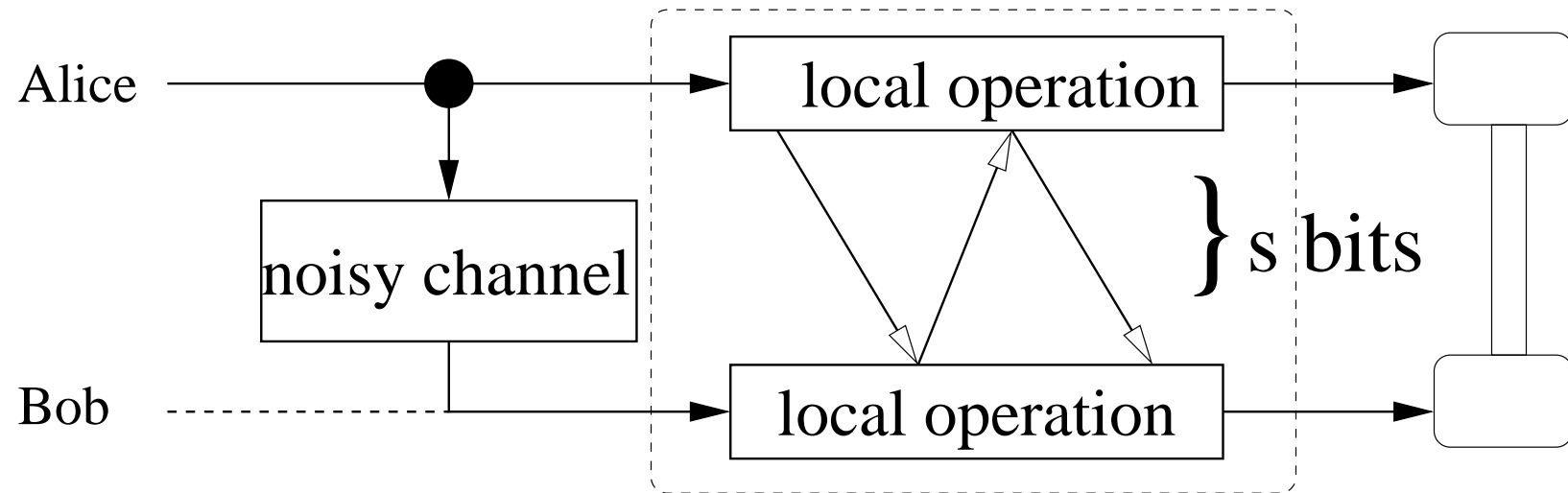
(encoding/decoding complexity not our focus)

Reparative Strategy



- Correlation repaired **after** the corruption
- Alice and Bob exchange s bits to recover the correlation
 - **ASSUMPTION:** noiseless classical communication
 - **GOAL:** minimize s
(computational complexity not our focus)

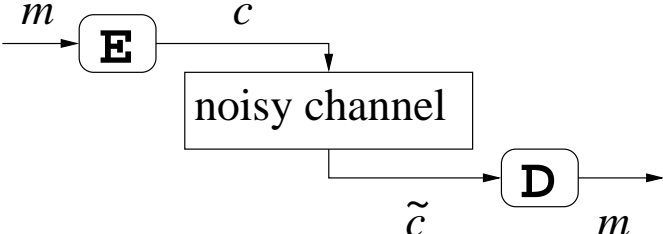
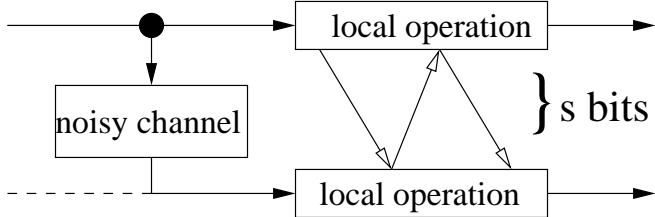
Correlation Distillation



- Classical [Correlation Distillation Protocol \(CDP\)](#)
- Quantum [Entanglement Distillation Protocol \(EDP\)](#)

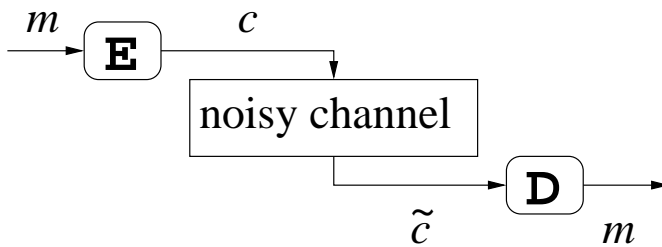
Information Transmission

Alice wishes to transmit m to Bob, noiselessly

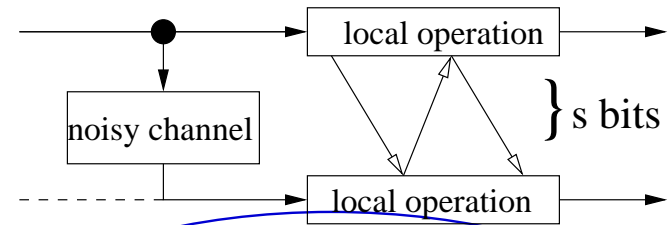
<p style="text-align: center;">preventive</p> 	<p style="text-align: center;">reparative</p> 
<ol style="list-style-type: none"> 1. Encoding: $c = E(m)$ 2. Transmission: $c \rightarrow \tilde{c}$ 3. Decoding: $m = D(\tilde{c})$ <p>Overhead = $c - m$</p>	<ol style="list-style-type: none"> 1. Transmission: $m \rightarrow \tilde{m}$ 2. Distillation: $(m, \tilde{m}) \xrightarrow{\mathcal{P}} (m, m)$ <p>Overhead = s</p>

	preventive	reparative
classical	Error Correcting Code	Correlation Distillation Protocol
quantum	Quantum Error Correcting Code	Entanglement Distillation Protocol
overhead	$ c - m $	s
status	well-studied, well-understood	less studied, fewer results

preventive



reparative



classical

Error Correcting Code

Correlation Distillation Protocol

quantum

Quantum Error Correcting Code

Entanglement Distillation Protocol

overhead

$$|c| - |m|$$

s

status

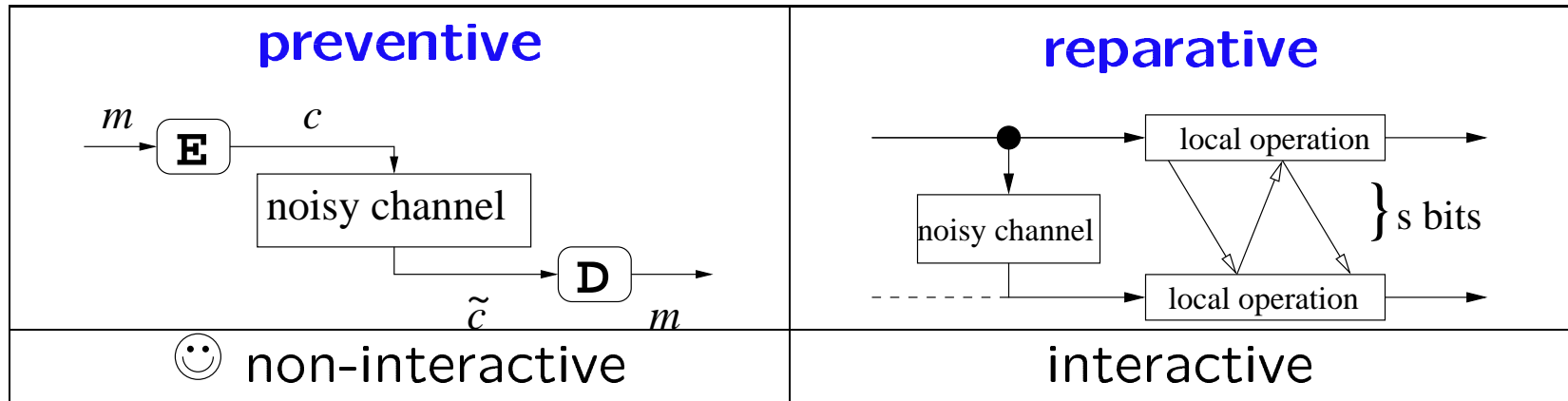
well-studied, well-understood

less studied, fewer results

My thesis

why?

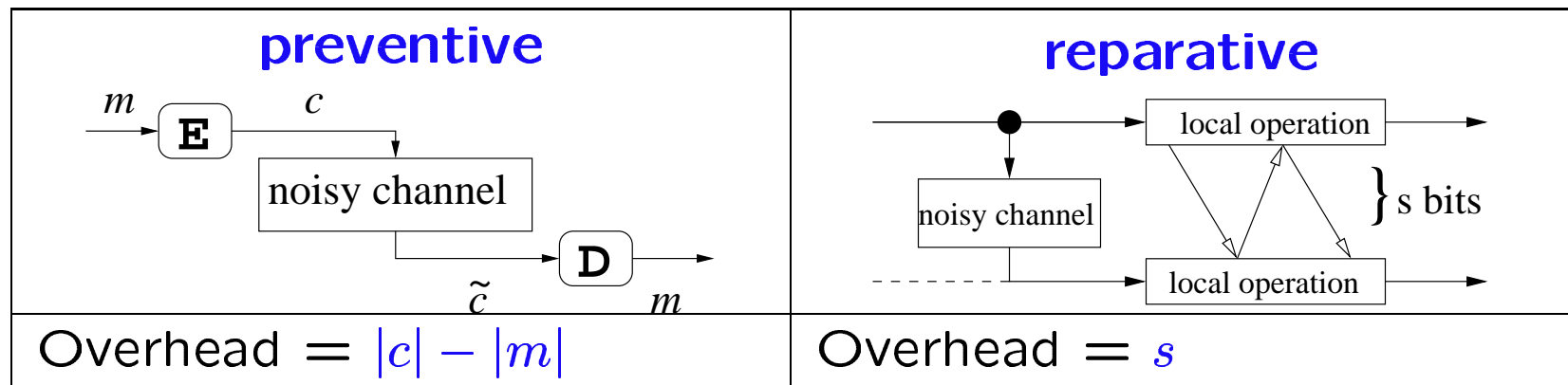
Error Correction is Great!



"An ounce of prevention is worth a pound of cure."

(FYI: 1 pound = 16 ounces)

“An Ounce of Prevention is Worth a Pound of Cure.”



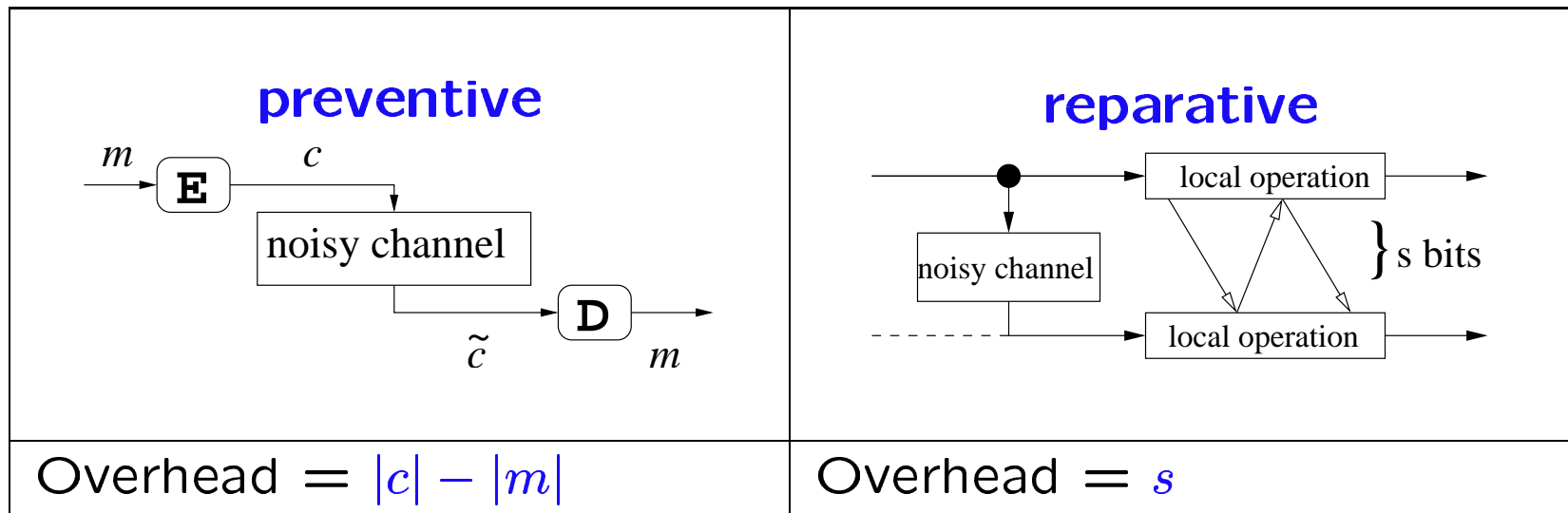
same level of corruption, 16× more efficient?

Not Necessarily

Correlation distillation is ...

1. as efficient as error correction
2. applicable to a wider range of applications

Information Transmission

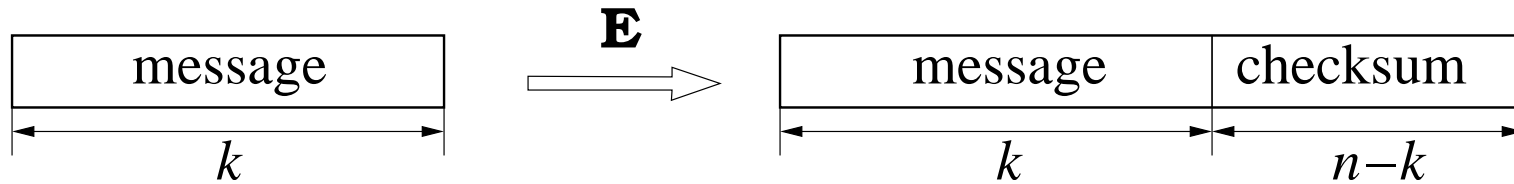


THM (n, k, d) -linear ECC \Rightarrow CDP of overhead $s = (n - k)$

THM (n, k, d) -stabilizer QECC \Rightarrow EDP of overhead $s = (n - k)$

Proof

THM (n, k, d) -linear ECC \Rightarrow $(n - k)$ -bit CDP



PROOF

1. Alice sends the $(n - k)$ -bit check-sum
2. Bob decodes

"An ounce of prevention is worth a pound of cure."

~~an ounce~~

Correlation Distillation Beats ECCs

THM Correlation distillation is provably more powerful than ECCs

\exists noisy channel, s.t.

- No ECC can achieve a non-trivial rate.
- But Correlation Distillation Protocols can

Entanglement Distillation Beats QECCs

[Bennett, Di Vincenzo, Smolin, Wootters 1996]

Entanglement Distillation is **provably** more powerful than QECCs

\exists noisy channel, s.t.

- No QECC can work
- But Entanglement Distillation Protocols can

~~"An ounce of prevention is worth a pound of cure."~~

"In a corrupted world, prevention is useless, yet there is cure."

Correlation Distillation has More Applications

Assumptions made by error correction —

Preventive encoding must **precede** the noise

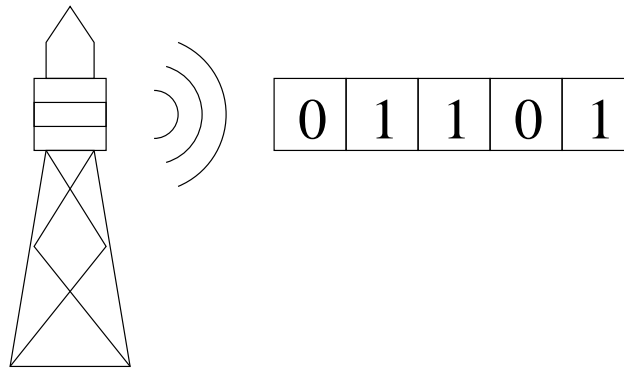
“What if encoding is impossible?”

Noise model **identical independent** noise, **known noise rate**

“What if the noise model is different?”

Have to **guess** an upper bound on noise rate

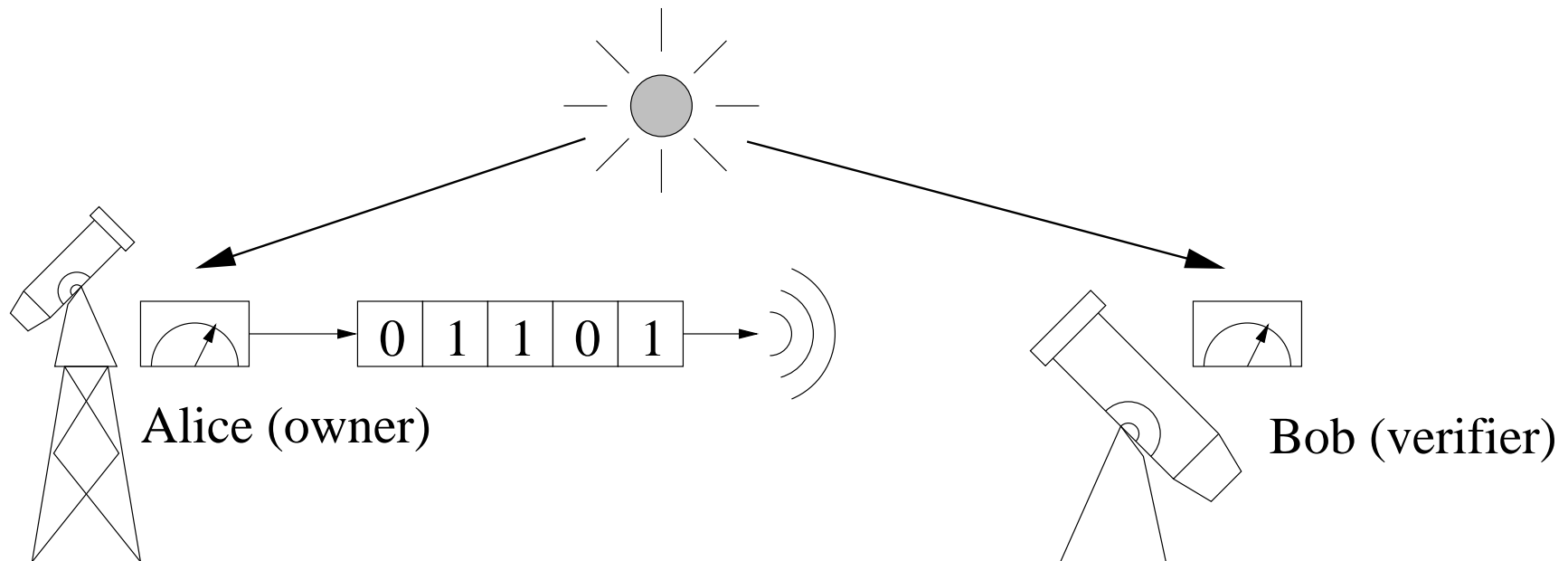
Random Beacon



A **real-time, verifiable** random source

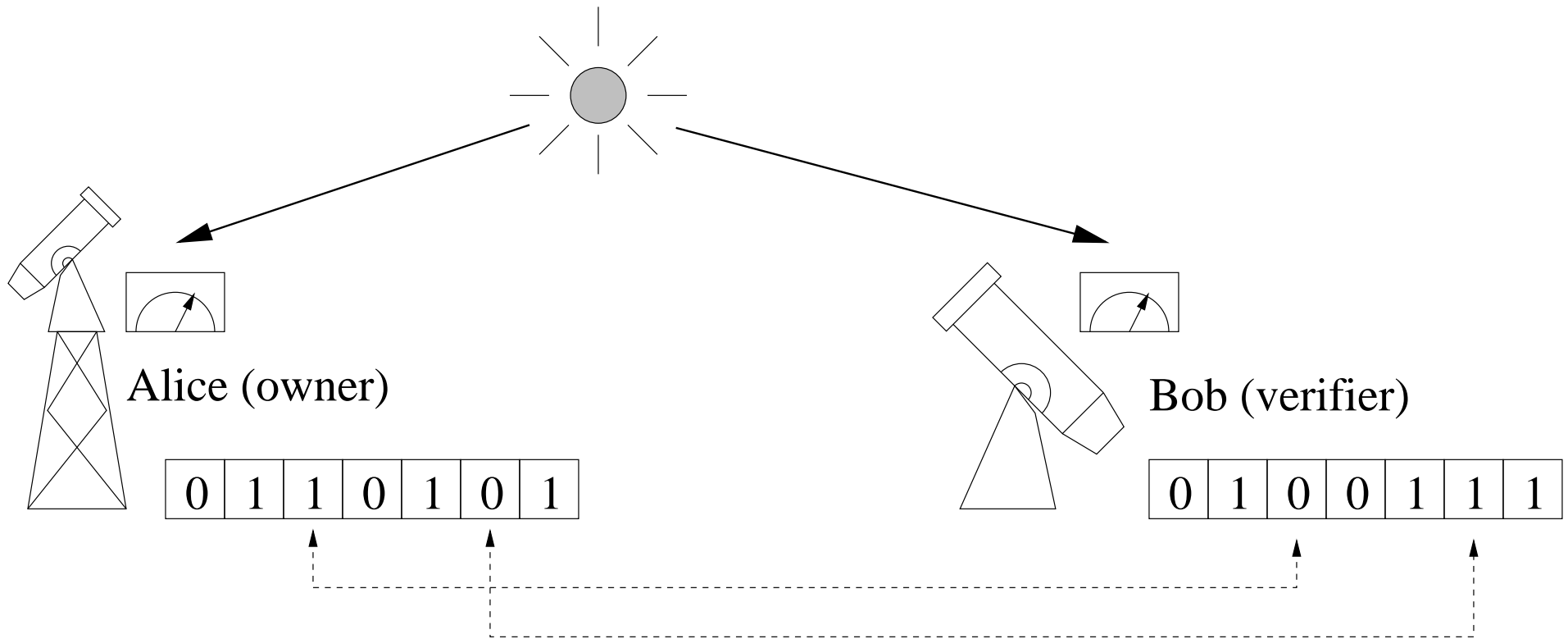
- **verifiable lottery**
- **information-theoretically secure cryptography** — key-exchange, encryption... (assuming bounded storage)

How to Build a Random Beacon



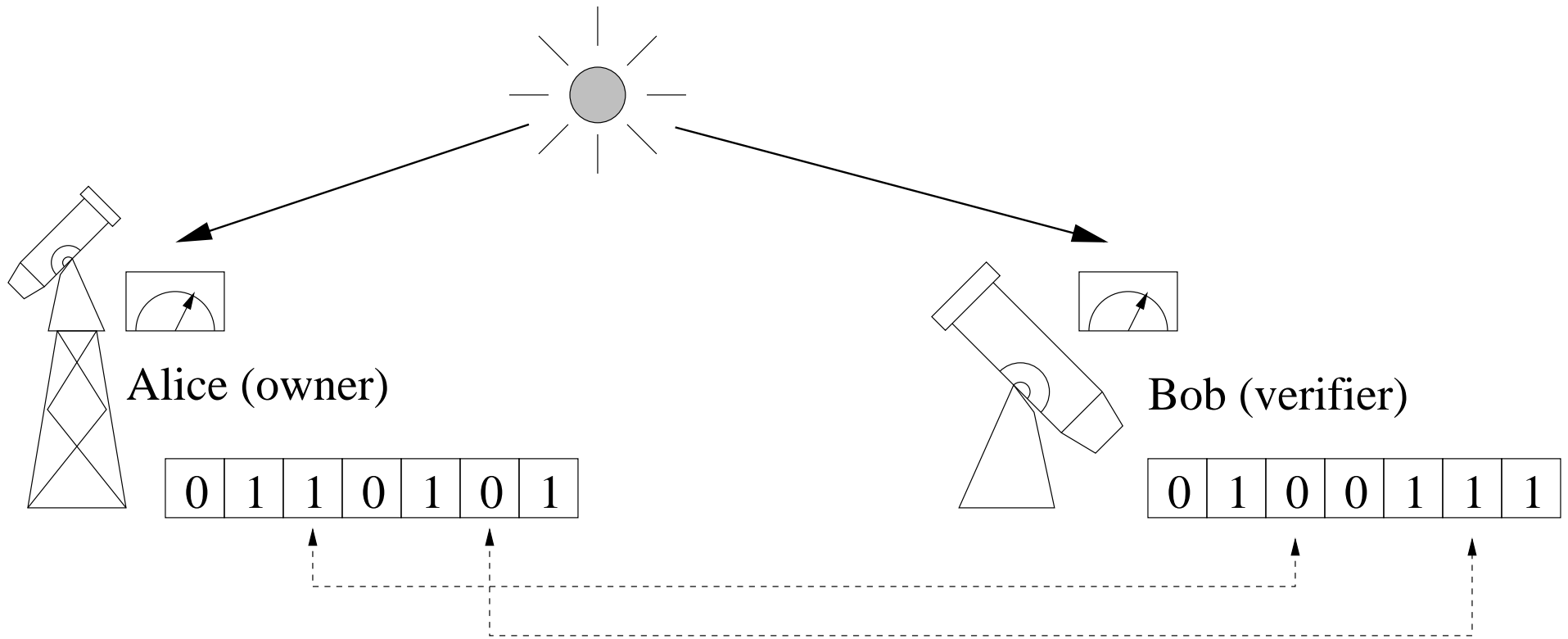
- Point a telescope to a **pulsar**
- Measure the signal, convert to random bits
- **Real-time verifiable:** (almost) everyone can see the pulsar

Noisy Measurement



Measurement errors — corrupted correlation

Correlation Recovery for Random Beacons

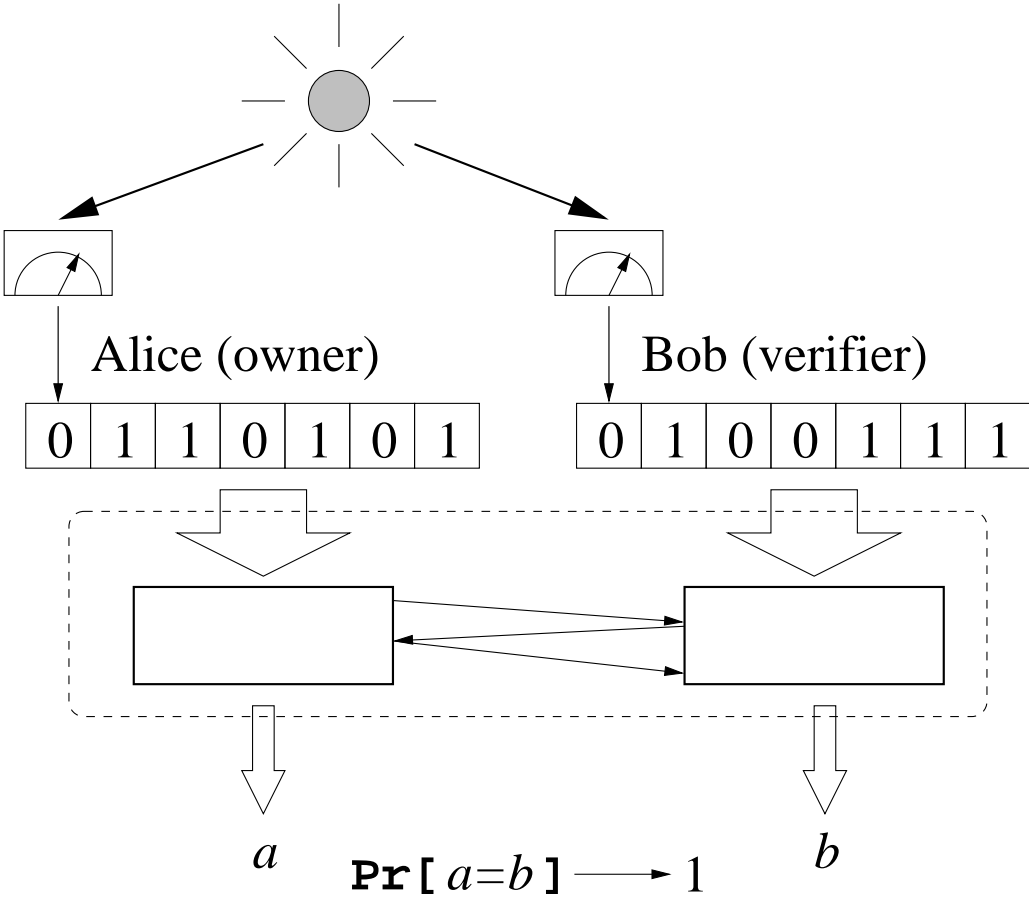


GOAL = to achieve (almost) perfect correlation

Error Correction on a Pulsar ?!

- Both Alice and Bob have corrupted information
- Preventive strategy doesn't work
- Okay to produce “fresh” random bits

Correlation Distillation for Random Beacon

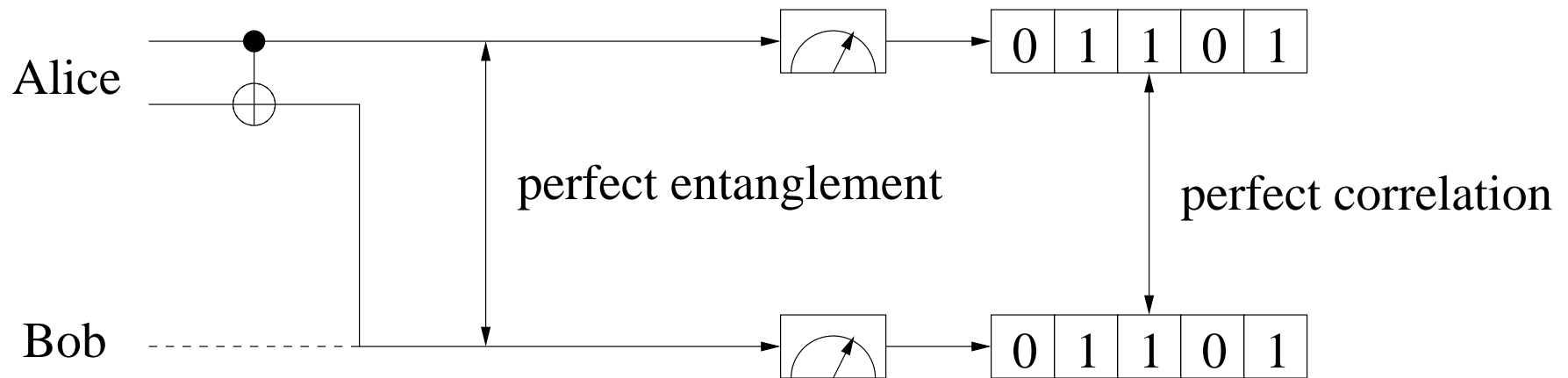


Random Beacon: error correction doesn't apply

Storing EPR Pairs

- EPR pairs are useful quantum objects, but hard to store
- Constantly decaying — varying noise rate
- QECC has to guess an **upper bound** of noise rate

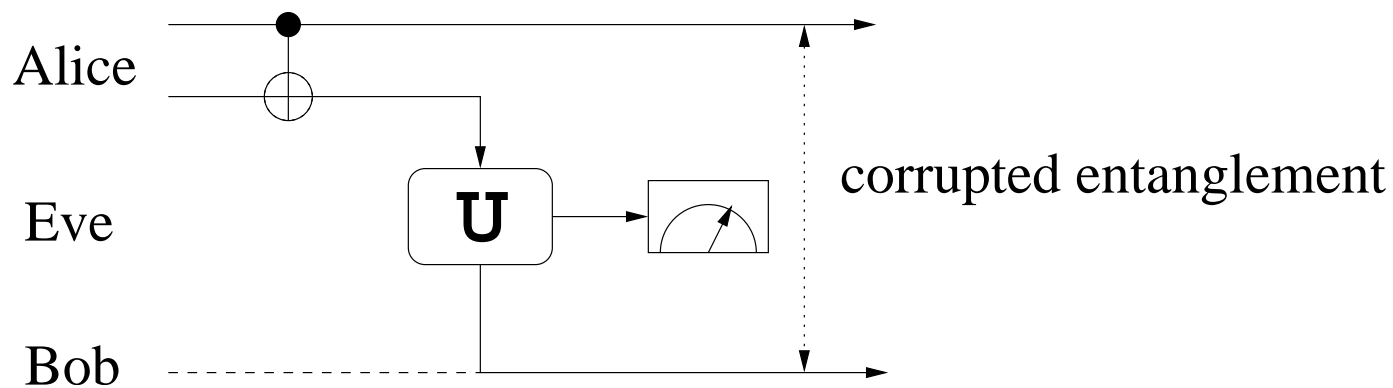
Quantum Key Distribution (Ideal)



[Bennett-Brassard 84, Bennett 92] (modified)

- Alice sends random qubits to Bob and keeps a copy herself
- (Ideally) perfectly entangled qubits
- Both measure \Rightarrow (Ideally) perfectly correlated bits

Quantum Key Distribution (Real life)



- Eve intercepts some qubits and distorts them
- corrupted entanglement \Rightarrow corrupted correlation

Error Correction for Eve?

QECC assumes identical independent noise

but...

Eve is adversarial

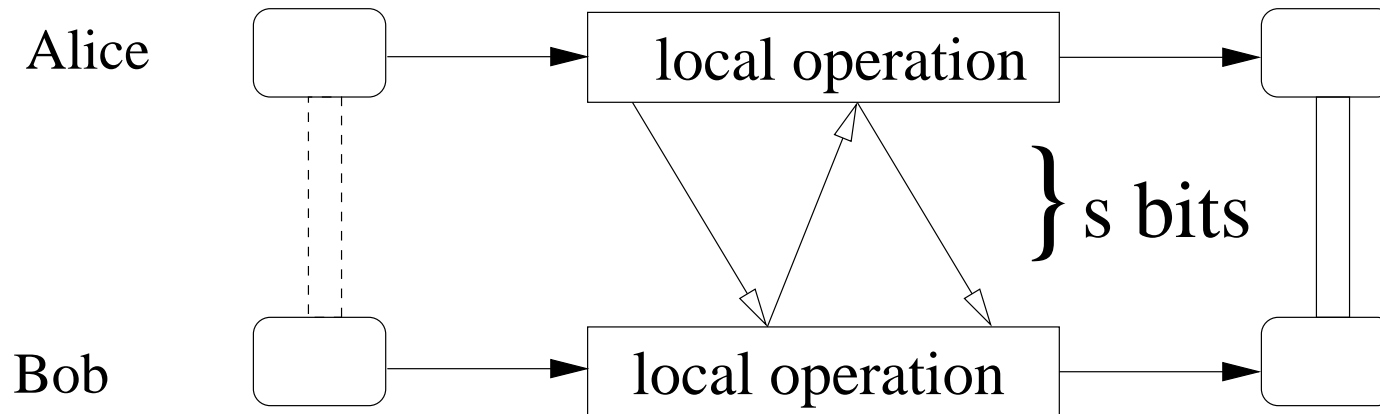
Quantum Key Distribution: error correction uses a different model

Why Reparative?

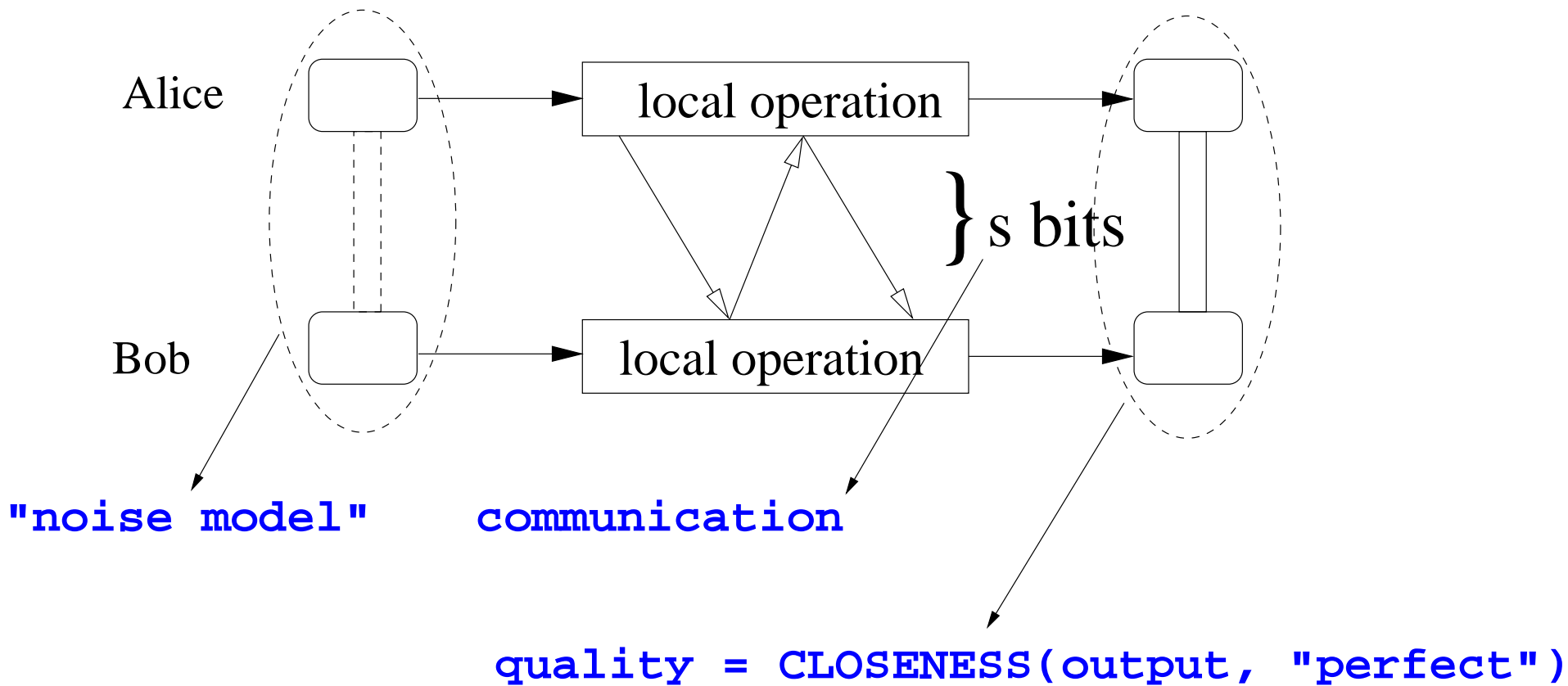
Scenario	Reason
Information Transmission	Correlation distillation is as efficient as error correction (and can be more useful)
Random Beacon	ECCs don't apply (can't error correct a pulsar)
Storing EPR pairs	QECCs are inefficient (varying noise rate)
Quantum Key Distribution	QECCs don't apply (different noise models)

What's known?

Quantifying Distillation Protocols



Fix Noise Model, Study Communication vs. Quality



communication

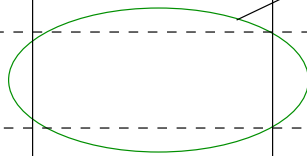
noise model

	0	1	many
bounded corruption			
binary symmetric			
binary erasure			
tensor product			
bounded corruption			
bounded measurement			
depolarization			
entanglement			
fidelity			

quality

classical

quantum



communication

noise model

	0	1	many
bounded corruption			L
binary symmetric	☹ U	☺ L	L
binary erasure	☺ U		L
tensor product	☺ U		
bounded corruption	☺ U		L
bounded measurement	☺ U		L
depolarization	☺ U		L
entanglement	☹ U	☹ U	☹ U
fidelity	☺ L U	☺ L U	☺ L U

classical
quantum

L = lower bound
 U = upper bound
 ☺ = my original result
 ☹ = independent result

Related Publications

[Ambainis, Smith, Yang 2002] “Extracting Quantum Entanglement (General Entanglement Purification Protocols)”, *IEEE Conference on Computational Complexity 2002*.

[Yang 2004] “On the (Im)possibility of Non-interactive Correlation Distillation”, *Latin American Theoretical INformatics (LATIN 2004)*.

[Ambainis, Yang 2004] “Towards the Classical Communication Complexity of Entanglement Distillation Protocols with Incomplete Information”, *IEEE Conference of Computational Complexity (CCC 2004)*.

communication

noise model

	0	1	many
bounded corruption			L
binary symmetric	☹ U	☺ L	L
binary erasure	☺ U		L
tensor product	☺ U		
bounded corruption	☺ U		L
bounded measurement	☺ U		L
depolarization	☺ U		L
entanglement	☹ U	☹ U	☹ U
fidelity	☺ L U	☺ L U	☺ L U

L = lower bound
U = upper bound
 ☺ = my original result
 ☹ = independent result

classical

quantum

linear ECC => perfect CDP

stablizer QECC => perfect EDP

communication

noise model

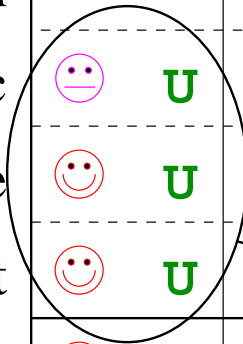
	0	1	many
bounded corruption			L
binary symmetric	☹ U	☺ L	L
binary erasure	☺ U		L
tensor product	☺ U		
bounded corruption	☺ U		L
bounded measurement	☺ U		L
depolarization	☺ U		L
entanglement	☹ U	☹ U	☹ U
fidelity	☺ L U	☺ L U	☺ L U

L = lower bound
U = upper bound
 ☺ = my original result
 ☹ = independent result

classical

quantum

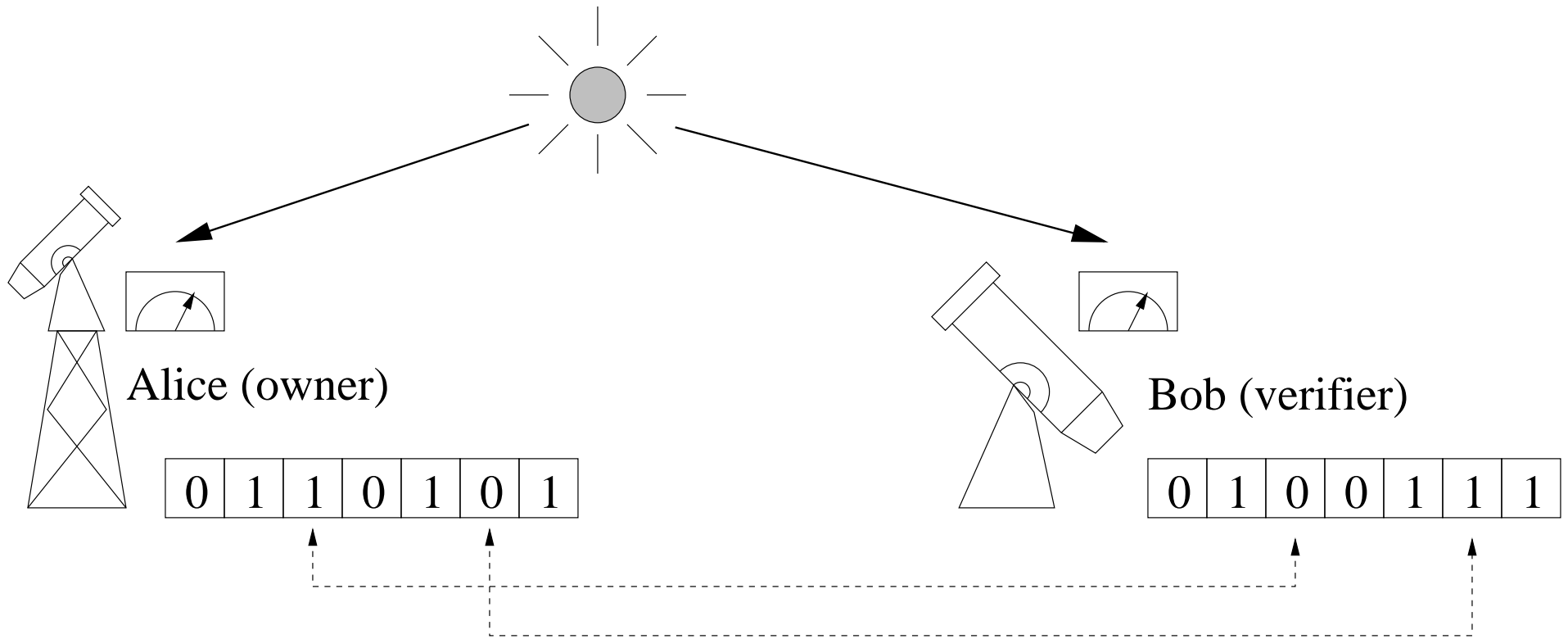
non-interactive correlation distillation



Non-interactive Correlation Distillation

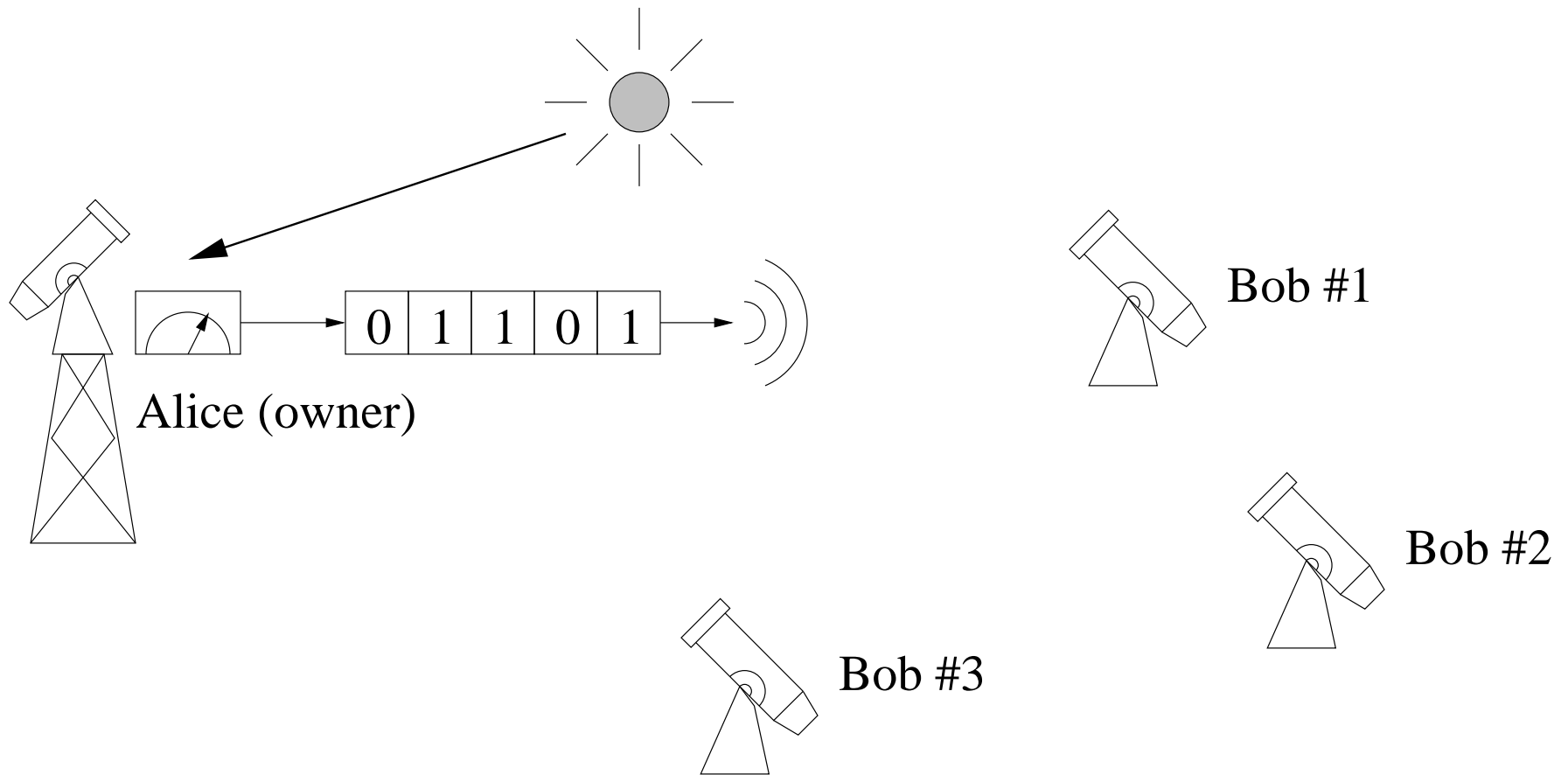
Alice and Bob distill correlation without communicating

Correlation Recovery for Random Beacons

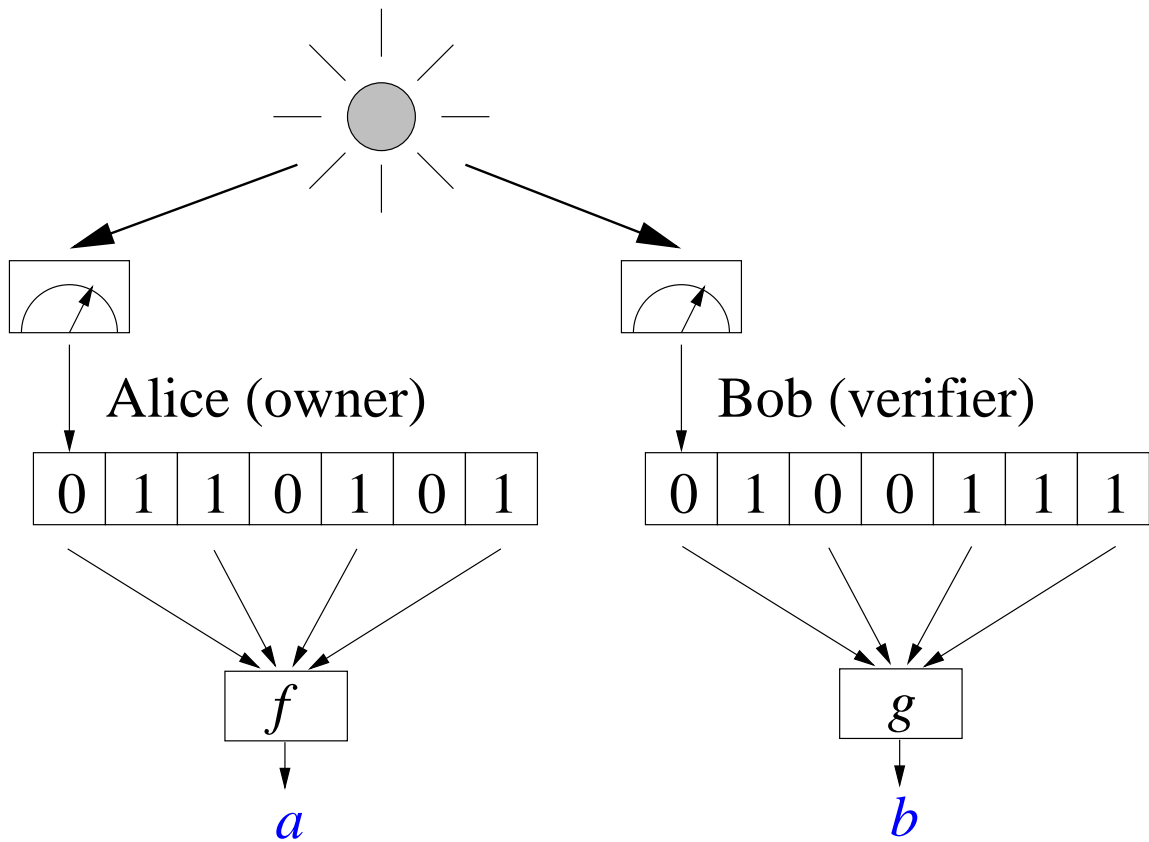


GOAL = to achieve (almost) perfect correlation

One Alice, Many Bobs

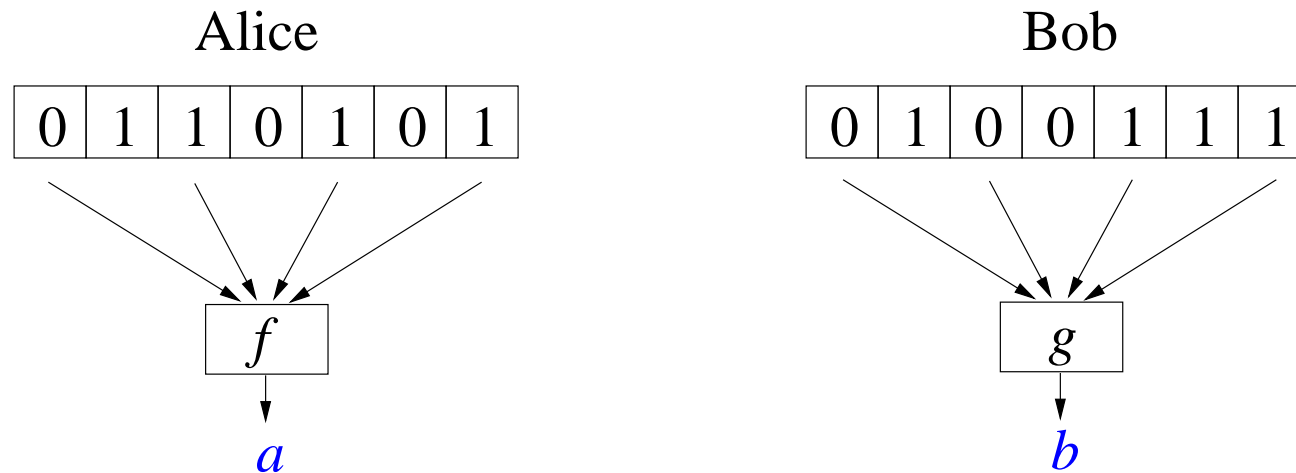


Non-Interactive Correlation Distillation for Random Beacon



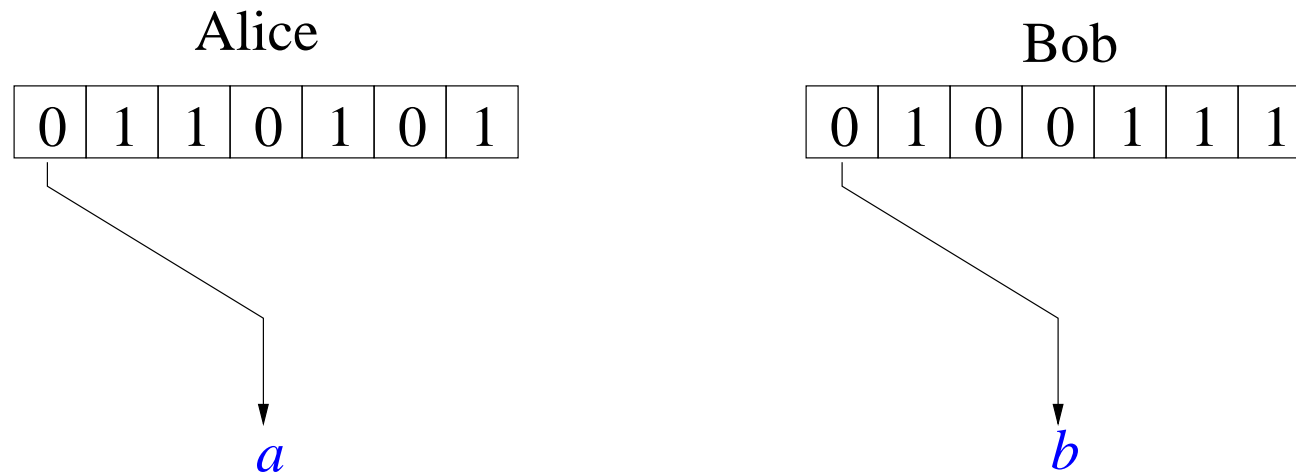
Both a and b unbiased
 $\Pr[a = b] \rightarrow 1$

Correlation Extraction, Mathematically



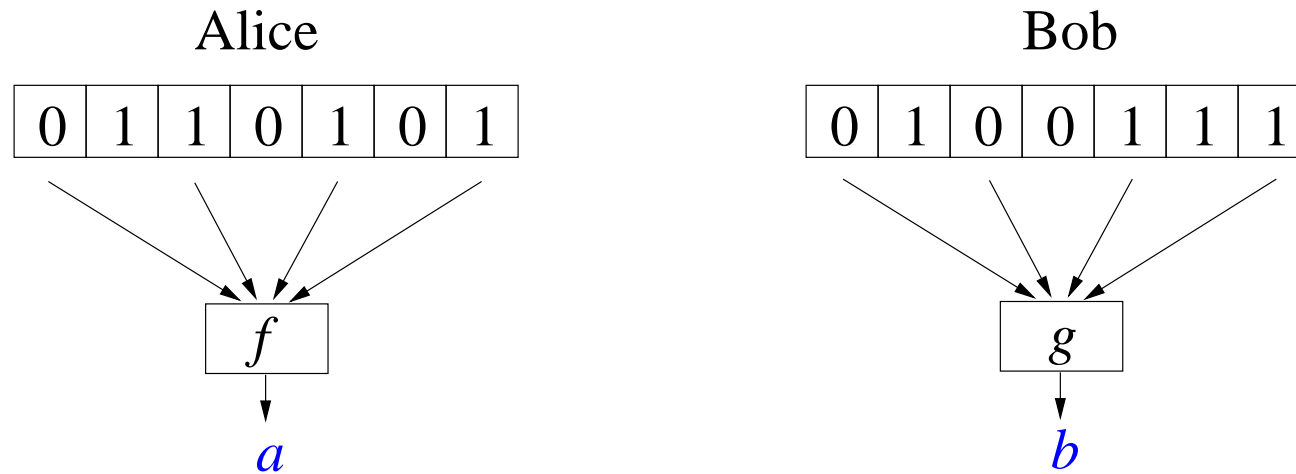
- Alice x_1, x_2, \dots, x_n , Bob y_1, y_2, \dots, y_n , s.t. $\Pr[x_k = y_k] = 1 - p$
- Alice $a = f(x_1, x_2, \dots, x_n)$; Bob $b = g(y_1, y_2, \dots, y_n)$
- Unbiased bits $\Pr[a = 0] = 1/2$, $\Pr[b = 0] = 1/2$
- Maximize $\Pr[a = b]$

Naïve Strategy



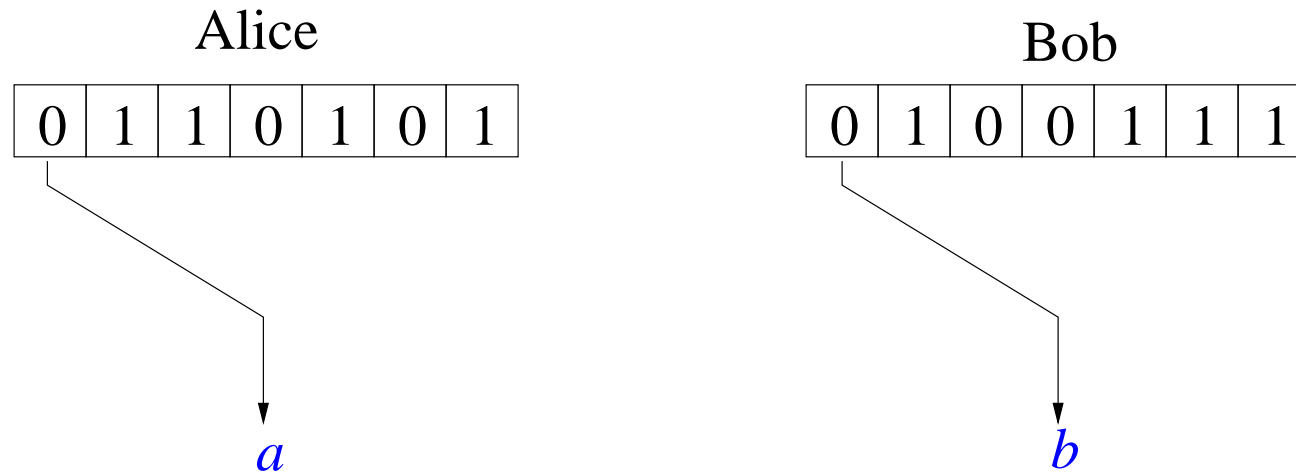
- Both output the first bit
- $\Pr[a = b] = 1 - p$

Can We do Better?



- Alice x_1, x_2, \dots, x_7 , Bob y_1, y_2, \dots, y_7 , $\Pr[x_k = y_k] = 0.9$
- Can $\Pr[a = b] \geq 0.91$?
(mutual information = 3.72)

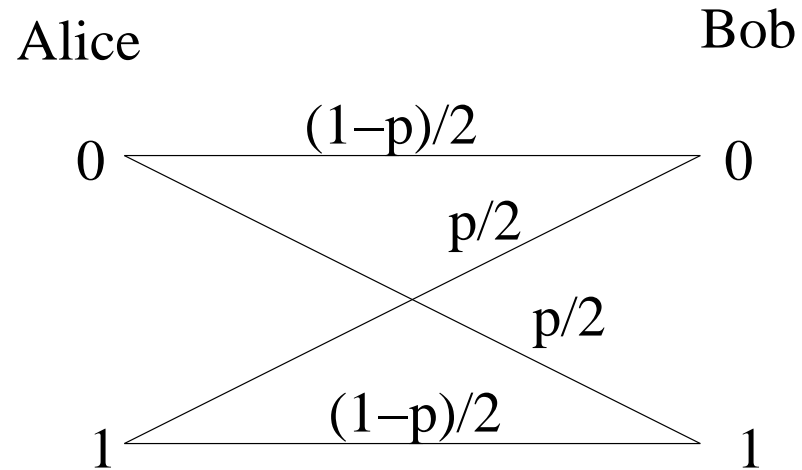
No



[Alon, Maurer, Wigderson], [Mossel, O'Donnell], [Yang 2004]

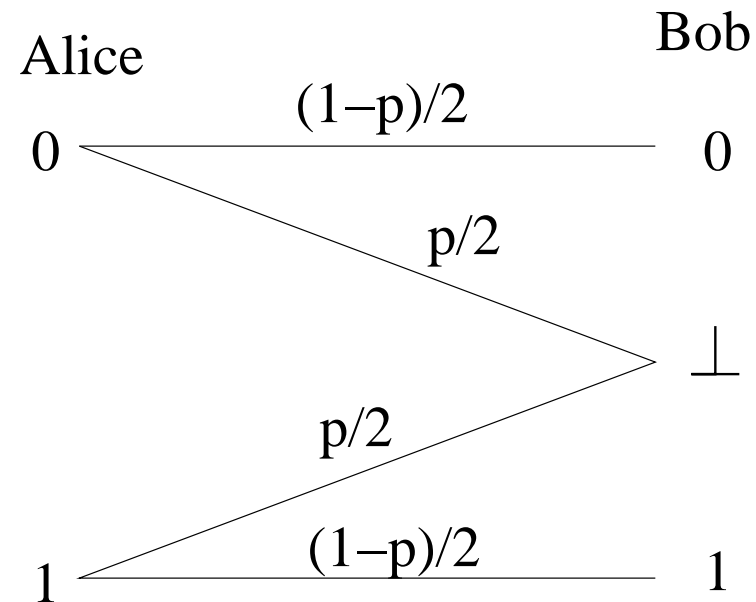
- The naïve strategy is optimal
- All optimal strategies are naïve

Binary Symmetric Model



[Yang 2004] generalization to [Tensor Product Model](#)
(large alphabet, more general noise)

Binary Erasure Model



[Yang 2004] The naïve strategy is asymptotically optimal

communication

noise model

	0	1	many
bounded corruption			L
binary symmetric	☹ U	☺ L	L
binary erasure	☺ U		L
tensor product	☺ U		
bounded corruption	☺ U		L
bounded measurement	☺ U		L
depolarization	☺ U		L
entanglement	☹ U	☹ U	☹ U
fidelity	☺ L U	☺ L U	☺ L U

L = lower bound
U = upper bound
 ☺ = my original result
 ☹ = independent result

classical

non-interactive correlation distillation

quantum

bounded corruption
 binary symmetric
 binary erasure
 tensor product
 bounded corruption
 bounded measurement
 depolarization
 entanglement
 fidelity

communication

noise model

	0	1	many
bounded corruption			L
binary symmetric	☹ U	☺ L	L
binary erasure	☺ U		L
tensor product	☺ U		
bounded corruption	☺ U		L
bounded measurement	☺ U		L
depolarization	☺ U		L
entanglement	☹ U	☹ U	☹ U
fidelity	☺ L U	☺ L U	☺ L U

- L = lower bound
- U = upper bound
- ☺ = my original result
- ☹ = independent result

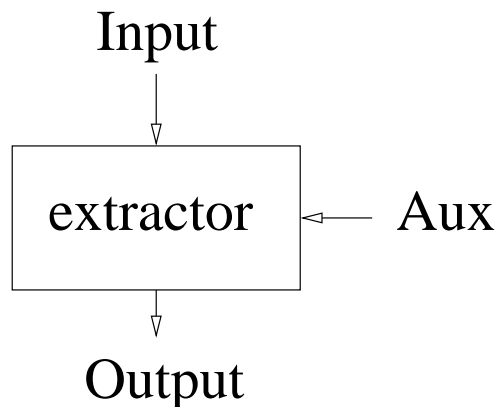
classical

quantum

impossibility for general EPR extraction

Motivation: classical randomness extraction

Randomness Extractors



Input: random source

Aux: uniform random bits

Output: near-uniform random bits

produce **near-uniform** random bits from **arbitrary** random sources

Facts About Extractors

Very useful, works with very general input

- **input** = arbitrary random source.
- **|output|** \leftarrow min-entropy(input)
- **|auxiliary input|** = $\Theta(\log(|input|))$
- [Ta-Shma, Umans, Zuckerman 2001] Near-optimal constructions exist

“General Entanglement Distillation?”

classical	quantum
uniform bits randomness in purest form	EPR pairs entanglement in purest form
extractor low-quality randomness ↓ high-quality randomness	entanglement distillation low-quality entanglement ↓ high-quality entanglement
input arbitrary random bits	input arbitrary entangled state?

No

THM General entanglement distillation is impossible
(no protocol extracts EPR pairs from arbitrary entangled states)

Proof Sketch

classical **unique** distribution of max entropy

quantum **infinitely many** maximally entangled states

The 4 Bell states:

$$\Phi^+ = \frac{1}{\sqrt{2}} (|0\rangle^A |0\rangle^B + |1\rangle^A |1\rangle^B)$$

$$\Phi^- = \frac{1}{\sqrt{2}} (|0\rangle^A |0\rangle^B - |1\rangle^A |1\rangle^B)$$

$$\Psi^+ = \frac{1}{\sqrt{2}} (|0\rangle^A |1\rangle^B + |1\rangle^A |0\rangle^B)$$

$$\Psi^- = \frac{1}{\sqrt{2}} (|0\rangle^A |1\rangle^B - |1\rangle^A |0\rangle^B)$$

Proof Sketch, cont'd

Suppose there exists such a protocol \mathcal{P} , s.t.,

$$\mathcal{P}(\Phi^+) \rightarrow \Phi^+, \mathcal{P}(\Phi^-) \rightarrow \Phi^+, \mathcal{P}(\Psi^+) \rightarrow \Phi^+, \mathcal{P}(\Psi^-) \rightarrow \Phi^+$$

Let ρ be a mixed state:

$$\rho = \frac{1}{4} (|\Phi^+\rangle\langle\Phi^+| + |\Phi^-\rangle\langle\Phi^-| + |\Psi^+\rangle\langle\Psi^+| + |\Psi^-\rangle\langle\Psi^-|)$$

We should also have:

$$\mathcal{P}(\rho) \rightarrow \Phi^+$$

Change of Basis

$$\rho = \frac{1}{4} (|\Phi^+\rangle\langle\Phi^+| + |\Phi^-\rangle\langle\Phi^-| + |\Psi^+\rangle\langle\Psi^+| + |\Psi^-\rangle\langle\Psi^-|)$$

By changing of basis:

$$\rho = \frac{1}{4} (|00\rangle\langle 00| + |01\rangle\langle 01| + |10\rangle\langle 10| + |11\rangle\langle 11|)$$

ρ is **disentangled** \Rightarrow impossible to produce EPR pairs $\Rightarrow \Leftarrow$

communication

noise model

0

1

many

bounded corruption

L

binary symmetric

☹ U

☺ L

L

binary erasure

☺ U

L

tensor product

☺ U

bounded corruption

☺ U

L

bounded measurement

☺ U

L

depolarization

☺ U

L

entanglement

☹ U

☹ U

☹ U

fidelity

☺ L U

☺ L U

☺ L U

L = lower bound

U = upper bound

☺ = my original result

☹ = independent result

classical

quantum

impossibility for general EPR extraction

Why General Entanglement Extraction Fails?

- No protocol can do well **on average**
- Useful protocol only if input is “close” to some state

The Fidelity Noise Model


[Ambainis, Smith, Yang 2002]














$$\text{fidelity}(\text{input}, \text{"perfect"}) \geq 1 - \epsilon$$

[Lo, Chau 1999], [Shor, Preskill 2000]

used it in proof of security of [BB84] key distribution protocol

communication

L = lower bound
U = upper bound
 = my original result
 = independent result

noise model	0	1	many
bounded corruption			L
binary symmetric	 U	 L	L
binary erasure	 U		L
tensor product	 U		
bounded corruption	 U		L
bounded measurement	 U		L
depolarization	 U		L
entanglement	 U	 U	 U
fidelity	 L U	 L U	 L U

classical

quantum

matching lower/upper bounds

Lower Bound: a Construction

[Ambainis, Smith, Yang 2002]

$\forall n, s, \exists s$ -bit protocol, on n qubit pairs of fidelity $1 - \epsilon$, either:

- fails with probability ϵ (nothing is output), or
- outputs $(n - s)$ pairs of qubits of fidelity $1 - \frac{2^{-s}}{(1-\epsilon)}$

(output fidelity = output quality)

- + Can increase the fidelity as close to 1 as possible, sacrificing **logarithmic** number of qubit pairs and using **logarithmic** bit of communication
- Fails with probability ϵ .

Failure is Unavoidable

[Ambainis, Smith, Yang 2002]

\exists n qubit pairs in state ρ of fidelity $1 - \epsilon$, s.t. any protocol taking ρ as input and outputting m qubit pairs, has average fidelity at most $1 - \frac{1-2^{-m}}{1-2^{-n}}\epsilon \approx 1 - \epsilon$.

Cannot increase the overall fidelity

Optimality of Our Construction

[Ambainis, Smith, Yang 2002]

$\forall n, s, \exists s$ -bit protocol, on n qubit pairs of fidelity $1 - \epsilon$, either:

- fails with probability ϵ (nothing is output), or
- outputs $(n - s)$ pairs of qubits of fidelity $1 - \frac{2^{-s}}{(1-\epsilon)}$

Optimal...

- **Failure Probability** — Must fail with probability ϵ in order to achieve close-to-one “lucky fidelity”
- **Yield** — $(n - s)$ qubit pairs, asymptotically optimal

More Optimality

[Ambainis, Smith, Yang 2002]

$\forall n, s, \exists s$ -bit protocol, on n qubit pairs of fidelity $1 - \epsilon$, either:

- fails with probability ϵ (nothing is output), or
- outputs $(n - s)$ pairs of qubits of fidelity $1 - \frac{2^{-s}}{(1-\epsilon)}$

[Ambainis, Yang 2004] ♥

Communication complexity optimal up to an additive constant

A Bit More Technically...

Analysis of general two-party protocols prior to [Ambainis, Yang 2004]

[Nielsen 1999] “Simulation-based Reduction”

- For **pure state** input, Alice can “simulate” Bob’s actions
- Arbitrary protocol → single-message protocol

(Alice measures; Alice sends message to Bob; Bob measures)

Simulation-based Reduction

“reducing any protocol to a single-message protocols”

- Does not work for protocols with **mixed states** as input
- [Bennett, Di Vincenzo, Smolin, Wootters 1996]
Two-way protocols more powerful than one-way protocols
- Reduction doesn't work!
- Other techniques do not seem to work with mixed states either (e.g [Hayden, Winter 2002])

Our Contribution














[Ambainis, Yang 2004]

Novel technique for mixed states and two-way protocols

- Keep track of the **local density matrices** of Alice and Bob
- Communication causes a density matrix to “split”
- Maintain an invariant with communication history

communication

L = lower bound
U = upper bound
 = my original result
 = independent result

noise model	0	1	many
bounded corruption			L
binary symmetric	 U	 L	L
binary erasure	 U		L
tensor product	 U		
bounded corruption	 U		L
bounded measurement	 U		L
depolarization	 U		L
entanglement	 U	 U	 U
fidelity	 L U	 L U	 L U

classical
quantum

matching lower/upper bounds

communication

noise model	0	1	many
bounded corruption			L
binary symmetric	☹ U	😊 L	L
binary erasure	😊 U		L
tensor product	☹ U		
bounded corruption	😊 U		L
bounded measurement	😊 U		L
depolarization	😊 U		L
entanglement	☹ U	☹ U	☹ U
fidelity	😊 L U	😊 L U	😊 L U

- L = lower bound
- U = upper bound
- 😊 = my original result
- ☹ = independent result

classical

quantum

One-bit protocol provably better than non-interactive protocols

non-interactive entanglement distillation

Summary

- **Reparative:** Correlation/Entanglement Distillation Protocols
- CDP/EDPs as efficient as ECC/QECC, maybe more
- Wider applications
- **Results:**
 - Impossibility of NICD/NIED
 - Impossibility of general EPR extraction
 - Optimal protocol for fidelity model
 - One-bit protocol for binary symmetric model

Thanks!

Questions?

What's next?

	preventive	reparative
classical	Error Correcting Code	Correlation Distillation Protocol
quantum	Quantum Error Correcting Code	Entanglement Distillation Protocol
overhead	$ c - m $	s
status	well-studied, well-understood	less studied, fewer results

My thesis

communication

noise model

	0	1	many
bounded corruption			L
binary symmetric	☹ U	☺ L	L
binary erasure	☺ U		L
tensor product	☺ U		
bounded corruption	☺ U		L
bounded measurement	☺ U		L
depolarization	☺ U		L
entanglement	☹ U	☹ U	☹ U
fidelity	☺ L U	☺ L U	☺ L U

classical
quantum

L = lower bound
 U = upper bound
 ☺ = my original result
 ☹ = independent result

communication

noise model

	0	1	many
bounded corruption			L
binary symmetric	☹ U	☺ L	L
binary erasure	☺ U		L
tensor product	☺ U		
bounded corruption	☺ U		L
bounded measurement	☺ U		L
depolarization	☺ U		L
entanglement	☹ U	☹ U	☹ U
fidelity	☺ L U	☺ L U	☺ L U

L = lower bound
U = upper bound
 ☺ = my original result
 ☹ = independent result
 empty = unknown result

classical

quantum

optimality?

communication-quality tradeoff?

Big Questions

- Optimality of constructions

“Linear ECC \Rightarrow CDP, Stabilizer QECC \Rightarrow EDP, are they optimal?”

- More Trade-off on interactive correlation distillation

“What’s the optimal quality Alice and Bob can get with s bits of communication?”

- Unified results

“Are there noise models more general than, say, the fidelity model?”

“Can we merge the results to make the table smaller?”

More Immediate Questions: one-bit Protocols

- Can we upper bound the quality of one-bit CDP/EDPs?
- Is the protocol with the binary symmetric model optimal?

Time-line

[2003/3 — 2004/3] Continue research

[2004/4 — 2004/9] Write thesis