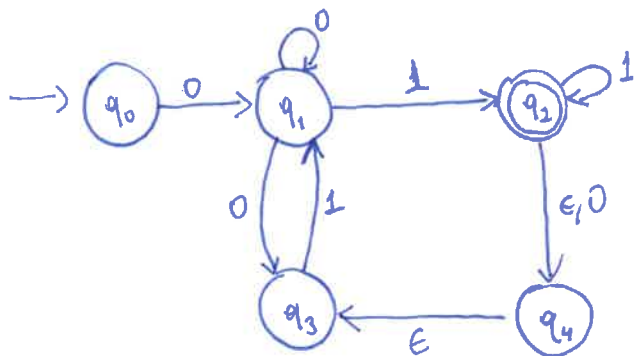


15-252 Spring 2018

## Non-Deterministic Finite Automata (NFAs)

Intro:

- non-determinism important concept in CS. Does it give extra power? P vs NP  
(NON-DET  $\neq$  RANDOMIZATION)
- many closure properties of reg. languages can be proved very easily with NFAs.  
(often math is all about coming up with the right definitions.)



001 accepts

④ 01011 accepts

00100 rejects

Comparison with DFAs:

- ②
- \* not all ~~possible~~ possible transitions present.
  - \* multiple transitions with same label from a state.
  - \*  $\epsilon$  transitions.

Rule for accepting/rejecting:

- ③
- Accept w if there is a "valid" path that leads to an accepting state.
  - Reject w if all paths lead to a rejecting state.

Example computer trace

	0	0	1	1	0
<hr/>					
$q_0$	$q_1$	$q_1$	$q_2$	$q_2$	$q_4$
		$q_3$	$q_1$	<del><math>q_2</math></del>	
			$q_4$		
			$q_3$	$q_1$	$q_1$
				$q_4$	
				$q_3$	
				<del><math>q_4</math></del>	
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					$q_3$
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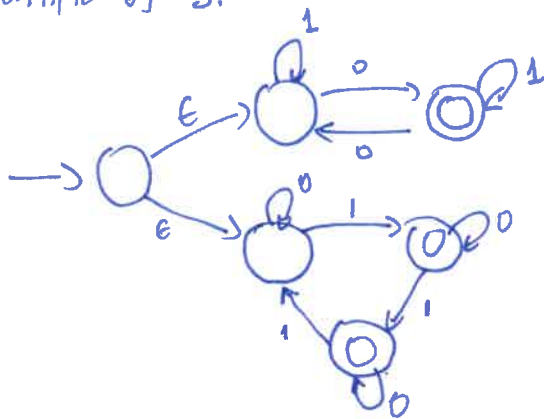
Def: An NFA is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where

- $Q$  finite non-empty set (set of states)
- $\Sigma$  " " " (alphabet)
- $\delta: Q \times \Sigma \cup \{\epsilon\} \rightarrow \mathcal{P}(Q)$  (transition function)
- $q_0 \in Q$  (starting state)
- $F \subseteq Q$  (set of accepting states)

Exercise: Define formally the notion of an NFA accepting a string.

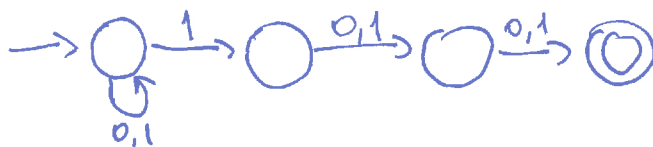
### Drawing NFAs

All binary strings that have either odd # 0's or #1's is not a multiple of 3.



★★  
Do THIS  
LAST!

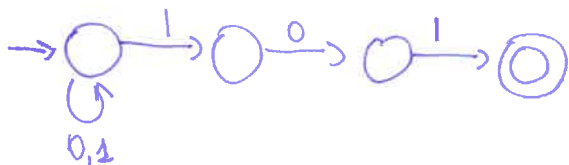
$$L = \{w \in \{0,1\}^* : |w| \geq 3 \text{ and } w_{n-2} = 1 \text{ where } n = |w|\}$$



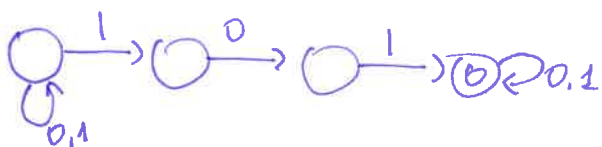
$$L_k = \{w \in \{0,1\}^* : |w| \geq k \text{ and } w_{n-(k-1)} = 1 \text{ where } n = |w|\}$$

Best DFA needs  $2^k$  states.

$L =$  all binary string that end with 101.



$L =$  all binary strings that contain 101 as a substring.



Thm: NFA  $\equiv$  DFA (any language recognized by a NFA is regular)

Proof Sketch: Threading idea works.

Let  $N = (Q, \Sigma, \delta, q_0, F)$  be an NFA. (Suppose  $N$  has no  $\epsilon$ -transitions.) Construct DFA  $M = (Q', \Sigma, \delta', q_0', F')$  as follows:

$$Q' = \mathcal{P}(Q)$$

$$\delta' : Q' \times \Sigma \rightarrow Q', \quad \forall S \in Q', a \in \Sigma, \delta'(S, a) = \bigcup_{s \in S} \delta(s, a)$$

$$q_0' = \{q_0\}$$

$$F' = \{S \in Q' : S \cap F \neq \emptyset\}$$

if  $N$  has  $\epsilon$ -transitions, define, for  $S \in Q'$ ,  $E(S) = \{q \in Q : q \text{ can be reached from a state in } S \text{ using zero or more } \epsilon\text{-transitions}\}$

$$\delta''(S, a) = E(\delta'(S, a))$$

$$q_0'' = E(\{q_0\})$$

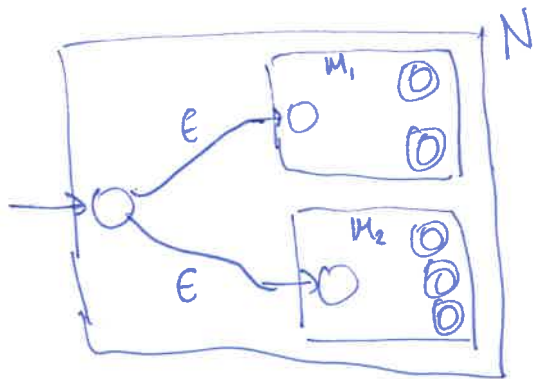
COMMENT: # STATES IN DFAs VS NFAs

# Closure Properties of Regular Languages

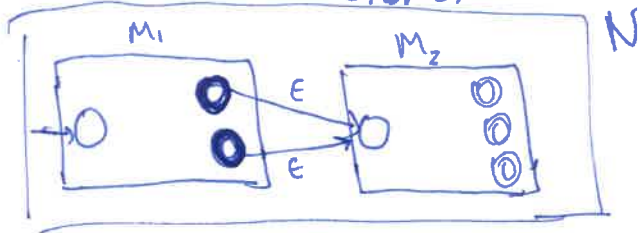
Closure under union:



Build NFA

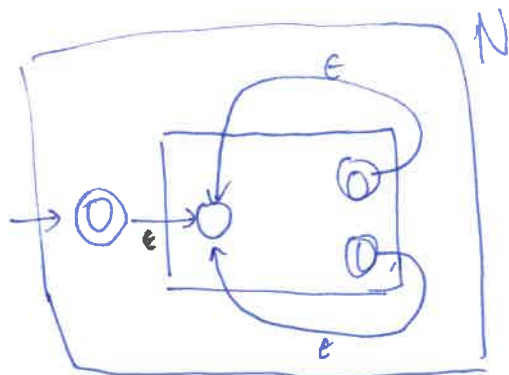
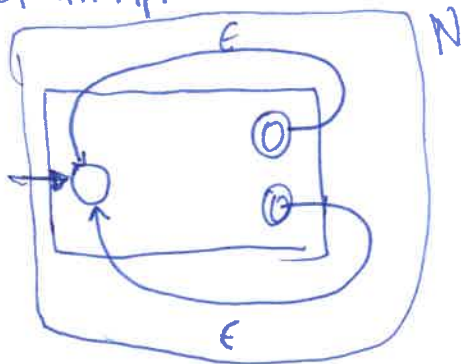


Closure under concatenation

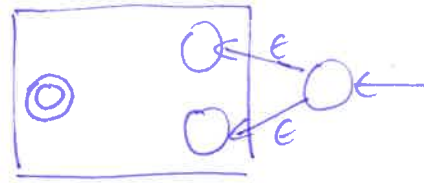
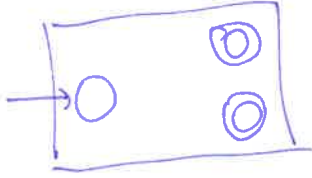


Closure under star

First attempt



Closure under reversal



reverse every transition.