

Graph-based Dependency Parsing

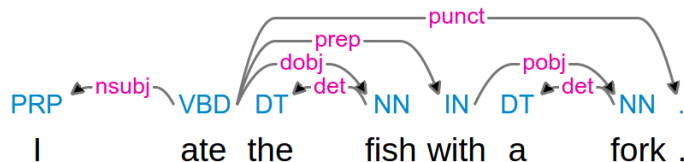
Chu-Liu-Edmonds and Camerini (*k*-best)

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Carnegie Mellon University

November 13, 2014

Dependency Parsing



TurboParser output from

<http://demo.ark.cs.cmu.edu/parse?sentence=I%20ate%20the%20fish%20with%20a%20fork.>

Dependency Parsing - Output Structure

A parse is an **arborescence** (aka **directed rooted tree**):

- ▶ Directed [Labeled] Graph
- ▶ Acyclic
- ▶ Single Root
- ▶ Connected and Spanning: \exists directed path from root to every other word

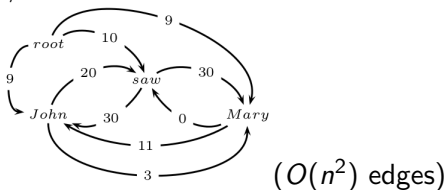
Arc-Factored Model

Every possible labeled directed edge e between every pair of nodes gets a score, $\text{score}(e)$.

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$G = \langle V, E \rangle =$

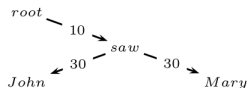


Example from *Non-projective Dependency Parsing using Spanning Tree Algorithms* McDonald et al., EMNLP '05

Arc-Factored Model

Best parse is:

$$A^{(1)} = \arg \max_{A \subseteq G} \sum_{e \in A} \text{score}(e)$$

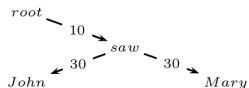
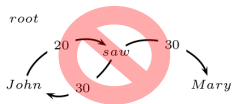


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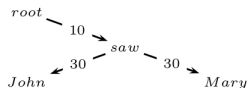
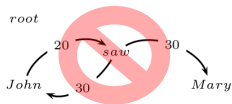


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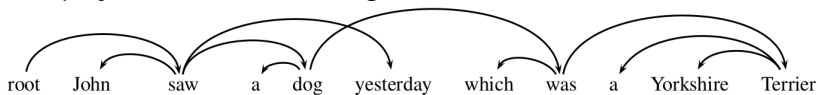
The Chu-Liu-Edmonds algorithm finds this argmax.

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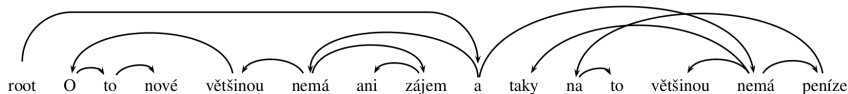
Projective / Non-projective

- ▶ Some parses are **projective**: edges don't cross
- ▶ Most English sentences are projective, but non-projectivity is common in other languages (e.g. Czech, Hindi)

Non-projective sentence in English:



and Czech:



He is mostly not even interested in the new things and in most cases, he has no money for it either.

Dependency Parsing Approaches

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 - ▶ *Only* produces projective parses
 - ▶ $O(n^3)$

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- ▶ Shift-reduce
 - ▶ “Pseudo-projective” trick can capture some non-projectivity
 - ▶ $O(n)$ (*fast!*), but inexact
- ▶ Graph-based (MST)
 - ▶ Can produce projective *and* non-projective parses
 - ▶ $O(n^2)$ for arc-factored

Chu-Liu-Edmonds

Chu and Liu '65, On the Shortest Arborescence of a Directed Graph, Science Sinica

Edmonds '67, Optimum Branchings, JRNBS

Chu-Liu-Edmonds - Intuition

Every non-ROOT node needs exactly 1 incoming edge

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- ▶ Arborescences can't have cycles, so we can't keep every edge in C . One edge in C must get kicked out.
- ▶ C also needs an incoming edge.
- ▶ Choosing an incoming edge for C *determines* which edge to kick out

Chu-Liu-Edmonds

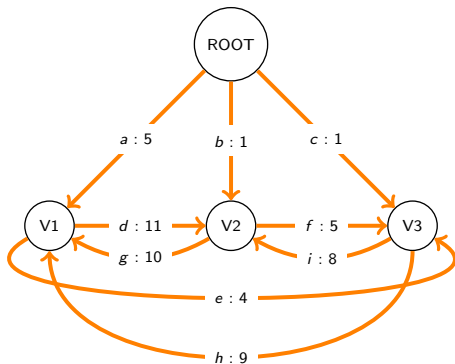
Consists of two stages:

- ▶ Contracting
- ▶ Expanding

Chu-Liu-Edmonds - Contracting Stage

- ▶ For each non-ROOT node v , set $\text{bestInEdge}[v]$ to be its highest scoring incoming edge.
- ▶ If a cycle C is ever formed:
 - ▶ **contract** the nodes in C into a new node v_C
 - ▶ edges incoming to any node in C now get destination v_C
 - ▶ edges outgoing from any node in C now get source v_C
 - ▶ For each node u in C , and for each edge e incoming to u from outside of C :
 - ▶ add $\text{bestInEdge}[u]$ to $\text{kicksOut}[e]$, and
 - ▶ set the score of e to be $\text{score}[e] - \text{score}[\text{bestInEdge}[u]]$.
- ▶ Repeat until every non-ROOT node has an incoming edge and no cycles are formed

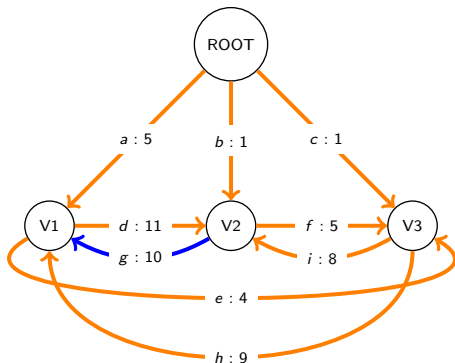
An Example - Contracting Stage



	bestInEdge
V1	
V2	
V3	

	kicksOut
a	
b	
c	
d	
e	
f	
g	
h	
i	

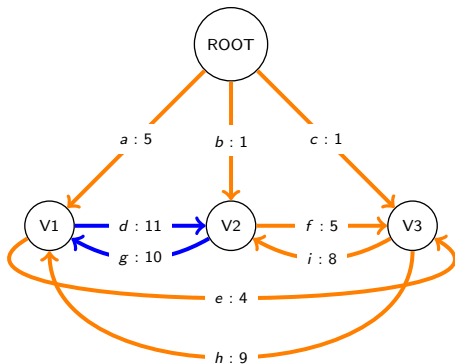
An Example - Contracting Stage



	bestInEdge
V1	g
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	kicksOut
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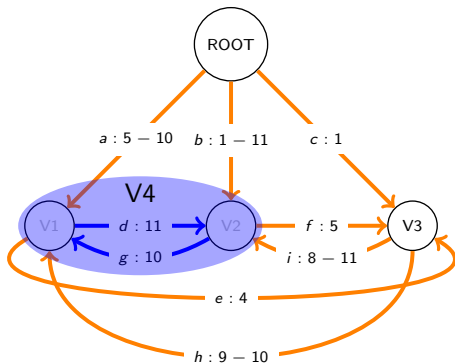
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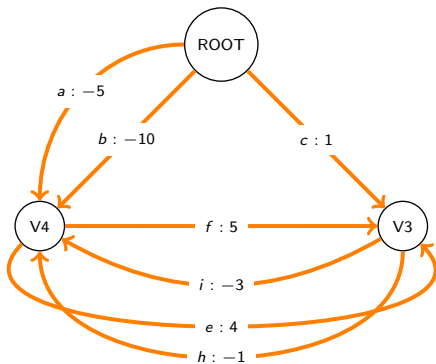
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V1	g
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a	g
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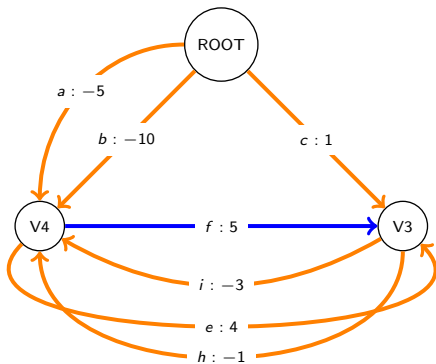
An Example - Contracting Stage



	bestInEdge
V1	g
V2	d
V3	
V4	

	kicksOut
a	g
b	d
c	
d	
e	
f	
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h	g
i	d

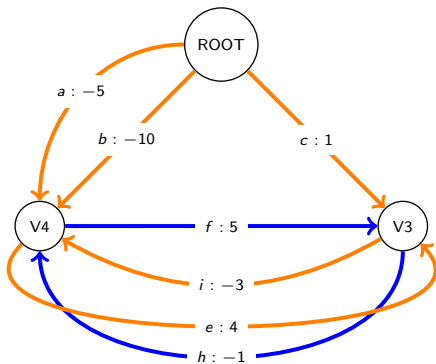
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V1	g
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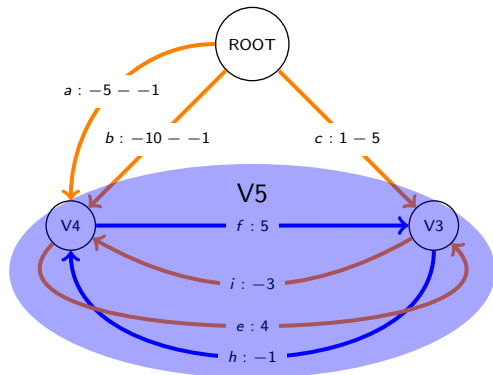
An Example - Contracting Stage



	bestInEdge
V1	g
V2	d
V3	f
V4	h

	kicksOut
a	g
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c	
d	
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f	
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h	g
i	d

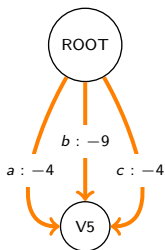
An Example - Contracting Stage



	bestInEdge
V1	g
V2	d
V3	f
V4	h
V5	

	kicksOut
a	g, h
b	d, h
c	f
d	
e	
f	
g	
h	g
i	d

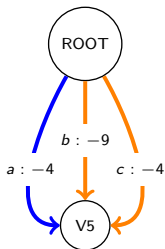
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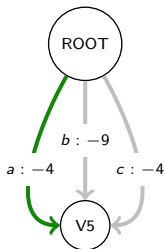
	kicksOut
a	g, h
b	d, h
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f	
g	
h	g
i	d

Chu-Liu-Edmonds - Expanding Stage

After the contracting stage, every contracted node will have exactly one **bestInEdge**. This edge will kick out one edge inside the contracted node, breaking the cycle.

- ▶ Go through each **bestInEdge** e in the *reverse* order that we added them
- ▶ **lock down** e , and **remove** every edge in **kicksOut**(e) from **bestInEdge**.

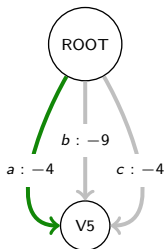
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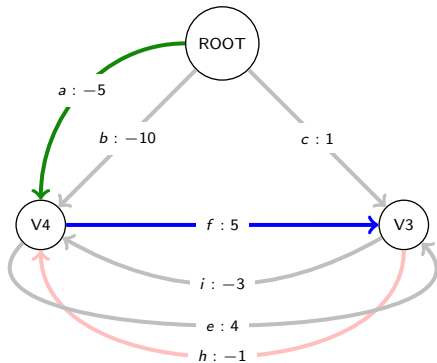
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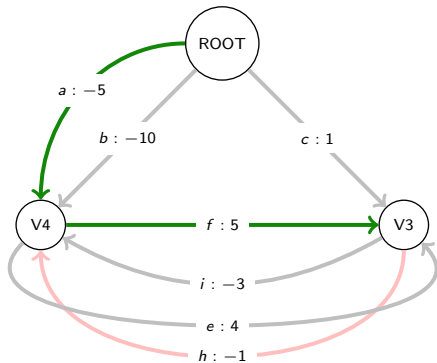
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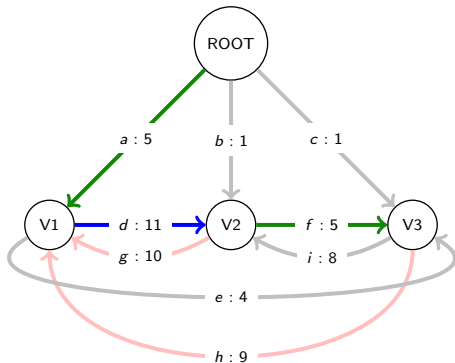
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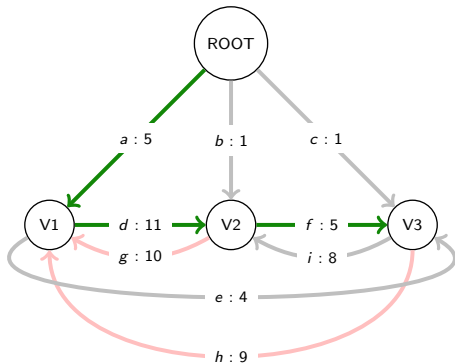
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Chu-Liu-Edmonds - Recursive Definition

```
def Get1Best( $\langle V, E \rangle$ , ROOT):  
    """ returns best arborescence as a map from each node to its parent """  
    for  $v$  in  $V \setminus \text{ROOT}$ :  
        bestInEdge[ $v$ ]  $\leftarrow$   $\arg \max_{u \in V} \text{score}[(u, v)]$   
        if bestInEdge contains a cycle  $C$ :  
            # build a new graph in which  $C$  is contracted into a single node  
             $v_C \leftarrow$  new Node  
             $V' \leftarrow V \cup \{v_C\} \setminus C$   
             $E' \leftarrow \emptyset$   
            for  $e = (t, u)$  in  $E$ :  
                if  $t \notin C$  and  $u \notin C$ :  
                     $e' \leftarrow e$   
                elif  $t \in C$  and  $u \notin C$ :  
                     $e' \leftarrow$  new Edge ( $v_C, u$ )  
                    score[ $e'$ ]  $\leftarrow$  score[ $e$ ]  
                elif  $u \in C$  and  $t \notin C$ :  
                     $e' \leftarrow$  new Edge ( $t, v_C$ )  
                    kicksOut[ $e'$ ]  $\leftarrow$  bestInEdge[ $u$ ]  
                    score[ $e'$ ]  $\leftarrow$  score[ $e$ ] - score[kicksOut[ $e'$ ]]  
            real[ $e'$ ]  $\leftarrow e$  # remember the original  
  
             $E' \leftarrow E' \cup \{e'\}$   
             $A \leftarrow$  Get1Best( $\langle V', E' \rangle$ , ROOT)  
            return {real[ $e'$ ] |  $e' \in A$ }  $\cup$  ( $C_E \setminus \{\text{kicksOut}[A[v_C]]\}$ )  
    return bestInEdge
```

Chu-Liu-Edmonds - Notes

- ▶ Efficient implementation:

Tarjan '77, Finding Optimum Branchings, Networks

Not recursive. Uses a **union-find** (a.k.a. **disjoint-set**) data structure to keep track of collapsed nodes.

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Uses a **Fibonacci heap** to keep incoming edges sorted.

Describes how to constrain ROOT to have only one outgoing edge

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- ▶ There is a version where you don't have to specify ROOT

Camerini

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But why?

- ▶ Model might not be correct, rerank *k*-best parses
- ▶ Constrained models (think global features)

State of the art

- ▶ MSTParser and MaltParser produce an *approximate* k -best list
- ▶ TurboParser has no k -best feature

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5. Partition the whole search space into two smaller subspaces.

Partition the solution space

Let **reqd** = set of edges that must be included
and **banned** = set of edges that must be excluded.

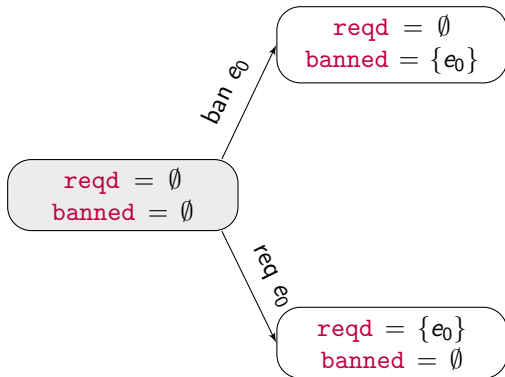
Partitioning the solution space

$\text{reqd} = \emptyset$
 $\text{banned} = \emptyset$

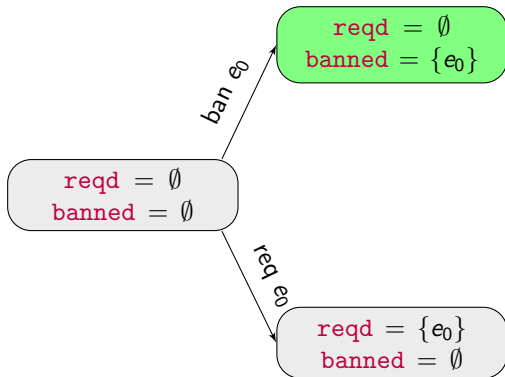
Partitioning the solution space

`reqd = ∅`
`banned = ∅`

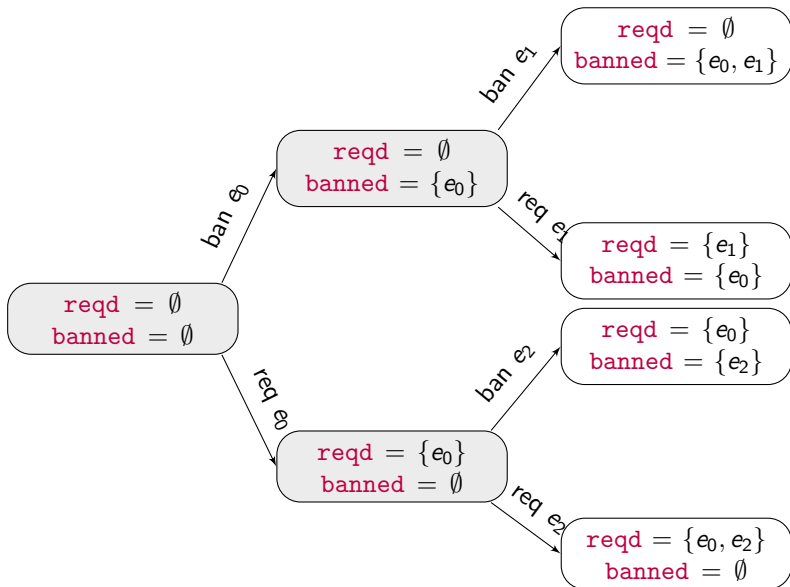
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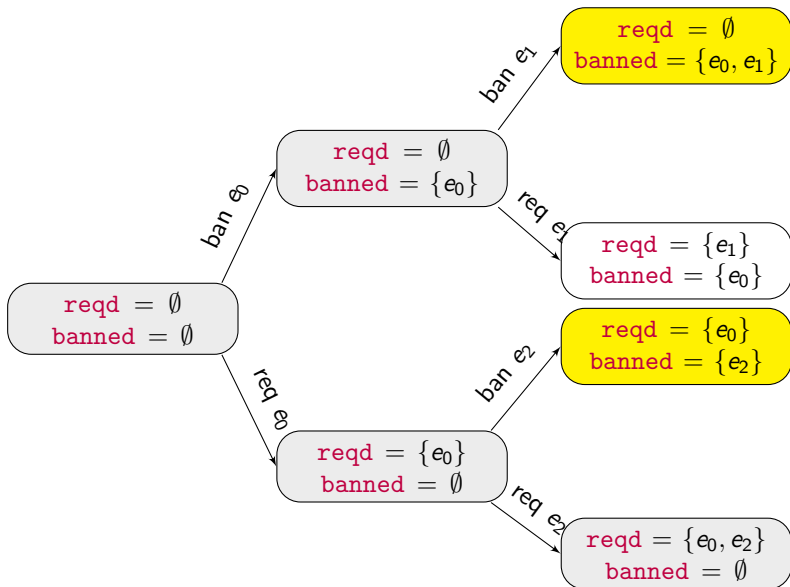
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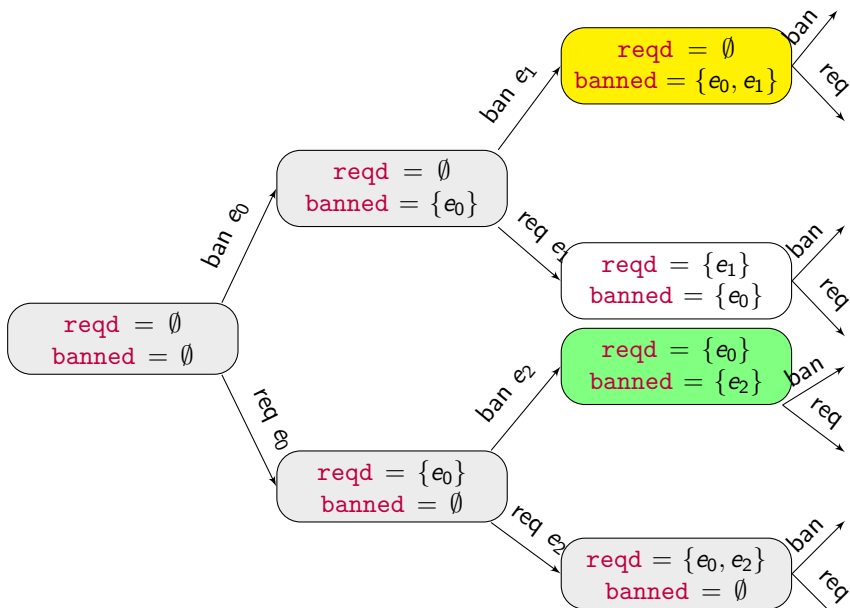
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Partitioning the solution space



Outline of the rest of the talk

- ▶ Find best arborescence A s.t. $reqd \subseteq A \subseteq E \setminus banned$
Algorithm `GetConstrained1Best(G, ROOT, reqd, banned)`
- ▶ Find an edge $e \in A \setminus reqd$ that defines the next partition.
Algorithm `FindEdgeToBan(G, ROOT, A, reqd, banned)`
- ▶ Smart way to search the subspace of solutions
Algorithm `GetKBest(G, ROOT, k)`

Algorithm `GetConstrained1Best(G, ROOT, reqd, banned)`

Throw out edges before you feed the graph into `Get1Best`:

- ▶ Throw out every edge in `banned`
- ▶ Throw out every edge that *competes* with any edge in `reqd`
- ▶ Run `Get1Best`

Runtime

$O(n^2)$

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Algorithm `GetConstrained1Best(G, ROOT, reqd, banned)`
- ▶ Find an edge $e \in A \setminus reqd$ that defines the next partition.
Algorithm `FindEdgeToBan(G, ROOT, A, reqd, banned)`
- ▶ Smart way to search the subspace of solutions
Algorithm `GetKBest(G, ROOT, k)`

Algorithm FindEdgeToBan(G , $ROOT$, A , $reqd$, $banned$)

- ▶ Input (A , $reqd$, $banned$),
- ▶ For every edge e in $A \setminus reqd$, find the next best alternative edge, $alt(e)$
 - ▶ this alternative cannot be in $banned$
 - ▶ the source of this alternative must not be lower down in the tree A
- ▶ Return $eBan$, the edge e in $A \setminus reqd$ with the highest scoring alternative
- ▶ Return $diff = score(eBan) - alt(eBan)$

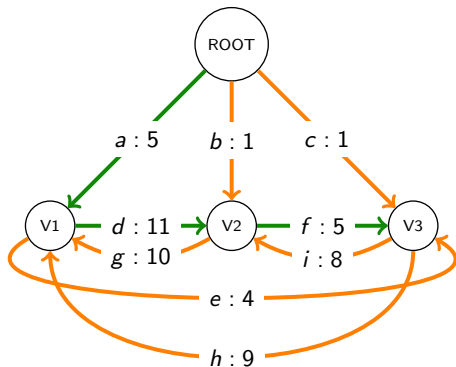
Return variables $eBan$, $diff$

Runtime

$O(n^2)$

Example run FindEdgeToBan

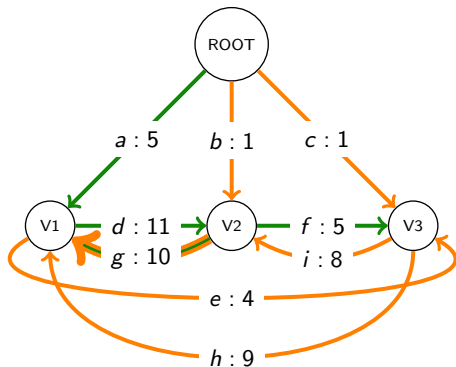
`FindEdgeToBan(G, ROOT, A(1), reqd = ∅, banned = ∅)`



`diff = +∞, eBan = ∅`

Example run FindEdgeToBan

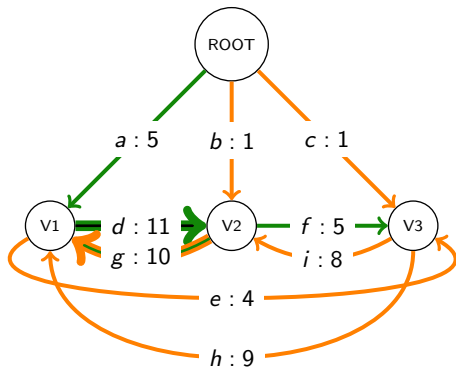
`FindEdgeToBan(G, ROOT, A(1), reqd = ∅, banned = ∅)`



`diff = +∞, eBan = ∅`

Example run FindEdgeToBan

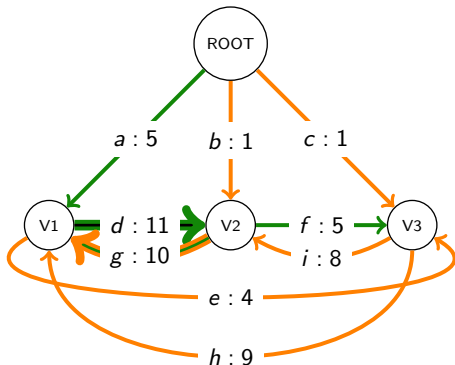
`FindEdgeToBan(G, ROOT, A(1), reqd = ∅, banned = ∅)`



`diff = +∞, eBan = ∅`

Example run FindEdgeToBan

$\text{FindEdgeToBan}(G, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

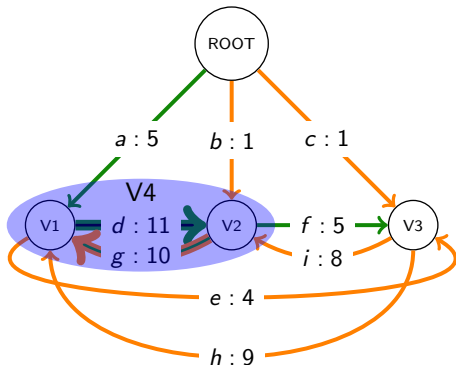


$$\text{alt}(d) = b$$

$$\text{diff} = 10, \text{eBan} = d$$

Example run FindEdgeToBan

$\text{FindEdgeToBan}(\mathbf{G}, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

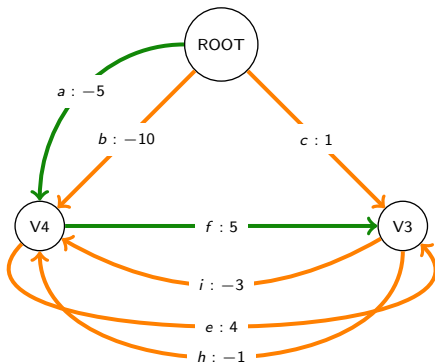


$$\text{alt}(d) = b$$

$$\text{diff} = 10, \text{eBan} = d$$

Example run FindEdgeToBan

$\text{FindEdgeToBan}(\mathbf{G}, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

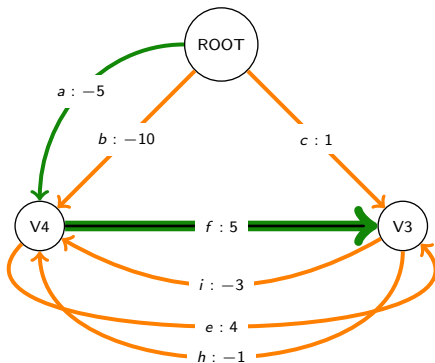


$$\text{alt}(d) = b$$

$$\text{diff} = 10, \text{eBan} = d$$

Example run FindEdgeToBan

$\text{FindEdgeToBan}(\mathbf{G}, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

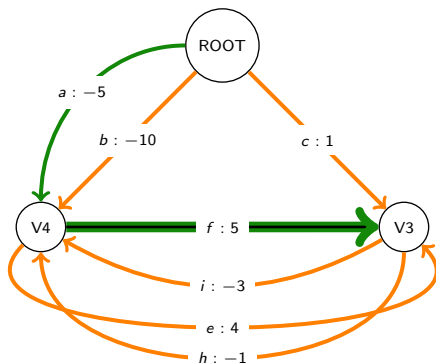


$$\text{alt}(d) = b$$

$$\text{diff} = 10, \text{eBan} = d$$

Example run FindEdgeToBan

$\text{FindEdgeToBan}(\mathbf{G}, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

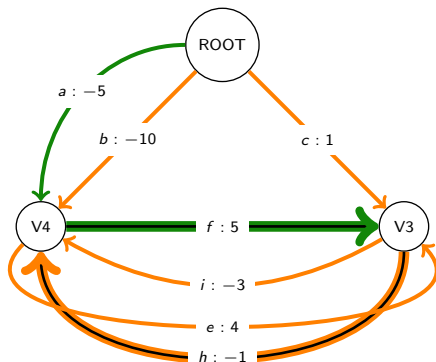


$$\text{alt}(f) = e$$

$$\text{diff} = 1, \text{eBan} = f$$

Example run FindEdgeToBan

$\text{FindEdgeToBan}(\mathbf{G}, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

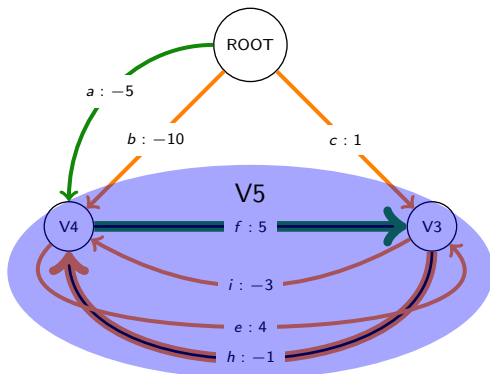


$$\text{alt}(f) = e$$

$$\text{diff} = 1, \text{eBan} = f$$

Example run FindEdgeToBan

$\text{FindEdgeToBan}(\mathbf{G}, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

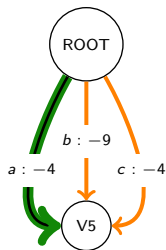


$$\text{alt}(f) = e$$

$$\text{diff} = 1, \text{eBan} = f$$

Example run FindEdgeToBan

$\text{FindEdgeToBan}(\mathbf{G}, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

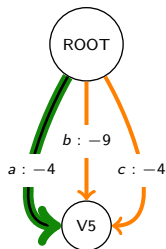


$$\text{alt}(f) = e$$

$$\text{diff} = 1, \text{eBan} = f$$

Example run FindEdgeToBan

$\text{FindEdgeToBan}(\mathbf{G}, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

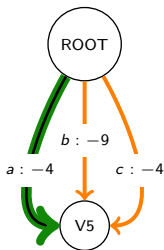


$$\text{alt}(a) = c$$

$$\text{diff} = 0, \text{eBan} = a$$

Example run FindEdgeToBan

$\text{FindEdgeToBan}(\mathbf{G}, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$



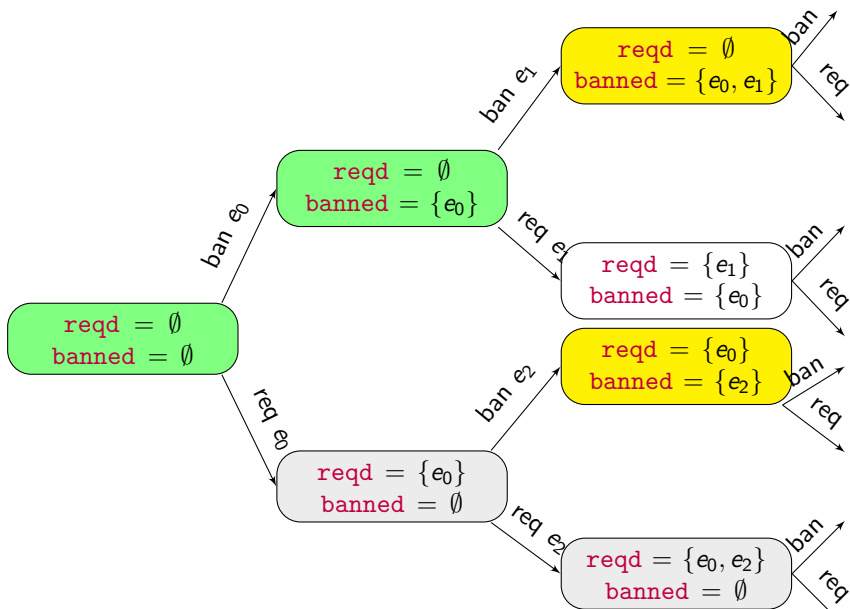
$$\text{alt}(a) = c$$

$$\text{diff} = 0, \text{eBan} = a$$

Outline of the rest of the talk

- ▶ Find best arborescence A s.t. $reqd \subseteq A \subseteq E \setminus banned$
Algorithm ~~GetConstrained1Best~~($G, ROOT, reqd, banned$)
- ▶ Find an edge $e \in A \setminus reqd$ that defines the next partition.
Algorithm ~~FindEdgeToBan~~($G, ROOT, A, reqd, banned$)
- ▶ Smart way to search the subspace of solutions
Algorithm ~~GetKBest~~($G, ROOT, k$)

Revisit partitioning



Algorithm GetKBest(G, ROOT, k)

- ▶ For every partition, save the following tuple:
(wt , $eBan$, A , $reqd$, $banned$)
- ▶ $A = \text{GetConstrained1Best}(G, \text{ROOT}, reqd, banned)$
corresponds to the *best* solution in the partition
- ▶ $diff, eBan = \text{FindEdgeToBan}(G, \text{ROOT}, A, reqd, banned)$
- ▶ $wt = \text{score}(A) - diff$
- ▶ Maintain a priority queue, Q containing all tuples sorted by wt
- ▶ Q determines which path to traverse in the search space

GetKBest

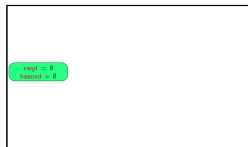
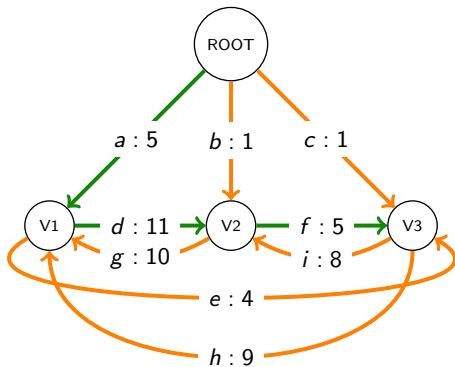
```
def GetKBest( $G$ ,  $ROOT$ ,  $k$ ):  
    """ returns k-best arborescences """  
    reqd  $\leftarrow \emptyset$  banned  $\leftarrow \emptyset$   
     $A^{(1)} \leftarrow \text{Get1Best}(\langle G.V, G.E \rangle, ROOT)$   
    diff, eBan  $\leftarrow \text{FindEdgeToBan}(G, ROOT, A^{(1)}, reqd, banned)$   
     $Q.push((score(A^{(1)}) - diff, eBan, A^{(1)}, reqd, banned))$   
    for  $j$  in  $2 \dots k$ :  
        ( $wt, eBan, \bar{A}, reqd, banned$ )  $\leftarrow Q.pop()$   
        if  $wt == -\infty$ :  
            return  $A^{(1)}, \dots, A^{(j-1)}$   
         $\bar{reqd} \leftarrow reqd \cup \{eBan\}$   
         $\bar{banned} \leftarrow banned \cup \{eBan\}$   
         $A^{(j)} \leftarrow \text{GetConstrained1Best}(G, ROOT, reqd, \bar{banned}')$   
        diff, eBan  $\leftarrow \text{FindEdgeToBan}(G, ROOT, \bar{A}, \bar{reqd}, \bar{banned})$   
         $Q.push((score(\bar{A}) - diff, eBan, \bar{A}, \bar{reqd}, \bar{banned}))$   
        diff, eBan  $\leftarrow \text{FindEdgeToBan}(G, ROOT, \bar{A}, reqd, \bar{banned})$   
         $Q.push((wt - diff, eBan, \bar{A}, reqd, \bar{banned}))$   
    return  $A^{(1)}, \dots, A^{(k)}$ 
```

Runtime

$O(kn^2)$

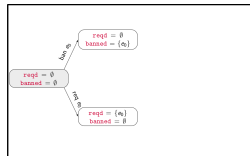
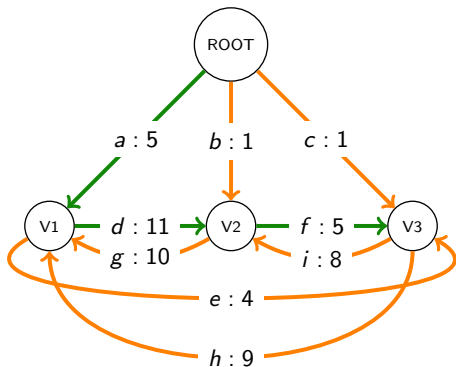
GetKBest example : 1-best

$A^{(1)} \leftarrow \text{GetConstrained1Best}(G, \text{ROOT}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$



GetKBest example : 1-best

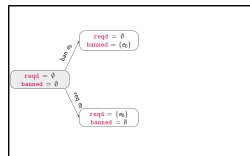
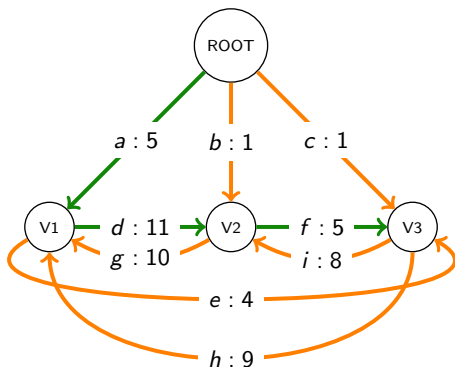
$A^{(1)} \leftarrow \text{GetConstrained1Best}(G, \text{ROOT}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$



$(\text{diff} = 0, \text{eBan} = a) \leftarrow \text{FindEdgeToBan}(G, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

GetKBest example : 1-best

$A^{(1)} \leftarrow \text{GetConstrained1Best}(G, \text{ROOT}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

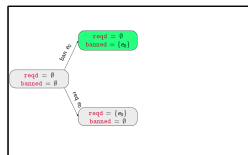
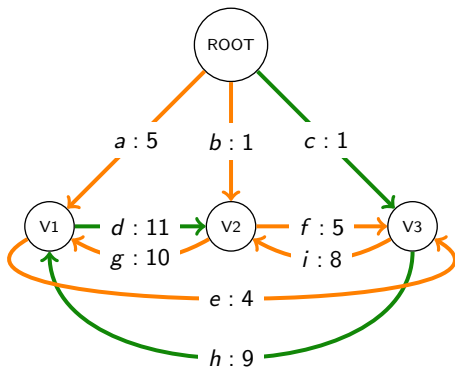


Q
(21, a, $A^{(1)}$, \emptyset , \emptyset)

$(\text{diff} = 0, \text{eBan} = a) \leftarrow \text{FindEdgeToBan}(G, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \emptyset)$

GetKBest example: 2-best

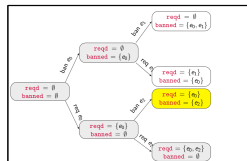
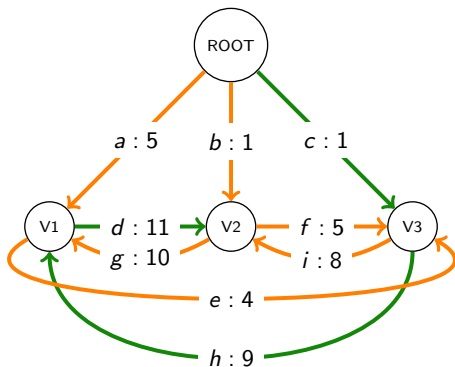
$A^{(2)} \leftarrow \text{GetConstrained1Best}(G, \text{ROOT}, \text{reqd} = \emptyset, \text{banned} = \{a\})$



Q
$(21, a, A^{(1)}, \emptyset, \emptyset)$

GetKBest example: 2-best

$A^{(2)} \leftarrow \text{GetConstrained1Best}(G, \text{ROOT}, \text{reqd} = \emptyset, \text{banned} = \{a\})$

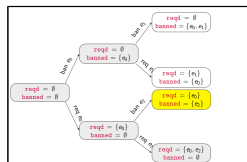
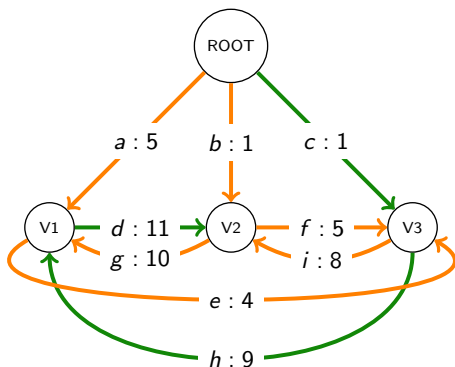


Q
$(21, a, A^{(1)}, \emptyset, \emptyset)$

$(\text{diff} = 1, \text{eBan} = f) \leftarrow \text{FindEdgeToBan}(G, \text{ROOT}, A^{(1)}, \text{reqd} = \{a\}, \text{banned} = \emptyset)$

GetKBest example: 2-best

$A^{(2)} \leftarrow \text{GetConstrained1Best}(G, \text{ROOT}, \text{reqd} = \emptyset, \text{banned} = \{a\})$

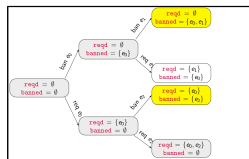
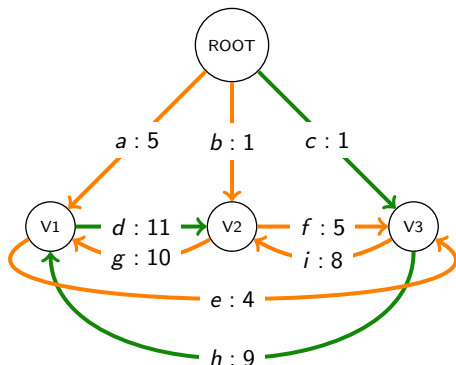


Q
$(21, a, A^{(1)}, \emptyset, \emptyset)$
$(20, f, A^{(1)}, \{a\}, \emptyset)$

$(\text{diff} = 1, \text{eBan} = f) \leftarrow \text{FindEdgeToBan}(G, \text{ROOT}, A^{(1)}, \text{reqd} = \{a\}, \text{banned} = \emptyset)$

GetKBest example: 2-best

$A^{(2)} \leftarrow \text{GetConstrained1Best}(G, \text{ROOT}, \text{reqd} = \emptyset, \text{banned} = \{a\})$



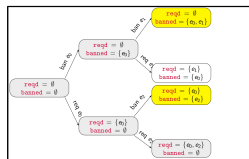
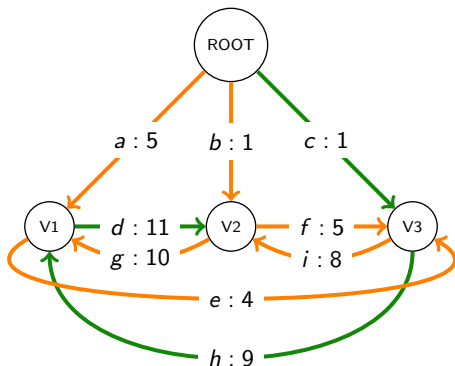
Q
$(21, a, A^{(1)}, \emptyset, \emptyset)$
$(20, f, A^{(1)}, \{a\}, \emptyset)$

$(\text{diff} = 1, \text{eBan} = f) \leftarrow \text{FindEdgeToBan}(G, \text{ROOT}, A^{(1)}, \text{reqd} = \{a\}, \text{banned} = \emptyset)$

$(\text{diff} = 2, \text{eBan} = h) \leftarrow \text{FindEdgeToBan}(G, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \{a\})$

GetKBest example: 2-best

$A^{(2)} \leftarrow \text{GetConstrained1Best}(G, \text{ROOT}, \text{reqd} = \emptyset, \text{banned} = \{a\})$



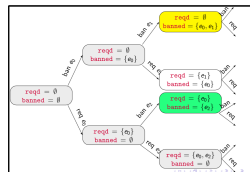
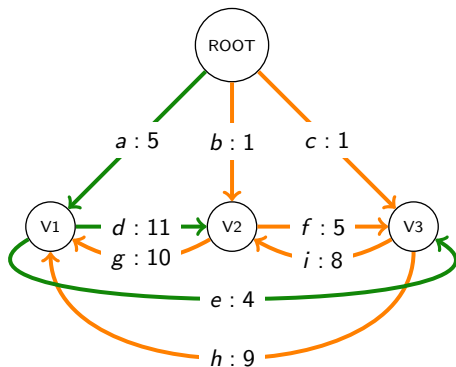
Q
$(21, a, A^{(1)}, \emptyset, \emptyset)$
$(20, f, A^{(1)}, \{a\}, \emptyset)$
$(19, h, A^{(2)}, \emptyset, \{a\})$

$(\text{diff} = 1, \text{eBan} = f) \leftarrow \text{FindEdgeToBan}(G, \text{ROOT}, A^{(1)}, \text{reqd} = \{a\}, \text{banned} = \emptyset)$

$(\text{diff} = 2, \text{eBan} = h) \leftarrow \text{FindEdgeToBan}(G, \text{ROOT}, A^{(1)}, \text{reqd} = \emptyset, \text{banned} = \{a\})$

GetKBest example : 3-best

$A^{(3)} \leftarrow \text{GetConstrained1Best}(G, \text{ROOT}, \text{reqd} = \{a\}, \text{banned} = \{f\})$



Q
$(21, a, A^{(1)}, \emptyset, \emptyset)$
$(20, f, A^{(1)}, \{a\}, \emptyset)$
$(19, h, A^{(2)}, \emptyset, \{a\})$

Conclusion

- ▶ Graph-based formulation for dependency parsing
- ▶ 1-best algorithm by Chu-Liu-Edmonds
- ▶ k -best algorithm by Camerini