



## 15-441 Computer Networking

### Lecture 17 – TCP & Congestion Control

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### Good Ideas So Far...



- Flow control
  - Stop & wait
  - Parallel stop & wait
  - Sliding window
- Loss recovery
  - Timeouts
  - Acknowledgement-driven recovery (selective repeat or cumulative acknowledgement)

2

### Outline



- TCP flow control
- Congestion sources and collapse
- Congestion control basics

3

### Sequence Numbers (reminder)

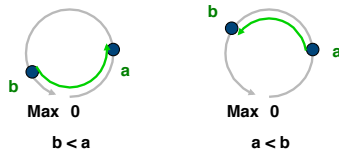


- How large do sequence numbers need to be?
  - Must be able to detect wrap-around
  - Depends on sender/receiver window size
- E.g.
  - Max seq = 7, send win=recv win=7
  - If pkts 0..6 are sent successfully and all acks lost
    - Receiver expects 7,0..5, sender retransmits old 0..6!!!
- Max sequence must be  $\geq$  send window + recv window

5

## Sequence Numbers

- 32 Bits, Unsigned → for bytes not packets!
  - Circular Comparison



- Why So Big?
  - For sliding window, must have  $|\text{Sequence Space}| > |\text{Sending Window}| + |\text{Receiving Window}|$ 
    - No problem
  - Also, want to guard against stray packets
    - With IP, packets have maximum lifetime of 120s
    - Sequence number would wrap around in this time at 286MB/s

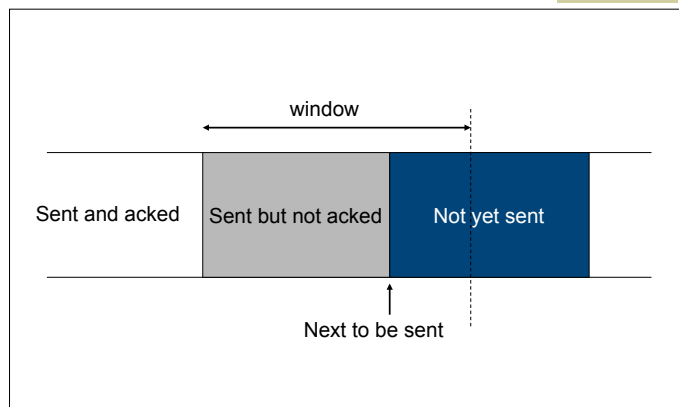
6

## TCP Flow Control

- TCP is a sliding window protocol
  - For window size  $n$ , can send up to  $n$  bytes without receiving an acknowledgement
  - When the data is acknowledged then the window slides forward
- Each packet advertises a window size
  - Indicates number of bytes the receiver has space for
- Original TCP always sent entire window
  - Congestion control now limits this

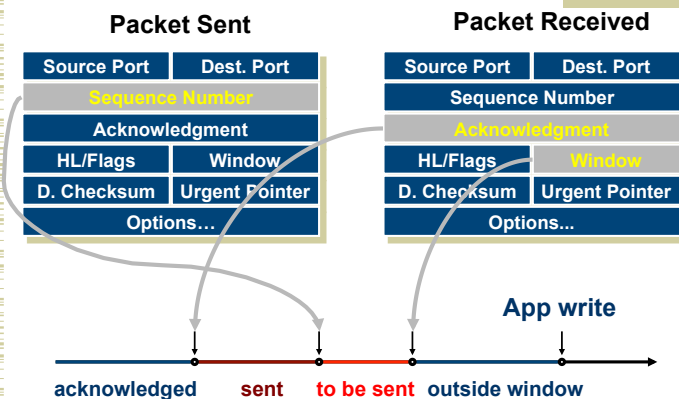
7

## Window Flow Control: Send Side



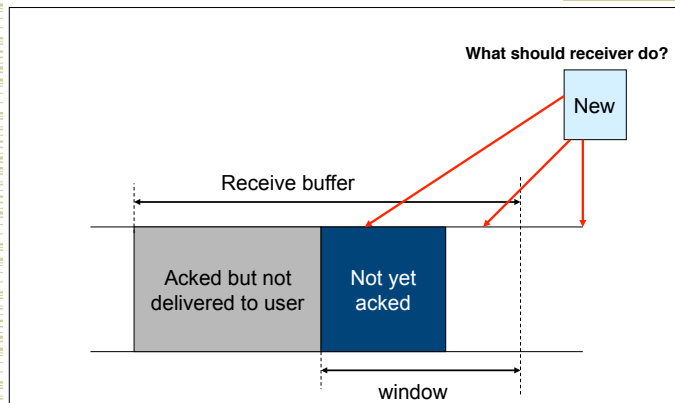
8

## Window Flow Control: Send Side



9

## Window Flow Control: Receive Side



10

## TCP Persist

- What happens if window is 0?
  - Receiver updates window when application reads data
  - What if this update is lost?
- TCP Persist state
  - Sender periodically sends 1 byte packets
  - Receiver responds with ACK even if it can't store the packet

11

## Performance Considerations

- The window size can be controlled by receiving application
  - Can change the socket buffer size from a default (e.g. 8Kbytes) to a maximum value (e.g. 64 Kbytes)
- The window size field in the TCP header limits the window that the receiver can advertise
  - 16 bits  $\rightarrow$  64 KBytes
  - 10 msec RTT  $\rightarrow$  51 Mbit/second
  - 100 msec RTT  $\rightarrow$  5 Mbit/second
  - TCP options to get around 64KB limit  $\rightarrow$  increases above limit

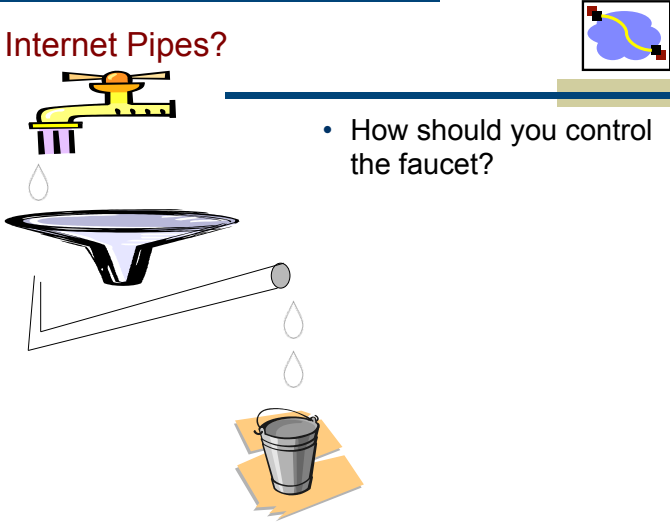
12

## Outline

- TCP flow control
- Congestion sources and collapse
- Congestion control basics

13

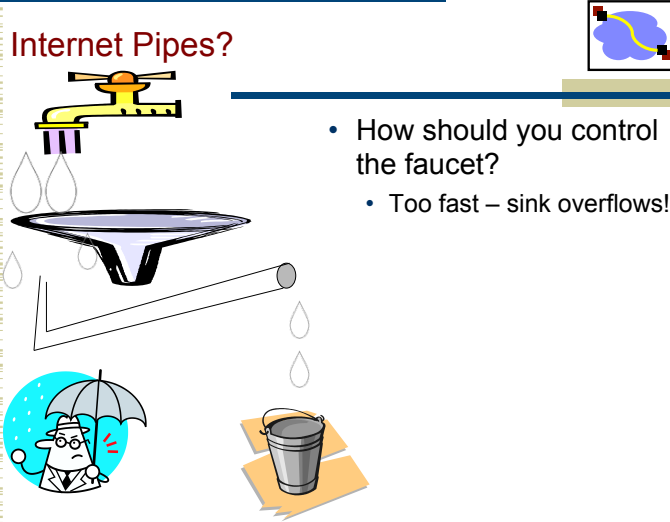
## Internet Pipes?



- How should you control the faucet?

14

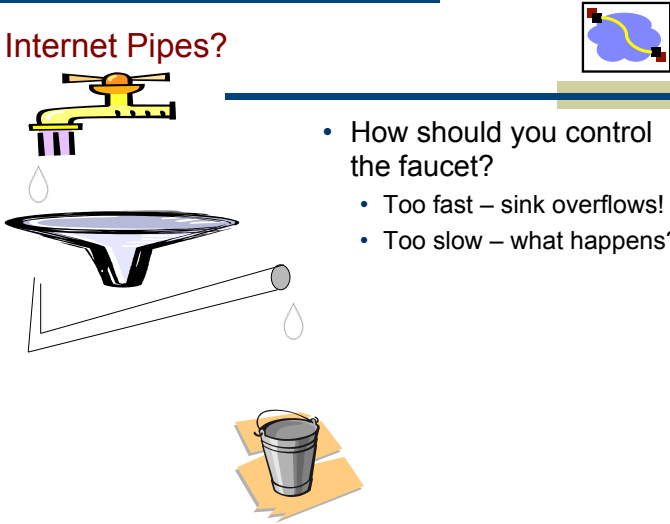
## Internet Pipes?



- How should you control the faucet?
  - Too fast – sink overflows!

15

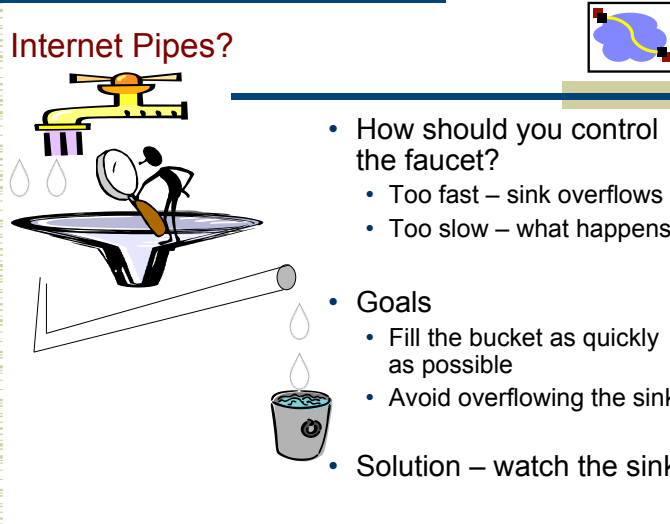
## Internet Pipes?



- How should you control the faucet?
  - Too fast – sink overflows!
  - Too slow – what happens?

16

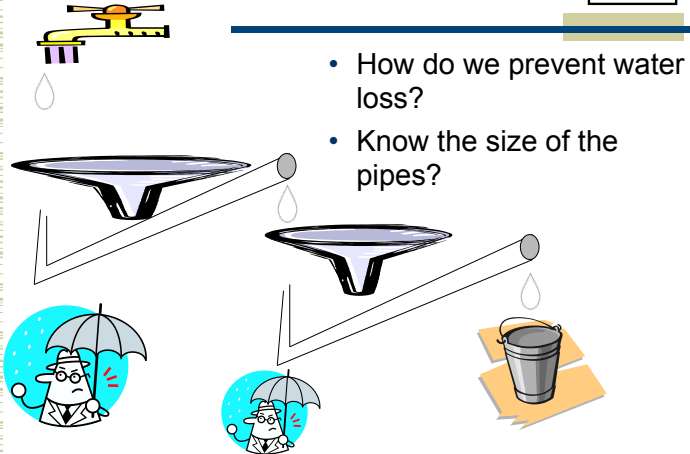
## Internet Pipes?



- How should you control the faucet?
  - Too fast – sink overflows
  - Too slow – what happens?
- Goals
  - Fill the bucket as quickly as possible
  - Avoid overflowing the sink
- Solution – watch the sink

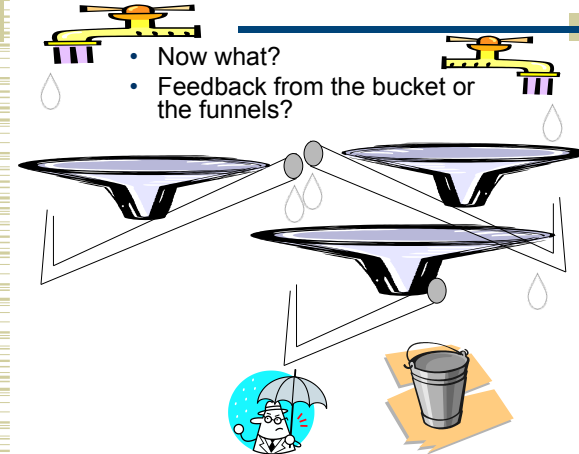
17

## Plumbers Gone Wild!



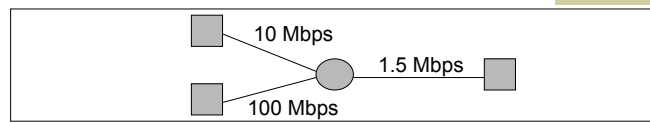
18

## Plumbers Gone Wild 2!



19

## Congestion

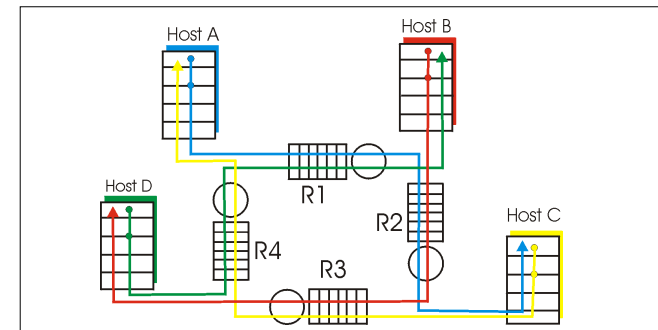


- Different sources compete for resources inside network
- Why is it a problem?
  - Sources are unaware of current state of resource
  - Sources are unaware of each other
- Manifestations:
  - Lost packets (buffer overflow at routers)
  - Long delays (queuing in router buffers)
  - Can result in throughput less than bottleneck link (1.5Mbps for the above topology) → a.k.a. congestion collapse

20

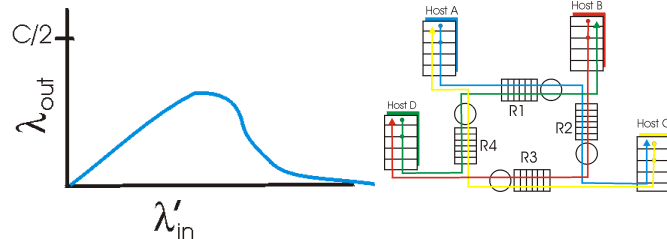
## Causes & Costs of Congestion

- Four senders – multihop paths **Q:** What happens as rate increases?
- Timeout/retransmit



21

## Causes & Costs of Congestion



- When packet dropped, any “upstream transmission capacity used for that packet was wasted!

22

## Congestion Collapse

- Definition: *Increase in network load results in decrease of useful work done*
- Many possible causes
  - Spurious retransmissions of packets still in flight
    - Classical congestion collapse
    - How can this happen with packet conservation
    - Solution: better timers and TCP congestion control
  - Undelivered packets
    - Packets consume resources and are dropped elsewhere in network
    - Solution: congestion control for ALL traffic

23

## Congestion Control and Avoidance

- A mechanism which:
  - Uses network resources efficiently
  - Preserves fair network resource allocation
  - Prevents or avoids collapse
- Congestion collapse is not just a theory
  - Has been frequently observed in many networks

24

## Approaches Towards Congestion Control

- Two broad approaches towards congestion control:
  - **End-end congestion control:**
    - No explicit feedback from network
    - Congestion inferred from end-system observed loss, delay
    - Approach taken by TCP
  - **Network-assisted congestion control:**
    - Routers provide feedback to end systems
      - Single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
      - Explicit rate sender should send at
    - Problem: makes routers complicated

25

## Example: TCP Congestion Control



- Very simple mechanisms in network
  - FIFO scheduling with shared buffer pool
  - Feedback through packet drops
- TCP interprets packet drops as signs of congestion and slows down
  - This is an assumption: packet drops are not a sign of congestion in all networks
    - E.g. wireless networks
- Periodically probes the network to check whether more bandwidth has become available.

26

## Outline



- TCP flow control
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27

## Objectives



- Simple router behavior
- Distributedness
- Efficiency:  $X = \sum x_i(t)$
- Fairness:  $(\sum x_i)^2 / n(\sum x_i^2)$ 
  - What are the important properties of this function?
- Convergence: control system must be stable

28

## Basic Control Model



- Reduce speed when congestion is perceived
  - How is congestion signaled?
    - Either mark or drop packets
  - How much to reduce?
- Increase speed otherwise
  - Probe for available bandwidth – how?

29

## Linear Control



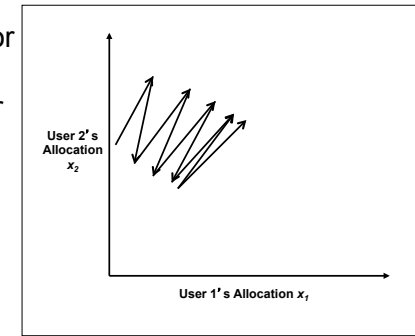
- Many different possibilities for reaction to congestion and probing
  - Examine simple linear controls
    - $\text{Window}(t + 1) = a + b \text{ Window}(t)$
    - Different  $a_i/b_i$  for increase and  $a_d/b_d$  for decrease
- Supports various reaction to signals
  - Increase/decrease additively
  - Increased/decrease multiplicatively
  - Which of the four combinations is optimal?

30

## Phase Plots



- Simple way to visualize behavior of competing connections over time

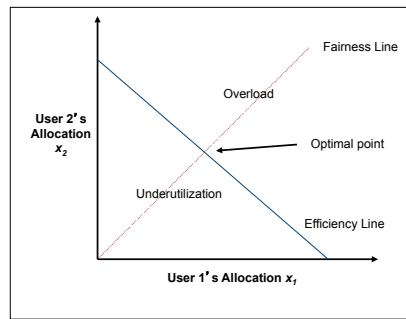


31

## Phase Plots



- What are desirable properties?
- What if flows are not equal?

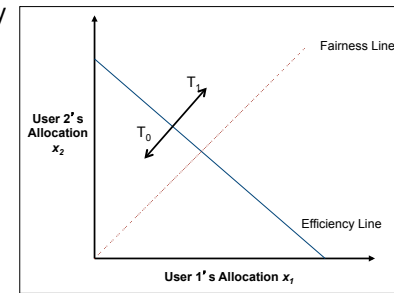


32

## Additive Increase/Decrease



- Both  $x_1$  and  $x_2$  increase/ decrease by the same amount over time
  - Additive increase improves fairness and additive decrease reduces fairness

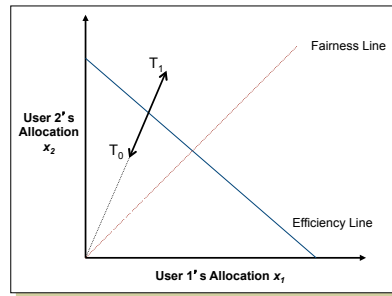


33



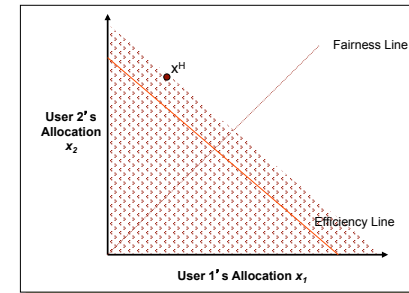
## Multiplicative Increase/Decrease

- Both  $x_1$  and  $x_2$  increase by the same factor over time
- Extension from origin – constant fairness



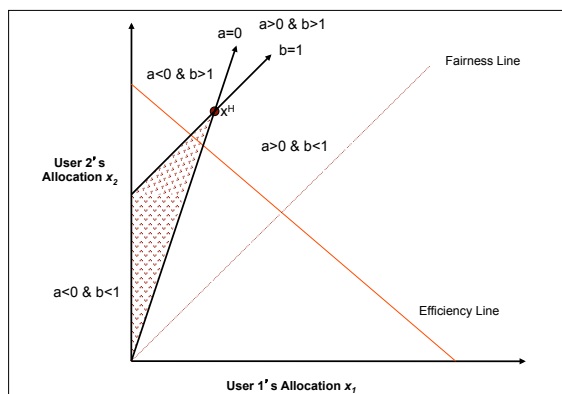
34

## Convergence to Efficiency



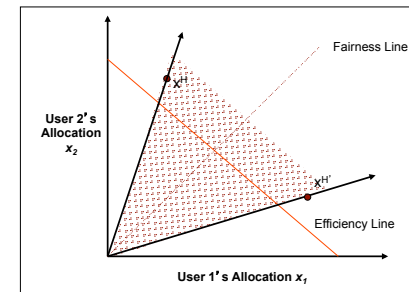
35

## Distributed Convergence to Efficiency



36

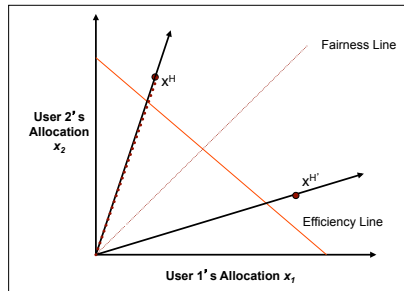
## Convergence to Fairness



37

## Convergence to Efficiency & Fairness

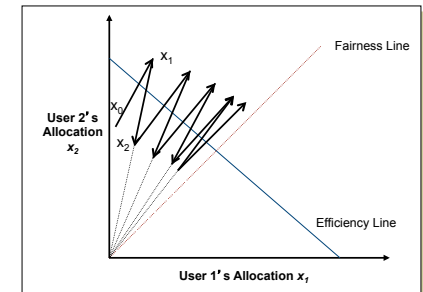
- Intersection of valid regions
- For decrease:  $a=0$  &  $b < 1$



38

## What is the Right Choice?

- Constraints limit us to AIMD
  - Can have multiplicative term in increase (MAIMD)
  - AIMD moves towards optimal point



39

## Important Lessons

- Transport service
  - UDP  $\rightarrow$  mostly just IP service
  - TCP  $\rightarrow$  congestion controlled, reliable, byte stream
- Types of ARQ protocols
  - Stop-and-wait  $\rightarrow$  slow, simple
  - Go-back-n  $\rightarrow$  can keep link utilized (except w/ losses)
  - Selective repeat  $\rightarrow$  efficient loss recovery
- Sliding window flow control
- TCP flow control
  - Sliding window  $\rightarrow$  mapping to packet headers
  - 32bit sequence numbers (bytes)

40

## Important Lessons

- Why is congestion control needed?
- How to evaluate congestion control algorithms?
  - Why is AIMD the right choice for congestion control?
- TCP flow control
  - Sliding window  $\rightarrow$  mapping to packet headers
  - 32bit sequence numbers (bytes)

41

## Good Ideas So Far...



- Flow control
  - Stop & wait
  - Parallel stop & wait
  - Sliding window (e.g., advertised windows)
- Loss recovery
  - Timeouts
  - Acknowledgement-driven recovery (selective repeat or cumulative acknowledgement)
- Congestion control
  - AIMD → fairness and efficiency
- Next Lecture: How does TCP actually implement these?