



## 15-441 Computer Networking

### Lecture 16 – Transport Protocols

## Announcements



- Mid-semester grades
  - Based on project1 + midterm + HW1 + HW2
    - 42.5% of class
  - If you got a D+, D, D- or F → must meet with Dave or me
  - 57.5% of class grade remains!

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## Feedback (positive)



- Likes:
  - 12: lectures (or some aspect of lectures)
  - 8: project
  - 4: recitations
  - 3: HW
  - 2: cookies
- More:
  - 3: more practical apps/tools (IRC, IPTV, BitTorrent, P2P, etheral)
  - 3: more examples/more animations/more details
  - 3: more overview/summaries
  - 2: more project advice

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## Feedback (negative)



- Project/HW
  - 5: project writeup
  - 5: need more/more complex checkpoints/need at beginning
  - 4: HW tedious/poorly written
  - 2: project too hard
  - HW not covered in lecture
  - HW makeup grade
- Lectures
  - 3: define terms/acronym/memorization hell
  - 3: textbook bad/relationship to lectures unclear
  - lecture relationship to the book
  - Srin's lectures are slow-paced
- Interaction
  - email vs. bboard
  - more direct answers on bboard
  - want review session for exam

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## Outline

- Transport introduction
- Error recovery & flow control

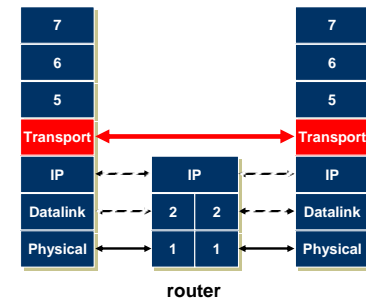
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## Transport Protocols

- Lowest level end-to-end protocol.
  - Header generated by sender is interpreted only by the destination
  - Routers view transport header as part of the payload



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## Functionality Split

- Network provides best-effort delivery
- End-systems implement many functions
  - Reliability
  - In-order delivery
  - Demultiplexing
  - Message boundaries
  - Connection abstraction
  - Congestion control
  - ...

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## Transport Protocols

- UDP provides just integrity and demux
- TCP adds...
  - Connection-oriented
  - Reliable
  - Ordered
  - Point-to-point
  - Byte-stream
  - Full duplex
  - Flow and congestion controlled

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## UDP: User Datagram Protocol [RFC 768]



- “No frills,” “bare bones” Internet transport protocol
- “Best effort” service, UDP segments may be:
  - Lost
  - Delivered out of order to app
- **Connectionless:**
  - No handshaking between UDP sender, receiver
  - Each UDP segment handled independently of others

### Why is there a UDP?

- No connection establishment (which can add delay)
- Simple: no connection state at sender, receiver
- Small header
- No congestion control: UDP can blast away as fast as desired

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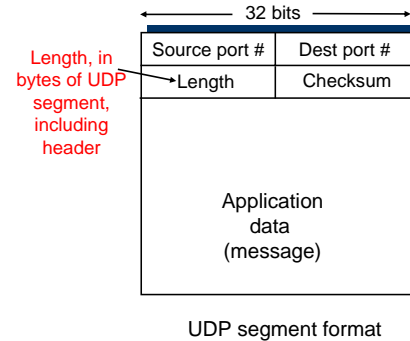
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## UDP, cont.



- Often used for streaming multimedia apps
  - Loss tolerant
  - Rate sensitive
- Other UDP uses (why?):
  - DNS, SNMP
- Reliable transfer over UDP
  - Must be at application layer
  - Application-specific error recovery



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## UDP Checksum



**Goal:** detect “errors” (e.g., flipped bits) in transmitted segment – optional use!

### Sender:

- Treat segment contents as sequence of 16-bit integers
- Checksum: addition (1’s complement sum) of segment contents
- Sender puts checksum value into UDP checksum field

### Receiver:

- Compute checksum of received segment
  - Check if computed checksum equals checksum field value:
    - NO - error detected
    - YES - no error detected
- But maybe errors nonetheless?*

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## High-Level TCP Characteristics



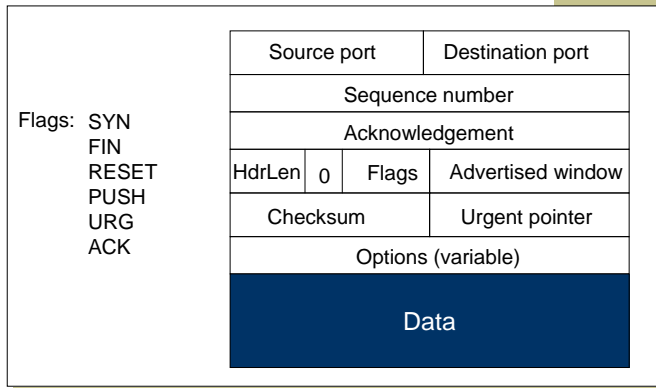
- Protocol implemented entirely at the ends
  - File sharing
- Protocol has evolved over time and will continue to do so
  - Nearly impossible to change the header
  - Use options to add information to the header
  - Change processing at endpoints
  - Backward compatibility is what makes it TCP

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## TCP Header

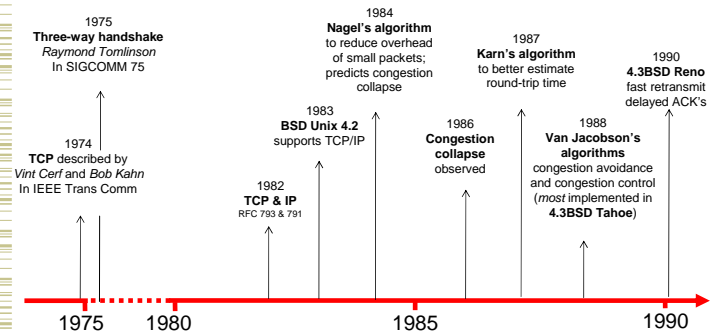


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## Evolution of TCP

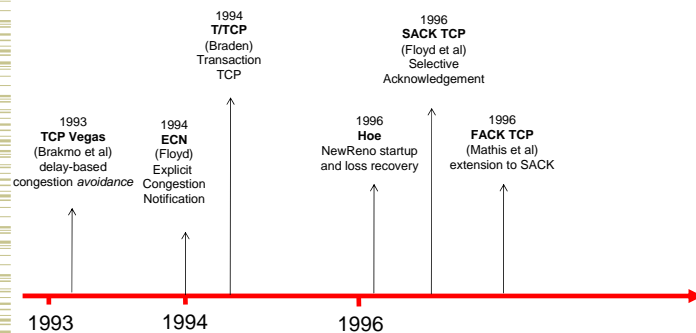


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## TCP Through the 1990s



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## Outline

- Transport introduction
- Error recovery & flow control

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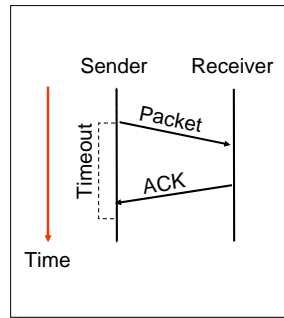
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## Stop and Wait

- ARQ

- Receiver sends acknowledgement (ACK) when it receives packet
- Sender waits for ACK and timeouts if it does not arrive within some time period

- Simplest ARQ protocol
- Send a packet, stop and wait until ACK arrives

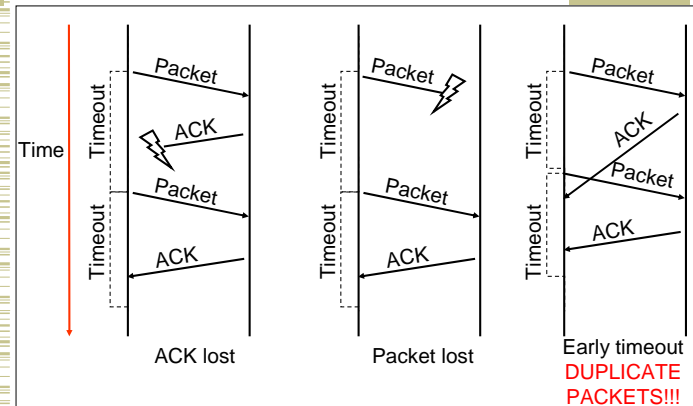


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## Recovering from Error



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## Problems with Stop and Wait

- How to recognize a duplicate
- Performance
  - Can only send one packet per round trip

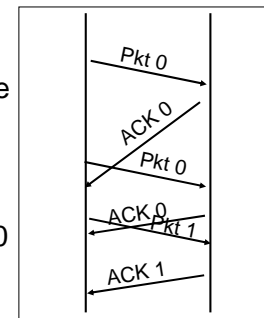
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## How to Recognize Resends?

- Use sequence numbers
  - both packets and acks
- Sequence # in packet is finite
  - How big should it be?
  - For stop and wait?
- One bit – won't send seq #1 until received ACK for seq #0



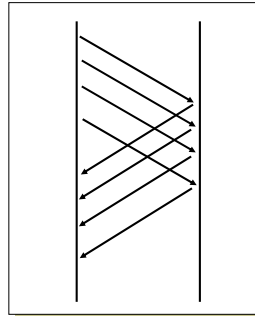
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## How to Keep the Pipe Full?

- Send multiple packets without waiting for first to be acked
  - Number of pkts in flight = window
- Reliable, unordered delivery
  - Several parallel stop & waits
  - Send new packet after each ack
  - Sender keeps list of unack'd packets; resends after timeout
  - Receiver same as stop & wait
- How large a window is needed?
  - Suppose 10Mbps link, 4ms delay, 500byte pkts
    - 1? 10? 20?
  - Round trip delay \* bandwidth = capacity of pipe



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## Sliding Window

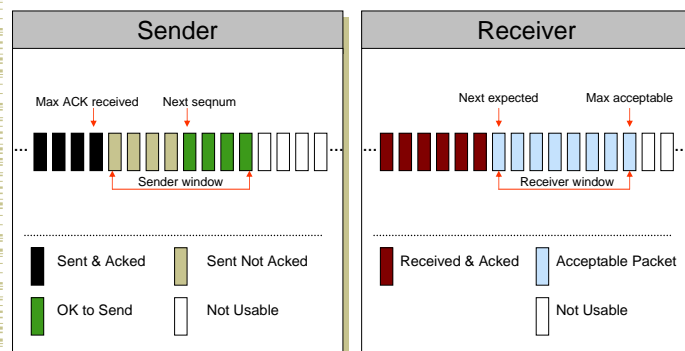
- Reliable, ordered delivery
- Receiver has to hold onto a packet until all prior packets have arrived
  - Why might this be difficult for just parallel stop & wait?
  - Sender must prevent buffer overflow at receiver
- Circular buffer at sender and receiver
  - Packets in transit  $\leq$  buffer size
  - Advance when sender and receiver agree packets at beginning have been received

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## Sender/Receiver State



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## Sequence Numbers

- How large do sequence numbers need to be?
  - Must be able to detect wrap-around
  - Depends on sender/receiver window size
- E.g.
  - Max seq = 7, send win=recv win=7
  - If pkts 0..6 are sent successfully and all acks lost
    - Receiver expects 7, 0..5, sender retransmits old 0..6!!!
- Max sequence must be  $\geq$  send window + recv window

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## Window Sliding – Common Case



- On reception of new ACK (i.e. ACK for something that was not acked earlier)
  - Increase sequence of max ACK received
  - Send next packet
- On reception of new in-order data packet (next expected)
  - Hand packet to application
  - Send **cumulative ACK** – acknowledges reception of all packets up to sequence number
  - Increase sequence of max acceptable packet

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## Loss Recovery



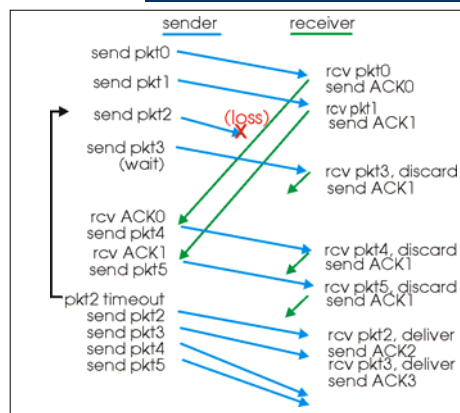
- On reception of out-of-order packet
  - Send nothing (wait for source to timeout)
  - Cumulative ACK (helps source identify loss)
- Timeout (Go-Back-N recovery)
  - Set timer upon transmission of packet
  - Retransmit all unacknowledged packets
- Performance during loss recovery
  - No longer have an entire window in transit
  - Can have much more clever loss recovery

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## Go-Back-N in Action



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## Selective Repeat



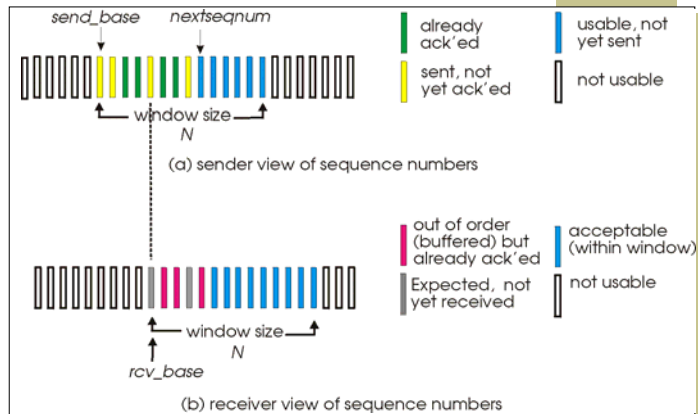
- Receiver *individually* acknowledges all correctly received pkts
  - Buffers packets, as needed, for eventual in-order delivery to upper layer
- Sender only resends packets for which ACK not received
  - Sender timer for each unACKed packet
- Sender window
  - N consecutive seq #'s
  - Again limits seq #'s of sent, unACKed packets

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## Selective Repeat: Sender, Receiver Windows



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## Important Lessons

- Transport service
  - UDP → mostly just IP service
  - TCP → congestion controlled, reliable, byte stream
- Types of ARQ protocols
  - Stop-and-wait → slow, simple
  - Go-back-n → can keep link utilized (except w/ losses)
  - Selective repeat → efficient loss recovery
- Sliding window flow control
  - Addresses buffering issues and keeps link utilized

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## Next Lecture

- Congestion control
- TCP Reliability

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## EXTRA SLIDES

The rest of the slides are FYI



## Ponder This...



- A bus station is where a bus stops.
- A train station is where a train stops.
- A work station is where...
- Maybe that explains why it was so hard getting project 1 done ☺ .... ouch