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# *Planning, Execution & Learning: Decision Theoretic Planning*

Reid Simmons

# Preference Models



# Decision Theory

- Descriptive Theory: *How to make choices among alternatives*
  - Does not describe how to *generate* the alternatives
- Utility Models
  - Capture preferences for rewards and resource consumption
  - Capture risk attitude (risk-neutral, risk-averse, risk-seeking)
  - *Expected Reward* vs. *Expected Utility*

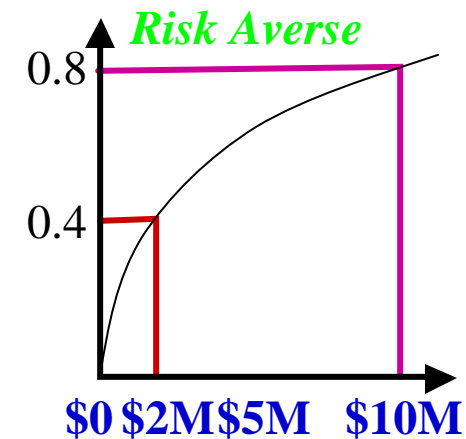
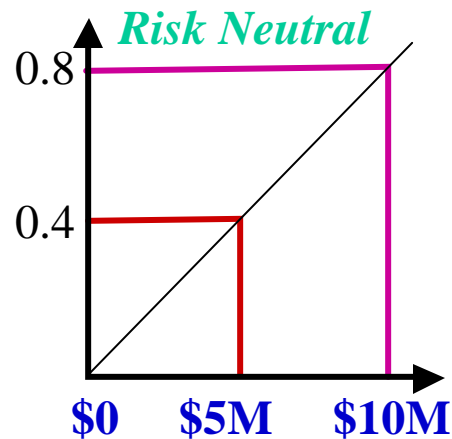
Lottery:

50%: \$10,000,000

50%: \$0

ER: \$5,000,000

EU: ???



# Utility Models for Planning

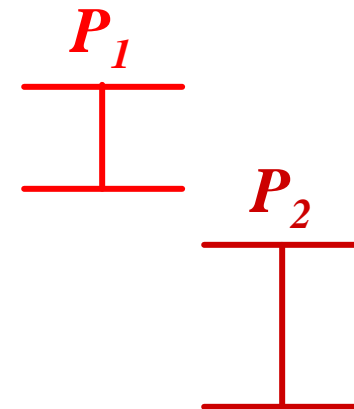
- Goal-Oriented Utility Models (Haddawy & Hanks, 1993)
  - $EU(P) = \sum(U(a) \cdot \text{pr}(a / P))$
  - $U(a) = k \cdot UG(a) + UR(a)$ 
    - UR: Resource consumption component
    - UG: Goal satisfaction component
      - Atemporal component (degree of goal satisfaction)
      - Temporal component (deadline)
- Deadlines
  - *Soft*: Exponential drop-off
  - *Hard*: Discontinuous drop-off (step function)

# Plan Dominance

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- What?
  - Plan can be eliminated from consideration if it is provably worse than another plan under consideration
- How?
  - For **complete** plans:  $P_1$  dominates  $P_2$  if  $EU(P_1) > EU(P_2)$
  - For **partial** plans:
    - Maintain range of utilities:  $[\underline{EU}, \overline{EU}]$
    - $P_1$  dominates  $P_2$  if  $\underline{EU}(P_1) > \overline{EU}(P_2)$



# *Pyrrhus (Williamson & Hanks, 1994)*

- Decision-Theoretic Extension to UCPOP
  - *Deterministic*, but plans evaluated with respect to *utility*
- Utility Model:
  - $U(a) = k \cdot S_G(a) \cdot T(E_G(a)) + (1 - k) \cdot R(a)$ 
    - $S_G(a)$  : Is goal satisfied (0/1)
    - $E_G(a)$  : Earliest time goal is achieved
    - $R(a)$  : Resource utilization
  - Can model classical planners
    - Set  $T$  and  $R$  to be constant functions

# *Pyrrhus Algorithm*

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1. Estimate utility of null plan (utility of initial resources)
2. Fix a flaw (based on UCPOP)
3. Estimate upper bound on utility of (partial) plan
  - $E_G(a)$  : No earlier than current plan execution time
  - $R(a)$  : No smaller than current resource utilization
    - Assumes *asset-position monotonicity*  
 (“cannot can something for nothing”)
4. When some plan becomes completely refined
  - Determine precise utility
  - Save plan, if best so far
  - Eliminate partial plans that are dominated

## *DRIPS (Haddawy & Hanks, 1994)*

- Decision-Theoretic Hierarchical Refinement (HTN) Planner
  - Probabilistic; Maximizes expected utility
- Utility Model:
  - Similar to that used in Pyrrhus
- Action Representation:
  - *Concrete* Actions
    - Primitives, fully refined
  - *Abstract* Actions
    - *Sequence* of actions (e.g., “load and drive”)
    - *Alternative* actions (e.g., “drive slowly”, “drive fast”)
  - Planner works by refining abstract actions

# *DRIPS Algorithm*

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## 1. Evaluate Abstract Plan

- Evaluate each *chronicle* explicitly
- Can yield ranges of probabilities, durations, and resources
- Compute upper and lower bound on utility
  - Assumes utility function is monotonic

## 2. Eliminate Dominated Plans

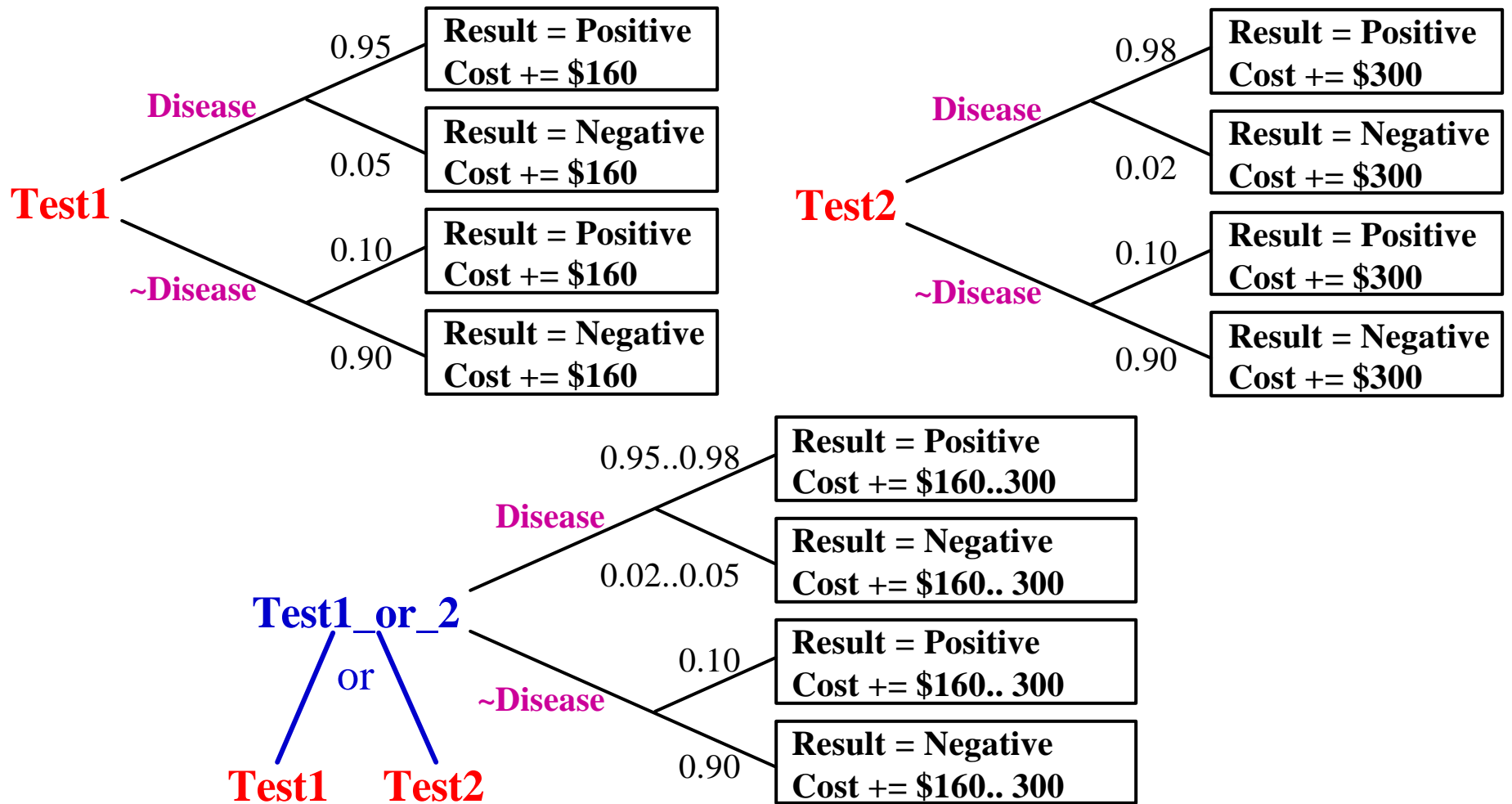
- Stop when only fully instantiated plans remain

## 3. Refine Plan

- **Choose:** Expand action *or* Create alternative plans

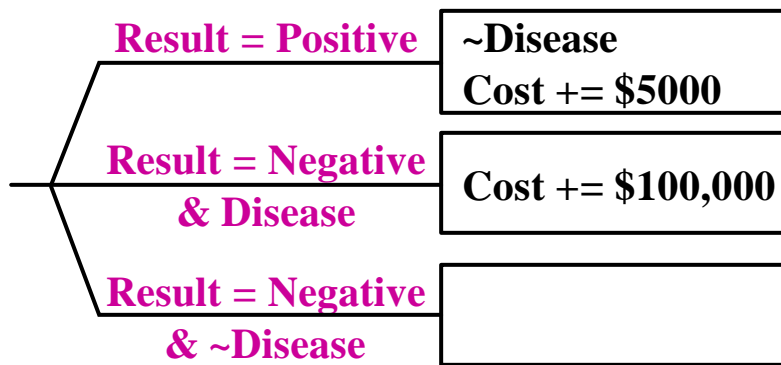
## 4. Go to step 1

# DRIPS Example (I)

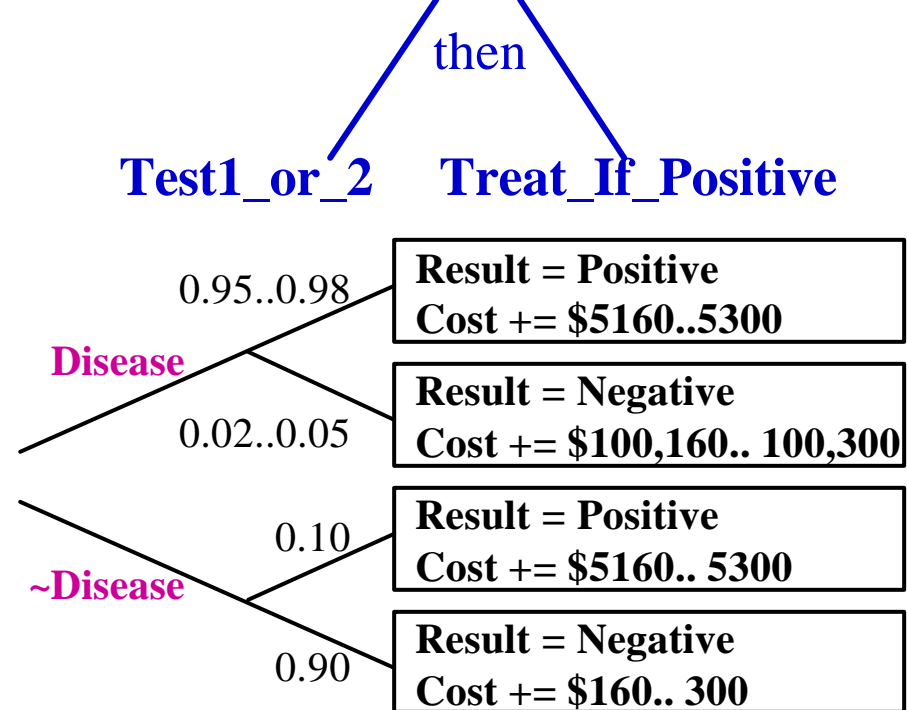


# DRIPS Example (II)

## Treat\_If\_Positive



## Test\_and\_Treat\_If\_Positive



# Evaluating Decision-Theoretic Plans

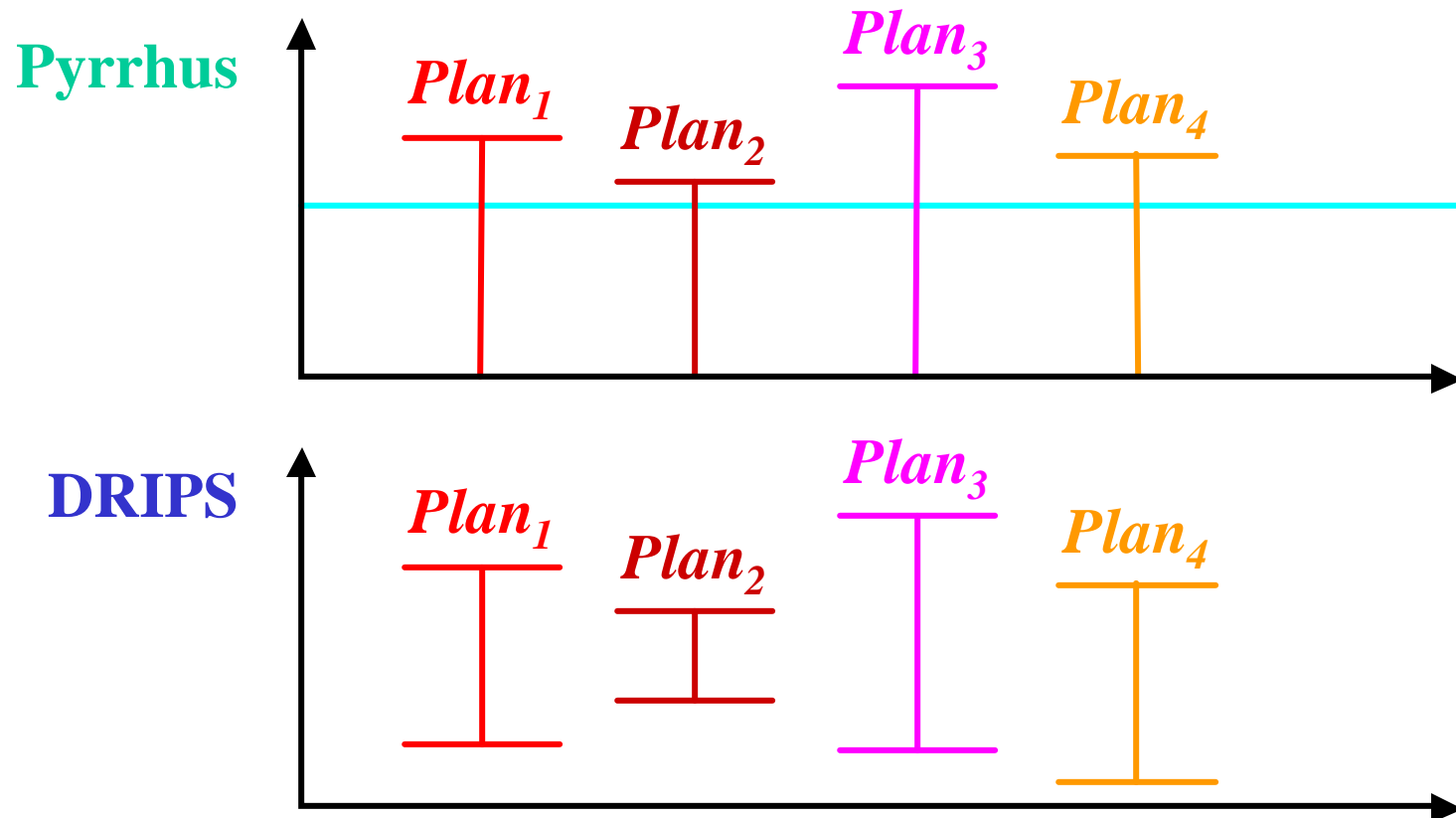
- Determining Expected **Reward** is Linear
  - $ER(P) = \sum(R(a) \cdot \text{pr}(a / P))$
- Determining Expected **Utility** is NP-Complete, in general
  - Marginal utility of an action depends on current “wealth”:  
 $EU(P) = \sum(U(a, P) \cdot \text{pr}(a / P))$   
 $U(a, P) = f(a + \sum_{a'=\text{“all actions preceding a”}} U(a', P))$
- For Certain Types of Utility Functions, Can do Much Better
  - For **linear** (risk-neutral) utility functions,  $U(a, P) \propto R(a)$
  - For **exponential** ( $e^{k(r_1 + r_2)}$ ) utility functions, one can transform the problem:  $\ln(EU(P)) \approx k \sum R(a)$
  - Linear and exponential are the *only* such **decomposable** utility functions

# *Search Control for Probabilistic Planners*

*Which Plan to Work On?*

*Which Refinement to Apply?*

# Choosing a Plan to Work On



- *Provably Optimal* to Select Plan with Greatest Upper Bound (Goodwin, 1996)

## Choosing Refinement to Apply

- Optimal to Choose Refinement That Gives **Maximal Impact** on Upper Bound of Utility for **Least Amount of Work** (Goodwin, 1996)
  - Estimate average change in utility
  - Estimate work needed to totally refine/complete plan
- Example: Application to Pyrrhus
  - Current lower bound:  $EU_{opt}$
  - To eliminate plan, must decrease bound ( $EU < \overline{EU}_{opt}$ )
  - Estimate work needed:  $\sum_{i=1}^n B^{(\overline{EU}_i - EU_{opt})/\delta}$ 
    - $n$ : Number of sub-plans;       $B$ : average branching factor
    - $\delta$ : Average change in  $\overline{EU}$
  - Choose refinement that minimizes estimated work