Outline

- Transport introduction
- TCP connection establishment
- Error recovery and flow control
- Making things work in TCP
- Congestion control
- Transport optimization and futures

TCP = Go-Back-N Variant

- TCP uses a sliding window with cumulative acks
  - Receiver can only return a single "ack" sequence number
  - Acknowledges all bytes with a lower sequence number
  - Starting point for retransmission
- But: sender only retransmits one packet after timeout
  - Reason: only knows that that specific packet is lost
    - Network is congested → should not overload it with questionable retransmits
  - Receiver stores out of order packets
    - Can be used after the sender "fills the gap"
  - Error control is based on byte sequences, not packets

Window Flow Control: Send Side
Duplicate ACKs (Fast Retransmit)

- Basic Go-Back-N incurs timeout for every loss
  - Can we do better? How about a NACK?
- Receiver sends “duplicate ack” for out of order packets
  - Repeated acks for the same sequence
  - Serves as a NACK – no room in header for real NACK!
- When can duplicate acks occur?
  - Loss
  - Packet re-ordering – oops! Unnecessary retransmit
- Solution - assume re-ordering is infrequent:
  - Receipt of 3 or more duplicate acks is indication of loss
  - Sender does not wait for timeout to retransmit packet
  - When does this fail?

How about Multiple Losses?

- Cumulative acks provide little information
  - How many packets were really lost?
  - Becomes a problem as windows get bigger
- Selective acknowledgement (SACK) essentially adds a bitmask of packets received
  - Implemented as a TCP option
  - Encoded as a set of received byte ranges (max of 4 ranges/often max of 3)
- When to retransmit?
  - Still need to deal with reordering \( \rightarrow \) wait for out of order by 3 pkts

SACK
Selective ACK (SACK)

Round-trip Time Estimation

• Wait at least one RTT before retransmitting
• Importance of accurate RTT estimators:
  • Low RTT estimate: unneeded retransmissions
  • High RTT estimate: poor throughput
• RTT estimator must adapt to change in RTT
  • But not too fast, or too slow!
• So how do we estimate RTT?

Original TCP Round-trip Estimator

• Round trip times exponentially averaged:
  • New RTT = \( \alpha \) (old RTT) + (1 - \( \alpha \)) (new sample)
  • Recommended value for \( \alpha \): 0.8 - 0.9
  • 0.875 for most TCP's
• Retransmit timer set to \( b \) * RTT, where \( b = 2 \)
  • Every time timer expires, RTO exponentially backed-off
• Not good at preventing spurious timeouts
  • Why?

Jacobson’s Retransmission Timeout

• Key observation:
  • At high loads, round trip variance is high
• Solution:
  • Base RTO on RTT and standard deviation
  • \( RTO = RTT + 4 \times rttvar \)
  • \( new_rttvar = \beta \times dev + (1 - \beta) \) old_rttvar
  • Dev = linear deviation
  • Inappropriately named – actually smoothed linear deviation
• In practice: TOs use coarse clock, e.g., 100s of msec
Important Lessons

- Transport service
  - UDP → mostly just IP service + demultiplexing
  - TCP → congestion controlled, reliable, byte stream
- Types of ARQ protocols
  - Sliding window for high throughput
  - Go-back-n → can keep link utilized (except w/ losses)
  - Selective repeat → efficient loss recovery
- TCP uses go-back-n variant
  - Avoid unnecessary retransmission ...
  - … and gaps in the flow (fast retransmit/recovery, SACK)

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  - Fundamentals
  - TCP congestion control
  - Transport optimization and futures

Congestion

- Many sources “share” resources inside network
- Problem: demand can exceed capacity of the network
  - Sources are unaware of current state of resource
  - Sources are unaware of each other
- Manifestations:
  - Lost packets (buffer overflow at routers)
  - Long delays (queuing in router buffers)
- Challenge:
  How do we coordinate all nodes in the Internet?

* Share → Compete for?

Causes & Costs of Congestion

- Four senders – multihop paths  
  Q: What happens as rate increases?
- Timeout/retransmit
Causes & Costs of Congestion

- When packet dropped, any “upstream transmission capacity used for that packet was wasted!

Congestion Collapse

- Definition: Increase in network load results in decrease of useful work done
- Many possible causes
  - Spurious retransmissions of packets still in flight
    - How can this happen with packet conservation
    - Solution: better timers and TCP congestion control
  - Undelivered packets
    - Packets consume resources and are dropped elsewhere in network
    - Solution: congestion control for ALL traffic

Plan for Today

- So far we considered two networks
  - Network 1: 1 router, 3 links
  - Network 2: 4 routers, 8 links
- Next step: how do we deal with congestion in the Internet
  - Millions of routers
  - Even more links
  - 100s of millions of senders

Congestion Control Goals

- A mechanism that:
  - Uses network resources efficiently:
    \[ \text{High } X = \Sigma x(t) \]
  - Prevents collapse
    - Congestion collapse is not just a theory
    - Has been frequently observed in many networks
  - Preserves fair network resource allocation
    - For example: \( \frac{(\Sigma x)^2}{n(\Sigma x^2)} \)
Two Approaches Towards Congestion Control

End-to-end congestion control:
- No explicit feedback from network
- End-systems infer congestion status from observed loss, delay, ...
- Approach taken by TCP
- Problem: making it work
  - Avoid significant packet loss
  - Maintain high utilization

Network-assisted congestion control:
- Routers provide feedback to end systems
  - Single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
  - Explicit rate sender should send at (ATM)
- Problem: makes routers more complicated
  - Per-flow state → poor scalability
  - Can sometimes be avoided

Congestion Control with Binary Feedback (TCP)

- Very simple mechanisms in network
  - FIFO scheduling with shared buffer pool
  - Feedback through packet drops (or binary feedback)
- TCP interprets packet drops as signs of congestion and sender slows down
  - This is an assumption: packet drops are not a sign of congestion in all networks, e.g., wireless networks
- Sender periodically probes the network to check whether more bandwidth has become available
- Key questions: how much to reduce (after a drop) and increase (when probing) rate

Linear Control

- Many different possibilities for reaction to congestion and probing for bandwidth
  - Examine simple linear controls
    - Window(t + 1) = a + b Window(t)
    - Different a/b, for increase and a/d/b, for decrease
  - Supports various reaction to signals
    - Increase/decrease additively
    - Increased/decrease multiplicatively
    - Which of the four combinations is optimal?
  - Example of closed loop control: system must converge!
    - In addition to efficiency, fairness, .... goals

Phase Plots

- Simple way to visualize behavior of competing connections over time
  - Sequence of steps with 2 synchronized senders
  
User 1's Allocation x1
User 2's Allocation x2

User 2's Allocation x2
User 1's Allocation x1
Phase Plots

- What are desirable properties?
- What if flows are not equal?

Additive Increase/Decrease

- Both $X_1$ and $X_2$ increase/decrease by the same amount over time
- Additive increase improves fairness and additive decrease reduces fairness

Multiplicative Increase/Decrease

- Both $X_1$ and $X_2$ increase by the same factor over time
  - Extension along line through origin
  - Constant fairness

Achieving Fairness AND Efficiency

- $a = 0$ & $b > 1$
- $a > 0$ & $b < 1$
- $a < 0$ & $b > 1$
- $a > 0$ & $b > 1$
What is the Right Choice?

- Constraints limit us to AIMD
  - Can have multiplicative term in increase (MAIMD)
  - AIMD moves towards optimal point

TCP Congestion Control: Implicit Feedback and AIMD

- Distributed, fair and efficient
- Packet loss is seen as sign of congestion and results in a multiplicative rate decrease: factor of 2
- TCP periodically probes for available bandwidth by increasing its rate: by one packet per RTT

Implementation Issue: How to Implement AIMD efficiently

- Operating systems have coarse grain timers – how do control the transmit rate?
  - 100 Mbs → 1500 Byte packet every ~120 µsec
- Solution: uses a congestion window to implement AIMD
  - This is the same strategy that is used for flow control
  - Rate = window / RTT, with RTT more or less constant
- If loss occurs, cut congestion window W in half
  - Set cwnd to 0.5W (multiplicative decrease)
- Upon receiving ACK, increase cwnd by (1 packet)/cwnd
  - What is 1 packet? → 1 MSS worth of bytes
  - After cwnd packets have passed by → increased cwnd by 1 MSS
  - Corresponds to an increase of 1 MSS every roundtrip time

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  - AIMD, packet packing
  - Fast recovery, slow start
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Congestion Avoidance Behavior

Implementation Issue: Putting the Pieces Together

- Both congestion and flow control want to control when packets can be transmitted – who is really in charge?
- Solution: using a single window to control transmission
  - Sender’s maximum window = Min (advertised window, cwnd)
  - In English: can send packets if it does not flood receiver AND it does not congest the network
- The two windows are updated independently
  - Both windows are decreased when a packet is send
  - Advertised window: increased when the receiver sends window update, meaning it freed up a buffer
  - Cwnd: increased when the receiver ACKs the reception of data, meaning data left the network
  - Either event can trigger a send

Implementation Issue: How to Send Packets Smoothly

- Networks do not like very bursty traffic
  - Leads to queue overflow and increases packet loss
- Solution: congestion window helps to “pace” the transmission of data packets – “packet pacing”
- In steady state, a packet is sent when an ack is received
  - Self-clocking behavior: flow remains smooth, once it is smooth

Congestion Avoidance Sequence Plot

Pacing and Additive Increase