

HOMEWORK 9

Due: 10:00am, Tuesday November 14

Recall that a Boolean formula F is a binary tree, where the internal nodes are labeled with \vee or \wedge , and the leaves are labeled by either literals x_i or \bar{x}_i , or by constants 0 or 1. It computes a Boolean function f in the natural way. The *size* of a Boolean formula is the number of literal-leaves. (Constant-leaves are “free”.) The least possible size of a formula computing f is denoted $L(f)$.

You may take it for granted that there is a “simplification” operation on formulas that: (i) preserves the function being computed; (ii) gets rid of all constant-leaves (except when the function is itself a constant function, in which case the formula becomes a single constant-leaf); (iii) does not increase the size of the formula. This simplification operation just does the obvious thing: if a 1 enters into an \vee gate, the gate is replaced by 1; if a 1 enters into an \wedge gate, the gate is replaced by its other child; similarly for 0’s.

1. (Random restrictions shrink formulas.)

- (a) Argue that if F is a Boolean formula, there is an equivalent Boolean formula F' of no larger size with the following property: in F' , whenever some internal node has one child a literal x_i/\bar{x}_i and the other child a subformula G , the literals x_i/\bar{x}_i do not appear in G .
- (b) Suppose f is an n -variable Boolean function with $L(f) > 1$. Let ρ be a random restriction formed by fixing exactly one (randomly chosen) variable (to a uniformly random 0/1 value). Show that $\mathbf{E}[L(f|_\rho)] \leq (1 - \frac{1.5}{n}) \cdot L(f)$. (Hint: getting $(1 - \frac{1}{n}) \cdot L(f)$ should be easy. If a variable gets fixed, think about what might happen to its sibling-subformulas. Technically, you will need part (a) here.)
- (c) Taking for granted that $(1 - \frac{1.5}{n}) \leq (1 - \frac{1}{n})^{1.5}$, show the following: If f is an n -variable Boolean function, and ρ is a random restriction formed by fixing exactly $n - k$ (randomly chosen) variables, then

$$\mathbf{E}[L(f|_\rho)] \leq \max \left\{ \left(\frac{k}{n} \right)^{1.5} \cdot L(f), 1 \right\}.$$

- (d) Use this (i.e., no fair citing problem 3(c)), with $k = 2$, to prove that $L(\text{Parity}_n) \geq n^{1.5}$.

2. (**Alice and Bob and Parity I.**) A *rectangle* is a set $A \times B$, where $A, B \subseteq \{0, 1\}^n$ are disjoint. For $i \in [n]$, we call it *i-colorable* if $x_i \neq y_i$ for all pairs of strings $x \in A, y \in B$; we call it *colorable* if it is *i-colorable* for some i . If a rectangle R can *partitioned* into s (sub)rectangles, each of which is colorable, we say that R is *s-tileable*. We write $\chi(R)$ for the least s such that R is *s-tileable*. If f is a Boolean function, we write $\chi(f)$ for $\chi(f^{-1}(0) \times f^{-1}(1))$.

- (a) Prove that $\chi(\text{Parity}_2) = 4$ and $\chi(\text{And}_3) = 3$; draw figures to illustrate the upper bounds.
- (b) Prove that $\chi(f) \leq L(f)$. (Hint: induction.)

3. (**Alice and Bob and Parity II.**) Continuing the previous problem...

- (a) Let $R = f^{-1}(0) \times f^{-1}(1)$. Say we “mark” each entry $(x, y) \in R$ where the Hamming distance between x and y is 1. For a subrectangle $A \times B$ of R , write $M(A \times B)$ for the number of marked entries in it. Show that if $A \times B$ is colorable, then $M(A \times B) \leq \min\{|A|, |B|\} \leq \sqrt{|A| \cdot |B|}$.

- (b) Show that $M(R) \leq \sqrt{\chi(f)} \sqrt{|f^{-1}(0)| \cdot |f^{-1}(1)|}$.
- (c) Show that $L(\text{Parity}_n) \geq n^2$.
- (d) Show that $L(\text{Parity}_n) = n^2$ when n is a power of 2.