



#### 10-601 Introduction to Machine Learning

Machine Learning Department School of Computer Science Carnegie Mellon University

# Decision Trees (Part II)

Matt Gormley Lecture 3 Sep. 4, 2019

## Q&A

Q: In our medical diagnosis example, suppose two of our doctors (i.e. experts) disagree about whether (+) or not (-) to prescribe. How would the decision tree represent this situation?

A: Today we will define decision trees that predict a single class by a majority vote at the leaf. More generally, the leaf could provide a probability distribution over output classes p(y|x)

## Q&A

#### Q: How do these In-Class Polls work?

- A: Sign into Google Form (link from Schedule page) using Andrew Email (http://p3.mlcourse.org)
  - Answer during lecture for full credit, or the same day (i.e. before 11:59pm) also for full credit
  - Avoid the calamity option which gives negative points!
  - 8 "free polls" but can't use more than 3 free polls consecutively
  - Submit a poll card if and only if you do not have a smartphone/tablet

### Reminders

- Homework 1: Background
  - Out: Wed, Aug. 28
  - Due: Wed, Sep. 04 at 11:59pm
  - unique policy for this assignment: we will grant (essentially) any and all extension requests
- Homework 2: Decision Trees
  - Out: Wed, Sep. 04
  - Due: Wed, Sep. 18 at 11:59pm

## **DECISION TREES**

#### **Decision Trees**

#### Chalkboard

- Example: Medical Diagnosis
- Does memorization = learning?
- Decision Tree as a hypothesis
- Function approximation for DTs

#### Tree to Predict C-Section Risk

Learned from medical records of 1000 women (Sims et al., 2000)

Negative examples are C-sections

```
[833+,167-] .83+ .17-
Fetal_Presentation = 1: [822+,116-] .88+ .12-
| Previous_Csection = 0: [767+,81-] .90+ .10-
| | Primiparous = 0: [399+,13-] .97+ .03-
| | Primiparous = 1: [368+,68-] .84+ .16-
| \ | \ | Fetal_Distress = 0: [334+,47-] .88+ .12-
 | \ | \ | \ | Birth_Weight >= 3349: [133+,36.4-] .78+
| \ | \ | \ Fetal_Distress = 1: [34+,21-] .62+ .38-
| Previous_Csection = 1: [55+,35-] .61+ .39-
Fetal_Presentation = 2: [3+,29-] .11+ .89-
Fetal_Presentation = 3: [8+,22-] .27+ .73-
```

## **DECISION TREE LEARNING**

#### **Decision Trees**

#### Chalkboard

- An Aside:
  - The Majority Vote Classifier
  - Error Rate
- Decision Tree Learning

## Majority Vote Classifier Example

#### **Dataset:**

Output Y, Attributes A and B

| Y | Α | В |
|---|---|---|
| - | 1 | 0 |
| - | 1 | 0 |
| + | 1 | 0 |
| + | 1 | 0 |
| + | 1 | 1 |
| + | 1 | 1 |
| + | 1 | 1 |
| + | 1 | 1 |

#### **In-Class Exercise**

What is the training error (i.e. error rate on the training data) of the majority vote classifier on this dataset?

Choose one of: {0/8, 1/8, 2/8, ..., 8/8}

#### **Dataset:**

Output Y, Attributes A, B, C

| Α | В                     | C                                     |
|---|-----------------------|---------------------------------------|
| 1 | 0                     | 0                                     |
| 1 | 0                     | 1                                     |
| 1 | 0                     | 0                                     |
| 0 | 0                     | 1                                     |
| 1 | 1                     | 0                                     |
| 1 | 1                     | 1                                     |
| 1 | 1                     | 0                                     |
| 1 | 1                     | 1                                     |
|   | 1<br>1<br>0<br>1<br>1 | 1 0 1 0 1 0 0 0 0 0 1 1 1 1 1 1 1 1 1 |

#### **In-Class Exercise**

Using error rate as the splitting criterion, what decision tree would be learned by ID3?

#### **Dataset:**

Output Y, Attributes A and B

| A B               | Y     |
|-------------------|-------|
| 1 0               | -     |
| 1 0               | -     |
| 1 0               | +     |
| 1 0               | +     |
| 1 1               | +     |
| 1 1               | +     |
| 1 1               | +     |
| 1 1               | +     |
| 1 1<br>1 1<br>1 1 | + + + |

#### **In-Class Exercise**

Which attribute would **error rate** select for the next split?

- 1. A
- 2. B
- 3. A or B (tie)
- 4. Neither

#### **Dataset:**

Output Y, Attributes A and B

| Y | Α | В |
|---|---|---|
| - | 1 | 0 |
| - | 1 | 0 |
| + | 1 | 0 |
| + | 1 | 0 |
| + | 1 | 1 |
| + | 1 | 1 |
| + | 1 | 1 |
| + | 1 | 1 |

## Information Theory & DTs

#### Chalkboard

- Information Theory primer
  - Entropy
  - (Specific) Conditional Entropy
  - Conditional Entropy
  - Information Gain / Mutual Information
- Information Gain as DT splitting criterion

#### **Dataset:**

Output Y, Attributes A and B

| Y | A | В |
|---|---|---|
| - | 1 | 0 |
| - | 1 | 0 |
| + | 1 | 0 |
| + | 1 | 0 |
| + | 1 | 1 |
| + | 1 | 1 |
| + | 1 | 1 |
| + | 1 | 1 |

#### **In-Class Exercise**

Which attribute would mutual information select for the next split?

- 1. A
- 2. B
- 3. A or B (tie)
- 4. Neither

#### **Dataset:**

Output Y, Attributes A and B

| Y | Α | В |
|---|---|---|
| - | 1 | 0 |
| - | 1 | 0 |
| + | 1 | 0 |
| + | 1 | 0 |
| + | 1 | 1 |
| + | 1 | 1 |
| + | 1 | 1 |
| + | 1 | 1 |

PlayTennis?

No

No

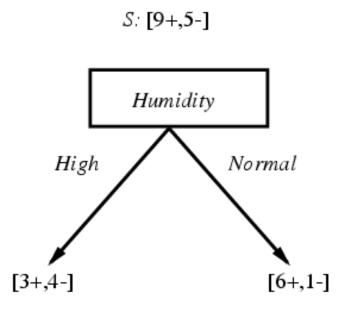
#### Dataset:

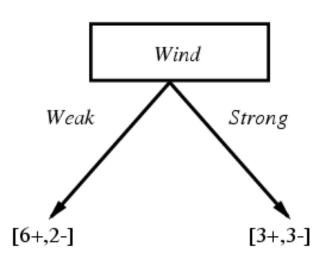
#### Day Outlook Temperature Humidity Wind PlayTennis?

| D1  | Sunny                  | Hot                  | High                  | Weak   | No  |
|-----|------------------------|----------------------|-----------------------|--------|-----|
| D2  | Sunny                  | $\operatorname{Hot}$ | $\operatorname{High}$ | Strong | No  |
| D3  | Overcast               | $\operatorname{Hot}$ | $\operatorname{High}$ | Weak   | Yes |
| D4  | Rain                   | Mild                 | $\operatorname{High}$ | Weak   | Yes |
| D5  | Rain                   | Cool                 | Normal                | Weak   | Yes |
| D6  | Rain                   | Cool                 | Normal                | Strong | No  |
| D7  | Overcast               | Cool                 | Normal                | Strong | Yes |
| D8  | $\operatorname{Sunny}$ | Mild                 | $\operatorname{High}$ | Weak   | No  |
| D9  | $\operatorname{Sunny}$ | Cool                 | Normal                | Weak   | Yes |
| D10 | Rain                   | Mild                 | Normal                | Weak   | Yes |
| D11 | Sunny                  | Mild                 | Normal                | Strong | Yes |
| D12 | Overcast               | Mild                 | $\operatorname{High}$ | Strong | Yes |
| D13 | Overcast               | $\operatorname{Hot}$ | Normal                | Weak   | Yes |
| D14 | Rain                   | Mild                 | $\operatorname{High}$ | Strong | No  |

Which attribute yields the best classifier?

Test your understanding.



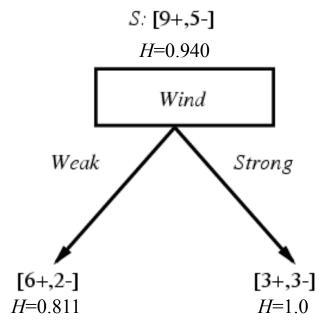


S: [9+,5-]

sifier? standing.

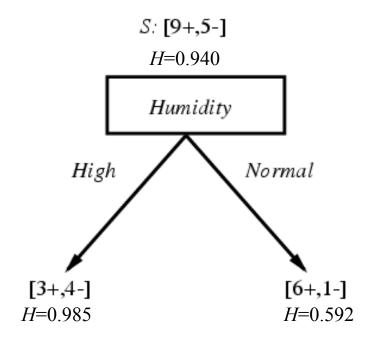
Which attribute yields the best classifier?

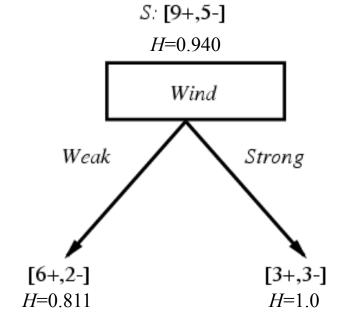
S: [9+,5-] H=0.940 Humidity Normal [3+,4-] H=0.985 [6+,1-] H=0.592

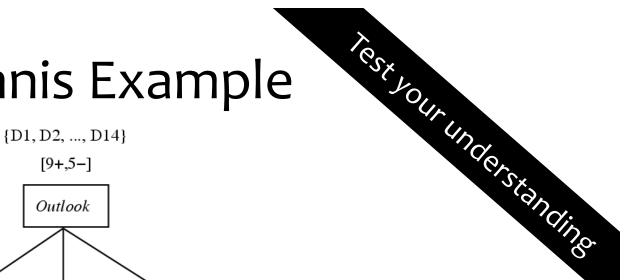


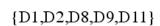
sifier? standing

Which attribute yields the best classifier?









{D3,D7,D12,D13}

[4+,0-]

Overcast

Rain

$$[2+,3-]$$



Sunny

$$[3+,2-]$$







Which attribute should be tested here?

$$S_{sunny} = \{D1,D2,D8,D9,D11\}$$

$$Gain(S_{sunny}, Humidity) = .970 - (3/5) 0.0 - (2/5) 0.0 = .970$$

$$Gain(S_{sunnv}, Temperature) = .970 - (2/5) 0.0 - (2/5) 1.0 - (1/5) 0.0 = .570$$

$$Gain(S_{sunny}, Wind) = .970 - (2/5) 1.0 - (3/5) .918 = .019$$