

15-453

FORMAL LANGUAGES,  
AUTOMATA AND  
COMPUTABILITY

# RANDOMIZED COMPLEXITY

**Tuesday April 22**

# Checking MATRIX MULTIPLICATION

$$L = \{ (M_1, M_2, N) \mid M_1, M_2 \text{ and } N \text{ are matrices} \\ \text{and } M_1 M_2 = N \}$$

If  $M_1$  and  $M_2$  are  $n \times n$  matrices, multiplying them takes  $O(n^3)$  time normally, and  $O(n^{2.3727})$  time using newer methods.

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**Another method** (if multiplication expensive, addition cheap):

Let  $A = (a+b)(c+d) = ac+ad+bc+bd$  ;  $B = ac$ ,  $C = bd$

Then  $(a+bi)(c+di) = (B-C) + (A-B-C)i$ , which only requires **3 multiplications!**

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So, if we pick **300** random vectors and test them all, what is the probability of failing?  **$\frac{1}{2}^{300}$**

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**Proof of CLAIM**

- Consider the matrix  $M' = M_1, M_2 - N$ .
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- Let  $i$  be a row of  $M'$  that has a non-zero entry.
- Think of it as a vector  $v$  with  $v_1 \neq 0$ , say.
- Now  $\Pr[M' r = 0$ -vector]  $\leq \Pr[v r = 0]$ .
- $\Pr[v_1 r_1 + v_2 r_2 + \dots + v_n r_n = 0] = ?$

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- Now  $\Pr[M' r = 0\text{-vector}] \leq \Pr[v r = 0]$ .
- $\Pr[v_1 r_1 + v_2 r_2 + \dots + v_n r_n = 0] = ?$
- Suppose we've already chosen  $r_2, \dots, r_n$ .
- If  $v_1 r_1 + v_2 r_2 + \dots + v_n r_n = 0$ , then
- we must have  $r_1 = (v_2 r_2 + \dots + v_n r_n) / v_1$ .
- There are two choices for  $r_1$  though.
- So the probability we pick  $r_1$  to be exactly this expression is at most  $1/2$ .

# TESTING POLYNOMIALS

Let  $p$  be a 1-variable polynomial.

How do we determine if  $p$  is always 0?

Let  $p = a_0 + a_1x_1 + a_2x_1^2 + \dots + a_dx_1^d$

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**(A degree  $d$  polynomial has at most  $d$  roots.)**

# TESTING POLYNOMIALS

Let  $p$  an  $n$ -variable polynomial over a **finite field**. How do we determine if  $p$  is always 0?

$$(2332x_1 + 4603x_2 - 3878x_3)(5566x_1 + 31x_4 - 171) \\ (677x_7 - 1)(x_5 + 7x_6 + 3x_2 + 1001x_1) = 0 \pmod{6709}$$

**Not given in standard way.**

**Simply try random values for the variables!**



**Theorem (Schwartz-Zippel):** Let  $F$  be a finite field and let  $p$  be a **NONZERO** polynomial on the variables  $x_1, x_2, \dots, x_m$ , where each variable has degree at most  $d$ . (Generally want:  $|F| > 2md$ )

If  $a_1, \dots, a_m$  are selected randomly from  $F$ , then:

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**Proof (by induction on  $m$ ):**

**Base Case ( $m = 1$ ):**

$$\Pr [ p(a_1) = 0 ] \leq d/|F|$$

A polynomial of degree  $d$  can have at most  $d$  roots, so at most  $d$  elements in  $F$  make  $p = 0$

## Inductive Step ( $m > 1$ ):

Assume true for  $m-1$  and prove true for  $m$

Let  $x_1$  be one of the variables

Write:  $p = p_0 + x_1 p_1 + x_1^2 p_2 + \dots + x_1^d p_d$

where  $x_1$  does not occur in any  $p_i$

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(1) For all  $i$ ,  $p_i(a_2, \dots, a_m) = 0$

(2) Some  $i$ ,  $p_i(a_2, \dots, a_m)$  is not 0, and  $a_1$  is a root of the single variable polynomial on  $x_1$  that results from evaluating  $p_0, \dots, p_m$  with  $a_2, \dots, a_m$

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$$\Pr [ (1) ] \leq (m-1)d/|F| \quad \Pr [ (2) ] \leq d/|F|$$

$$\Pr [ (1) \text{ or } (2) ] \leq md/|F|$$

# PROBABILISTIC ALGORITHMS

Why do we study probabilistic algorithms?

1. Can be **simpler** than deterministic algs
2. Can be **more efficient** than deterministic algorithms
3. Does randomness make problems much easier to solve? We don't know!







$$\Pr [ M \text{ accepts } w ] = \sum \Pr [ b ]$$

**b is an accepting  
branch**

**Definition:** M recognizes language A **with error  $\epsilon$**   
if for all strings w:

$$w \in A \Leftrightarrow \Pr [ M \text{ accepts } w ] \geq 1 - \epsilon$$

$$w \notin A \Leftrightarrow \Pr [ M \text{ doesn't accept } w ] \geq 1 - \epsilon$$

**BPP** = { L | L is recognized by a probabilistic  
poly-time TM with error **1/3** }

**Why 1/3?**

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**Why 1/3?**

Because it doesn't matter what number we pick as long as it is smaller than 1/2!

**Theorem:** Let  $\varepsilon$  be a constant,  $0 < \varepsilon < 1/2$  and let  $p(n)$  be a polynomial.

If  $M_1$  has error  $\varepsilon$  then there is an equivalent  $M_2$  with error  $2^{-p(n)}$

**Proof Idea:**

$M_2$  simply runs  $M_1$  many times and takes the majority output

Let  $F$  be a finite field

$\text{ZERO-POLY}_F = \{ p \mid p \text{ is a polynomial over } F \text{ (with } 2md < |F|) \text{ that is zero on all points} \}$

$\text{ZERO-POLY}_F \in \text{BPP}$

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**Is  $\text{BPP} \subseteq \text{NP}$ ?**

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**Nobody knows for sure!**



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**Is BPP  $\subseteq$  PSPACE?**

# Is $BPP \subseteq PSPACE$ ?

**Yes! Simply run all branches and count the number of branches that accept.**

**Definition:** A language  $A$  is in **RP (Randomized P)** if there is a nondeterministic polynomial time TM  $M$  such that for all strings  $x$ :

$x \notin A \iff$  No computation paths accept

$x \in A \iff$  At least half of the paths accept

**Theorem:** A language  $A$  is in **RP (Randomized P)** if **for each  $k$**  there is a nondeterministic polynomial time TM  $M$  such that for all strings  $x$ :

$x \notin A \iff M(x)$  always rejects

$x \in A \iff M(x)$  accepts with  
probability at least  $1 - 2^{-k}$

Is  $RP \subseteq BPP$ ?

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Yes!



**Is  $RP \subseteq NP$ ?**

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**PRIMES = { p | p is a prime number }**

Used to be:

**PRIMES  $\in$  BPP**  
**COMPOSITES  $\in$  RP**

By an extension of Fermat's Little Theorem:

**p**, prime ,  $a^{p-1} = 1 \pmod{p}$  for  $a \not\equiv 0 \pmod{p}$

**PRIMES = { p | p is a prime number }**

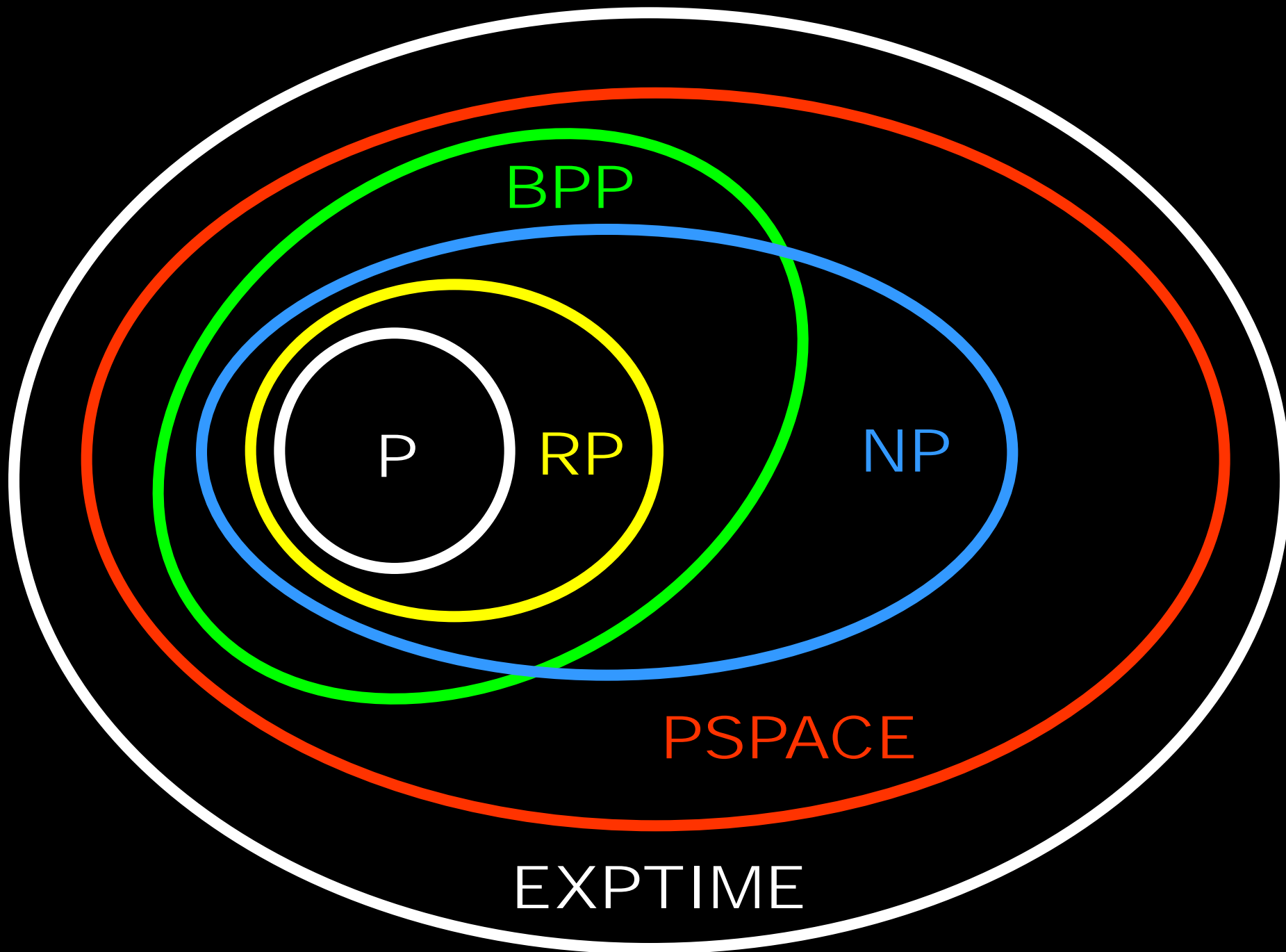
## **PRIMES is in P**

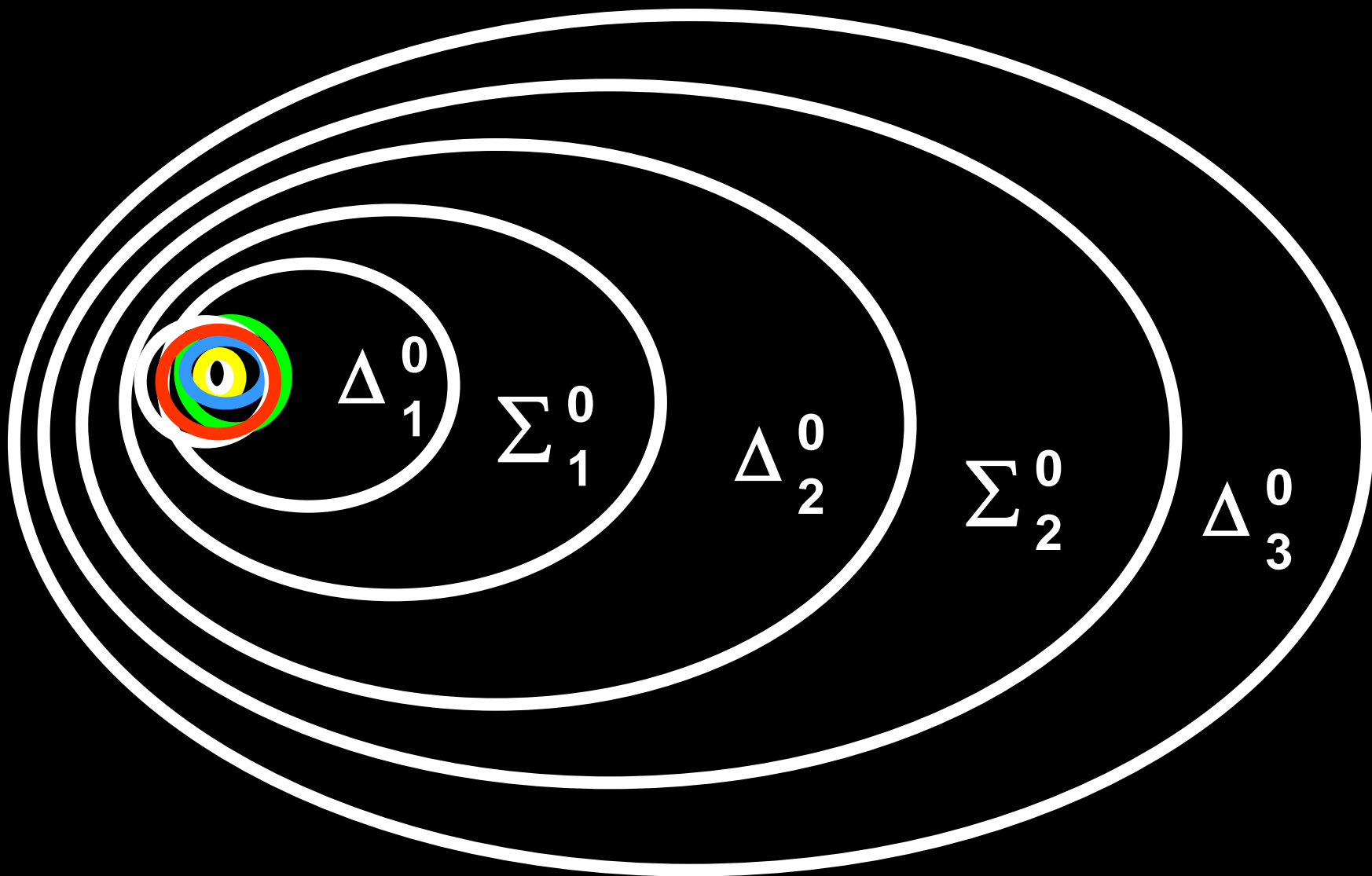
Manindra Agrawal, Neeraj Kayal and Nitin Saxena

Source: Ann. of Math. Volume 160, Number 2 (2004),  
781-793.

### **Abstract**

We present an unconditional deterministic polynomial-time algorithm that determines whether an input number is prime or composite.





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