

15-453

FORMAL LANGUAGES,  
AUTOMATA AND  
COMPUTABILITY

# Space Complexity II

**THURSDAY April 17**

**PSPACE = NPSPACE**

**Definition:** Language B is PSPACE-complete if:

1.  $B \in \text{PSPACE}$

2. Every A in PSPACE is poly-time reducible to B  
(i.e. B is PSPACE-hard)

# QUANTIFIED BOOLEAN FORMULAS

(in prenex normal form)

$$\exists x \exists y [ x \vee \neg y ]$$

$$\forall x [ x \vee \neg x ]$$

$$\forall x [ x ]$$

$$\forall x \exists y [ (x \vee y) \wedge (\neg x \vee \neg y) ]$$

Allow constants, 0 and 1, eg.  $\forall x [ 0 \vee \neg x ]$

## Definition:

A **fully quantified Boolean formula** is a Boolean formula (in prenex normal form) where every variable is quantified

$$\exists x \exists y [ x \vee \neg y ]$$

$$\forall x [ x \vee \neg x ]$$

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$$\forall x \exists y [ (x \vee y) \wedge (\neg x \vee \neg y) ]$$

$$\forall x \exists y [ (x \vee 0) \wedge (\neg x \vee \neg y) ]$$

TQBF = {  $\phi$  |  $\phi$  is a **true** fully quantified Boolean formula }

**Theorem:** TQBF is PSPACE-complete

# TQBF $\in$ PSPACE

**T( $\phi$ ):**

**1. If  $\phi$  has no quantifiers, then it is an expression with only constants. Evaluate  $\phi$ . Accept iff  $\phi$  evaluates to 1.**



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2. If  $\phi = \exists x \psi$ , recursively call T on  $\psi$ , first with  $x = 0$  and then with  $x = 1$ .  
**Accept iff either** one of the calls accepts.
3. If  $\phi = \forall x \psi$ , recursively call T on  $\psi$ , first with  $x = 0$  and then with  $x = 1$ .  
**Accept iff both** of the calls accept.

**Claim:** Every language **A** in PSPACE is polynomial time reducible to **TQBF**

We build a poly-time reduction from **A** to **TQBF**

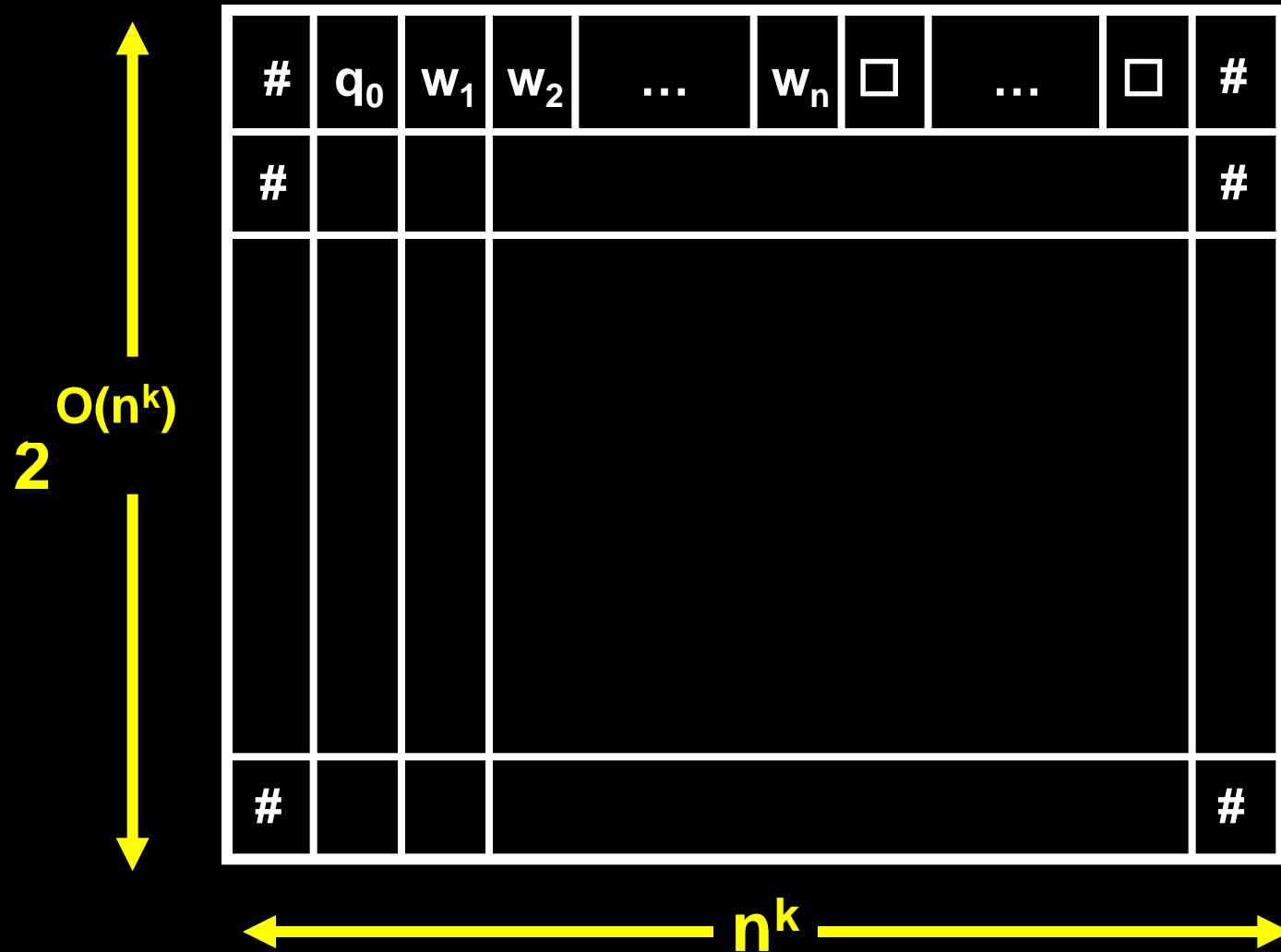
**Claim:** Every language **A** in PSPACE is polynomial time reducible to **TQBF**

We build a poly-time reduction from **A** to **TQBF**

The reduction turns a string **w** into a fully quantified Boolean formula  $\phi$  that simulates the PSPACE machine for **A** on **w**

Let **M** be a deterministic TM that decides **A** in space  $n^k$  **How do we know M exists?**

A **tableau for M on w** is an table whose rows are the configurations of the computation of **M** on input **w**



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If we assign  $c$  and  $d$  to actual configurations,  
 $\phi_{c,d,t}$  will be true if and only if  
 $M$  can go from  $c$  to  $d$  in  $t$  steps

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“there exists a configuration  $m$  such that  $\phi_{c,m,t/2}$  is true and  $\phi_{m,d,t/2}$  is true”



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We let  $\phi = \phi_{c_{\text{start}}, c_{\text{accept}}, h}$ , where  $h = 2^{e s(n)}$  for a

constant  $e$  chosen so that  $M$  has less than  $2^{e s(n)}$  possible configurations on an input of length  $n$

Here  $s(n) = n^k$

# HIGH-LEVEL IDEA:

Encode the Algorithm of Savitch's Theorem with a Quantified Boolean Formula

If  $M$  uses  $n^k$  space,  
then the QBF  $\phi$  will have size  $O(n^{2k})$

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Each config has  $n^k$  cells so and is encoded by  $O(n^k)$  variables.

If  $t = 0$  or  $1$ , we can easily construct  $\phi_{c,d,t}$ :

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**“d follows from c in a single step of M”?**

Use  $2 \times 3$  windows as in the Cook-Levin theorem, and write a CNF formula



If  $t > 1$ , we construct  $\phi_{c,d,t}$  *recursively*:

$$\phi_{c,d,t} = \exists m \left[ \phi_{c,m,t/2} \wedge \phi_{m,d,t/2} \right]$$

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$$\exists x_1 \exists x_2 \dots \exists x_L \quad L = O(n^k)$$

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So, we modify the formula to be:

$$\phi_{c,d,t} = \exists m \forall a,b \left[ \left[ (a,b)=(c,m) \vee (a,b)=(m,d) \right] \Rightarrow \left[ \phi_{a,b,t/2} \right] \right]$$

This folds the 2 recursive sub-formulas into 1

$$\phi_{c,d,t} = \exists m \forall a,b [ [(a,b)=(c,m) \vee (a,b)=(m,d)] \\ \Rightarrow [ \phi_{a,b,t/2} ] ]$$

Set  $\phi = \phi_{c_{\text{start}}, c_{\text{accept}}, h}$  where  $h = 2^d s(n)$

- Each recursive step adds a portion that is linear in the size of the configurations, so has size  $O(s(n))$
- Number of levels of recursion is  $\log h = O(s(n))$
- Hence, the size of  $\phi$  is  $O(s(n)^2)$

**PSPACE is often called  
the class of **games****

**Formalizations of many popular  
games are PSPACE-Complete**

# THE FORMULA GAME (FG)

...is played between two players, **E** and **A**

Given a fully quantified Boolean formula

$$\exists y \forall x [ (x \vee y) \wedge (\neg x \vee \neg y) ]$$

**E** chooses values for variables quantified by  $\exists$

**A** chooses values for variables quantified by  $\forall$

Start at the leftmost quantifier

**E** wins if the resulting formula is true

**A** wins otherwise

$$\forall x \exists y [ (x \vee y) \wedge (\neg x \vee \neg y) ]$$

$$\exists x \exists y [ x \vee \neg y ]$$

**FG** = {  $\phi$  | Player E has a winning strategy in  $\phi$  }

**Theorem:** FG is PSPACE-Complete

**Proof:**

$$\mathbf{FG = TQBF}$$

# GEOGRAPHY

**Two players take turns naming cities from anywhere in the world**

**Each city chosen must begin with the same letter that the previous city ended with**

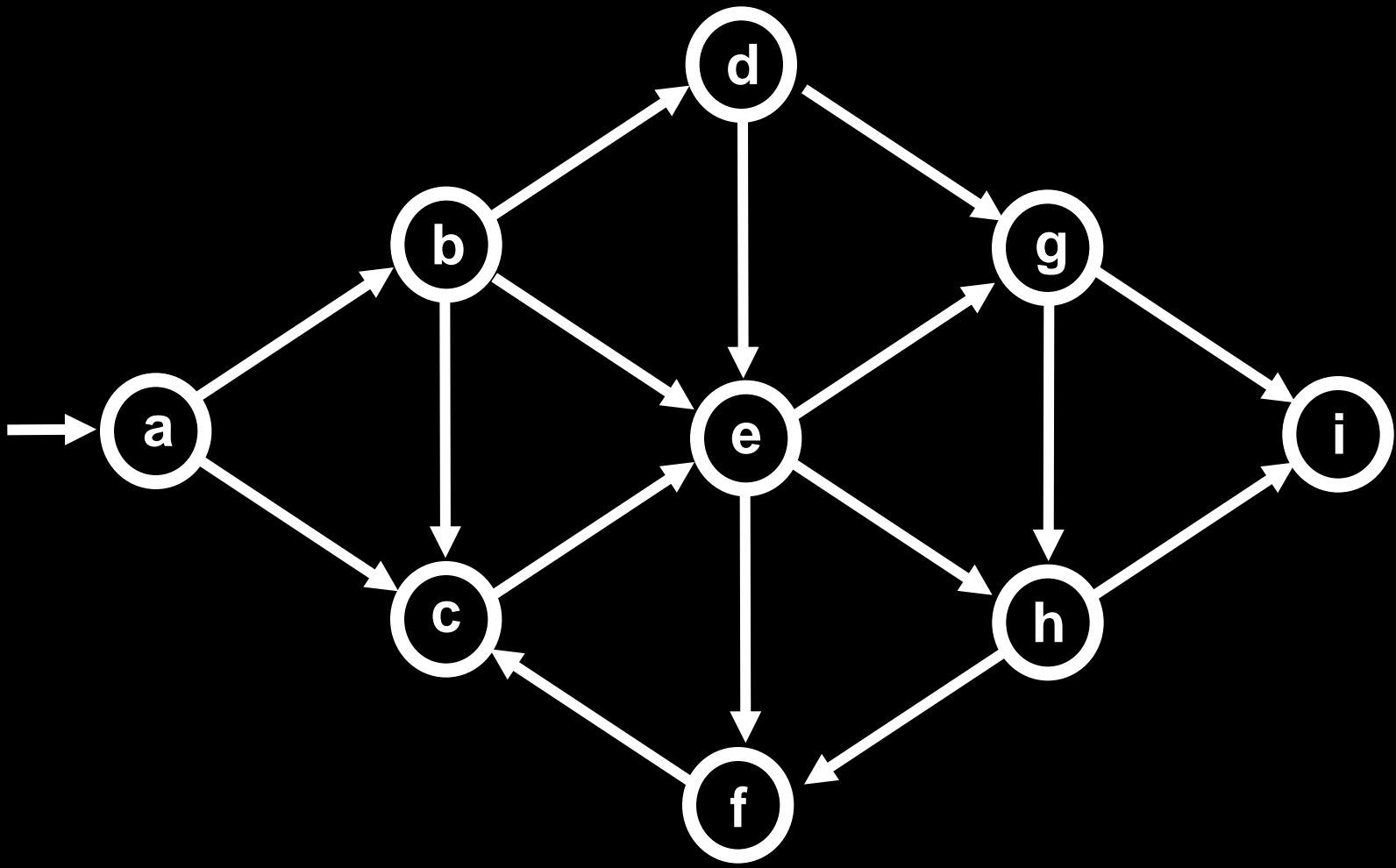
**Cities cannot be repeated**

**Austin → Nashua → Albany → York**

**Whoever cannot name any more cities loses**



# GENERALIZED GEOGRAPHY



**GG = { (G, b) | Player 1 has a winning strategy  
for generalized geography played on graph G  
starting at node b }**

**Theorem: GG is PSPACE-Complete**

# $GG \in PSPACE$

**WANT:** Machine  $M$  that accepts  $(G,b)$

$\Leftrightarrow$  Player 1 has a winning strategy on  $(G, b)$

**$M(G, b)$ :** If  $b$  has no outgoing edges, *reject*.

1. Remove node  $b$  and all edges touching it to get to a new graph  $G_1$

2. For each of the nodes  $b_1, b_2, \dots, b_k$  that  $b$  originally pointed at, **recursively call  $M(G_1, b_i)$**

3. If all of these accept, Player 2 has a winning strategy, so *reject*.

Otherwise, *accept*.

# GG IS PSPACE-HARD

We show that **FG**  $\leq_p$  **GG**

We convert a formula  $\phi$  into **(G, b)** such that:

Player E has winning strategy in  $\phi$   
**if and only if**

Player 1 has winning strategy in **(G, b)**

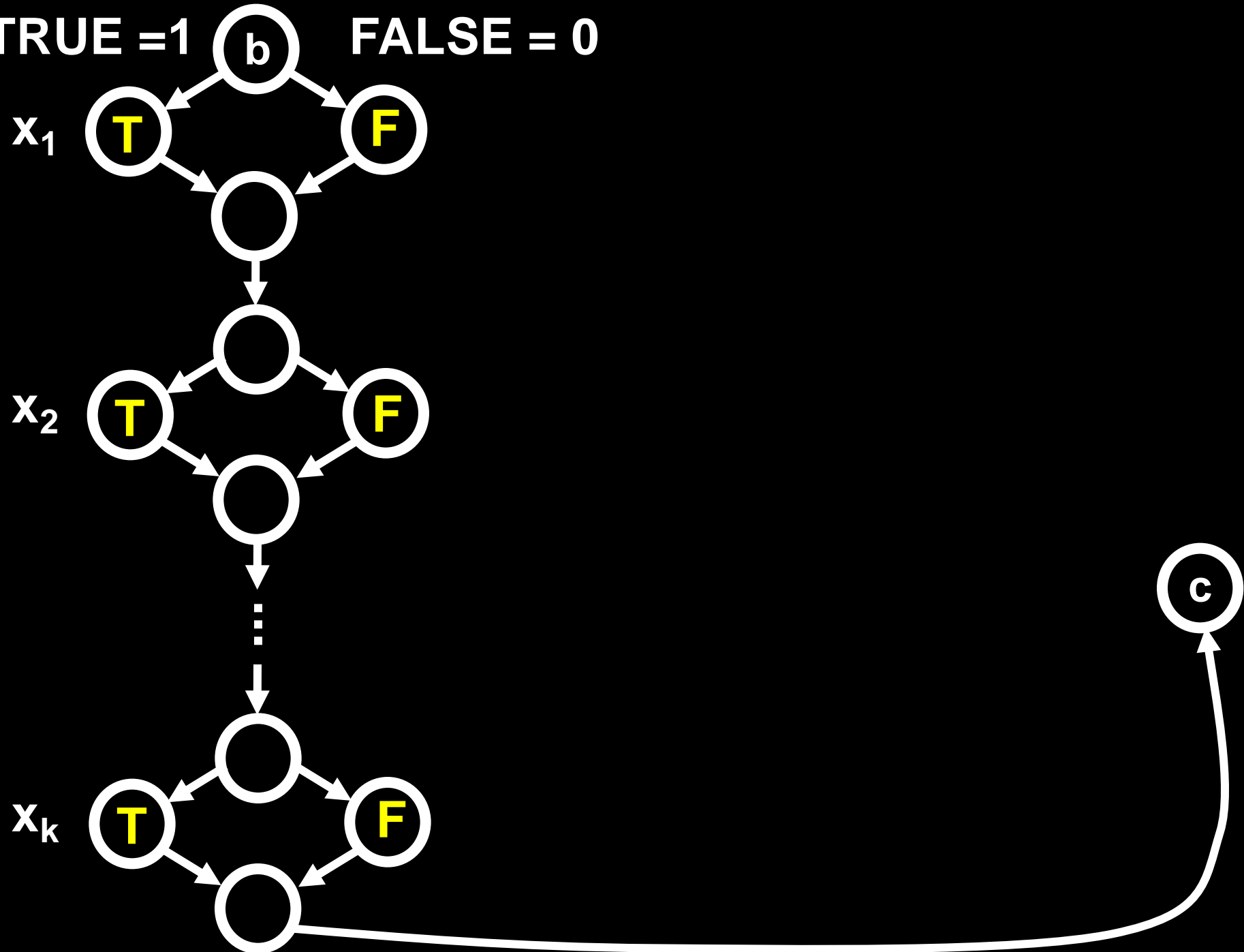
For simplicity we assume  $\phi$  is of the form:

$$\phi = \exists x_1 \forall x_2 \exists x_3 \dots \exists x_k [\psi]$$

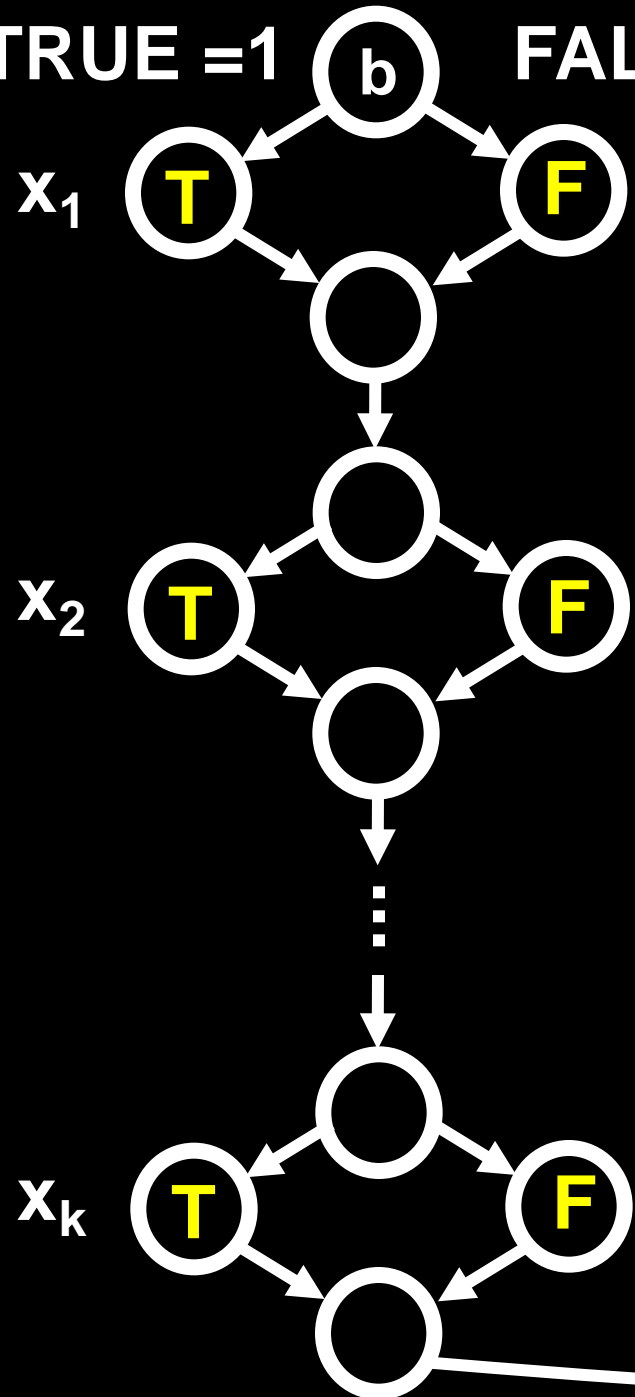
where  $\psi$  is in cnf.

**(Quantifiers alternate, and the last move is E's)**

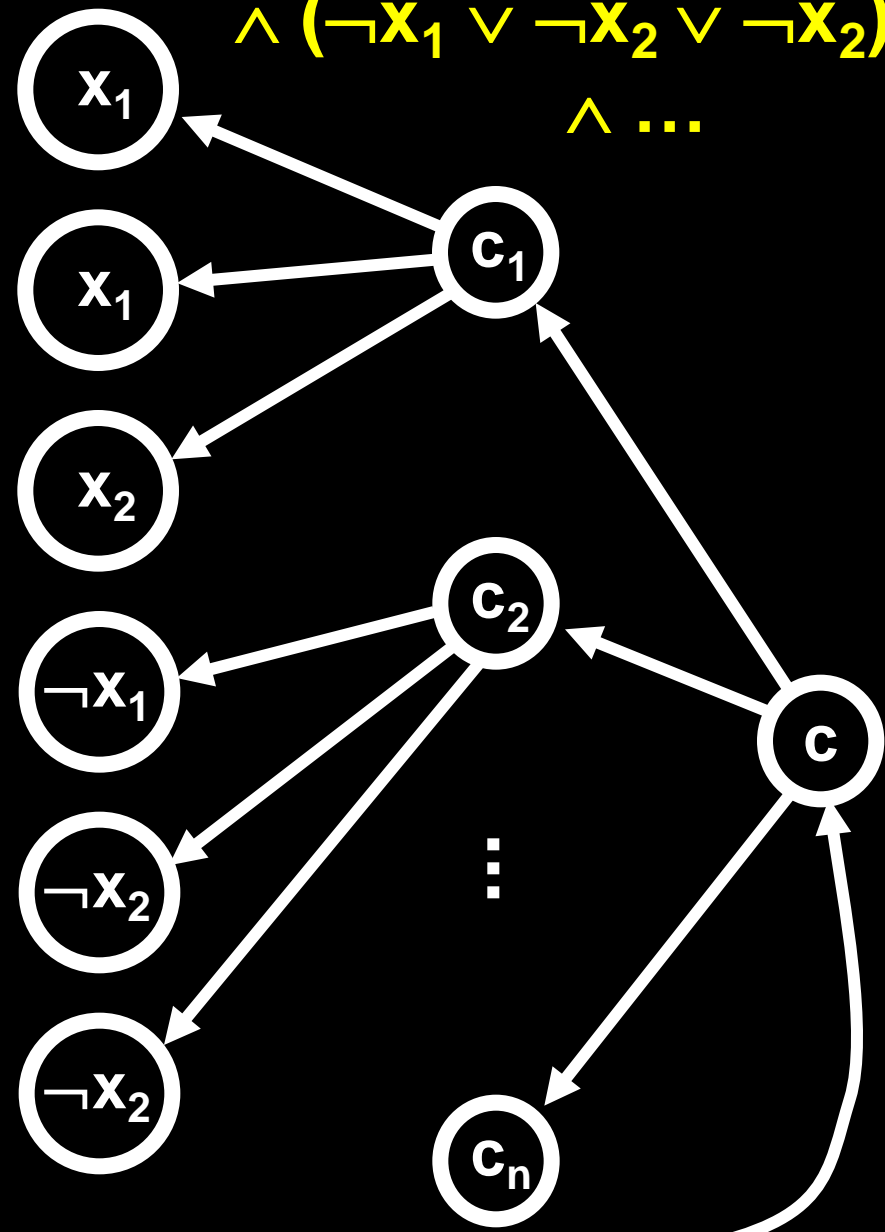
TRUE = 1    FALSE = 0



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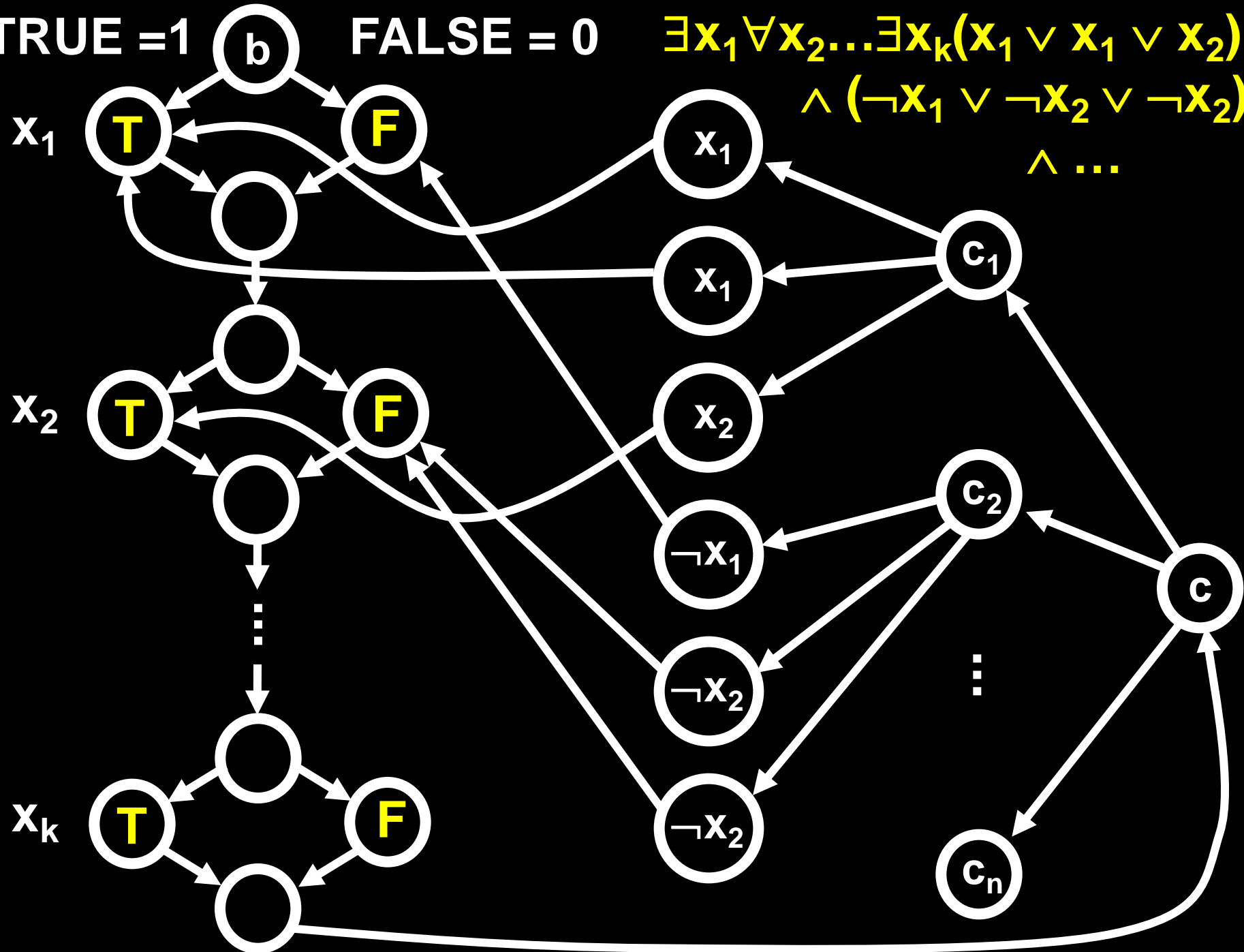


$\exists x_1 \forall x_2 \dots \exists x_k (x_1 \vee x_1 \vee x_2)$   
 $\wedge (\neg x_1 \vee \neg x_2 \vee \neg x_2)$   
 $\wedge \dots$

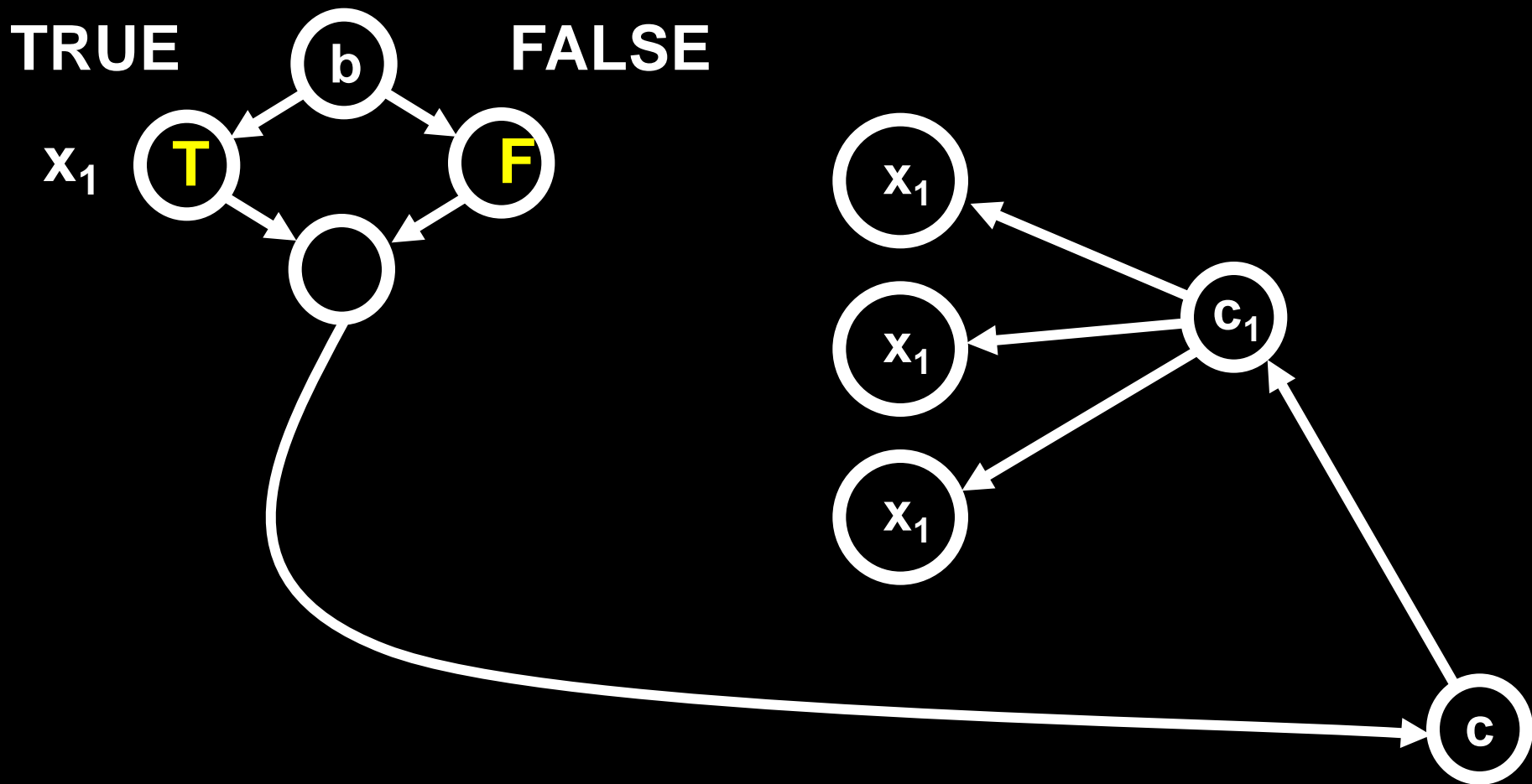


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$$\exists x_1 \forall x_2 \dots \exists x_k (x_1 \vee x_1 \vee x_2) \wedge (\neg x_1 \vee \neg x_2 \vee \neg x_2) \wedge \dots$$

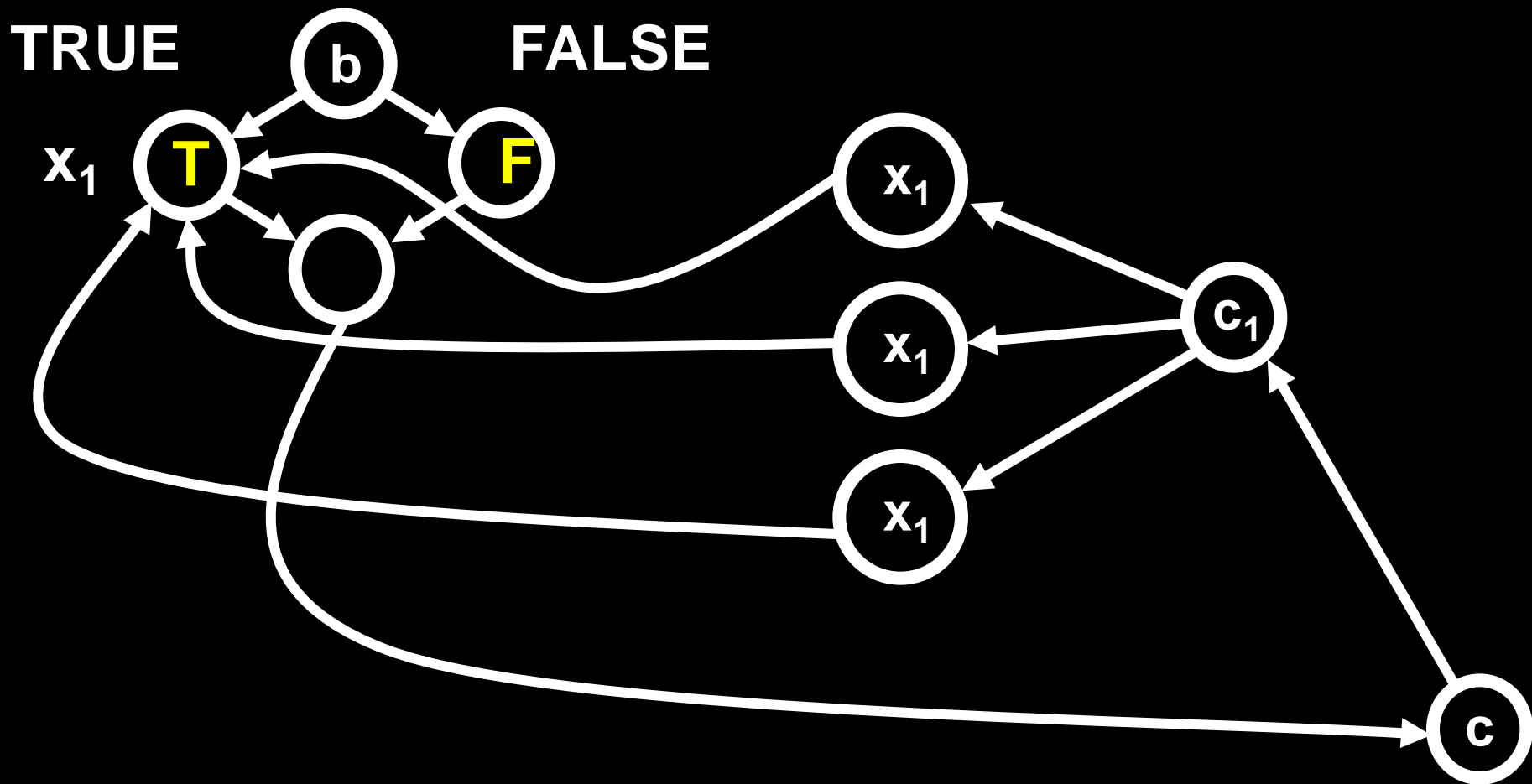


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**Theorem: GG is PSPACE-Complete**

**Question:**

**Is Chess a PSPACE complete problem?**

**No, because determining whether a player has a winning strategy takes CONSTANT time and space (OK, the constant is large...)**

**But  $n \times n$  GO, Chess and Checkers can be shown to be PSPACE-hard**

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**Read Chapter 10.2 of the book for next time**