

15-453

FORMAL LANGUAGES,  
AUTOMATA AND  
COMPUTABILITY

KOLMOGOROV-CHAITIN  
(descriptive) COMPLEXITY

**TUESDAY, MAR 18**

# CAN WE QUANTIFY HOW MUCH INFORMATION IS IN A STRING?

A = 01

B = 110010011101110101101001011001011

**Idea:** The more we can “compress” a string, the less “information” it contains....

# INFORMATION AS DESCRIPTION

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**How to code  $\langle M,w \rangle$ ?**

Assume  $w$  in  $\{0,1\}^*$  and we have a binary encoding of  $M$

# THE PAIRING FUNCTION

**Theorem.** There is a 1-1 and onto computable function  $\langle \cdot, \cdot \rangle : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$  and computable functions  $\pi_1$  and  $\pi_2 : \Sigma^* \rightarrow \Sigma^*$  such that:

$$z = \langle M, w \rangle \Rightarrow \pi_1(z) = M \text{ and } \pi_2(z) = w$$

Let  $Z(x_1 x_2 \dots x_k) = 0 x_1 0 x_2 \dots 0 x_k 1$

Then:

$$\langle M, w \rangle := Z(M) w$$

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Then:

$$\langle M, w \rangle := Z(M) w$$

(Example:  $\langle 10110, 101 \rangle = 01000101001101$ )

Note that  $|\langle M, w \rangle| = 2|M| + |w| + 1$

# A BETTER PAIRING FUNCTION

Let  $b(n)$  be the binary encoding of  $n$

Again let  $Z(x_1 x_2 \dots x_k) = 0 x_1 0 x_2 \dots 0 x_k 1$

$$\langle M, w \rangle := Z(b(|M|)) M w$$

# A BETTER PAIRING FUNCTION

Let  $\mathbf{b(n)}$  be the binary encoding of  $\mathbf{n}$

Again let  $\mathbf{Z(x_1 x_2 \dots x_k) = 0 x_1 0 x_2 \dots 0 x_k 1}$

$$\langle M, w \rangle := Z(\mathbf{b(|M|)}) M w$$

Example: Let  $\langle M, w \rangle = \langle 10110, 101 \rangle$

So,  $\mathbf{b(|10110|) = 101}$

So,  $\langle 10110, 101 \rangle = \mathbf{010001110110101}$

# A BETTER PAIRING FUNCTION

Let  $\mathbf{b}(n)$  be the binary encoding of  $n$

Again let  $\mathbf{Z}(x_1 x_2 \dots x_k) = 0 x_1 0 x_2 \dots 0 x_k 1$

$$\langle M, w \rangle := \mathbf{Z}(\mathbf{b}(|M|)) M w$$

Example: Let  $\langle M, w \rangle = \langle 10110, 101 \rangle$

So,  $\mathbf{b}(|10110|) = 101$

So,  $\langle 10110, 101 \rangle = 010001110110101$

We can still decode  $10110$  and  $101$  from this!

$$\text{Now, } |\langle M, w \rangle| = 2 \log(|M|) + |M| + |w| + 1$$

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## EXAMPLES??

Let's start by figuring out some properties of  $K$ .  
Examples will fall out of this.

# KOLMOGOROV COMPLEXITY

**Theorem:** There is a fixed **c** so that for all **x** in  $\{0,1\}^*$ ,

$$K(\mathbf{x}) \leq |\mathbf{x}| + \mathbf{c}$$

“The amount of information in **x** isn’t much more than  $|\mathbf{x}|$ ”

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**Proof:** Define  $M = \text{“On } w, \text{ halt.”}$

On any string  $x$ ,  $M(x)$  halts with  $x$  on its tape!

This implies

$$K(x) \leq |\langle M, x \rangle| \leq 2|M| + |x| + 1 \leq c + |x|$$

(Note:  $M$  is fixed for all  $x$ . So  $|M|$  is constant)

# REPETITIVE STRINGS

**Theorem:** There is a fixed **c** so that for all **x** in  $\{0,1\}^*$ ,  
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**Proof:** Let  $N = \text{“On } \langle M, w \rangle, \text{ let } s = M(w). \text{ Print } ss.\text{”}$

Let  $\langle M, w' \rangle$  be the shortest description of  $x$ .

Then  $\langle N, \langle M, w' \rangle \rangle$  is a description of  $xx$

Therefore

$$K(xx) \leq |\langle N, \langle M, w' \rangle \rangle| \leq 2|N| + K(x) + 1 \leq c + K(x)$$

# REPETITIVE STRINGS

**Corollary:** There is a fixed **c** so that for all **n**,  
and all **x**  $\in$  **{0,1}**<sup>\*</sup>,

$$K(x^n) \leq K(x) + c \log_2 n$$

“The information in **x**<sup>n</sup> isn’t much more than that in **x**”

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**Corollary:** There is a fixed  $c$  so that for all  $n$ ,  
and all  $x \in \{0,1\}^*$ ,

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“The information in  $x^n$  isn’t much more than that in  $x$ ”

## **Proof:**

An intuitive way to see this:

Define  $M$ : “On  $\langle x, n \rangle$ , print  $x$  for  $n$  times”.

Now take  $\langle M, \langle x, n \rangle \rangle$  as a description of  $x^n$ .

In binary,  $n$  takes  $O(\log n)$  bits to write down, so we have  $K(x) + O(\log n)$  as an upper bound on  $K(x^n)$ .



# CONCATENATION of STRINGS

**Theorem:** There is a fixed **c** so that for all **x** , **y** in  $\{0,1\}^*$ ,

$$K(xy) \leq 2K(x) + K(y) + c$$

**Better:**  $K(xy) \leq 2 \log K(x) + K(x) + K(y) + c$

# DOES THE LANGUAGE MATTER?

Turing machines are one programming language.  
If we use other programming languages, can we get shorter descriptions?

An **interpreter** is a (partial) computable function

**$p : \Sigma^* \rightarrow \Sigma^*$**

*Takes programs as input, and prints their outputs*

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**Definition:** Let  $x \in \{0,1\}^*$ . The **shortest description of  $x$  under  $p$** ,  $(d_p(x))$ , is the lexicographically shortest string for which  $p(d_p(x)) = x$ .

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**Definition:**  $K_p(x) = |d_p(x)|$ .

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**Theorem:** For every interpreter  $p$ , there is a fixed  $c$  so that for all  $x \in \{0,1\}^*$ ,

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**Proof:** Define  $M_p = \text{“On } w, \text{ output } p(w)\text{”}$

Then  $\langle M_p, d_p(x) \rangle$  is a description of  $x$ , and

$$\begin{aligned} K(x) &\leq |\langle M_p, d_p(x) \rangle| \\ &\leq 2|M_p| + K_p(x) + 1 \leq c + K_p(x) \end{aligned}$$

# INCOMPRESSIBLE STRINGS

**Theorem:** For all  $n$ , there is an  $\mathbf{x} \in \{0,1\}^n$  such that  
 $\mathbf{K(x)} \geq n$

“There are incompressible strings of every length”

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**Proof:** (Number of binary strings of length  $n$ ) =  $2^n$   
(Number of **descriptions** of length  $< n$ )  
 $\leq$  (Number of **binary strings** of length  $< n$ )  
 $= 2^n - 1$ .

Therefore: there's at least one  $n$ -bit string that  
doesn't have a description of length  $< n$

# INCOMPRESSIBLE STRINGS

**Theorem:** For all  $n$  and  $c$ ,

$$\Pr_{x \in \{0,1\}^n} [ K(x) \geq n-c ] \geq 1 - 1/2^c$$

“Most strings are fairly incompressible”

**Proof:** (Number of **binary strings** of length  $n$ ) =  $2^n$

$$\begin{aligned} & \text{(Number of **descriptions** of length  $< n-c$ )} \\ & \leq \text{(Number of **binary strings** of length  $< n-c$ )} \\ & =  $2^{n-c} - 1$ . \end{aligned}$$

So the probability that a random  $x$  has  $K(x) < n-c$  is at most  $(2^{n-c} - 1)/2^n < 1/2^c$ .

# A QUIZ

Give short algorithms for generating:

1. 01000110110000010100111001011101110000
2. 123581321345589144233377610
3. 12624120720504040320362880

This seems hard in general. Why?

We'll give a formal answer in just one moment...

# DETERMINING COMPRESSIBILITY

Can an algorithm help us compress strings?

Can an algorithm tell us when a string is compressible?

$$\text{COMPRESS} = \{(x,c) \mid K(x) \leq c\}$$

**Theorem:** COMPRESS is undecidable!

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**Berry Paradox:** “The first string whose shortest description cannot be written in less than fifteen words.”

# DETERMINING COMPRESSIBILITY

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**Theorem:** COMPRESS is undecidable!

**Proof:**

**M** = “On input  $x \in \{0,1\}^*$ ,

Interpret  $x$  as integer  $n$ . ( $|x| \leq \log n$ )

Find first  $y \in \{0,1\}^*$  in lexicographical order,  
s.t.  $(y,n) \notin \text{COMPRESS}$ , then print  $y$  and halt.”

$M(x)$  prints the first string  $y^*$  with  $K(y^*) > n$ .

Thus  $\langle M,x \rangle$  describes  $y^*$ , and  $|\langle M,x \rangle| \leq c + \log n$

So  $n < K(y^*) \leq c + \log n$ . **CONTRADICTION!**

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**Theorem:**  $K$  is not computable

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# SO WHAT CAN YOU DO WITH THIS?

**Many results in mathematics can be proved very simply using incompressibility.**

**Theorem:** There are infinitely many primes.

**IDEA:** Finitely many primes  $\Rightarrow$  can compress everything!

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Many results in mathematics can be proved very simply using incompressibility.

**Theorem:** There are infinitely many primes.

**IDEA:** Finitely many primes  $\Rightarrow$  can compress everything!

**Proof:** Suppose not. Let  $p_1, \dots, p_k$  be the primes. Let  $x$  be incompressible. Think of  $n = x$  as integer. Then there are  $e_i$  s.t.

$$n = p_1^{e_1} \dots p_k^{e_k}$$

For all  $i$ ,  $e_i \leq \log n$ , so  $|e_i| \leq \log \log n$

Can describe  $n$  (and  $x$ ) with  $k \log \log n + c$  bits!

But  $x$  was incompressible... **CONTRADICTION!**

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**Read Chapter 7.1 for next time**